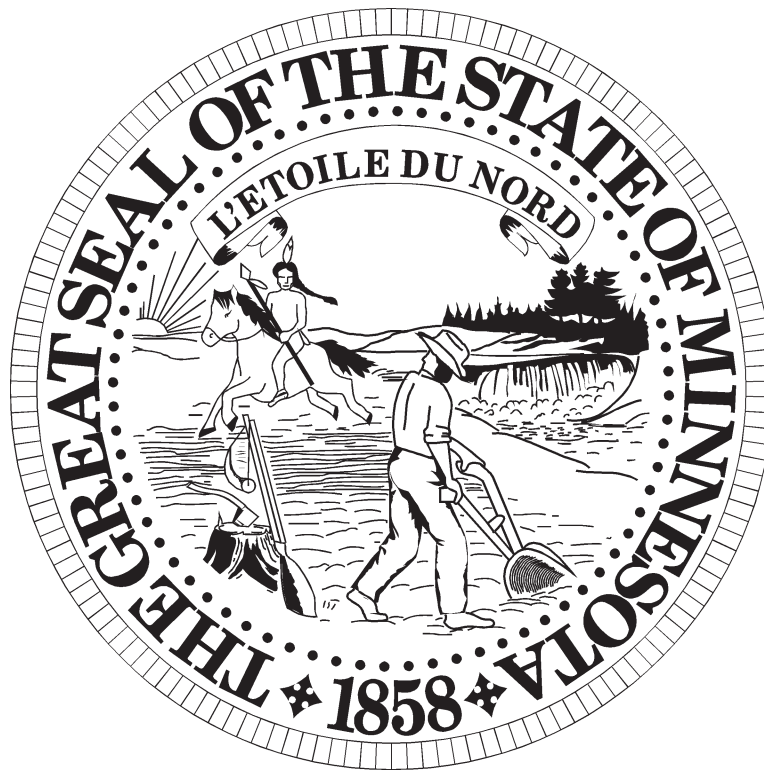


# Minnesota

# State Register

(Published every Monday (Tuesday when Monday is a holiday.)



**Proposed, Adopted, Emergency, Expedited, Withdrawn, Vetoed Rules;  
Executive Orders; Appointments; Commissioners' Orders; Revenue Notices;  
Official Notices; State Grants & Loans; State Contracts;  
Non-State Public Bids, Contracts & Grants**

**Monday 20 April 2015  
Volume 39, Number 42  
Pages 1471 - 1508**

# Minnesota State Register

## Judicial Notice Shall Be Taken of Material Published in the *Minnesota State Register*

The *Minnesota State Register* is the official publication of the State of Minnesota's Executive Branch of government, published weekly to fulfill the legislative mandate set forth in *Minnesota Statutes*, Chapter 14, and *Minnesota Rules*, Chapter 1400. It contains:

- Proposed Rules
- Adopted Rules
- Exempt Rules
- Expedited Rules
- Withdrawn Rules
- Vetoed Rules
- Executive Orders of the Governor
- Appointments
- Proclamations
- Commissioners' Orders
- Revenue Notices
- Official Notices
- State Grants and Loans
- Contracts for Professional, Technical and Consulting Services
- Non-State Public Bids, Contracts and Grants

### Printing Schedule and Submission Deadlines

Vol. 39 Issue Number	PUBLISH DATE ( <b>BOLDFACE</b> shows altered publish date)	Deadline for: all Short Rules, Executive and Commissioner's Orders, Revenue and Official Notices, State Grants, Professional-Technical-Consulting Contracts, Non-State Bids and Public Contracts	Deadline for LONG, Complicated Rules (contact the editor to negotiate a deadline)
# 43	Monday 27 April	Noon Tuesday 21 April	Noon Thursday 16 April
# 44	Monday 4 May	Noon Tuesday 28 April	Noon Thursday 23 April
# 45	Monday 11 May	Noon Tuesday 5 May	Noon Thursday 30 April
# 46	Monday 18 May	Noon Tuesday 12 May	Noon Tuesday 7 May

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# Minnesota Rules: Amendments and Additions

## NOTICE: How to Follow State Agency Rulemaking in the State Register

The *State Register* is the official source, and only complete listing, for all state agency rulemaking in its various stages. State agencies are required to publish notice of their rulemaking action in the *State Register*. Published every Monday, the *State Register* makes it easy to follow and participate in the important rulemaking process. Approximately 80 state agencies have the authority to issue rules. Each agency is assigned specific **Minnesota Rule** chapter numbers. Every odd-numbered year the **Minnesota Rules** are published. Supplements are published to update this set of rules. Generally speaking, proposed and adopted exempt rules do not appear in this set because of their short-term nature, but are published in the *State Register*.

An agency must first solicit **Comments on Planned Rules** or **Comments on Planned Rule Amendments** from the public on the subject matter of a possible rulemaking proposal under active consideration within the agency (*Minnesota Statutes* §§ 14.101). It does this by publishing a notice in the *State Register* at least 60 days before publication of a notice to adopt or a notice of hearing, or within 60 days of the effective date of any new statutory grant of required rulemaking.

When rules are first drafted, state agencies publish them as **Proposed Rules**, along with a notice of hearing, or a notice of intent to adopt rules without a hearing in the case of noncontroversial rules. This notice asks for comment on the rules as proposed. Proposed emergency rules, and withdrawn proposed rules, are also published in the *State Register*. After proposed rules have gone through the comment period, and have been rewritten into their final form, they again appear in the *State Register* as **Adopted Rules**. These final adopted rules are not printed in their entirety, but only the changes made since their publication as Proposed Rules. To see the full rule, as adopted and in effect, a person simply needs two issues of the *State Register*, the issue the rule appeared in as proposed, and later as adopted.

The *State Register* features partial and cumulative listings of rules in this section on the following schedule: issues #1-13 inclusive; issues #14-25 inclusive (issue #26 cumulative for issues #1-26); issues #27-38 inclusive (issue #39, cumulative for issues #1-39); issues #40-52 inclusive, with final index (#1-52, or 53 in some years). An annual subject matter index for rules was separately printed usually in August, but starting with Volume 19 now appears in the final issue of each volume. For copies or subscriptions to the *State Register*, contact Minnesota's Bookstore, 660 Olive Street (one block east of I-35E and one block north of University Ave), St. Paul, MN 55155, phone: (612) 297-3000, or toll-free 1-800-657-3757. TTY relay service phone number: (800) 627-3529

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# Proposed Rules

**Comments on Planned Rules or Rule Amendments.** An agency must first solicit Comments on Planned Rules or Comments on Planned Rule Amendments from the public on the subject matter of a possible rulemaking proposal under active consideration within the agency (*Minnesota Statutes* §§ 14.101). It does this by publishing a notice in the *State Register* at least 60 days before publication of a notice to adopt or a notice of hearing, and within 60 days of the effective date of any new statutory grant of required rulemaking.

**Rules to be Adopted After a Hearing.** After receiving comments and deciding to hold a public hearing on the rule, an agency drafts its rule. It then publishes its rules with a notice of hearing. All persons wishing to make a statement must register at the hearing. Anyone who wishes to submit written comments may do so at the hearing, or within five working days of the close of the hearing. Administrative law judges may, during the hearing, extend the period for receiving comments up to 20 calendar days. For five business days after the submission period the agency and interested persons may respond to any new information submitted during the written submission period and the record then is closed. The administrative law judge prepares a report within 30 days, stating findings of fact, conclusions and recommendations. After receiving the report, the agency decides whether to adopt, withdraw or modify the proposed rule based on consideration of the comments made during the rule hearing procedure and the report of the administrative law judge. The agency must wait five days after receiving the report before taking any action.

**Rules to be Adopted Without a Hearing.** Pursuant to *Minnesota Statutes* § 14.22, an agency may propose to adopt, amend, suspend or repeal rules without first holding a public hearing. An agency must first solicit **Comments on Planned Rules** or **Comments on Planned Rule Amendments** from the public. The agency then publishes a notice of intent to adopt rules without a public hearing, together with the proposed rules, in the *State Register*. If, during the 30-day comment period, 25 or more persons submit to the agency a written request for a hearing of the proposed rules, the agency must proceed under the provisions of §§ 14.14-14.20, which state that if an agency decides to hold a public hearing, it must publish a notice of intent in the *State Register*.

**KEY: Proposed Rules** - Underlining indicates additions to existing rule language. ~~Strikeouts~~ indicate deletions from existing rule language. If a proposed rule is totally new, it is designated "all new material." **Adopted Rules** - Underlining indicates additions to proposed rule language. ~~Strikeout~~ indicates deletions from proposed rule language.

## Minnesota Racing Commission MRC)

### Proposed Permanent Rules Relating to Horse Racing; Definitions; Televised Racing Days; Races; Pari-mutuel; Facilities and Equipment; Class C Licenses; Security Officers; Harness Races

#### NOTICE OF INTENT TO ADOPT RULES WITHOUT A PUBLIC HEARING

#### Proposed Amendments to Rules Governing Horse Racing, Minnesota Rules, 7869 Definitions; 7871 Televised Racing Days; 7873 Pari-Mutuel Rules; 7875 Facilities & Equipment; 7877 Class C Licenses; 7878 Security Officers; 7883 TB/QH Horse Races; and 7884 Harness Races

**Introduction.** The Minnesota Racing Commission intends to adopt rules without a public hearing following the procedures in the rules of the Office of Administrative Hearings, *Minnesota Rules*, parts 1400.2300 to 1400.2310, and the Administrative Procedure Act, *Minnesota Statutes*, sections 14.22 to 14.28. You may submit written comments on the proposed rules and may also submit a written request that a hearing be held on the rules until May 20, 2015.

**Agency Contact Person.** You must submit comments or questions on the rules and written requests for a public hearing to the agency contact person. The agency contact person is: Marlene Swanson at Minnesota Racing Commission, P. O. Box 630, Shakopee, MN 55379, **phone:** (952) 496-7950, **fax:** (952) 496-7954, and **e-mail:** [marlene.swanson@state.mn.us](mailto:marlene.swanson@state.mn.us). **TTY** users may call the Racing Commission at 800-627-3529.

**Subject of Rules and Statutory Authority.** This rule packet covers a wide variety of subjects and was proposed by staff, the Jockey's Guild, the racetrack associations, and the horsemen's groups. Many of the changes are housekeeping functions to reflect changes in terminology, minor procedural changes, and uniformity within the rules (7869.0100, 7871.0080, and 7877.0120). The more substantive rule changes can be summarized as follows:

The changes to chapter 7873 are changes to pari-mutuel betting. The purpose is to simplify and unify rules on different types of wagers. It would also allow the tracks to offer wagering opportunities that would provide variety and remain competitive with other jurisdictions.

7875.0100, 7877.0120, 7877.0170, and 7883.0170 all relate to the rights and responsibilities of jockeys and their agents and the safety of jockeys.

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78773.0130 subp. 3(D) expands on the requirement that trainers show proof of workers' compensation insurance at the time of licensing. The proposed language would require the MRC be named a certificate holder to be notified in case of a change in coverage.

7877.0110, the proposed change removes an antiquated requirement for association security personnel and a conflict with the ADA laws.

The remaining changes to 7883 are changes to the rules of racing. It better defines when ownership changes hands in claiming races and who is responsible for what should issues arise pertaining to a claimed horse.

7884 is the chapter on harness racing. The changes under this chapter are housekeeping in nature (using the correct terminology), providing safety for the drivers by requiring certain equipment, and safety for the drivers and public by changes in driving rules, providing safety for the horses with changes to the Qualifying rules, and defining rights and responsibilities with regard to claimed horses.

The statutory authority to adopt the rules is *Minnesota Statutes*, section 240.03, 240.13, and section 240.23. A copy of the proposed rules is published in the *State Register* and attached to this notice as mailed.

**Comments.** You have until 4:30 p.m. on Wednesday, May 20, 2015, to submit written comment in support of or in opposition to the proposed rules and any part or subpart of the rules. Your comment must be in writing and the agency contact person must receive it by the due date. The Commission encourages comment. Your comment should identify the portion of the proposed rules addressed and the reason for the comment. You are encouraged to propose any change desired. You must also make any comments about the legality of the proposed rules during this comment period.

**Request for a Hearing.** In addition to submitting comments, you may also request that the Commission hold a hearing on the rules. Your request must be in writing and the agency contact person must receive it by 4:30 p.m. on Wednesday, May 20, 2015. Your written request for a public hearing must include your name and address. You must identify the portion of the proposed rules that you object to or state that you oppose the entire set of rules. Any request that does not comply with these requirements is not valid and the agency cannot count it when determining whether it must hold a public hearing. You are also encouraged to state the reason for the request and any changes you want made to the proposed rules.

**Withdrawal of Requests.** If 25 or more persons submit a valid written request for a hearing, the Commission will hold a public hearing unless a sufficient number withdraw their requests in writing. If enough requests for hearing are withdrawn to reduce the number below 25, the agency must give written notice of this to all persons who requested a hearing, explain the actions the agency took to effect the withdrawal, and ask for written comments on this action. If a public hearing is required, the agency will follow the procedures in *Minnesota Statutes*, sections 14.131 to 14.20.

**Alternative Format.** Upon request, this information can be made available in an alternative format, such as large print, braille, or audio. To make such a request, please contact the agency contact person at the address or telephone number listed above.

**Modifications.** The Commission may modify the proposed rules as a result of public comment. The modifications must be supported by comments and information submitted to the agency, and the adopted rules may not be substantially different than these proposed rules, unless the agency follows the procedure under *Minnesota Rules*, part 1400.2110. If the proposed rules affect you in any way, the Commission encourages you to participate in the rulemaking process.

