CHAPTER 148D BOARD OF SOCIAL WORK

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148D.050 LICENSING; SCOPE OF PRACTICE.

Subdivision 1. **Requirements.** A person licensed under section 148D.055 or 148D.061 must comply with the requirements of subdivision 2, 3, 4, or 5.

[For text of subds 2 to 5, see M.S.2006]

History: 2007 c 123 s 47

NOTE: This section was also repealed by Laws 2007, chapter 123, section 137, effective August 1, 2011. Laws 2007, chapter 123, section 137.

148D.055 LICENSE REQUIREMENTS.

[For text of subd 1, see M.S.2006]

- Subd. 2. Qualifications for licensure by examination as a licensed social worker. (a) To be licensed as a licensed social worker, an applicant for licensure by examination must provide evidence satisfactory to the board that the applicant:
- (1) has received a baccalaureate degree in social work from a program accredited by the Council on Social Work Education, the Canadian Association of Schools of Social Work, or a similar accreditation body designated by the board;
- (2) has passed the bachelors or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board. Unless an applicant applies for licensure by endorsement pursuant to subdivision 7, an examination is not valid if it was taken and passed eight or more years prior to submitting a completed, signed application form provided by the board. The examination may be taken prior to completing degree requirements;
- (3) has submitted a completed, signed application form provided by the board, including the applicable application fee specified in section 148D.180. For applications submitted electronically, a "signed application" means providing an attestation as specified by the board;
- (4) has submitted the criminal background check fee and a form provided by the board authorizing a criminal background check pursuant to subdivision 8;
 - (5) has paid the applicable license fee specified in section 148D.180; and
- (6) has not engaged in conduct that was or would be in violation of the standards of practice specified in sections 148D.195 to 148D.240. If the applicant has engaged in conduct that was or would be in violation of the standards of practice, the board may take action pursuant to sections 148D.255 to 148D.270.
- (b) An application that is not completed and signed, or that is not accompanied by the correct fee, must be returned to the applicant, along with any fee submitted, and is void.
- (c) A licensee granted a license by the board pursuant to paragraph (a) must meet the supervised practice requirements specified in sections 148D.100 to 148D.125. If a licensee does not meet the supervised practice requirements, the board may take action pursuant to sections 148D.255 to 148D.270.
- (d) By submitting an application for licensure, an applicant authorizes the board to investigate any information provided or requested in the application. The board may request that the applicant provide additional information, verification, or documentation.

- (e) Within one year of the time the board receives an application for licensure, the applicant must meet all the requirements specified in paragraph (a) and must provide all of the information requested by the board pursuant to paragraph (d). If within one year the applicant does not meet all the requirements, or does not provide all of the information requested, the applicant is considered ineligible and the application for licensure must be closed.
- (f) Except as provided in paragraph (g), an applicant may not take more than three times the bachelors or equivalent examination administered by the Association of Social Work Boards, or a similar examination body designated by the board. An applicant must receive a passing score on the bachelors or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board in no more than 18 months after the date the applicant first failed the examination.
- (g) Notwithstanding paragraph (f), the board may allow an applicant to take, for a fourth or subsequent time, the bachelors or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board if the applicant:
- (1) meets all requirements specified in paragraphs (a) to (e) other than passing the bachelors or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board;
- (2) provides to the board a description of the efforts the applicant has made to improve the applicant's score and demonstrates to the board's satisfaction that the efforts are likely to improve the score; and
- (3) provides to the board letters of recommendation from two licensed social workers attesting to the applicant's ability to practice social work competently and ethically in accordance with professional social work knowledge, skills, and values.
- (h) An individual must not practice social work until the individual passes the examination and receives a social work license under this section or section 148D.060. If the board has reason to believe that an applicant may be practicing social work without a license, and the applicant has failed the bachelors or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board, the board may notify the applicant's employer that the applicant is not licensed as a social worker
- Subd. 3. Qualifications for licensure by examination as licensed graduate social worker. (a) To be licensed as a licensed graduate social worker, an applicant for licensure by examination must provide evidence satisfactory to the board that the applicant:
- (1) has received a graduate degree in social work from a program accredited by the Council on Social Work Education, the Canadian Association of Schools of Social Work, or a similar accreditation body designated by the board;
- (2) has passed the masters or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board. Unless an applicant applies for licensure by endorsement pursuant to section 148D.055, subdivision 7, an examination is not valid if it was taken and passed eight or more years prior to submitting a completed, signed application form provided by the board. The examination may be taken prior to completing degree requirements;
- (3) has submitted a completed, signed application form provided by the board, including the applicable application fee specified in section 148D.180. For applications submitted electronically, a "signed application" means providing an attestation as specified by the board:
- (4) has submitted the criminal background check fee and a form provided by the board authorizing a criminal background check pursuant to subdivision 8;
 - (5) has paid the applicable license fee specified in section 148D.180; and
- (6) has not engaged in conduct that was or would be in violation of the standards of practice specified in sections 148D.195 to 148D.240. If the applicant has engaged in conduct that

