**State of Minnesota** 

# State Register



Rules, Executive Orders, Appointments,
Commissioners' Orders, Revenue Notices, Official Notices, Grants,
State Contracts & Loans, Non-State Bids, Contracts & Grants
Published every Monday (Tuesday when Monday is a holiday)

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# State Register

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The State Register is the official publication of the State of Minnesota, published weekly to fulfill the legislative mandate set forth in Minnesota Statutes § 14.46. The State Register contains:

- Proposed Rules
- Adopted Rules
- Exempt Rules

- **Vetoed Rules**
- Executive Orders of the Governor
- · Commissioners' Orders
- Revenue Notices
- Contracts for Professional, Technical and Consulting Services
- · Expedited Rules
- Withdrawn Rules
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- Proclamations
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## Minnesota Rules: Amendments and Additions

NOTICE: How to Follow State Agency Rulemaking in the State Register

The *State Register* is the official source, and only complete listing, for all state agency rulemaking in its various stages. State agencies are required to publish notice of their rulemaking action in the *State Register*. Published every Monday, the *State Register* makes it easy to follow and participate in the important rulemaking process. Approximately 80 state agencies have the authority to issue rules. Each agency is assigned specific **Minnesota Rule** chapter numbers. Every odd-numbered year the **Minnesota Rules** are published. The current 1999 set is a 13-volume bound collection of all adopted rules in effect at the time. Supplements are published to update this set of rules. Generally speaking, proposed and adopted exempt rules do not appear in this set because of their short-term nature, but are published in the *State Register*.

An agency must first solicit **Comments on Planned Rules** or **Comments on Planned Rule Amendments** from the public on the subject matter of a possible rulemaking proposal under active consideration within the agency (*Minnesota Statutes* §§ 14.101). It does this by publishing a notice in the *State Register* at least 60 days before publication of a notice to adopt or a notice of hearing, or within 60 days of the effective date of any new statutory grant of required rulemaking.

When rules are first drafted, state agencies publish them as **Proposed Rules**, along with a notice of hearing, or a notice of intent to adopt rules without a hearing in the case of noncontroversial rules. This notice asks for comment on the rules as proposed. Proposed emergency rules and withdrawn proposed rules are also published in the *State Register*. After proposed rules have gone through the comment period, and have been rewritten into their final form, they again appear in the *State Register* as **Adopted Rules**. These final adopted rules are not printed in their entirety in the *State Register*, only the changes made since their publication as Proposed Rules. To see the full rule, as adopted and in effect, a person simply needs two issues of the *State Register*, the issue the rule appeared in as proposed, and later as adopted. For a more detailed description of the rulemaking process, see the most current edition of the *Minnesota Guidebook to State Agency Services*.

The *State Register* features partial and cumulative listings of rules in this section on the following schedule: issues #1-13 inclusive; issues #14-25 inclusive; issue #26 cumulative for issues #1-26; issues #27-38 inclusive; issue #39, cumulative for issues #1-39; issues #40-51 inclusive; and issues #1-52 (or 53 in some years), cumulative for issues #1-52 (or 53). An annual subject matter index for rules was separately printed usually in August, but starting with Volume 19 now appears in the final issue of each volume. For copies or subscriptions to the *State Register*, contact Minnesota's Bookstore, 660 Olive Street (one block east of I-35E and one block north of University Ave), St. Paul, MN 55155 (612) 297-3000, or toll-free 1-800-657-3757.

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# **Proposed Rules**

Comments on Planned Rules or Rule Amendments. An agency must first solicit Comments on Planned Rules or Comments on Planned Rule Amendments from the public on the subject matter of a possible rulemaking proposal under active consideration within the agency (*Minnesota Statutes* §§ 14.101). It does this by publishing a notice in the *State Register* at least 60 days before publication of a notice to adopt or a notice of hearing, and within 60 days of the effective date of any new statutory grant of required rulemaking.

Rules to be Adopted After a Hearing. After receiving comments and deciding to hold a public hearing on the rule, an agency drafts its rule. It then publishes its rules with a notice of hearing. All persons wishing to make a statement must register at the hearing. Anyone who wishes to submit written comments may do so at the hearing, or within five working days of the close of the hearing. Administrative law judges may, during the hearing, extend the period for receiving comments up to 20 calendar days. For five business days after the submission period the agency and interested persons may respond to any new information submitted during the written submission period and the record then is closed. The administrative law judge prepares a report within 30 days, stating findings of fact, conclusions and recommendations. After receiving the report, the agency decides whether to adopt, withdraw or modify the proposed rule based on consideration of the comments made during the rule hearing procedure and the report of the administrative law judge. The agency must wait five days after receiving the report before taking any action.