**Statement of Need and Reasonableness.** The statement of need and reasonableness contains a summary of the justification for the proposed rules, including a description of who will be affected by the proposed rules and an estimate of the probable cost of the proposed rules. It is now available from the agency contact person. You may review it or obtain copies for the cost of reproduction by contacting the agency contact person. The statement of need and reasonableness may be viewed on our web site at [www.mrc.state.mn.us](http://www.mrc.state.mn.us).

**Lobbyist Registration.** *Minnesota Statutes*, chapter 10A, requires each lobbyist to register with the State Campaign Finance and Public Disclosure Board. You should direct questions about this requirement to the Campaign Finance and Public Disclosure Board at: Suite 190, Centennial Building, 658 Cedar Street, St. Paul, Minnesota 55155, **telephone:** (651) 296-5148 or 1-800-657-3889.

**Adoption and Review of Rules.** If no hearing is required, the agency may adopt the rules after the end of the comment period. The agency will then submit the rules and supporting documents to the Office of Administrative Hearings for review for legality. You may ask

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# Proposed Rules

to be notified of the date the Commission submits the rules to the office. If you want to be so notified, or want to receive a copy of the adopted rules, or want to register with the agency to receive notice of future rule proceedings, submit your request to the agency contact person listed above.

Dated: 9 April 2015

Joe Scurto, Deputy Director  
Minnesota Racing Commission

## 7869.0100 DEFINITIONS.

[For text of subps 1 to 24, see M.R.]

Subp. 25. **Equipment.** "Equipment," as applied to a horse, means whips, riding crops, blinkers, tongue straps, muzzles, hoods, nasal strips, nose bands, shadow rolls, martingales, breast plates, bandages, boots, plates or toe grabs (shoes), sulkies, head poles, safety reins, cornell collars, and all other paraphernalia that is or might be used on or attached to a horse while racing.

[For text of subps 26 to 69, see M.R.]

## 7871.0080 TIP SHEETS.

Subpart 1. **Number of tip sheets.** Should the licensee elect to allow the availability of tip sheets, at least one independently handicapped tip sheet shall be available at a racetrack. Each handicapper must sign and deliver the sheet or submit an electronic version at least one hour before post time for the first race to the presiding official Racing Commission office at the licensee's racetrack.

[For text of subps 2 and 3, see M.R.]

## 7873.0188 SUPERFECTA.

[For text of subps 1 and 2, see M.R.]

Subp. 2a. **Ticket is evidence of binding contract.** A superfecta ticket shall be evidence of a binding contract between the holder of the ticket and the racing association and the ticket shall constitute an acceptance of the superfecta wager provisions and rules.

[For text of subps 3 to 8, see M.R.]

## 7873.0189 PENTAFECTA.

Subpart 1. **Scope.** The pentafecta is a form of pari-mutuel wagering combining five horses in a single race. Each bettor selects horses that will finish in exact order, first, second, third, fourth, and fifth, in a designated pentafecta race. Payment of the ticket must be made only to the purchasers who have selected the exact order of finish as officially posted, except as otherwise noted. All pentafecta wagers are calculated on a separate pentafecta pool, with no relation to any other pool.

Subp. 2. **Price of tickets.** Pentafecta tickets must be sold singly in not less than ten cent denominations.

Subp. 3. **Ticket is evidence of binding contract.** A pentafecta ticket shall be evidence of a binding contract between the holder of the ticket and the racing association and the ticket shall constitute an acceptance of the pentafecta wager provisions and rules.

Subp. 4. **Scratches.** If a horse is scratched or declared a nonstarter, no further pentafecta tickets may be issued designating the horse and all previously issued pentafecta tickets that include the scratched horse must be refunded at any time and the money deducted from the gross pool.

Subp. 5. **Pentafecta wager may be given a distinctive name.** A pentafecta wager may be given a distinctive name to be selected by the association conducting these races, subject to the approval of the commission.

Subp. 6. **Failure to select winning combination.** Items A to F govern payoffs in races where the winning combination has not been selected.

A. If no ticket is sold correctly selecting the first five finishers, "X" percent of the net pool shall be distributed to those pentafecta tickets selecting the horses finishing first, second, third, and fourth. The remaining "Y" percent of the net pool shall be carried over to the succeeding pentafecta race.

B. If no ticket is sold correctly selecting the first four finishers, "X" percent of the net pool shall be distributed to those pentafecta tickets selecting the horses finishing first, second, and third. The remaining "Y" percent of the net pool shall be carried over to the

# Proposed Rules

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succeeding pentafecta race.

C. If no ticket is sold correctly selecting the first three finishers, “X” percent of the net pool shall be distributed to those pentafecta tickets selecting the horses finishing first and second. The remaining “Y” percent of the net pool shall be carried over to the succeeding pentafecta race.

D. If no ticket is sold correctly selecting the first two finishers, “X” percent of the net pool shall be distributed to those pentafecta tickets selecting the horse finishing first. The remaining “Y” percent of the net pool shall be carried over to the succeeding pentafecta race.

E. If no ticket is sold that would require distribution of the net pentafecta pool as described in items A to D, 100 percent of the net pool shall be carried over to the succeeding pentafecta race if the association is using a carryover provision. If the association is not using a carryover provision, all pentafecta tickets shall be refunded.

F. If less than five horses finish a pentafecta race, payoffs shall be made on tickets selecting the actual finishing horses in order, ignoring the balance of the selection.

**Subp. 7. Requirement to designate carryover percentages.** The association, in its pari-mutuel pools request, shall outline percentages to be used for “X” and “Y” in subpart 6. The association can choose to eliminate the carryover component of this wager by submitting “100 percent” for “X” and “0 percent” for “Y” in its pari-mutuel pools request.

**Subp. 8. Mandatory payout.** On the final pentafecta wager of the meet, items A to F govern payoffs in races where the winning combination has not been selected.

A. If no ticket is sold correctly selecting the first five finishers, 100 percent of the net pool shall be distributed to those pentafecta tickets selecting the horses finishing first, second, third, and fourth.

B. If no ticket is sold correctly selecting the first four finishers, 100 percent of the net pool shall be distributed to those pentafecta tickets selecting the horses finishing first, second, and third.

C. If no ticket is sold correctly selecting the first three finishers, 100 percent of the net pool shall be distributed to those pentafecta tickets selecting the horses finishing first and second.

D. If no ticket is sold correctly selecting the first two finishers, 100 percent of the net pool shall be distributed to those pentafecta tickets selecting the horse finishing first.

E. If no ticket is sold that would require distribution of the net pentafecta pool as described in items A to D, a full refund of all pentafecta tickets must be made.

F. If less than five horses finish a pentafecta race, payoff must be made on tickets selecting the actual finishing horses in order, ignoring the balance of the selection.

**Subp. 9. Cancellation of pentafecta race.** If a pentafecta race is canceled, a full refund of all pentafecta tickets must be made.

**Subp. 10. Cancellation of the final pentafecta race of a meet.** If, for any reason, the day of racing is canceled or the pentafecta pool has not been distributed, the pool shall be escrowed by the association, and the pool, as well as all accrued interest, shall be carried over and included in the pentafecta pool for the next succeeding racing date as an additional net amount to be distributed.

**Subp. 11. Dead heats.** Items A to G govern payoffs in dead heats.

A. In the event of a dead heat for first, the winning combinations must be the horses finishing in the dead heat for first and the horses finishing third, fourth, and fifth.

B. In the event of a dead heat for second, the winning combinations must be the horse finishing first, the horses finishing in the dead heat for second, and the horses finishing fourth and fifth.

C. In the event of a dead heat for third, the winning combinations must be the horse finishing first, the horse finishing second, the



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horses finishing in the dead heat for third, and the horse finishing fifth.

D. In the event of a dead heat for fourth, the winning combinations must be the horse finishing first, the horse finishing second, the horse finishing third, and the horses finishing in the dead heat for fourth.

E. In the event of a dead heat for fifth, the winning combinations must be the horse finishing first, the horse finishing second, the horse finishing third, the horse finishing fourth, and the horses finishing in the dead heat for fifth.

F. In the event of a dead heat for first, second, third, fourth, or fifth where there is no winning ticket on the one dead heat combination, the entire pool must be paid to the other winning combination.

G. In all instances of multiple dead heats, the winning combinations must be paid proportionately from the net pentafecta pool.

Subp. 12. **Restrictions on pentafecta races.** In no event shall pentafecta wagering be allowed with fewer than six betting interests. If for any reason pentafecta wagering is canceled, all pentafecta wagers must be refunded.

Subp. 13. **Displaying pentafecta rules.** Pentafecta rules must be prominently displayed at each track conducting pentafecta wagering. Printed copies of pentafecta rules must be provided to patrons upon request.

## **7873.0196 PICK (N) WAGERS.**

Subpart 1. **Scope.** Pick (n) wagers requires selection of the first place finisher in each of a designated number of contests ranging from as few as three contests to as many as 16 contests. All contests subject to a specific Pick (n) wager must be held on a single racing day.

Subp. 2. **Price of tickets.** Pick (n) wager tickets must be sold singly in not less than ten cent denominations.

Subp. 3. **Ticket is evidence of binding contract.** A Pick (n) wager ticket shall be evidence of a binding contract between the holder of the ticket and the racing association and the ticket shall constitute an acceptance of the Pick (n) wager provisions and rules.

Subp. 4. **Pick (n) wagers may be given a specific name.** Any Pick (n) wager may be given a distinctive name to be selected by the association conducting these races, subject to the approval of the commission.

Subp. 5. **Specific requirements for commission approval.** In order to be considered for approval, the association must specifically outline each type of Pick (n) wager to be offered within its pari-mutuel pools request. The pari-mutuel pools request must contain each of the following for every Pick (n) wager that association desires to offer:

A. the number of contests the Pick (n) wager will comprise;

B. any specific name the association wishes to give the wager as allowed in subpart 3; and

C. a designation of one of the methods of payment outlined within subpart 5, including a description of relevant percentages chosen by the association that are specific to the chosen method of calculation.

Subp. 6. **Pick (n) pool payout methods.** Pick (n) pools shall be paid under one of the following methods.

A. Method 1, Pick (n) with carryover: The net Pick (n) pool and carryover, if any, shall be distributed as a single-price pool to those who selected the first place finisher in each of the Pick (n) contests, based upon the official order of finish. If there are no such wagers, a designated percentage of the net pool shall be distributed as a single-price pool to those who selected the first place finisher in the greatest number of Pick (n) contests and the remainder shall be added to the carryover.

B. Method 2, Pick (n) with 100 percent carryover: The net Pick (n) pool and carryover, if any, shall be distributed as a single-price pool to those who selected the first place finisher in each of the Pick (n) contests, based upon the official order of finish. If there are no such wagers, 100 percent of that day's pool shall be added to the carryover.

C. Method 3, Pick (n) with minor pool and carryover: The major share of the net Pick (n) pool and the carryover, if any, shall be distributed to those who selected the first place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the second greatest number of Pick (n)

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contests, based upon the official order of finish. If there are no wagers selecting the first place finisher of all Pick (n) contests, the minor share of the net Pick (n) pool shall be distributed as a single-price pool to those who selected the first place finisher in the greatest number of Pick (n) contests and the major share shall be added to the carryover.

D. Method 4, Pick (n) with no minor pool and no carryover: The net Pick (n) pool shall be distributed as a single-price pool to those who selected the first place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. If there are no winning wagers, the pool is refunded.

E. Method 5, Pick (n) with minor pool and no carryover: The major share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first place finisher in the second greatest number of Pick (n) contests, the minor share of the net Pick (n) pool shall be combined with the major share for distribution as a single-price pool to those who selected the first place finisher in the greatest number of Pick (n) contests. If the greatest number of first place finishers selected is one, the major and minor shares are combined for distribution as a single-price pool. If there are no winning wagers, the pool is refunded.

F. Method 6, Pick (n) with minor pool and no carryover: The major share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first place finisher in all Pick (n) contests, the entire net Pick (n) pool shall be distributed as a single-price pool to those who selected the first place finisher in the greatest number of Pick (n) contests. If there are no wagers selecting the first place finisher in the second greatest number of Pick (n) contests, the minor share of the net Pick (n) pool shall be combined with the major share for distribution as a single-price pool to those who selected the first place finisher in each of the Pick (n) contests. If there are no winning wagers, the pool is refunded.

G. Method 7, Pick (n) with major and minor pool, jackpot pool, and jackpot carryover: Predetermined percentages of the net Pick (n) pool shall be set aside for a major pool, minor pool, and jackpot pool. The major share of the Pick (n) pool shall be distributed to those who selected the first place finisher in each of the Pick (n) contests, based on the official order of finish. If there are no tickets selecting the first place finisher in each of the Pick (n) contests, the major net pool shall be added to the jackpot carryover. If there is only one single ticket selecting the first place finisher of each of the Pick (n) contests, based on the official order of finish, the jackpot share of the net Pick (n) pool and the jackpot carryover, if any, shall be distributed to the holder of that single ticket, along with the major net pool. If more than one ticket selects the first place finisher of each of the Pick (n) contests, the jackpot net pool shall be added to the jackpot carryover. The minor share of the net Pick (n) pool shall be distributed to those who selected the first place finisher of the second greatest number of Pick (n) contests, based on the official order of finish. If there are no wagers selecting the first place finisher of all Pick (n) contests, the minor net pool of the Pick (n) pool shall be distributed as a single-price pool to those who selected the first place finisher of the greatest number of Pick (n) contests.

Subp. 7. **Mandatory payouts.** On each respective final Pick (n) of the meet, all pools and carryovers shall be paid out in full as prescribed in subpart 6, item E. For purposes of mandatory payouts, jackpot pools and jackpot carryovers shall be considered part of the major pool.