was or would be in violation of the standards of practice, the board may take action pursuant to sections 148D.255 to 148D.270.

- (b) An application which is not completed and signed, or which is not accompanied by the correct fee, must be returned to the applicant, along with any fee submitted, and is void.
- (c) A licensee granted a license by the board pursuant to paragraph (a) must meet the supervised practice requirements specified in sections 148D.100 to 148D.125. If a licensee does not meet the supervised practice requirements, the board may take action pursuant to sections 148D.255 to 148D.270.
- (d) By submitting an application for licensure, an applicant authorizes the board to investigate any information provided or requested in the application. The board may request that the applicant provide additional information, verification, or documentation.
- (e) Within one year of the time the board receives an application for licensure, the applicant must meet all the requirements specified in paragraph (a) and must provide all of the information requested by the board pursuant to paragraph (d). If within one year the applicant does not meet all the requirements, or does not provide all of the information requested, the applicant is considered ineligible and the application for licensure must be closed.
- (f) Except as provided in paragraph (g), an applicant may not take more than three times the masters or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board. An applicant must receive a passing score on the masters or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board in no more than 18 months after the date the applicant first failed the examination.
- (g) Notwithstanding paragraph (f), the board may allow an applicant to take, for a fourth or subsequent time, the masters or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board if the applicant:
- (1) meets all requirements specified in paragraphs (a) to (e) other than passing the masters or equivalent examination administered by the Association of Social Work boards or a similar examination body designated by the board;
- (2) provides to the board a description of the efforts the applicant has made to improve the applicant's score and demonstrates to the board's satisfaction that the efforts are likely to improve the score; and
- (3) provides to the board letters of recommendation from two licensed social workers attesting to the applicant's ability to practice social work competently and ethically in accordance with professional social work knowledge, skills, and values.
- (h) An individual must not practice social work until the individual passes the examination and receives a social work license under this section or section 148D.060. If the board has reason to believe that an applicant may be practicing social work without a license, and the applicant has failed the masters or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board, the board may notify the applicant's employer that the applicant is not licensed as a social worker.
- Subd. 4. Licensure by examination; licensed independent social worker. (a) To be licensed as a licensed independent social worker, an applicant for licensure by examination must provide evidence satisfactory to the board that the applicant:
- (1) has received a graduate degree in social work from a program accredited by the Council on Social Work Education, the Canadian Association of Schools of Social Work, or a similar accreditation body designated by the board;
- (2) has practiced social work as defined in section 148D.010, and has met the supervised practice requirements specified in sections 148D.100 to 148D.125;
- (3) has passed the advanced generalist or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board. Unless an applicant applies for licensure by endorsement pursuant to subdivision 7, an ex-

amination is not valid if it was taken and passed eight or more years prior to submitting a completed, signed application form provided by the board;