Rules to be Adopted Without a Hearing. Pursuant to Minnesota Statutes § 14.22, an agency may propose to adopt, amend, suspend or repeal rules without first holding a public hearing. An agency must first solicit Comments on Planned Rules or Comments on Planned Rule Amendments from the public. The agency then publishes a notice of intent to adopt rules without a public hearing, together with the proposed rules, in the State Register. If, during the 30-day comment period, 25 or more persons submit to the agency a written request for a hearing of the proposed rules, the agency must proceed under the provisions of §§ 14.14-14.20, which state that if an agency decides to hold a public hearing, it must publish a notice of intent in the State Register.

**KEY: Proposed Rules** - <u>Underlining</u> indicates additions to existing rule language. <u>Strikeouts</u> indicate deletions from existing rule language. If a proposed rule is totally new, it is designated "all new material." **Adopted Rules** - <u>Underlining</u> indicates additions to proposed rule language. <u>Strikeout</u> indicates deletions from proposed rule language.

# **Minnesota Department of Health (MDH)**

**Division of Health Policy** 

Proposed Permanent Rules Relating to Minnesota Health Care Claims Reporting System, *Minnesota Rules*, Chapter 4653

Notice of Intent to Adopt Expedited Rules without a Public Hearing

**Introduction.** The Department of Health intends to adopt rules under the expedited rulemaking process in the rules of the Office of Administrative Hearings, *Minnesota Rules*, part 1400.2410, and the Administrative Procedure Act, *Minnesota Statutes*, section 14.389. You may submit written comments on the proposed expedited rules until April 22, 2009.

**Agency Contact Person.** You must submit comments or questions on the rules to: Katie Burns, MN Department of Health, P.O. Box 64882, St. Paul, MN 55164-0882, (651) 201-5530, and *health.reform@state.mn.us*. TTY users may call the Minnesota Department of Health at (651) 201-5797.

Subject of the Expedited Rules and Statutory Authority. The proposed expedited rules are related to the establishment of the

### **Proposed Rules** =

Minnesota Health Care Claims Reporting System (MHCCRS) and data reporting requirements within this system. *Minnesota Statutes* §62U.04, subd. 4, requires the Minnesota Department of Health to collect health care encounter data from health plans and third-party administrators. The Department is required to use this data to develop a provider peer grouping system that measures health care providers on a combined measure of cost and quality. This proposed rule establishes specific procedures needed to comply with the law, including the data elements to be submitted; the entities required to submit data; the scope and types of data to be submitted; the frequency of data submission; the data submission method; and data security and de-identification provisions. The statutory authority to adopt this rule is *Minnesota Statutes* §62U.04. The statutory authority to adopt this rule under the expedited rulemaking process is *Minnesota Statutes* §62U.06, subd. 3. A copy of the proposed rule is published in the State Register and attached to this notice as mailed. The proposed expedited rule and appendices may be viewed at: *www.health.state.mn.us/healthreform/encounterdata*. A free copy of the appendices related to this proposed rule is also available upon request from the agency contact person listed above.

**Comments.** You have until 4:30 p.m. on Wednesday, April 22, 2009 to submit written comment in support of or in opposition to the proposed expedited rules and any part or subpart of the rules and appendices. Your comment must be in writing and received by the agency contact person by the due date. The Department encourages comment. Your comment should identify the portion of the proposed expedited rules addressed and the reason for the comment. In addition, you are encouraged to propose any change desired. Any comments that you would like to make on the legality of the proposed rules must be made during this comment period. If the proposed expedited rules affect you in any way, the agency encourages you to participate in the rulemaking process.

**Modifications.** The agency may modify the proposed expedited rules using either of two avenues: The agency may modify the rules directly so long as the modifications do not make them substantially different as defined in *Minnesota Statutes*, section 14.05, subdivision 2, paragraphs (b) and (c). Or the agency may adopt substantially different rules if it follows the procedure under *Minnesota Rules*, part 1400.2110. If the final rules are identical to the rules originally published in the State Register, the agency will publish a notice of adoption in the State Register. If the final rules are different from the rules originally published in the State Register, the agency must publish a copy of the changes in the State Register.

**Alternative Format.** Upon request, the agency can make this Notice available in an alternative format, such as large print, Braille, or cassette tape. To make such a request, please contact the agency contact person at the address or telephone number listed above.

**Lobbyist Registration.** *Minnesota Statutes*, chapter 10A, requires each lobbyist to register with the State Campaign Finance and Public Disclosure Board. You may direct questions regarding this requirement to the Campaign Finance and Public Disclosure Board at: Suite 190, Centennial Building, 658 Cedar Street, St. Paul, Minnesota 55155, telephone (651) 296-5148 or 1-800-657-3889.