Subp. 8. **Cancellation of the final Pick (n) of the meet.** If, for any reason, the final day of racing is canceled or any Pick (n) pool or carryover has not been distributed at the close of the meet, the pool or carryover shall be escrowed by the association, and the pool, as well as all accrued interest, shall be carried over and included in the appropriate Pick (n) pool for the next succeeding racing date as an additional net amount to be distributed.

Subp. 9. **Cancellation of races comprising Pick (n).** The Pick (n) pool shall be canceled and all Pick (n) wagers for the individual performance shall be refunded if:

- A. at least two contests included as part of Pick 3 are canceled and declared “no contest”;
- B. at least three contests included as part of a Pick 4, Pick 5, or Pick 6 are canceled or declared “no contest”;
- C. at least four contests included as part of a Pick 7, Pick 8, or Pick 9 are canceled or declared “no contest”; or

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D. at least five contests included as part of a Pick 10, Pick 11, Pick 12, Pick 13, Pick 14, Pick 15, or Pick 16 are canceled or declared “no contest.”

If at least one contest included as part of a Pick (n) is canceled or declared “no contest,” but not more than the number specified within this subpart, the net pool shall be distributed as a single-price pool to those whose selections finished first in the greatest number of Pick (n) contests for that performance. The distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.

Subp. 10. **Actual favorite substituted for scratched horse.** In the event a Pick (n) ticket designates a selection in any one or more of the races comprising the Pick (n) and that selection is scratched, excused, or determined by the stewards to be a nonstarter in the race, the actual favorite, as evidenced by the amounts wagered in the win pool at the time of the start of the race, will be substituted for the nonstarting selection for all purposes, including pool calculations and payoffs.

The association may refund Pick (n) tickets that include the scratched selection prior to the beginning of wagering for the first race comprising the Pick 3 races.

Subp. 11. **Dead heats.** In the event of a dead heat for win between two or more horses in any Pick (n) race, all the horses in the dead heat for win shall be considered winning horses in the race for the purpose of calculating the pool.

Subp. 12. **Change of surface after Pick (n) sequence begins.** If the condition of the course warrants a change of racing surface in any of the legs of the Pick (n) races, and the change was not known to the public before the close of wagering for the Pick (n) pool, the stewards shall declare the changed legs an “All-Win” for Pick (n) wagering purposes only.

Subp. 13. **Association may contribute or guarantee pools.** With the approval of the commission, the association may guarantee a pool in any Pick (n) race. If the total pool doesn’t meet the guaranteed sum, the association must add the difference to the guaranteed pool.

Subp. 14. **No Pick (n) ticket to be exchanged or canceled.** No pari-mutuel ticket for a Pick (n) pool shall be sold, exchanged, or canceled after the time of the closing of wagering in the first of the races comprising that Pick (n), except for refunds on Pick (n) tickets as required by subpart 6.

Subp. 15. **Announcement of payoff prices.** After the second to last race comprising the Pick (n) has been declared official, an association may post possible payoff prices to the public before the start of the final race of the Pick (n).

Subp. 16. **Suspension of Pick (n) pool or separate Pick (n) pool.** The association may suspend previously approved Pick (n) wagering with the prior approval of the commission. Any carryover shall be held until the suspended Pick (n) wagering is reinstated. An association may request approval of a Pick (n) wager or separate wagering pool for specific performances.

### **7875.0100 FACILITIES.**

[For text of subs 1 to 5, see M.R.]

#### **Subp. 6. Jockey’s and driver’s rooms.**

A. The use of public, portable, or cellular telephones, or transmitters, or other electronic communications devices is prohibited in the jockey’s and driver’s room for one-half hour prior to the first post and until the last race is official unless authorized limited use has been approved by the stewards.

[For text of item B, see M.R.]

#### **Subp. 7. Posting of jockey insurance coverage.**

A. An association shall have on file with the commission a copy of the actual policy and post in the jockeys’ quarters a summary of the association’s insurance coverage for jockeys who are injured while on the grounds of the association and shall, upon the request of any licensed jockey who is participating in the race meeting, provide a copy of the policy of such insurance. Requests shall be made in writing to a racing official designated by the association in the notice to respond to such requests.

B. In the event that the insurance policy is changed during the race meeting, the association shall promptly notify the commission and post a notice of any changes.

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## 7877.0120 FEES.

[For text of subp 1, see M.R.]

Subp. 2. **Fingerprinting and licensing reciprocity.** The commission shall license persons holding valid permanent licenses issued by other racing jurisdictions in North America if the persons meet the criteria specified in this subpart. The licensee must be in good standing, have cleared a Federal Bureau of Investigation (FBI) or Royal Canadian Mounted Police (RCMP) fingerprint check within the previous 60 months, file an application and/or affidavit as may be required by the commission, and pay the required applicable fees before participating in racing.

[For text of items A to F, see M.R.]

G. In the event the licensee is absent from Minnesota, and upon payment of the applicable fees, a receipt shall be mailed to the licensee's permanent address. The receipt may then be presented at the commission office by the licensee so that a commission representative may affix the proper validation sticker to the racing license badge. Any horse owner who does not make application in person must meet all requirements of this subpart, except that the owner may file a completed fingerprint card taken by a law enforcement agency.

All reference to fingerprinting in this subpart ~~does not apply to applications submitted by persons under the age of 18 or over the age of 70~~ applies to applications submitted by persons age 18 to 70 years.

Subp. 3. **Jockey mount fees.** Except as otherwise specially agreed by the parties, the fees to be paid jockeys shall be according to at least in an amount set forth in the following fee scale:

<b>Purse</b>	<b>Winning Mount</b>	<b>Second Mount</b>	<b>Third Mount</b>	<b>Other Mounts</b>
\$599 and Under	\$ 33	\$ 33	\$ 33	\$ 33
600-699	36	33	33	33
700-999	10% of win purse	33	33	33
1,000-1,499	10% of win purse	33	33	33
1,500-1,999	10% of win purse	35	33	33
2,000-3,499	10% of win purse	45	40	38
3,500-4,999	10% of win purse	55	45	40
5,000-9,999	10% of win purse	65	50	45
10,000-14,999	10% of win purse	5% of place purse	5% of show purse	50
15,000-24,999	10% of win purse	5% of place purse	5% of show purse	55
25,000-49,999	10% of win purse	5% of place purse	5% of show purse	65
50,000-99,999	10% of win purse	5% of place purse	5% of show purse	80
100,000 and up	10% of win purse	5% of place purse	5% of show purse	105
\$0-12,499	10% of win purse	\$ 125	\$ 100	\$ 75
\$12,500-19,999	10% of win purse	5% of place purse	\$ 125	\$ 75
\$20,000-49,999	10% of win purse	5% of place purse	5% of show purse	\$ 75
\$50,000-99,999	10% of win purse	5% of place purse	5% of show purse	\$ 85
\$100,000 and up	10% of win purse	5% of place purse	5% of show purse	\$ 105

For the purpose of this subpart, "purse" includes purse supplement money from the Minnesota Breeders' Fund pursuant to parts 7895.0110, subpart 4, item A, and 7895.0300, subpart 6.

[For text of subp 4, see M.R.]

## 7877.0130 STANDARDS REQUIRED OF APPLICANTS FOR SPECIFIC LICENSES.

[For text of subps 1 and 2, see M.R.]

Subp. 3. **Trainers and assistant trainers.** Applicants for a trainer's or assistant trainer's license must comply with the following requirements.

[For text of items A to C, see M.R.]

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D. An applicant for a trainer's license shall provide proof of having complied with the workers' compensation laws; and maintain all applicable policies and requirements with Minnesota Statutes, chapter 176, and all pertinent rules adopted thereunder. The Minnesota Racing Commission shall be named as a certificate holder with the insurance company providing the workers' compensation coverage. The commission, as a certificate holder, must be notified of any changes in the policy within a reasonable time, not to exceed 30 days from the date of the policy change.

[For text of subps 4 to 16, see M.R.]

### 7877.0170 DUTIES AND RESPONSIBILITIES OF CLASS C LICENSEES.

[For text of subps 1 to 2a, see M.R.]

Subp. 3. **Jockeys and apprentice jockeys.** Jockeys and apprentice jockeys shall have the following responsibilities.

A. An apprentice jockey must ride with a five-pound weight allowance beginning with his or her first mount and for one full year thereafter, unless the jockey is riding in stakes races, handicap races, or substituting for a journeyman jockey who is unable to fulfill a riding engagement. If after one full year from the date of his or her fifth winning mount the apprentice jockey has failed to ride 40 winners, he or she shall continue to ride with a five-pound weight allowance for up to two years from the date of his or her fifth winning mount or until he or she has ridden a total of 40 winners, whichever comes first. Apprentice allowances may be waived with the stewards' permission at the time of entry by the trainer or the trainer's designee. If an apprentice jockey is unable to ride for a period of 14 consecutive days or more after the date of the jockey's fifth winning mount because of service in the United States armed forces, enrollment in an institution of secondary or postsecondary education, or because of physical disability, the commission may extend the time during which the apprentice weight allowance may be claimed for a period not to exceed the period the apprentice jockey was unable to ride.

[For text of items B to F, see M.R.]

G. ~~The jockey's weight shall include his or her clothing, boots, saddle, and saddle attachments. The jockey's weight excludes the helmet and safety vest.~~

(1) A jockey's weight shall include clothing, boots, saddle and its attachments, and any other equipment except the bridle, bit, blinkers, goggles, number cloth, and safety equipment including helmet, vest, overgirth, reins, and breast collar.

(2) Upon the steward's approval, jockeys may be allowed up to three pounds more than published weights to account for inclement weather clothing and equipment.

[For text of items H to L, see M.R.]

M. A jockey must wear a protective helmet with a buckled chin strap while mounted upon any horse at a licensed racetrack. ~~A jockey must wear a safety vest when riding in any official race. The safety vest shall weigh no more than two pounds and be designed to provide shock absorbing protection to the upper body of at least a rating of five, as defined by the British Equestrian Trade Association. A jockey must wear a safety vest when riding in any official race. The safety vest must comply with one of the following minimum standards or later revisions:~~

(1) British Equestrian Trade Association (BETA):2000 Level 1;

(2) Euro Norm (EN) 13158:2000 Level 1;

(3) American Society for Testing and Materials (ASTM) F2681-08 or F1937;

(4) Shoe and Allied Trade Research Association (SATRA) Jockey Vest Document M6 Issue 3; or

(5) Australian Racing Board (ARB) Standard 1, 1998.

[For text of items N and O, see M.R.]

P. A jockey must unsaddle his or her own horse before weighing in; ~~and shall weigh in with the equipment with which he or she weighed out.~~

(1) A jockey shall weigh in at no less than the same weight at which the jockey weighed out, and if under that weight, and after consideration of mitigating circumstances by the board of stewards, the jockey's mount may be disqualified from any portion of the purse money.

(2) In the event of a disqualification, all money wagered on the horse shall be refunded unless the race has been declared official.

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(3) A jockey's weight shall include clothing, boots, saddle and its attachments, and any other equipment except the bridle, bit, blinkers, number cloth, overgirth, reins, and breast collar.

(4) Upon approval of the stewards, the jockeys may be allowed up to three pounds more than published weights to account for inclement weather clothing and equipment.

(5) The postrace weight of jockeys includes any sweat, dirt, and mud that have accumulated on the jockey, and the jockey's clothing, safety equipment, and overgirth. This accounts for additional weight, depending on specific equipment, as well as weather, track, and racing conditions.

[For text of items Q to W, see M.R.]

X. No jockey shall willfully or purposely touch, strike, or jostle another jockey or horse, either with hands or ~~whip~~ riding crop, from the time they leave the paddock until after dismounting from a race.

Y. A jockey who is suspended for less than ten days for a riding infraction may be allowed to ride in a stakes or trial race that was designated by the stewards prior to the start of the race season. Permission to race will be granted at the stewards' discretion, based on the circumstances of the suspension.

[For text of subps 4 to 6, see M.R.]

Subp. 7. **Jockey's agent.** A jockey's agent shall keep a written record of all engagements made for jockeys he or she represents. The record shall be accurate and up-to-date, and shall be available at all times for inspection by the stewards.

No jockey agent shall be permitted to contract riding engagements for more than two jockeys and one apprentice jockey. No agent may arrange more than two calls for a jockey in any race and ~~shall designate one of the engagements~~ the first engagement shall be designated as a the first call and the other as a the second call. The jockey would have to fulfill the contract with the first call unless the horse is unable to start.

A jockey's agent shall be in the racing secretary's office, or shall check in with the racing secretary's office, at scratch time to confirm a jockey's commitments for the day's program.

A jockey's agent shall notify the stewards in writing if he or she no longer intends to serve as agent for any jockey. When so notifying the stewards, the agent also shall turn over to the stewards a list of any unfulfilled engagements that he or she has made for the jockey.

[For text of subps 8 to 10, see M.R.]

## **7878.0110 MINIMUM SELECTION STANDARDS.**

Subpart 1. **Applicant must meet selection standards.** A person eligible to be licensed by the commission as a security officer must be an employee of a Class A, B, or D licensee and shall meet the following minimum selection standards prior to being appointed a security officer. The appointing authority may certify that the applicant has already completed certain of these standards and provide documentation to that effect pursuant to subpart 2. In all cases, the security officer must:

~~A.~~ be a citizen of the United States;

~~B.~~ complete a comprehensive written Minnesota Racing Commission license application;

~~C.~~ submit to a thorough background search by the A&GED to disclose the existence of any criminal record or conduct which would adversely affect the performance of the security officer's duties;

~~D.~~ not have been convicted of a felony in Minnesota (or in any other state or federal jurisdiction which would have been a felony if committed in Minnesota), or criminal theft, or a pari-mutuel horse racing or gambling crime;

~~E.~~ provide fingerprint cards to the commission; and

~~F.~~ undergo a thorough medical examination conducted by a licensed physician to determine that the individual is free from any physical defect which might adversely affect his or her performance as a security officer; and

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~~G. E.~~ pass an oral examination conducted by the appointing authority to demonstrate the existence of communication skills necessary to perform the duties and functions of a security officer.