- (4) has submitted a completed, signed application form provided by the board, including the applicable application fee specified in section 148D.180. For applications submitted electronically, a "signed application" means providing an attestation as specified by the board:
- (5) has submitted the criminal background check fee and a form provided by the board authorizing a criminal background check pursuant to subdivision 8;
 - (6) has paid the applicable license fee specified in section 148D.180; and
- (7) has not engaged in conduct that was or would be in violation of the standards of practice specified in sections 148D.195 to 148D.240. If the applicant has engaged in conduct that was or would be in violation of the standards of practice, the board may take action pursuant to sections 148D.255 to 148D.270.
- (b) An application which is not completed and signed, or which is not accompanied by the correct fee, must be returned to the applicant, along with any fee submitted, and is void.
- (c) A licensed independent social worker who practices clinical social work must meet the supervised practice requirements specified in sections 148D.100 to 148D.125. If a licensee does not meet the supervised practice requirements, the board may take action pursuant to sections 148D.255 to 148D.270.
- (d) By submitting an application for licensure, an applicant authorizes the board to investigate any information provided or requested in the application. The board may request that the applicant provide additional information, verification, or documentation.
- (e) Within one year of the time the board receives an application for licensure, the applicant must meet all the requirements specified in paragraph (a) and must provide all of the information requested by the board pursuant to paragraph (d). If within one year the applicant does not meet all the requirements, or does not provide all of the information requested, the applicant is considered ineligible and the application for licensure must be closed.
- (f) Except as provided in paragraph (g), an applicant may not take more than three times the advanced generalist or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board. An applicant must receive a passing score on the masters or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board in no more than 18 months after the first time the applicant failed the examination.
- (g) Notwithstanding paragraph (f), the board may allow an applicant to take, for a fourth or subsequent time, the advanced generalist or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board if the applicant:
- (1) meets all requirements specified in paragraphs (a) to (e) other than passing the advanced generalist or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board;
- (2) provides to the board a description of the efforts the applicant has made to improve the applicant's score and demonstrates to the board's satisfaction that the efforts are likely to improve the score; and
- (3) provides to the board letters of recommendation from two licensed social workers attesting to the applicant's ability to practice social work competently and ethically in accordance with professional social work knowledge, skills, and values.
- (h) An individual must not practice social work until the individual passes the examination and receives a social work license under this section or section 148D.060. If the board has reason to believe that an applicant may be practicing social work without a license, except as provided in section 148D.065, and the applicant has failed the advanced generalist or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board, the board may notify the applicant's employer that the applicant is not licensed as a social worker.

- Subd. 5. Licensure by examination; licensed independent clinical social worker.
 (a) To be licensed as a licensed independent clinical social worker, an applicant for licensure by examination must provide evidence satisfactory to the board that the applicant:
- (1) has received a graduate degree in social work from a program accredited by the Council on Social Work Education, the Canadian Association of Schools of Social Work, or a similar accreditation body designated by the board;
- (2) has practiced clinical social work as defined in section 148D.010, including both diagnosis and treatment, and has met the supervised practice requirements specified in sections 148D.100 to 148D.125;
- (3) has passed the clinical or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board. Unless an applicant applies for licensure by endorsement pursuant to subdivision 7, an examination is not valid if it was taken and passed eight or more years prior to submitting a completed, signed application form provided by the board;
- (4) has submitted a completed, signed application form provided by the board, including the applicable application fee specified in section 148D.180. For applications submitted electronically, a "signed application" means providing an attestation as specified by the board:
- (5) has submitted the criminal background check fee and a form provided by the board authorizing a criminal background check pursuant to subdivision 8;
 - (6) has paid the license fee specified in section 148D.180; and
- (7) has not engaged in conduct that was or would be in violation of the standards of practice specified in sections 148D.195 to 148D.240. If the applicant has engaged in conduct that was or would be in violation of the standards of practice, the board may take action pursuant to sections 148D.255 to 148D.270.
- (b) An application which is not completed and signed, or which is not accompanied by the correct fee, must be returned to the applicant, along with any fee submitted, and is void.
- (c) By submitting an application for licensure, an applicant authorizes the board to investigate any information provided or requested in the application. The board may request that the applicant provide additional information, verification, or documentation.
- (d) Within one year of the time the board receives an application for licensure, the applicant must meet all the requirements specified in paragraph (a) and must provide all of the information requested by the board pursuant to paragraph (c). If within one year the applicant does not meet all the requirements, or does not provide all of the information requested, the applicant is considered ineligible and the application for licensure must be closed.
- (e) Except as provided in paragraph (f), an applicant may not take more than three times the clinical or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board. An applicant must receive a passing score on the clinical or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board no later than 18 months after the first time the applicant failed the examination.
- (f) Notwithstanding paragraph (e), the board may allow an applicant to take, for a fourth or subsequent time, the clinical or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board if the applicant:
- (1) meets all requirements specified in paragraphs (a) to (d) other than passing the clinical or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board;
- (2) provides to the board a description of the efforts the applicant has made to improve the applicant's score and demonstrates to the board's satisfaction that the efforts are likely to improve the score; and

