

45A.05 IMMUNITY FOR THIRD-PARTY DISCLOSURES.

(a) A broker-dealer or investment adviser who, in good faith, complies with section 45A.04 is immune from administrative, civil, or criminal liability that might otherwise arise from the disclosure.

(b) A financial services provider or an employee of a financial services provider who, in good faith, complies with section 45A.04 is immune from administrative, civil, or criminal liability that might otherwise arise from the disclosure.

History: 2018 c 161 s 5; 2020 c 85 s 5