[For text of subp 2, see M.R.]

## 7883.0100 ENTRIES AND SUBSCRIPTIONS.

[For text of subs 1 to 6, see M.R.]

Subp. 7. **Coupled entries.** Except in stakes races and races which are conditioned for horses eligible for specified stakes, not more than two horses of the same licensed ownership or interest shall be entered and started in a race.

A. No trainer shall enter more than ~~two~~ four horses in an overnight event except in split races.

[For text of item B, see M.R.]

[For text of subs 8 to 18, see M.R.]

## 7883.0140 CLAIMING RACES.

[For text of subs 1 to 7, see M.R.]

Subp. 8. **Voided claims.** If a claim is voided by the stewards, the horse claimed shall be returned to the original owner who, in turn, shall refund all claim money to the unsuccessful claimant.

A. A claim made on a horse that suffers a fatality during the running of the race or is euthanized for a disarticulated joint, compound comminuted fracture, or fracture of the skull, spine, or pelvis, any of which occurred during or directly after the race, is automatically voided unless, prior to the race in which the horse is claimed, the claimant had elected to claim the horse regardless of the disposition of the horse.

B. An election made in item A shall be entered on the claim form in accordance with claiming rules.

[For text of subs 9 to 15, see M.R.]

Subp. 16. **Title to claimed horse.** Title to a horse which is claimed shall be vested in the successful claimant ~~from the time the horse has entered the race course for the race in which the horse is scheduled to run, unless the race is canceled or the horse is excused by the stewards. The claimant shall then become the owner of the horse whether it be alive or dead, sound or unsound, or injured during the race or after it; at the time the field has been dispatched from the starting gate and the horse becomes a starter, and the successful claimant becomes the owner of the horse whether it is sound or unsound, or injured during the race or after it, except as specified in subpart 8, item A. Only a horse that is officially a starter in the race may be claimed. A subsequent disqualification of the horse by order of the stewards or the commission shall have no effect upon the claim.~~

[For text of subp 17, see M.R.]

Subp. 18. [See repealer.]

Subp. 19. **Delivery and responsibility for postrace analysis.** A trainer whose horse has been claimed ~~and is designated for postrace testing remains is~~ responsible for the horse until after collection of the blood and/or urine specimens at the detention barn where delivery shall be made to the successful claimant.

[For text of subs 20 to 23, see M.R.]

Subp. 24. [See repealer.]

Subp. 25. [See repealer.]

[For text of subs 26 to 29, see M.R.]

Subp. 30. [See repealer.]

Subp. 31. **Waiver claiming rule.** At the time of entry into a claiming race, the owner may opt to declare a horse ineligible to be claimed provided:

A. the horse has been laid off and has not started for a minimum of 120 days since its last race;

B. the horse's last race as an official starter was a claiming race in which the horse was eligible to be claimed; and

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C. the horse is entered for a claiming price equal to or greater than the price at which it last started.

Failure to declare the horse ineligible at the time of entry may not be remedied and the ineligibility shall apply only to the first start following each such layoff.

## 7883.0160 POST TO FINISH.

[For text of subps 1 to 5, see M.R.]

Subp. 6. **Interference and willful fouling.** The following rules shall apply with respect to the running of a race.

[For text of items A and B, see M.R.]

C. During a race no jockey shall willfully or carelessly strike or touch another jockey or another jockey's horse or equipment with the effect of interfering with that horse or jockey, nor shall a jockey strike the jockey's horse on or about the head area. A jockey shall use a whip riding crop in a manner consistent with using the jockey's best efforts to win. A jockey must not use the whip riding crop indiscriminately. A jockey must not strike a horse more than three consecutive times without pausing to only push on the horse giving it a chance to respond before using the riding crop again. Jockeys are prohibited from whipping striking a horse:

- (1) on the head, flanks, or on any part of its body other than the shoulders or hind quarters;
- (2) during the post parade except when necessary to control the horse;
- (3) excessively or brutally causing welts or breaks in the skin;
- (4) when the horse is clearly out of the race or has obtained its maximum placing;
- (5) persistently even though the horse is showing no response under the whip riding crop; or
- (6) after the race.

Correct uses of the whip riding crop are:

- (a) showing horses the whip riding crop before hitting them;
- (b) using the whip riding crop in rhythm with the horse's stride; and
- (c) using the whip riding crop as an aid to maintain a horse running straight.

[For text of items D and E, see M.R.]

[For text of subps 7 to 14, see M.R.]

## 7883.0170 RACING EQUIPMENT.

A. Equipment.

- (1) No bridle shall weigh more than two pounds.
- (2) All riding crops are subject to inspection and approval by the stewards and the clerk of scales.
  - (a) Riding crops shall have a shaft and a flap and will be allowed in flat racing including training, only as follows:
    - i. maximum weight of eight ounces;
    - ii. maximum length, including flap, of 30 inches;
    - iii. minimum diameter of the shaft of ~~one-half~~ three-eighths inch; and

iv. shaft contact area must be smooth, with no protrusions or raised surface, and covered by shock-absorbing material that gives a compression factor of at least one millimeter throughout its circumference.

[For text of unit (b), see M.R.]

[For text of subitem (3), see M.R.]



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# Proposed Rules

[For text of item B, see M.R.]

## 7884.0170 SCRATCHES.

Subpart 1. **Stewards Judges to approve scratches.** A horse entered to race shall not be scratched from a race without permission of the stewards judges.

[For text of subp 2, see M.R.]

Subp. 3. **On advice of veterinarian.** A horse scratched by the stewards judges on the advice of the commission veterinarian or the association veterinarian shall not be allowed to enter race for a minimum of five days as determined by part 7877.0175, subpart 8, or until approved for entry by the commission veterinarian or the association veterinarian.

Subp. 4. Scratched as unsound. Any horse that is scratched by the judges as unsound must compete in a qualifying race prior to reentry.

## 7884.0190 QUALIFYING RACES.

Subpart 1. **Scheduling of qualifying races.** Weather conditions permitting, qualifying races shall be scheduled at least once a week if the meet extends longer than two weeks and shall be conducted under the supervision of the stewards judges.

Subp. 2. **Horses required to compete in qualifying races for race meets longer than two weeks.** If the meet extends longer than two weeks, the following horses shall not be eligible to enter any race until they have competed in qualifying races:

A. A horse that does not have a charted race at the gait chosen.

B. A horse that does not show a charted line within the last six starts. For that purpose an uncharted race contested in heats or more than one dash and consolidated shall be considered to be a start.

C. A horse that has not started for a period of 45 days or more.

D. A horse which has raced at a meeting at which races were not charted.

E. A horse that is listed on the veterinarian's list as unsound.

F. A horse adding or removing hobbles regardless of gait.

Subp. 2a. **Horses required to compete in qualifying races regardless of duration of meet.** The following horses shall not be eligible to enter any race until they have competed in qualifying races:

A. A horse that is on the qualifying list.

B. A horse that chokes, bleeds, or falls during a warmup or a race.

C. A horse that has made breaks in two consecutive races or which breaks in its first start following a qualifying race. A horse finishing first, second, or third on a "fast" track and making a break in the race would not have that break counted against it in terms of eligibility for future entries. However, any horse making breaks in three consecutive races over fast tracks would still be required to qualify regardless of finishing position.

D. A horse that has been distanced.

Subp. 3. **Preferred or invitational horses.** The stewards judges may permit a preferred or invitational horse to qualify by means of a timed workout consistent with the time of preferred or invitational races that have been completed at the race meeting.

Subp. 4. **Stewards Judges may set standards.** The stewards judges may establish a qualifying time for an individual horse consistent with that horse's past performance.

[For text of subp 5, see M.R.]

Subp. 6. **Qualifying times shall be posted.** Qualifying times shall be established by the association and those times and any

# Proposed Rules

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subsequent changes to them shall be approved by the stewards judges and posted so that they are available for inspection by participants.

Subp. 7. **Trainers to be notified.** Trainers of horses placed on the qualifying list shall be advised by the stewards judges or their designee of that fact by written notice which must also be posted in the racing office.

[For text of subp 8, see M.R.]

## 7884.0210 CLAIMING RACES.

A. Claiming shall be conducted according to Rule ~~10~~ 11 from the current United States Trotting Association (USTA) Charter, Bylaws, Rules and Regulations but any conflict between the USTA Rule 11 and a Minnesota rule will be controlled by the Minnesota rule.

[For text of item B, see M.R.]

C. No claimed horse shall race at any other racetrack until after the close of the race meeting at which it was claimed, or for 60 days, whichever is shorter, except to fulfill one or more stakes engagements or to race at a county fair meet.

### D. Voided claims.

(1) A claim made on a horse that suffers a fatality during the running of the race or is euthanized for a disarticulated joint, compound fracture, or fracture of the skull, spine, or pelvis, any of which occurred during or directly after the race, is automatically voided unless, prior to the race in which the horse is claimed, the claimant had elected to claim the horse regardless of the disposition of the horse.

(2) An election made in subitem (1) shall be entered on the claim form in accordance with claiming rules.

E. Postrace testing on claimed horses shall be performed using blood (serum, plasma, or heart blood) and urine (if available).

## 7884.0230 RACING EQUIPMENT.

[For text of subps 1 to 3, see M.R.]

Subp. 3a. **Safety vest.** A driver must wear a safety vest designed to provide shock absorbing protection to the upper body when participating in an official or qualifying race. The safety vest must equal or exceed a minimum shock absorption rating of five according to the specification established by the British Equestrian Trade Association (BETA).

[For text of subps 4 to 7, see M.R.]

## 7884.0240 POST TIME AND STARTING.

[For text of subps 1 to 9, see M.R.]

Subp. 10. **Helmets and safety vest to be worn.** No person shall ride a horse on the racing track surface without a properly fastened protective riding helmet and safety vest.

## 7884.0260 DRIVING RULES.

[For text of subp 1, see M.R.]

Subp. 2. **Conduct after word “go” is given.** After the word “go” is given no driver shall:

[For text of items A to J, see M.R.]

K. drive in a careless, reckless, or unsatisfactory manner or fail to maintain ~~reasonable~~ complete control of the horse at all times during the race;

[For text of items L to S, see M.R.]

T. use a whip exceeding four feet in length or a snapper longer than six inches in length, or use a whip in a brutal manner, or use the butt end of the whip, or whip under the arch or shaft of the sulky, or strike a wheel disc with the whip, or use a whip to interfere with or cause disturbance to any other horse or driver in a race; or use unreasonable or unnecessary force in the whipping of a horse, nor whip any horse causing visible injury, nor whip any horse about the head including but not limited to trailing horses, nor whip any horse after the finish line has been crossed except when it has been deemed by the board of judges necessary to control the horse. The board of judges will notify the commission veterinarian to conduct any postrace examination on any horse deemed to have been subject to unreasonable or unnecessary force. The following actions shall be considered indiscriminate, unreasonable, or unnecessary uses of the whip:

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## Proposed Rules

(1) any blatant or exaggerated movements of the whipping arm which will result from raising the elbow above the driver's shoulder height or allowing the hand holding the whip to reach behind the driver during the use of the whip;

(2) the use of the whip other than the area inside and above the level of the shafts of the sulky and between the sulky shafts;

(3) whipping under the arch or shafts of the sulky, use of the whip as a goading or poking device, or placing the whip between the legs of the horse; or

(4) using the whip when it does not appear that the horse is advancing its position in the race and appears exhausted or not in contention;

U. punch, jab, or kick a horse; ~~and~~

V. allow his or her horse to break from its gait for the purpose of losing a race;

W. keep one line, rein, or handhold in each hand at all times during the race except when the horse and driver reach the 7/8 pole marker during the race; and

X. a driver may not lay back at an angle greater than 45 degrees.

[For text of subps 3 to 6, see M.R.]

Subp. 7. **Use of stirrups.** After the word "go" is given, barring mishap, both of the driver's feet must be kept in or in contact with the stirrups, posts, or pegs until the race has been completed.

[For text of subps 8 to 10, see M.R.]

**REPEALER.** Minnesota Rules, parts 7873.0190; 7873.0191; 7873.0195; 7873.0199; and 7883.0140, subparts 18, 24, 25, and 30, are repealed.

# Executive Orders

The governor has the authority to issue written statements or orders, called Executive Orders, as well as Emergency Executive Orders. The governor's authority is specified in the *Constitution of the State of Minnesota*, Article V, and in *Minnesota Statutes* § 4.035. Emergency Executive Orders, for protection from an imminent threat to health and safety, become effective immediately, are filed with the secretary of state, and published in the *State Register* as soon as possible after they are issued. Other Executive Orders become effective 15 days after publication in the *State Register* and filing with the secretary of state. Unless otherwise specified, an executive order expires 90 days after the date the governor who issued the order vacates office.