- (3) provides to the board letters of recommendation from two licensed social workers attesting to the applicant's ability to practice social work competently and ethically in accordance with professional social work knowledge, skills, and values.
- (g) An individual must not practice social work until the individual passes the examination and receives a social work license under this section or section 148D.060. If the board has reason to believe that an applicant may be practicing social work without a license, and the applicant has failed the clinical or equivalent examination administered by the Association of Social Work Boards or a similar examination body designated by the board, the board may notify the applicant's employer that the applicant is not licensed as a social worker.

[For text of subds 6 and 7, see M.S.2006]

Subd. 7a. **Provisional licensure.** An applicant for provisional licensure must meet the license requirements in subdivisions 2 to 8, except the applicant does not have to pass an examination administered by the Association of Social Work Boards or a similar examination body designated by the board.

[For text of subds 8 to 11, see M.S.2006]

History: 2007 c 123 s 48-52

NOTE: This section was also repealed by Laws 2007, chapter 123, section 137, effective August 1, 2011. Laws 2007, chapter 123, section 137.

148D.060 TEMPORARY LICENSES.

[For text of subds 1 and 2, see M.S.2006]

- Subd. 2a. **Programs in candidacy status.** The board may issue a temporary license to practice social work to an applicant who has completed the requirements for a baccalaureate or graduate degree in social work from a program in candidacy status with the Council on Social Work Education, the Canadian Association of Schools of Social Work, or a similar accreditation body designated by the board, and has:
 - (1) applied for a license under section 148D.055;
 - (2) applied for a temporary license on a form provided by the board;
- (3) submitted a form provided by the board authorizing the board to complete a criminal background check;
 - (4) passed the applicable licensure examination provided for in section 148D.055; and
- (5) not engaged in conduct that is in violation of the standards of practice specified in sections 148D.195 to 148D.240. If the applicant has engaged in conduct that is in violation of the standards of practice, the board may take action according to sections 148D.255 to 148D.270.

[For text of subds 3 and 4, see M.S.2006]

- Subd. 5. **Temporary license term.** (a) A temporary license is valid until expiration, or until the board issues or denies the license pursuant to section 148D.055, or until the board revokes the temporary license, whichever comes first. A temporary license is nonrenewable.
 - (b) A temporary license issued pursuant to subdivision 1 or 2 expires after six months.
- (c) A temporary license issued pursuant to subdivision 2a expires after 12 months but may be extended at the board's discretion upon a showing that the social work program remains in good standing with the Council on Social Work Education, the Canadian Association of Schools of Social Work, or a similar accreditation body designated by the board. If the board receives notice from the Council on Social Work Education, the Canadian Association of Schools of Social Work, or a similar accreditation body designated by the board that the social work program is not in good standing, or that the accreditation will not be granted to the social work program, the temporary license is immediately revoked.

(d) A temporary license issued pursuant to subdivision 2a expires after 12 months.

Subd. 6. Licensee with temporary license; baccalaureate degree. A licensee with a temporary license who has provided evidence to the board that the licensee has completed the requirements for a baccalaureate degree in social work from a program accredited by, or in candidacy status with, the Council on Social Work Education, the Canadian Association of Schools of Social Work, or a similar accreditation body designated by the board may temporarily engage in social work practice except that a licensee with a temporary license may not engage in clinical social work practice.

Subd. 7. Licensee with temporary license; graduate degree. A licensee with a temporary license who has provided evidence to the board that the licensee has completed the requirements for a graduate degree in social work from a program accredited by, or in candidacy status with, the Council on Social Work Education, the Canadian Association of Schools of Social Work, or a similar accreditation body designated by the board may temporarily engage in social work practice, including clinical practice.

[For text of subds 8 to 12, see M.S.2006]

Subd. 13. **Revocation of temporary license.** The board may immediately revoke the temporary license of any licensee who violates any requirements of this section. The revocation must be made for cause. A licensee whose temporary license is revoked must immediately return the temporary license to the board.

History: 2007 c 123 s 53–57

NOTE: This section was also repealed by Laws 2007, chapter 123, section 137, effective August 1, 2011. Laws 2007, chapter 123, section 137.

148D.061 PROVISIONAL LICENSES.

Subdivision 1. **Requirements for a provisional license.** An applicant may be issued a provisional license if the applicant:

- (1) was born in a foreign country;
- (2) communicates in English as a second language;
- (3) has taken the applicable examination administered by the Association of Social Work Boards or similar examination body designated by the board;
- (4) has met the requirements of section 148D.055, subdivision 2, paragraph (a), clauses (1), (3), (4), (5), and (6); or subdivision 3, paragraph (a), clauses (1), (3), (4), (5), and (6); or subdivision 4, paragraph (a), clauses (1), (2), (4), (5), (6), and (7); or subdivision 5, paragraph (a), clauses (1), (2), (4), (5), (6), and (7); and
 - (5) complies with the requirements of subdivisions 2 to 7.
- Subd. 2. License term. (a) A provisional license is valid until expiration, or until the board issues or denies a license under section 148D.055, or until the board revokes the provisional license, whichever occurs first.
 - (b) A provisional license expires three years after the effective date of the license.
- Subd. 3. **Scope of practice.** A licensee who is issued a provisional license must comply with the requirements of section 148D.050.
- Subd. 4. **Fee.** A licensee who is issued a provisional license must pay the appropriate license fee specified in section 148D.180.
- Subd. 5. Supervised practice requirements. A licensee who is issued a provisional license must document supervised practice as provided in section 148D.062. If a licensee issued a provisional license is granted a license under section 148D.055, the licensee must also meet the supervised practice requirements in sections 148D.100 to 148D.115. The supervised practice completed under a provisional license does not apply to this requirement.
- Subd. 6. Evaluation by supervisor. (a) After being issued a provisional license under subdivision 1, the licensee must submit an evaluation by the licensee's supervisor every six months during the first 2,000 hours of social work practice. The evaluation must meet the

requirements in section 148D.063. The supervisor must meet the eligibility requirements specified in section 148D.062.

- (b) After completion of 2,000 hours of supervised social work practice, the licensee's supervisor must submit a final evaluation and attest to the applicant's ability to engage in the practice of social work safely and competently.
- Subd. 7. Completion of requirements. Upon completion of the requirements for a provisional license under subdivisions 1 to 6, an applicant shall not practice social work in Minnesota except as provided in section 148D.065, unless licensed according to section 148D.055.

Subd. 8. **Disciplinary or other action.** The board may take action according to sections 148D.260 to 148D.270 if:

- (1) the licensee's supervisor does not submit an evaluation as required by section 148D.062;
- (2) an evaluation submitted according to section 148D.062 indicates that the licensee cannot practice social work competently and safely; or
 - (3) the licensee does not comply with the requirements of subdivisions 1 to 7.
- Subd. 9. **Revocation of provisional license.** The board may immediately revoke the provisional license of a licensee who violates any requirements of this section. The revocation must be made for cause. A licensee whose provisional license is revoked must immediately return the provisional license to the board.

History: 2007 c 123 s 58

148D.062 PROVISIONAL LICENSE; SUPERVISED PRACTICE.

Subdivision 1. **Supervision required after licensure.** After receiving a provisional license from the board, the licensee must obtain at least 37.5 hours of supervision according to the requirements of this section.