## Office of the Governor

### Emergency Executive Order 15-06: Providing for Relief from Regulations to Certain Motor Carriers and Drivers Operating in Minnesota

**I, MARK DAYTON, GOVERNOR OF THE STATE OF MINNESOTA**, by virtue of the power vested in me by the Constitution and applicable statutes, do hereby issue this Executive Order:

**WHEREAS**, on March 5, 2015, the United States Department of Agriculture's (USDA) Animal and Plant Health Inspection Service and the Minnesota Board of Animal Health confirmed the presence of highly pathogenic H5N2 avian influenza in a commercial turkey flock in Minnesota, and since then the disease has been identified in nine different locations across the state;

**WHEREAS**, it is urgent that immediate action be taken to prevent further economic hardship in the state's turkey industry;

**WHEREAS**, unaffected farms need feed and water transported to healthy flocks;

**WHEREAS**, emergency operation equipment will likely be exposed to H5N2 avian influenza while responding to affected sites and a reduced number of trips to poultry farms further tightens statewide biosecurity which is critical to lowering the risk that the virus could be introduced to non-infected farms;

**WHEREAS**, the seasonal load restrictions, as described in *Minnesota Statutes*, section 169.87, are currently in effect in the entire state; and

**WHEREAS**, the USDA has identified that the increased number of trips resulting from seasonal load restrictions, specifically for poultry feed trucks, poultry load-out trailers, and emergency operation equipment used for highly pathogenic avian influenza response, could potentially spread H5N2 avian influenza.

**NOW, THEREFORE**, I hereby order that:

1. A state of emergency exists in Minnesota, and poultry feed trucks, poultry load-out trailers, and emergency operation equipment used for highly pathogenic avian influenza response, be exempted from the seasonal load restrictions on local and state highways and streets, imposed in *Minnesota Statutes*, section 169.87, while responding to the emergency.

Pursuant to *Minnesota Statutes*, section 4.035, subdivision 2, this Order is effective immediately and must be filed with the Secretary of State and published in the *State Register* as soon as possible after its issuance. This order shall remain in effect until June 1, 2015, or until the conclusion of the emergency.

**In Testimony Whereof**, I have set my hand on this 10<sup>th</sup> day of April, 2015.

Signed: **Mark Dayton**  
Governor

Filed According to Law

Signed: Steve Simon  
Secretary of State

## Official Notices

Pursuant to *Minnesota Statutes* §§ 14.101, an agency must first solicit comments from the public on the subject matter of a possible rulemaking proposal under active consideration within the agency by publishing a notice in the *State Register* at least 60 days before publication of a notice to adopt or a notice of hearing, and within 60 days of the effective date of any new statutory grant of required rulemaking.

The *State Register* also publishes other official notices of state agencies and non-state agencies, including notices of meetings and matters of public interest.

### Minnesota Department of Agriculture (MDA)

#### Notice of Public Meeting 30 April 2015 on the Emerald Ash Borer in Anoka County

The Minnesota Department of Agriculture (MDA) will hold a public meeting to offer information on the current state emergency quarantine for emerald ash borer, *Agrilus planipennis* (Fairemaire), in Anoka County and the proposed state formal quarantine planned to be implemented June 15, 2015. The meeting will be held from 6:00-7:30 pm on April 30, 2015 in Andover at the Anoka County Parks Activity Center, 550 Bunker Lake Blvd NW. Additional background information about emerald ash borer will also be presented and available at the meeting.

Oral and written comments regarding the proposed regulations will be accepted at the meeting and via email, phone or fax through May 30, 2015. Submit comments to Mark Abrahamson, Minnesota Department of Agriculture, 625 Robert Street North, St Paul, MN 55155, **email:** [mark.abrahamson@state.mn.us](mailto:mark.abrahamson@state.mn.us), **phone:** (651) 201-6505, **fax:** (651) 201-6108.

For more information on emerald ash borer, including a copy of the emergency quarantine, visit the Minnesota Department of Agriculture website at: [www.mda.state.mn.us/eab](http://www.mda.state.mn.us/eab).

### Office of the Minnesota Attorney General

#### Meeting Notice Regarding Proposed Revisions to “*Landlord and Tenants: Rights and Responsibilities*”

Pursuant to *Minnesota Statute 504B.275*, the Attorney General’s Office will hold a public meeting regarding proposed revisions to its publication entitled “*Landlord and Tenants: Rights and Responsibilities*.” The meeting will be held Wednesday, April 29, 2015, from 9:00 a.m. to 10:00 a.m., at the ninth floor of the Bremer Tower, 445 Minnesota Street, St. Paul, MN 55101.

Comments are also welcome by mail, phone or fax. Please contact Angela Brindamour at (651) 757-1418, to request the revised brochure text or to send comments. She will also take comments by fax at (651) 297-4139, or by mail at 900 Bremer Tower, 445 Minnesota Street, St. Paul, MN 55101.

### Minnesota Higher Education Facilities Authority (MHEFA)

#### Notice of Public Hearing on Revenue Obligations on Behalf of Saint John’s University

**NOTICE IS HEREBY GIVEN** that a public hearing will be held by the Minnesota Higher Education Facilities Authority (the “Authority”) with respect to a proposal to issue revenue bonds or other obligations on behalf of Saint John’s University (the “Corporation”), a Minnesota nonprofit corporation, as owner and operator of Saint John’s University (the “Institution”), at the Authority’s offices at Suite 450, 380 Jackson Street, St. Paul, Minnesota, on Wednesday, May 20, 2015, at 2:00 p.m.

Under the proposal, the Authority would issue its revenue bonds or other obligations in an aggregate original principal amount not to exceed \$50,000,000 to: (a) finance the costs of (i) renovation, repairs and improvements to the Alcuin Library; and (ii) acquisition,

# Official Notices

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construction, improvement and equipping of a new approximately 22,000 square foot Learning Commons building (the "Project"); and (b) refund the Authority's Revenue Bonds, Series Six-G (St. John's University), dated August 1, 2005 (the "Series Six-G Bonds"), which were issued in the original principal amount of \$39,300,000.

The Series Six-G Bonds were originally issued for the purpose of (1) the refunding of the Authority's outstanding Revenue Bonds, Series Four-L (St. John's University), dated July 1, 1997, which were issued in the original principal amount of \$29,850,000 to provide funds to finance, or to refund and prepay certain obligations originally issued to finance, certain projects described as follows: (a) refund the Authority's Revenue Bonds, Series Two-W (St. John's University) dated January 1, 1990, originally issued to finance an Art Building; (b) refund the Authority's Revenue Bonds, Series Three-H (St. John's University), dated June 1, 1992, originally issued to finance a student residence facility and a Campus Center and (c) to provide financing (i) for the renovation of the existing stadium, including new track, (ii) construction and furnishing and equipping of three duplexes, (iii) the construction, furnishing and equipping of a fieldhouse, including new track and tennis courts, (iv) renovation of existing gymnasium, including new fitness center, additional locker rooms and new training room, (v) the construction, furnishing and equipping of a biological sciences building, (vi) renovation of Benet Hall, (vii) roofing of the Housekeeping Building, Warner Palaestra Gymnasium, Thomas Hall, Greg House, Bernard Hall, Boniface Hall and Patrick Hall, (viii) partial renovation of Luke Hall and Wimmer Hall, (ix) renovation of existing science center and (x) various improvements relating to replacement surface parking and utilities; and (2) the refunding of the Authority's outstanding Revenue Bonds, Series Five-I (St. John's University) dated February 1, 2001, which were issued in the original principal amount of \$14,270,000 to provide funds to finance certain projects described as follows: (a) construction of St. Maur and St. Placid Houses, (b) renovation of the first floor of Main Quadrangle, (c) completion of the third and fourth floors of Sexton Commons, (d) reroofing the Great Hall, and (e) the renovation of the lower level of St. Mary's Hall. The above-described projects are owned or leased and operated by the Corporation and located on the Institution's main campus in Collegeville, Minnesota.

At said time and place the Authority shall give all parties who appear or who have submitted written comments an opportunity to express their views with respect to the proposal to undertake and finance the Project and the refunding of the Series Six-G Bonds.

Dated: May 4, 2015

By Order of the Minnesota Higher Education Facilities Authority  
Marianne Remedios, Executive Director

## Minnesota Housing Finance Agency (MHFA) Notice of Hearing on Bond Issuance for 146-unit Parkview Villa

**NOTICE IS HEREBY GIVEN** that the Minnesota Housing Finance Agency will hold a public hearing at 9:00 a.m. on Monday, May 4, 2015, at its offices at 400 Sibley Street, Suite 300, St. Paul, Minnesota 55101, for the purpose of taking public comment regarding the issuance of its tax-exempt bonds in one or more series issued on one or more dates in the maximum respective principal amount set forth below, including a plan of finance therefor. The Bonds are proposed to be issued to fund one or more mortgage loans to pay for all or a portion of the costs of acquisition and rehabilitation of the following development, including facilities related and subordinate thereto, for residential rental housing:

A 146-unit two building multi-story multifamily housing development currently known as Parkview Villa, located at 965 40<sup>th</sup> Avenue Northeast, Columbia Heights, MN 55421, proposed to be acquired and rehabilitated by Parkview Limited Partnership, a Minnesota limited partnership, or an affiliate thereof or successor thereto, as its initial owner, operator or manager; one of the managing partners of which will be Aeon, a Minnesota nonprofit corporation, Minneapolis, Minnesota, or another entity affiliated with Aeon. The maximum principal amount of the Bonds for this development is \$7,000,000.

Additional information may be obtained from the Minnesota Housing Finance Agency, Attn: Susan Thompson (651-296-9838). Parties wishing to comment on the issuance of the Bonds may appear in person at the hearing or may submit written comments to the undersigned prior to the hearing.

Dated: April 20, 2015

Mary Tingerthal, Commissioner  
Minnesota Housing Finance Agency

## **Minnesota Housing Finance Agency (MHFA) Notice of Hearing on Bond Issuance for 60-unit Cathedral Hill Homes**

**NOTICE IS HEREBY GIVEN** that the Minnesota Housing Finance Agency will hold a public hearing at 9:00 a.m. on Monday, May 4, 2015, at its offices at 400 Sibley Street, Suite 300, St. Paul, Minnesota 55101, for the purpose of taking public comment regarding the issuance of its tax-exempt bonds in one or more series issued on one or more dates in the maximum respective principal amount set forth below, including a plan of finance therefor. The Bonds are proposed to be issued to fund one or more mortgage loans to pay for all or a portion of the costs of acquisition and rehabilitation of the following development, including facilities related and subordinate thereto, for residential rental housing:

A 60-unit seven building multi-story multifamily housing development currently known as Cathedral Hill Homes, located at 280 Dayton Avenue, Saint Paul, MN 55102, proposed to be acquired and rehabilitated by CB Cathedral Hill Limited Partnership, a Minnesota limited partnership, or an affiliate thereof or successor thereto, as its initial owner, operator or manager; one of the managing partners of which will be CB Cathedral Hill, LLC, a Minnesota limited liability company, or another entity affiliated with CommonBond Communities, Saint Paul, Minnesota. The maximum principal amount of the Bonds for this development is \$7,500,000.

Additional information may be obtained from the Minnesota Housing Finance Agency, Attn: Summer Watson (651-296-9790). Parties wishing to comment on the issuance of the Bonds may appear in person at the hearing or may submit written comments to the undersigned prior to the hearing.

Dated: April 20, 2015

Mary Tingerthal, Commissioner  
Minnesota Housing Finance Agency

## **Minnesota Housing Finance Agency (MHFA) Notice of Hearing on Bond Issuance for 32-unit Woodland Village Townhomes**

**NOTICE IS HEREBY GIVEN** that the Minnesota Housing Finance Agency will hold a public hearing at 9:00 a.m. on Monday, May 4, 2015, at its offices at 400 Sibley Street, Suite 300, St. Paul, Minnesota 55101, for the purpose of taking public comment regarding the issuance of its tax-exempt bonds in one or more series issued on one or more dates in the maximum respective principal amount set forth below, including a plan of finance therefor. The Bonds are proposed to be issued to fund one or more mortgage loans to pay for all or a portion of the costs of acquisition, rehabilitation and equipping of the following development, including facilities related and subordinate thereto, for residential rental housing:

A 32-unit three building multi-story multifamily housing development currently known as Woodland Village Townhomes, located at 845 15<sup>th</sup> Avenue SE, St. Cloud, MN 56304, proposed to be acquired and rehabilitated by St. Cloud Woodland Village, LLC, a Minnesota limited liability company, or an affiliate thereof or successor thereto, as its initial owner, operator or manager; one of the managing partners of which will be SCI Associates, LLC, a Minnesota limited liability company, or another entity affiliated with Sand Companies, Inc., Waite Park, Minnesota. The maximum principal amount of the Bonds for this development is \$1,600,000.

Additional information may be obtained from the Minnesota Housing Finance Agency, Attn: Caryn Polito (651-297-3123). Parties wishing to comment on the issuance of the Bonds may appear in person at the hearing or may submit written comments to the undersigned prior to the hearing.

Dated: April 20, 2015

Mary Tingerthal, Commissioner  
Minnesota Housing Finance Agency

# Official Notices

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## State Board of Investment (SBI)

### Meeting Notice of the Administrative Committee Wednesday 29 April 2015

The State Board of Investment Administrative Committee will meet on Wednesday, April 29, 2015 at 2:30 P.M. at the State Board of Investment, Suite 355, 60 Empire Drive, St. Paul, MN

## Minnesota Pollution Control Agency (MPCA)

### Watershed Division

### Notice of Availability of the Draft Eagan Neighborhood Lakes TMDL and Management Plans Report and Request for Comment

**Public Comment Period Begins:**

**April 20, 2015**

**Public Comment Period Ends:**

**May 19, 2015**

The Minnesota Pollution Control Agency (MPCA) is requesting comments on the draft Eagan Neighborhood Lakes Total Maximum Daily Load (TMDL) and Management Plans Report. The draft report is available for review at:

<http://www.pca.state.mn.us/water/tmdl/tmdl-draft.html>

Following the comment period, the MPCA will revise the draft report and submit it to the U.S. Environmental Protection Agency (EPA) for approval.