- Subd. 2. **Practice requirements.** The supervision required by subdivision 1 must be obtained during the first 2,000 hours of social work practice after the effective date of the provisional license. At least three hours of supervision must be obtained during every 160 hours of practice.
- Subd. 3. **Types of supervision.** (a) Twenty-five hours of supervision required by subdivision 1 must consist of one-on-one in-person supervision.
- (b) Twelve and one—half hours of supervision must consist of one or more of the following types of supervision:
 - (1) in-person one-on-one supervision; or
 - (2) in-person group supervision.
- (c) To qualify as in-person group supervision, the group must not exceed seven members including the supervisor.
- Subd. 4. Supervisor requirements. (a) The supervision required by subdivision 1 must be provided by a supervisor who meets the requirements in section 148D.120 and has either:
 - (1) 5,000 hours experience engaged in authorized social work practice; or
- (2) completed 30 hours of training in supervision, which may be satisfied by completing academic coursework in supervision or continuing education courses in supervision as defined in section 148D.010, subdivision 16.
 - (b) Supervision must be provided:
- (1) if the supervisee is not engaged in clinical practice and the supervisee has a provisional license to practice as a licensed social worker, by a licensed social worker who has completed the supervised practice requirements;
- (2) if the supervisee is not engaged in clinical practice and the supervisee has a provisional license to practice as a licensed graduate social worker, licensed independent social worker, or licensed independent clinical social worker, by:

- (i) a licensed graduate social worker who has completed the supervised practice requirements;
 - (ii) a licensed independent social worker; or
 - (iii) a licensed independent clinical social worker;
- (3) if the supervisee is engaged in clinical practice and the supervisee has a provisional license to practice as a licensed graduate social worker, licensed independent social worker, or licensed independent clinical social worker, by a licensed independent clinical social worker; or
 - (4) by a supervisor who meets the requirements in section 148D.120, subdivision 2. Subd. 5. **Expiration.** This section expires August 1, 2011.

History: 2007 c 123 s 59

148D.063 PROVISIONAL LICENSE; DOCUMENTATION OF SUPERVISION.

Subdivision 1. **Supervision plan.** (a) An applicant granted a provisional license must submit, on a form provided by the board, a supervision plan for meeting the supervision requirements in section 148D.062.

- (b) The supervision plan must be submitted no later than 30 days after the licensee begins a social work practice position.
- (c) The board may revoke a licensee's provisional license for failure to submit the supervision plan within 30 days after beginning a social work practice position.
 - (d) The supervision plan must include the following:
- (1) the name of the supervisee, the name of the agency in which the supervisee is being supervised, and the supervisee's position title;
 - (2) the name and qualifications of the person providing the supervision;
- (3) the number of hours of one—on—one in—person supervision and the number and type of additional hours of supervision to be completed by the supervisee;
 - (4) the supervisee's position description;
- (5) a brief description of the supervision the supervisee will receive in the following content areas:
 - (i) clinical practice, if applicable;
 - (ii) development of professional social work knowledge, skills, and values;
 - (iii) practice methods;
 - (iv) authorized scope of practice;
 - (v) ensuring continuing competence; and
 - (vi) ethical standards of practice; and
- (6) if applicable, a detailed description of the supervisee's clinical social work practice, addressing:
 - (i) the client population, the range of presenting issues, and the diagnoses;
 - (ii) the clinical modalities that were utilized; and
- (iii) the process utilized for determining clinical diagnoses, including the diagnostic instruments used and the role of the supervisee in the diagnostic process.
- (e) The board must receive a revised supervision plan within 30 days of any of the following changes:
 - (1) the supervisee has a new supervisor;
 - (2) the supervisee begins a new social work position;
 - (3) the scope or content of the supervisee's social work practice changes substantially;
 - (4) the number of practice or supervision hours changes substantially; or
 - (5) the type of supervision changes as supervision is described in section 148D.062.
- (f) The board may revoke a licensee's provisional license for failure to submit a revised supervision plan as required in paragraph (e).

- (g) The board must approve the supervisor and the supervision plan.
- Subd. 2. **Evaluation.** (a) When a supervisee submits an evaluation to the board according to section 148D.061, subdivision 6, the supervisee and supervisor must provide the following information on a form provided by the board:
- (1) the name of the supervisee, the name of the agency in which the supervisee is being supervised, and the supervisee's position title;
 - (2) the name and qualifications of the supervisor;
 - (3) the number of hours and dates of each type of supervision completed;
 - (4) the supervisee's position description;
- (5) a declaration that the supervisee has not engaged in conduct in violation of the standards of practice in sections 148D.195 to 148D.240;
- (6) a declaration that the supervisee has practiced competently and ethically according to professional social work knowledge, skills, and values; and
- (7) on a form provided by the board, an evaluation of the licensee's practice in the following areas:
 - (i) development of professional social work knowledge, skills, and values;
 - (ii) practice methods;
 - (iii) authorized scope of practice;
 - (iv) ensuring continuing competence;
 - (v) ethical standards of practice; and
 - (vi) clinical practice, if applicable.
- (b) The information provided on the evaluation form must demonstrate that the supervisee has met or has made progress on meeting the applicable supervised practice requirements.
- Subd. 3. Alternative verification of supervised practice. Notwithstanding the requirements of subdivision 2, the board may accept alternative verification of supervised practice if a supervisee demonstrates that the supervisee is unable to locate a former supervisor to provide the required information.

History: 2007 c 123 s 60

148D.120 REQUIREMENTS OF SUPERVISORS.

[For text of subd 1, see M.S.2006]

- Subd. 2. Alternate supervisors. (a) The board may approve an alternate supervisor if:
- (1) the board determines that supervision is not obtainable pursuant to paragraph (b);
- (2) the licensee requests in the supervision plan submitted pursuant to section 148D.062, subdivision 1, or 148D.125, subdivision 1, that an alternate supervisor conduct the supervision;
- (3) the licensee describes the proposed supervision and the name and qualifications of the proposed alternate supervisor; and
 - (4) the requirements of paragraph (d) are met.
 - (b) The board may determine that supervision is not obtainable if:
- (1) the licensee provides documentation as an attachment to the supervision plan submitted pursuant to section 148D.062, subdivision 1, or 148D.125, subdivision 1, that the licensee has conducted a thorough search for a supervisor meeting the applicable licensure requirements specified in sections 148D.100 to 148D.115;
- (2) the licensee demonstrates to the board's satisfaction that the search was unsuccessful; and
- (3) the licensee describes the extent of the search and the names and locations of the persons and organizations contacted.

- (c) The following are not grounds for a determination that supervision is unobtainable:
- (1) obtaining a supervisor who meets the requirements of subdivision 1 would present the licensee with a financial hardship;
- (2) the licensee is unable to obtain a supervisor who meets the requirements of subdivision 1 within the licensee's agency or organization and the agency or organization will not allow outside supervision; or
- (3) the specialized nature of the licensee's practice requires supervision from a practitioner other than an individual licensed as a social worker.
 - (d) An alternate supervisor must:
- (1) be an unlicensed social worker who is employed in, and provides the supervision in, a setting exempt from licensure by section 148D.065, and who has qualifications equivalent to the applicable requirements specified in sections 148D.100 to 148D.115; or
- (2) be a licensed marriage and family therapist or a mental health professional as established by section 245.462, subdivision 18, or 245.4871, subdivision 27, or an equivalent mental health professional, as determined by the board, who is licensed or credentialed by a state, territorial, provincial, or foreign licensing agency.

In order to qualify to provide clinical supervision of a licensed graduate social worker or licensed independent social worker engaged in clinical practice, the alternate supervisor must be a mental health professional as established by section 245.462, subdivision 18, or 245.4871, subdivision 27, or an equivalent mental health professional, as determined by the board, who is licensed or credentialed by a state, territorial, provincial, or foreign licensing agency.

History: 2007 c 123 s 61

NOTE: This section was also repealed by Laws 2007, chapter 123, section 137, effective August 1, 2011. Laws 2007, chapter 123, section 137.

148D.125 DOCUMENTATION OF SUPERVISION.

Subdivision 1. **Supervision plan.** (a) A social worker must submit, on a form provided by the board, a supervision plan for meeting the supervision requirements specified in sections 148D.100 to 148D.120.