A TMDL is a scientific study, conducted on waters designated as impaired, required by the federal Clean Water Act. A TMDL study calculates the maximum amount of a pollutant that a waterbody can receive and continue to meet water quality standards for designated beneficial uses. It is a process that identifies all the sources of the pollutant causing the impairment and allocates allowable loads among them. This multi-year effort results in a pollution reduction plan and engages stakeholders and the general public.

This TMDL study addresses four nutrient (phosphorus) impaired lakes. Excess phosphorus in lakes leads to nuisance algae blooms which affect the desirability of water for swimming and other recreation. In addition, eight other waterbodies are included in the study and are targeted for protection actions to maintain or improve nutrient levels. The impaired lakes include Carlson (MNDNR ID# 19-0066-00), Fitz (MNDNR ID# 19-0077-00), Holz (MNDNR ID# 19-0064-00), and LeMay (MNDNR# 19-0055-00). The unimpaired lakes include Bald (MNDNR ID# 19-0061-00), Bur Oaks (MNDNR ID# 19-0259-00), Cliff (MNDNR ID# 19-0068-00), Hay (MNDNR ID# 19-0062-00), LP-30 (MNDNR ID# 19-0053-00), North (MNDNR ID# 19-0136-00), O'Leary (MNDNR ID# 19-0056-00), and Quigley (MNDNR ID# 19-0155-00).

These lakes are located in the City of Eagan in Dakota County. Small portions of Inver Grove Heights and Apple Valley are within the watersheds of some of the project lakes. The predominant source of phosphorus to the waterbodies from the watershed is urban stormwater runoff. In-lake sources of phosphorus (e.g., rough fish and sediment release) are also important contributors for some of the lakes. Detailed lake management plans for implementation projects to restore and protect the lakes are provided in the report.

**Preliminary Determination on the Draft TMDL Report:** The MPCA Commissioner has made a preliminary determination to submit this TMDL report to the EPA for final approval. A draft TMDL report is available for review at the MPCA office at the address listed below, and at the MPCA Web site: <http://www.pca.state.mn.us/water/tmdl/tmdl-draft.html>

**Written Comments:** You may submit written comments on the conditions of the draft TMDL Report or on the Commissioner's preliminary determination. Written comments must include the following:

1. A statement of your interest in the draft TMDL report;
2. A statement of the action you wish the MPCA to take, including specific references to sections of the draft TMDL that you believe should be changed; and
3. The reasons supporting your position, stated with sufficient specificity as to allow the MPCA Commissioner to investigate the merits of your position.



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## Official Notices

Written comments on the draft TMDL report must be sent to the MPCA contact person listed below and received by 4:30 p.m. on the date the public comment period ends as listed above. Suggested changes will be considered before the final TMDL report is sent to the EPA for approval.

**Agency Contact Person.** Written comments and requests for more information should be directed to:

Chris Zadak  
Minnesota Pollution Control Agency  
Watershed Division - Watershed Section  
520 Lafayette Road North  
St. Paul, MN 55155-4194  
**Phone:** (651) 757-2837 (direct)  
**Minnesota Toll Free:** 1-800-657-3864  
**Fax:** (651) 297-8676  
**E-mail:** [chris.zadak@state.mn.us](mailto:chris.zadak@state.mn.us)

TTY users may call the MPCA teletypewriter at (651) 282-5332 or 1-800-657-3864.

**Petition for Public Informational Meeting:** You also may request that the MPCA Commissioner hold a public informational meeting. A public informational meeting is an informal meeting that the MPCA may hold to solicit public comment and statements on matters before the MPCA, and to help clarify and resolve issues. A petition requesting a public informational meeting must include the following information:

1. A statement identifying the matter of concern;
2. The information required under items 1 through 3 of "Written Comments," identified above;
3. A statement of the reasons the MPCA should hold a public informational meeting; and
4. The issues that you would like the MPCA to address at the public informational meeting.

**Petition for Contested Case Hearing:** You also may submit a petition for a contested case hearing. A contested case hearing is a formal evidentiary hearing before an administrative law judge. In accordance with *Minnesota Rules* 7000.1900, the MPCA will grant a petition to hold a contested case hearing if it finds that: (1) there is a material issue of fact in dispute concerning the draft TMDL report; (2) the MPCA has the jurisdiction to make a determination on the disputed material issue of fact; and (3) there is a reasonable basis underlying the disputed material issue of fact or facts such that the holding of the contested case hearing would allow the introduction of information that would aid the MPCA in resolving the disputed facts in making a final decision on the draft TMDL report. A material issue of fact means a fact question, as distinguished from a policy question, whose resolution could have a direct bearing on a final MPCA decision.

A petition for a contested case hearing must include the following information:

1. A statement of reasons or proposed findings supporting the MPCA decision to hold a contested case hearing according to the criteria in *Minnesota Rules* 7000.1900, as discussed above; and
2. A statement of the issues proposed to be addressed by a contested case hearing and the specific relief requested or resolution of the matter.

In addition and to the extent known, a petition for a contested case hearing should also include the following information:

1. A proposed list of prospective witnesses to be called, including experts, with a brief description of proposed testimony or summary of evidence to be presented at a contested case hearing;
2. A proposed list of publications, references, or studies to be introduced and relied upon at a contested case hearing; and
3. An estimate of time required for you to present the matter at a contested case hearing.

**MPCA Decision:** You may submit a petition to the Commissioner requesting that the MPCA Citizens' Board consider the TMDL report approval. To be considered timely, the petition must be received by the MPCA by 4:30 p.m. on the date the public comment period ends. Under the provisions of *Minnesota Statutes* § 116.02, subd 6(4), the decision whether to submit the TMDL Report and, if so, under what terms will be presented to the Board for decision if:

- (1) the Commissioner grants the petition requesting the matter be presented to the Board;
- (2) one or more Board members request to hear the matter before the time the Commissioner makes a final decision on the TMDL Report; or
- (3) a timely request for a contested case hearing is pending.

# Official Notices

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You may participate in the activities of the MPCA Board as provided in Minn. R. 7000.0650.

The written comments, requests, and petitions submitted on or before the last day of the public comment period will be considered in the final decision on this TMDL report.

If the MPCA does not receive written comments, requests, or petitions during the public comment period, MPCA staff as authorized by the Board, will make the final decision on the draft TMDL report.

## Minnesota Department of Transportation (Mn/DOT) Notice to Bidders: Suspensions/Debarments as of January 12, 2015

### NOTICE OF DEBARMENT

**NOTICE IS HEREBY GIVEN** that MnDOT has ordered that the following vendors be debarred for a period of three (3) years, effective May 6, 2013 until May 6, 2016:

- Gary Francis Bauerly and his affiliates, Rice, MN
- Gary Bauerly, LLC and its affiliates, Rice, MN
- Watab Hauling Co. and its affiliates, Rice, MN

**NOTICE IS HEREBY GIVEN** that MnDOT has ordered that the following vendors be debarred for a period of three (3) years, effective September 17, 2014 until September 17, 2017:

- Jeffrey Plzak and his affiliates, Loretto, MN
- Laurie Plzak and her affiliates, Loretto, MN
- Honda Electric Incorporated and its affiliates, Loretto, MN
- Jeffrey and Laurie Plzak doing business as Honda Electric Logistics, and its affiliates, Loretto, MN

**NOTICE IS HEREBY GIVEN** that MnDOT has ordered that the following vendors be debarred for a period of three (3) years, effective January 12, 2015 until January 12, 2018:

- Marlin Dahl, Granada, MN
- Dahl Trucking, Elmore, MN
- Elmore Truck and Trailer, Inc., Elmore, MN

*Minnesota Statute* section 161.315 prohibits the Commissioner, counties, towns, or home rule or statutory cities from awarding or approving the award of a contract for goods or services to a person who is suspended or debarred, including:

- 1) any contract under which a debarred or suspended person will serve as a subcontractor or material supplier,
- 2) any business or affiliate which the debarred or suspended person exercises substantial influence or control, and
- 3) any business or entity, which is sold or transferred by a debarred person to a relative or any other party over whose actions the debarred person exercises substantial influence or control, remains ineligible during the duration of the seller's or transfer's debarment.

# State Grants & Loans

In addition to requests by state agencies for technical/professional services (published in the State Contracts Section), the *State Register* also publishes notices about grants and loans available through any agency or branch of state government. Although some grant and loan programs specifically require printing in a statewide publication such as the *State Register*, there is no requirement for publication in the *State Register* itself. Agencies are encouraged to publish grant and loan notices, and to provide financial estimates as well as sufficient time for interested parties to respond.

SEE ALSO: Office of Grants Management (OGM) at: <http://www.grants.state.mn.us/public/>

## Minnesota Housing Finance Agency (MHFA) Consolidated Request for Proposals for

- **2015 Single Family Request for Proposals**
- **2015 Multifamily Request for Proposals**
- **2016 Housing Tax Credit Program Request for Proposals**

Minnesota Housing Finance Agency (Minnesota Housing) finances and advances affordable housing opportunities for low and moderate income Minnesotans to enhance quality of life and foster strong communities. The Consolidated Request for Proposal (RFP) will provide Agency and partner funding resources, including deferred funding, \$12.6 million in annual Housing Tax Credits, and rental assistance resources. Rental assistance will also be offered with up to 75 units of Section 811 Project-Based Rental Assistance, up to 45 project-based Section 8 rental assistance vouchers from Metro HRA, and 10 project-based Section 8 rental assistance vouchers from the Mankato EDA. This RFP will be available on the Minnesota Housing website at: [www.mnhousing.gov](http://www.mnhousing.gov) on April 20, 2015.

Available funding amounts and application deadlines will be available on the Minnesota Housing website ([www.mnhousing.gov](http://www.mnhousing.gov)) on April 20.

If you are unable to access the website or need assistance locating or identifying the appropriate materials, contact the Multifamily Division at (651) 296-9832 or Toll Free: 1-800-657-3647 or Single Family Division at (651) 296-7994, (651) 296-8276 or Toll Free: 1-800-710-8871.

FOR FURTHER INFORMATION, REFER TO THE MULTIFAMILY REQUEST FOR PROPOSAL GUIDE AND THE SINGLE FAMILY REQUEST FOR PROPOSAL CONSOLIDATED APPLICATION GUIDE AND INSTRUCTIONS AVAILABLE ON THE MINNESOTA HOUSING WEBSITE AT: [www.mnhousing.gov](http://www.mnhousing.gov)

### Minnesota Housing Board Approval:

- 2015 Consolidated Single Family and Consolidated Multifamily RFP and 2016 Housing Tax Credit Round 1 funding recommendations will be approved by the Minnesota Housing Board on October 22, 2015.
- 2016 Housing Tax Credit Round 2 funding recommendations will be approved by the Minnesota Housing Board on April 28, 2016.
- Funding partners' single family and multifamily proposal recommendations will be approved at their respective board meetings.

### Funding Notification:

Notification of awards will be posted on the Minnesota Housing website after the Board meetings noted above. Selection letters will be mailed within 10 business days of the approval. Organizations awarded funds from Minnesota Housing funding partners will be notified from the appropriate funding partner directly, after each of their respective board meetings.

This Consolidated Request for Proposal is subject to all applicable federal, state, and municipal laws, rules, and regulations. Minnesota Housing reserves the right to modify or withdraw this RFP at any time and is not able to reimburse any applicant for costs incurred in the preparation or submittal of proposals.

# State Grants & Loans

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## 2016 HOUSING TAX CREDIT PROGRAM REQUEST FOR PROPOSALS

The HTC program continues to have two funding rounds per year. Information regarding the HTC 2016 Round 2 is outlined in the HTC section of this publication.

Eligible applicants are invited to submit proposals to the 2015 Multifamily Consolidated RFP and the 2016 Housing Tax Credit Program (HTC) using the Minnesota Housing Rental Housing Common Application and its required forms and submittals.

FOR DETAILED INFORMATION, REFER TO THE MULTIFAMILY REQUEST FOR PROPOSAL GUIDE AVAILABLE ON THE MINNESOTA HOUSING WEBSITE AT [WWW.MNHOUSING.GOV](http://WWW.MNHOUSING.GOV) (Multifamily Rental Partners -> Programs & Funding -> Available Financing).

## 2016 HOUSING TAX CREDIT PROGRAM (HTC)

Minnesota Housing is accepting 2016 Round 1 competition applications for reservation and allocation of 2016 Housing Tax Credits, authorized by the Federal Tax Reform Act of 1986, as revised.

Housing Tax Credits offer a ten-year reduction in tax liability to owners and investors in eligible low-income rental housing developments involving new construction, rehabilitation, or acquisition with rehabilitation.

Total estimated 2016 tax credits available for the State of Minnesota is approximately \$12.6 million. Minnesota Housing has estimated the housing credit ceiling for Minnesota for calendar year 2016 based upon the amounts of the housing credit ceiling for calendar year 2015. The actual housing credit ceiling for the year 2016 will not be known by Minnesota Housing until early 2016.

2016 Round 1 will be the primary tax credit selection / allocation round. It is anticipated that most of the 2016 tax credits will be forward selected during this round for the 2016 tax credit year. Any credits remaining following the conclusion of the 2016 Round 1 will be made available for 2016 Round 2.

## 2016 PROGRAM, QAP, PROCEDURAL MANUAL AND SCORING CHANGES

As part of its annual revisions process, Minnesota Housing's 2016 Housing Tax Credit Program, Qualified Allocation Plan, Procedural Manual, Self-Scoring Worksheet and various related programmatic documents have been revised in several key respects. Details regarding these changes can be found on [www.mnhousing.gov](http://www.mnhousing.gov) -> Multifamily Rental Partners -> Programs & Funding -> Tax Credits.

### Credit Formula:

The Minnesota Legislature designated Minnesota Housing as the primary allocating agency for housing tax credits for the state and authorized eligible cities and counties to administer the tax credits in their respective jurisdictions based on the *Minnesota Statutes* Section 462A.222 and 462A.223.

### Minnesota Housing Administration of Tax Credits:

In both tax credit rounds, applicants with eligible buildings in the balance of the state, not within the jurisdiction of a suballocator, may apply to Minnesota Housing for an allocation of housing tax credits.

Each year, 10 percent of the state ceiling is set aside by Minnesota Housing for allocation to nonprofit sponsored developments with a Section 501(c)(3) or 501(c)(4) status or appropriate designation approval by the IRS as required by Section 42(h)(5). Qualified nonprofit organizations can apply to Minnesota Housing for these credits, regardless of the geographic location of the proposed low income housing development, as specified in the Qualified Allocation Plan.

### Local Administration of Tax Credit:

The following eligible cities and counties have the authority to administer the tax credits locally:

#### (S) Suballocator

(S) Saint Paul	651-266-6020
(S) Dakota County	651-675-4478
(S) Minneapolis	612-673-5263
(S) Washington County	651-458-0936

#### (JPS) Joint Powers Suballocator

(JPS) Duluth	218-730-5303
(JPS) St. Cloud	320-252-0880
(JPS) Rochester	507-328-2008

In Round 1, applicants with eligible buildings located within the jurisdiction of the above Suballocators (S) must apply to the local administrators (suballocators) for allocation of the housing tax credit. Joint Powers suballocators enter into an agreement with Minnesota Housing to perform allocation and compliance functions. Applicants with eligible buildings located within the jurisdiction of the above Joint Powers Suballocators (JPS) must submit complete applications to both the local administrators (joint powers suballocators) and to Minnesota Housing concurrently. Nonprofit applicants may apply both to Minnesota Housing and the suballocator for an allocation. For further information, please contact the suballocator at the telephone numbers listed above.

In Round 2, all unallocated tax credits will be transferred to a unified pool for allocation by Minnesota Housing on a statewide basis as specified in the Qualified Allocation Plan.

## Minnesota Department of Human Services (DHS) Adult Mental Health Division Notice of Request for Proposals to Develop/Implement Supports or Services for Underserved Adults with Mental Health Needs

**NOTICE IS HEREBY GIVEN** that the Minnesota Department of Human Services is requesting proposals to Develop/Implement Supports or Services for Underserved Adults with Mental Health Needs.

Work is proposed to start July 1, 2015. For more information, or to obtain a copy of the Request for Proposal, contact:

Faye K. Bernstein, MA, JD  
Department of Human Services  
Adult Mental Health Division  
P.O. Box 64981  
444 Lafayette Road North, St. Paul, MN 551550981  
**Phone:** (651) 431-2230  
**Fax:** (651) 431-7566  
**E-mail:** [faye.bernstein@state.mn.us](mailto:faye.bernstein@state.mn.us)

This is the only person designated to answer questions by potential responders regarding this request.

Proposals submitted in response to this Request for Proposals must be received at the address above no later than **4:00 p.m., Central Time, May 15, 2015. Late proposals will not be considered.** Faxed or e-mailed proposals will **not** be considered.

The RFP can be viewed by visiting the Minnesota Department of Human Services RFP web site:

[http://www.dhs.state.mn.us/id\\_000102](http://www.dhs.state.mn.us/id_000102)

This request does not obligate the State to complete the work contemplated in this notice. The State reserves the right to cancel this solicitation. All expenses incurred in responding to this notice are solely the responsibility of the responder.

# State Grants & Loans

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## Minnesota Department of Human Services (DHS)

### Health Care Administration Division

#### Notice of Request for Proposals to Provide Outreach, Education, and Assistance to Culturally, Racially, and Ethnically Diverse Communities Regarding the Minnesota Senior Health Options Program

**NOTICE IS HEREBY GIVEN** that the Minnesota Department of Human Services is requesting proposals to provide outreach, education, and assistance to culturally, racially, and ethnically diverse communities regarding integrated Medicare and Medicaid benefits through the Minnesota Senior Health Options (MSHO) program. Community based organizations, community clinics, and other entities which have strong relationships with culturally-specific communities and the ability to understand and navigate Medicare and Medicaid benefits are encouraged to apply.

\ Work is proposed to start August, 2015. For more information, or to obtain a copy of the Request for Proposal, contact:

Request for Proposal Response  
Attention: Lauren Siegel  
Department of Human Services  
Health Care Administration, Office of the Assistance Commissioner Division  
444 Lafayette Road North  
St. Paul, MN 55155  
**Phone:** (651) 431-4210  
**E-mail:** [SNP\\_RFPs@state.mn.us](mailto:SNP_RFPs@state.mn.us)

This is the only person designated to answer questions by potential responders regarding this request.

Proposals submitted in response to this Request for Proposals must be received at the address above no later than **4:00 p.m., Central Time, June 9, 2015. Late proposals will NOT be considered.** Faxed or e-mailed proposals will **NOT** be considered.

The RFP can be viewed by visiting the Minnesota Department of Human Services RFP web site:

[http://www.dhs.state.mn.us/id\\_000102](http://www.dhs.state.mn.us/id_000102)

This request does not obligate the State to complete the work contemplated in this notice. The State reserves the right to cancel this solicitation. All expenses incurred in responding to this notice are solely the responsibility of the responder.

## Minnesota Department of Transportation (MnDOT)

### Office of Transit,

### Planning, Modal and Data Management Division:

#### Notice of Intent to Release Applications to fund Enhanced Mobility for Seniors and Individuals with Disabilities Capital Grant Program

The Minnesota Department of Transportation (MNDOT) Office of Transit is releasing applications for the 2016 publicly funded program year:

- Enhanced Mobility for Seniors and Persons with Disabilities Capital Grant Program

Applications will be posted no later than May 11, 2015 on the MNDOT Office of Transit Website at: [www.dot.state.mn.us/transit](http://www.dot.state.mn.us/transit)

**The Elderly and Persons with Disabilities Capital Grant Program**, FTA Circular 9070, is a capital assistance grant program providing 80 percent funding for mobility management and the purchase of wheelchair-accessible buses for private non-profit organizations to serve elderly and/or people with disabilities, public bodies that coordinate services for the elderly and people with disabilities, Tribes in Minnesota;

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## State Grants & Loans

or any public body that certifies to the State that non-profits in the area are not readily available to carry out the services provides federal (Section 5310) financial assistance for public transit services to eligible recipients, which include:

a. a private non-profit organization, if public transportation service provided by State and local governmental authorities under Section 5310(a)(1) is unavailable, insufficient, or inappropriate; or

b. a governmental authority that:

(1) is approved by the state to coordinate services for elderly individuals and individuals with disabilities; or

(2) certifies that there are not any non-profit organizations readily available in the area to provide the special services.

The code assigned to the Section 5310 program in the Catalogue of Federal Domestic Assistance is 20.513.

Applications for the **Enhanced Mobility for Seniors and Individuals with Disabilities Capital Grant Program** are Due on the following dates:

Transit Coordination Assistance Projects (TCAP) Applications must be submitted to MnDOT's Office of Transit no later than 4:00 p.m. Central Daylight time on **July 31, 2015**.

Vehicle Applications must be submitted to MnDOT's Office Transit no later than 4:00 p.m. Central Daylight time on **July 31, 2015**. **In addition to the final submittal date vehicle applications must meet the requirements of public notice for intent to apply by July, 10<sup>th</sup> 2015.**

For further information, please contact:

John Groothuis  
395 John Ireland Blvd., MS 430  
St. Paul, MN 55155  
**Phone:** (651) 366-4187  
**E-mail:** [john.groothuis@state.mn.us](mailto:john.groothuis@state.mn.us)

## State Contracts

In addition to the following listing of state contracts, readers are advised to check the Statewide Integrated Financial Tools (SWIFT) Supplier Portal at: <http://supplier.swift.state.mn.us> as well as the Office of Grants Management (OGM) at: <http://www.grants.state.mn.us/public/>

**Informal Solicitations:** Informal solicitations for professional/technical (consultant) contracts valued at over \$5,000 through \$50,000, may either be advertised in the Supplier Portal (see link above) or posted on the Department of Administration, Materials Management Division's (MMD) Web site at: <http://www.mmd.admin.state.mn.us/solicitations.htm>.

**Formal Solicitations:** Department of Administration procedures require that formal solicitations (announcements for contracts with an estimated value over \$50,000) for professional/technical contracts must be advertised in the SWIFT Supplier Portal or alternatively, in the *Minnesota State Register* if the procurement is not being conducted in the SWIFT system.

## Minnesota State Department of Administration (Admin) State Designer Selection Board Project No. 15-06 Notice of Availability of Request for Proposal (RFP) for Designer Selection for Construction of a Minnesota National Guard Readiness Center, Arden Hills, MN (DMA PROJECT NO. 13101)

The State of Minnesota, Department of Administration is soliciting proposals from interested, qualified consultants for architectural and engineering design services for the above referenced project.

A full Request for Proposals is available on the Minnesota Department of Administration's website at:  
<http://mn.gov/admin/government/construction-projects/sdsb/sdsb-projects.jsp> (click 15-06).

A **MANDATORY** informational meeting is scheduled for **Wednesday, April 29, 2015 at 9:30 a.m. at the Arden Hills Army Training Site (AHATS) Readiness Center, 4761 Hamline Avenue North, Arden Hills, Minnesota 55112-5743**. All firms interested in this meeting should contact Mr. Justin Skoglund at (320) 616-6074 or [justin.a.skoglund2.nfg@mail.mil](mailto:justin.a.skoglund2.nfg@mail.mil) to sign up to attend the meeting.

Any questions should be directed to Mr. Justin Skoglund at [justin.a.skoglund2.nfg@mail.mil](mailto:justin.a.skoglund2.nfg@mail.mil). Project questions will be taken by this individual only. Questions regarding this RFP must be received by **Monday, May 4, 2015, no later than 5:00 PM Central Time**.

Proposals must be delivered to Charlye McMillan, Executive Secretary, State Designer Selection Board, Real Estate and Construction Services, Room 309, Administration Building, 50 Sherburne Ave., St. Paul, MN 55155 not later than **12:00 noon on Monday, May 11, 2015**. Late responses will not be considered.

The Minnesota National Guard is not obligated to complete the proposed project and reserves the right to cancel the solicitation if it is considered to be in its best interest.

## Minnesota State Colleges and Universities (MnSCU) Anoka-Ramsey Community College Notice of Request for Proposal for Security Services

**NOTICE IS HEARBY GIVEN** that Anoka-Ramsey Community College will receive proposals for security services at our Coon Rapids and Cambridge Campuses. Specifications available on the website <https://www.anokaramsey.edu/it/secure/securityrfp.cfm>. Copies of this specification can also be obtained from Mirela Gluhic at (763) 433-1338 or e-mail: [Mirela.Gluhic@anokaramsey.edu](mailto:Mirela.Gluhic@anokaramsey.edu).

Sealed proposals must be received by Mirela Gluhic at Anoka-Ramsey Community College, 11200 Mississippi Blvd NW, Coon Rapids, MN 55433 Room SC250, by 3:00 p.m. on Monday, May 4, 2015. Anoka-Ramsey Community College reserves the right to reject any and all proposals, or portions thereof, or to waive any irregularities or informalities, in proposals received.



## Background/Purpose

Anoka-Ramsey Community College desires to purchase security services for a period of three to five years for both of our campuses, July 2015 to June 2020.

## Questions:

In the event of questions please call Edward Wilberg, Interim Director of Public Safety at ARCC, phone: (763) 433-1685.

## Minnesota State Colleges and Universities (MnSCU) Campus Service Cooperative Notice of Request for Proposals for Foundation Management Software

**NOTICE IS HEREBY GIVEN** that the State of Minnesota, acting through its Board of Trustees of the Minnesota State Colleges and Universities, on behalf of the various member institutions, is soliciting proposals from qualified vendors for Foundation Management Software.

The full Request for Proposal will be available April 20, 2015 at the following website: <http://www.csc.mnscu.edu/Sourcing/RFP.html>. Instructions for delivering proposals, as well as all other requirements and information will be contained in the RFP posted at the above website.

Proposals must be delivered to Michael Noble-Olson, Chief Procurement Officer, Campus Service Cooperative, Educational Services Building, 7411 - 85<sup>th</sup> Avenue North, Brooklyn Park, MN 55445 not later than 2:00 PM CDT, Friday May 8, 2015. Late responses will **NOT** be considered.

Minnesota State Colleges and Universities reserves the right to reject any or all proposals, to waive any irregularities or informalities in proposals received, and to cancel the solicitation if it is considered to be in its own best interest. This Request for Proposal does not obligate the Minnesota State Colleges and Universities to award a contract.

## Minnesota State Colleges and Universities (MnSCU) Central Lakes College Request for Proposal for 2017 Ireland Community Band Travel Study Program

Central Lakes College is requesting proposals from qualified individuals or organizations to offer travel arrangements for the 2017 Ireland Community Band Travel Study Program at Central Lakes College. A copy of the request for proposal may be obtained by calling Debbie Sterriker at Central Lakes College, 1830 Airport Road, Staples MN 56479, (218) 894-5103 or 1-800-247-6836.