- (b) The supervision plan must be submitted no later than 90 days after the licensee begins a social work practice position after becoming licensed.
- (c) For failure to submit the supervision plan within 90 days after beginning a social work practice position, a licensee must pay the supervision plan late fee specified in section 148D.180 when the licensee applies for license renewal.
- (d) A license renewal application submitted pursuant to section 148D.070, subdivision 3, must not be approved unless the board has received a supervision plan.
 - (e) The supervision plan must include the following:
- (1) the name of the supervisee, the name of the agency in which the supervisee is being supervised, and the supervisee's position title;
 - (2) the name and qualifications of the person providing the supervision;
- (3) the number of hours of one—on—one in—person supervision and the number and type of additional hours of supervision to be completed by the supervisee;
 - (4) the supervisee's position description;
- (5) a brief description of the supervision the supervisee will receive in the following content areas:
 - (i) clinical practice, if applicable;
 - (ii) development of professional social work knowledge, skills, and values;
 - (iii) practice methods;
 - (iv) authorized scope of practice;
 - (v) ensuring continuing competence; and

- (vi) ethical standards of practice; and
- (6) if applicable, a detailed description of the supervisee's clinical social work practice, addressing:
 - (i) the client population, the range of presenting issues, and the diagnoses;
 - (ii) the clinical modalities that were utilized; and
- (iii) the process utilized for determining clinical diagnoses, including the diagnostic instruments used and the role of the supervisee in the diagnostic process. An applicant for licensure as a licensed professional clinical counselor must present evidence of completion of a degree equivalent to that required in section 148B.5301, subdivision 1, clause (3).
- (f) The board must receive a revised supervision plan within 90 days of any of the following changes:
 - (1) the supervisee has a new supervisor;
 - (2) the supervisee begins a new social work position;
 - (3) the scope or content of the supervisee's social work practice changes substantially;
 - (4) the number of practice or supervision hours changes substantially; or
- (5) the type of supervision changes as supervision is described in section 148D.100, subdivision 3, or 148D.105, subdivision 3, or as required in section 148D.115, subdivision 4.
- (g) For failure to submit a revised supervision plan as required in paragraph (f), a supervisee must pay the supervision plan late fee specified in section 148D.180, when the supervisee applies for license renewal.
 - (h) The board must approve the supervisor and the supervision plan.

[For text of subds 2 to 4, see M.S.2006]

History: 2007 c 123 s 62

NOTE: This section was also repealed by Laws 2007, chapter 123, section 137, effective August 1, 2011. Laws 2007, chapter 123, section 137.

148D.245 INVESTIGATIVE POWERS AND PROCEDURES.

[For text of subds 1 to 4 see M.S.2006]

- Subd. 5. Access to data and records. (a) In addition to ordering a physical or mental examination or chemical dependency evaluation, and notwithstanding section 13.384, 144.651, 595.02, or any other statute limiting access to health records, the board or a designated member of the board acting on behalf of the board may subpoena physical, mental, and chemical dependency health records relating to an applicant or licensee without the applicant's or licensee's consent if:
- (1) the board has probable cause to believe that the applicant or licensee has violated chapter 214, a statute or rule enforced by the board, or an order issued by the board; and
- (2) the board has reason to believe that the records are relevant and necessary to the investigation.
- (b) An applicant, licensee, insurance company, government agency, health care facility, or provider as defined in section 144.291, subdivision 2, paragraph (h), must comply with any subpoena of the board under this subdivision and is not liable in any action for damages for releasing information subpoenaed by the board under this subdivision unless the information provided is false and the person or entity providing the information knew or had reason to know that the information was false.
- (c) Information on individuals obtained under this subdivision must be treated as investigative data under section 13.41 and be classified as confidential data.
- (d) If an applicant, licensee, person, or entity does not comply with any subpoena of the board under this subdivision, the board may institute a proceeding in any district court to enforce the board's subpoena.

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[For text of subds 6 to 10 see M.S.2006]

History: 2007 c 147 art 10 s 15

NOTE: This section was also repealed by Laws 2007, chapter 123, section 137 effective August 1, 2011. Laws 2007, chapter 123, section 137.