Proposals must be submitted no later than 2:30 p.m. on Monday, May 4, 2015. All proposals must be sealed and marked "RFP for 2017 Ireland Community Band Travel Study Program". Submit proposals to:

Central Lakes College  
Attn: Debbie Sterriker  
1830 Airport Road  
Staples, MN 56479

The college reserves the right to reject any or all proposals, to waive any information or irregularities in the bidding and to make the award serving the best interest of the college.

Central Lakes College is an affirmative action/equal opportunity employer and educator. These materials are available in alternative formats to individuals with disabilities upon request. If you use a TTY, call the Minnesota Relay Service at 1-800-627-3529 and request to contact Central Lakes College.

# State Contracts

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## Minnesota Department of Commerce

### Notice of Contract Opportunity for Audit Services for the Unclaimed Property Program

**PROJECT NAME:** Audit Services for the Unclaimed Property Program

**DETAILS:** The Minnesota Department of Commerce is requesting proposals for the purpose of contracting with one or more vendors to provide auditing services as an auditing agent for the unclaimed property program pursuant to *Minnesota Statutes*, Chapter 345.

Work is anticipated to start after July 1, 2015.

**COPY REQUEST:** To get a copy of the Request for Proposals, please send a written request by email to:

Scott Halvorson  
Unclaimed Property Director  
Minnesota Department of Commerce  
85 - 7th Place East, Suite 500  
St. Paul, MN 55101-2198  
**E-mail:** [scott.t.halvorson@state.mn.us](mailto:scott.t.halvorson@state.mn.us)

**PROPOSAL DEADLINE:** Proposals submitted in response to the Request for Proposals in this advertisement must be received by mail no later than Monday, May 18, 2015, 4:00 p.m. Central Time. **Late proposals will NOT be considered.** Proposals submitted by fax or e-mail will NOT be considered.

This request does not obligate the State to complete the work contemplated in this notice. The State reserves the right to cancel this solicitation. All expenses incurred in responding to this notice are solely the responsibility of the responder.

## Minnesota Department of Commerce

### Division of Energy Resources

### Notice of Contract Availability for Set of Prescriptive Electric Utility Infrastructure Measures

The Minnesota Department of Commerce, Division of Energy Resources seeks proposals from organizations or individuals to identify a set of prescriptive Electric Utility Infrastructure (EUI) measures for inclusion in Minnesota's Technical Reference Manual (TRM). The contractor will develop methods to quantify energy and demand savings in a prescriptive manner; develop incremental cost and lifetime estimates for each EUI measure; document all EUI measures in a format to be determined in consultation with the Department; and present and discuss findings with Minnesota utilities in a series of technical working meetings.

A Request for Proposals (RFP) and required forms will be available for download on the Department's website:

<http://mn.gov/commerce/>

through Monday, June 1, 2015. Potential responders may also request a hard copy of the RFP by mail from this office. Requests for hard copies must be received by the Department no later than 10:00 a.m. Central Time (CT) on Friday, May 29, 2015.

The RFP and forms can be obtained from:

**Preferred Method:** <http://mn.gov/commerce/>  
Hover over "BUSINESSES", then click on "Request for Proposals"

**U.S. Postal Service:** Energy Grants & Contracts  
Minnesota Department of Commerce

Division of Energy Resources  
85 - 7th Place East, Suite 500  
St. Paul, MN 55101-2198

Proposals submitted in response to this RFP must be received electronically and no later than 11:59 p.m., CT, December 1, 2015. **Late proposals will NOT be considered.** Instructions for submitting proposals are detailed in the RFP.

This request does not obligate the State to complete the work contemplated in this notice. The State reserves the right to cancel this solicitation. All expenses incurred in responding to this notice are solely the responsibility of the responder.

## **Minnesota Department of Transportation (Mn/DOT) Engineering Services Division Notice of Potential Availability of Contracting Opportunities for a Variety of Highway Related Technical Activities (“Consultant Pre-Qualification Program”)**

This document is available in alternative formats for persons with disabilities by calling Kelly Arneson at (651) 366-4774; for persons who are hearing or speech impaired by calling Minnesota Relay Service at (800) 627-3529.

Mn/DOT, worked in conjunction with the Consultant Reform Committee, the American Council of Engineering Companies of Minnesota (ACEC/MN), and the Department of Administration, to develop the Consultant Pre-Qualification Program as a new method of consultant selection. The ultimate goal of the Pre-Qualification Program is to streamline the process of contracting for highway related professional/technical services. Mn/DOT awards most of its consultant contracts for highway-related technical activities using this method, however, Mn/DOT also reserves the right to use Request for Proposal (RFP) or other selection processes for particular projects.

Nothing in this solicitation requires Mn/DOT to use the Consultant Pre-Qualification Program.

Mn/DOT is currently requesting applications from consultants. Refer to Mn/DOT’s Consultant Services web site, indicated below, to expenses are incurred in responding to this notice will be borne by the responder. Response to this notice becomes public information under the Minnesota Government Data Practices.

Consultant Pre-Qualification Program information, application requirements and applications forms are available on Mn/DOT’s Consultant Services web site at: <http://www.dot.state.mn.us/consult>.

Send completed application material to:

Kelly Arneson  
Consultant Services  
Office of Technical Support  
Minnesota Department of Transportation  
395 John Ireland Blvd. - Mail Stop 680  
St. Paul, MN 55155

# State Contracts

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## Minnesota Department of Transportation (Mn/DOT)

### Engineering Services Division

#### Notice Concerning Professional/Technical Contract Opportunities and Taxpayers' Transportation Accountability Act Notices

**NOTICE TO ALL:** The Minnesota Department of Transportation (Mn/DOT) is now placing additional public notices for professional/technical contract opportunities on Mn/DOT's Consultant Services **website** at: [www.dot.state.mn.us/consult](http://www.dot.state.mn.us/consult)

New Public notices may be added to the website on a daily basis and be available for the time period as indicated within the public notice. Mn/DOT is also posting notices as required by the Taxpayers' Transportation Accountability Act on the above referenced website.

## Non-State Public Bids, Contracts & Grants

The *State Register* also serves as a central marketplace for contracts let out on bid by the public sector. The *State Register* meets state and federal guidelines for statewide circulation of public notices. Any tax-supported institution or government jurisdiction may advertise contracts and requests for proposals from the private sector. It is recommended that contracts and RFPs include the following: 1) name of contact person; 2) institution name, address, and telephone number; 3) brief description of commodity, project or tasks; 4) cost estimate; and 5) final submission date of completed contract proposal. Allow at least three weeks from publication date (four weeks from the date article is submitted for publication). Surveys show that subscribers are interested in hearing about contracts for estimates as low as \$1,000. Contact editor for further details.

Besides the following listing, readers are advised to check: <http://www.mmd.admin.state.mn.us/solicitations.htm> as well as the Office of Grants Management (OGM) at: <http://www.grants.state.mn.us/public/>.

## Metropolitan Airports Commission (MAC)

### Minneapolis-Saint Paul International Airport

#### Notice of Call for Bids for 2015 Art in the Terminal: Short Film & Performance Space PH3

**MAC Contract No:**

**106-2-740**

**Bids Close At:**

**2:00 PM on Tuesday, May 12, 2015**

**Notice to Contractors:** Sealed Bid Proposals for the project listed above will be received by the MAC, a public corporation, at the office thereof located at 6040 - 28th Avenue South, Minneapolis, Minnesota 55450, until the date and hour indicated. Work to be completed consists of rotating display boards made up of museum quality panelized torsion boards, mounted with rotating pivot hardware; quartz countertops; didactic artwork; track lighting and a t-coil system.

**Targeted Group Businesses (TGB):** The goal of the MAC for the utilization of TGB on this project is 6%.

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## Non-State Public Bids, Contracts & Grants

**Bid Security:** Each bid shall be accompanied by a "Bid Security" in the form of a certified check made payable to the MAC in the amount of not less than five percent (5%) of the total bid, or a surety bond in the same amount, running to the MAC, with the surety company thereon duly authorized to do business in the State of Minnesota.

**Availability of Bidding Documents:** Bidding documents are on file for inspection at the office of Alliiance at the Minnesota Builders Exchange; Dodge Data and Analytics; and NAMC-UM Plan Room. Bidders desiring bidding documents may secure a complete set from: Franz Reprographics; 2781 Freeway Boulevard, Suite 100; Brooklyn Center, MN 55430; phone: (763) 503-3401; fax: (763) 503-3409. Make checks payable to: Alliiance. Deposit per set (refundable): \$150. Requests for mailing sets will be invoiced for mailing charges. Deposit will be refunded upon return of bidding documents in good condition within 10 days of opening of bids.

**MAC Internet Access of Additional Information:** A comprehensive Notice of Call for Bids for this project will be available on April 20, 2015, at MAC's web address of <http://www.metroairports.org/Airport-Authority/Business-Opportunities/Solicitations.aspx> (construction bids.)

### Metropolitan Airports Commission (MAC) Minneapolis-Saint Paul International Airport Notice of Call for Bids for 2015 Roof Improvements

**MAC Contract No:**

**106-3-517**

**Bids Close At:**

**2:00 PM on Tuesday, May 12, 2015**

**Notice to Contractors:** Sealed Bid Proposals for the project listed above will be received by the MAC, a public corporation, at the office thereof located at 6040 - 28th Avenue South, Minneapolis, Minnesota 55450, until the date and hour indicated. This project includes removal and replacement of the existing roofing systems adhered to the existing deck of the parking roof stair towers and east curbside roofs.

**Targeted Group Businesses (TGB):** The goal of the MAC for the utilization of TGB on this project is 4%.

**Bid Security:** Each bid shall be accompanied by a "Bid Security" in the form of a certified check made payable to the MAC in the amount of not less than five percent (5%) of the total bid, or a surety bond in the same amount, running to the MAC, with the surety company thereon duly authorized to do business in the State of Minnesota.

**Availability of Bidding Documents:** Bidding documents are on file for inspection at the office of Alliiance at the Minnesota Builders Exchange; Dodge Data and Analytics; and NAMC-UM Plan Room. Bidders desiring bidding documents may secure a complete set from: Franz Reprographics; 2781 Freeway Boulevard, Suite 100; Brooklyn Center, MN 55430; phone: (763) 503-3401; fax: (763) 503-3409. Make checks payable to: Alliiance. Deposit per set (refundable): \$150. Requests for mailing sets will be invoiced for mailing charges. Deposit will be refunded upon return of bidding documents in good condition within 10 days of opening of bids.

**MAC Internet Access of Additional Information:** A comprehensive Notice of Call for Bids for this project will be available on April 20, 2015, at MAC's web address of <http://www.metroairports.org/Airport-Authority/Business-Opportunities/Solicitations.aspx> (construction bids.)

## *Minnesota's Bookstore*

660 Olive Street (Williams Hill Business Development), St. Paul, MN 55155

(1 block east of I-35E Bridge, 1 block north of University Ave.) **FREE PARKING**

**Phone: (651) 297-3000;**

**Fax: (651) 215-5733**

**E-mail:**

***<http://www.minnesotasbookstore.com>***



**Several convenient ways to order:**

- ♦ **Retail store** Open 8 a.m. - 5 p.m. Monday - Friday, 660 Olive Street, St. Paul
- ♦ **Phone** (credit cards): 8 a.m. - 5 p.m. Monday - Friday, 651.297.3000 (Twin Cities) or 1.800.657.3757 (nationwide toll-free)
- ♦ **On-line orders:** www.minnesotasbookstore.com
- ♦ **Minnesota Relay Service:** 8 a.m. - 5 p.m. Monday - Friday, 1.800.627.3529 (nationwide toll-free)
- ♦ **Fax** (credit cards): 651.215.5733 (fax line available 24 hours/day)
- ♦ **Mail orders:** Orders can be sent to Minnesota's Bookstore, 660 Olive Street, St. Paul, MN 55155

**PREPAYMENT REQUIRED. Prices and availability subject to change.**

**Fax and phone orders:** Credit card purchases **ONLY** (American Express/Discover/MasterCard/VISA). Please allow 1-2 weeks for delivery. **Mail orders:** Complete order blank and send to address above. Enclose check or include credit card information. Please allow 4-6 weeks for delivery. Please make checks payable to "Minnesota's Bookstore." A \$20.00 fee will be charged for returned checks.

Stock No.	Title	Quantity	Unit Price	Total

Send my order to:

Company \_\_\_\_\_

Name \_\_\_\_\_

Street Address (Not deliverable to P.O. boxes) \_\_\_\_\_

City ( ) State Zip

Daytime phone (In case we have a question about your order) \_\_\_\_\_

Credit card number: \_\_\_\_\_

Expiration date: \_\_\_\_\_ Signature: \_\_\_\_\_

**Shipping Charges**

<i>If Product</i>	<i>Please</i>
<i>Subtotal is:</i>	<i>Add:</i>
Up to \$15.00	\$ 5.00
\$15.01-\$25.00	\$ 6.00
\$25.01-\$50.00	\$ 9.00
\$50.01-\$100.00	\$ 14.00
\$100.01-\$1,000	\$ 17.00*
*\$17 to an address in MN, WI, SD, ND, IA. If delivered to an address in other states, Canada or internationally, we will contact you if there are additional charges.	
More than \$1,000	Call

Product Subtotal \_\_\_\_\_

Shipping \_\_\_\_\_

Subtotal \_\_\_\_\_

Sales tax \_\_\_\_\_

*(6.875% sales tax if shipped to MN address, 7.625% if shipped to St. Paul address. 7.125% MN transit tax or other local sales tax if applicable)*

**TOTAL** \_\_\_\_\_

If tax exempt, please provide ES number or completed exemption form.  
ES# \_\_\_\_\_