Adoption and Review of Expedited Rules. The agency may adopt the rules at the end of the comment period. The agency will then submit rules and supporting documents to the Office of Administrative Hearings for review for legality. You may ask to be notified of the date that the agency submits the rules. If you want to be so notified, want to receive a copy of the adopted rules, or want to register with the agency to receive notice of future rule proceedings, submit your request to the agency contact person listed above.

Signed: 10 March 2009

Sanne Magnan, M.D., Ph.D., Commissioner, Minnesota Department of Health

### **4653.0100 DEFINITIONS.**

Subpart 1. Scope. The terms used in parts 4653.0200 to 4653.0600 have the meanings given them in this part.

Subp. 2. Commissioner. "Commissioner" means the commissioner of health.

Subp. 3. Complete submission. "Complete submission" means data submitted to the data processor by a data submitter that contains the required data that meets the required threshold in a format that allows further review and verification of the accuracy of the data.

Subp. 4. **Covered individual.** "Covered individual" means a natural person who is a resident of Minnesota and is eligible to receive health care benefits under any policy, contract, certificate, evidence of coverage, rider, binder, or endorsement that provides for or describes health care coverage with the exception of coverage that is explicitly excluded from the definition of a health plan in *Minnesota Statutes*, section 62A.011, subdivision 3, clauses (1) to (9) and (11).

## Proposed Rules

- Subp. 5. **Data processor.** "Data processor" means the private entity selected by the commissioner to collect and process health care claims.
- Subp. 6. **Data submitter.** "Data submitter" means a health plan company or third-party administrator that has covered individuals and that paid a total of at least \$3,000,000 in health care claims for covered individuals during the previous calendar year, or a pharmacy benefit manager that has covered individuals and that paid at least \$300,000 in claims for covered individuals during the previous calendar year. In calculating its paid claims, each data submitter must include all health care claims for covered individuals processed by any subcontractor on its behalf unless the subcontractor submits the identical data as a data submitter in its own right.
- Subp. 7. Enrollment data. "Enrollment data" means demographic information and other information relating to all covered individuals eligible to receive health care benefits.
- Subp. 8. **Health care claims data.** "Health care claims data" means information included in an institutional, professional, or pharmacy drug claim or equivalent encounter information transaction for a covered individual that is required under Minnesota Statutes, section 62J.536.
- Subp. 9. **Health plan company.** "Health plan company" has the meaning given in *Minnesota Statutes*, section 62Q.01, subdivision 4, except that it excludes insurance coverage explicitly excluded from the definition of a health plan in *Minnesota Statutes*, section 62A.011, subdivision 3, clauses (1) to (9) and (11).
- Subp. 10. **Minnesota resident.** "Minnesota resident" means a natural person for whom the data submitter has identified a Minnesota address as the individual's primary place of residence.
- Subp. 11. **Pharmacy benefit manager.** "Pharmacy benefit manager" means a third-party administrator under contract to administer drug benefit programs for self-insurance or health insurance plans.
- Subp. 12. **Pricing data.** "Pricing data" means the amount paid by a data submitter to a provider on a claim plus any amount owed by the covered individual, including prepayment, deductible, coinsurance, or co-payment.
- Subp. 13. **Provider or health care provider.** "Provider" or "health care provider" has the meaning given in *Minnesota Statutes*, section 62J.03, subdivision 8.
- Subp. 14. **Third-party administrator.** "Third-party administrator" means a vendor of risk-management services or an entity administering a self-insurance or health insurance plan as defined in *Minnesota Statutes*, section 60A.23, subdivision 8.
  - Subp. 15. Threshold. "Threshold" means the required completeness percentage for a particular data element.

#### 4653.0200 DATA COLLECTED.

- A. All health plan companies and third-party administrators must register with the data processor no later than June 15, 2009, and April 1 of each subsequent year. For the purposes of identifying data submitters, minimizing administrative burden, and assessing data completeness, all health plan companies and third-party administrators must submit the dollar amount of paid health care claims for covered individuals during the previous calendar year according to the instructions in Appendix D. The appendix is incorporated by reference in part 4653.0600.
- B. Data submitters must submit the following data for each covered individual, including health care claims processed by any subcontractor on the data submitter's behalf unless the subcontractor submits the identical data as a data submitter in its own right.
- (1) Data submitters must submit all enrollment data elements as set forth in Appendix A. The appendix is incorporated by reference in part 4653.0600.
- (2) <u>Data submitters must submit encounter data elements and pricing data for all institutional and professional health care claims</u> paid by the data submitter under Appendix B. The appendix is incorporated by reference in part 4653.0600.
- (3) Data submitters must submit encounter data elements and pricing data for all pharmacy drug claims paid by the data submitter under Appendix C. The appendix is incorporated by reference in part 4653.0600.

### 4653.0300 DATA SUBMISSION REQUIREMENTS.

Subpart 1. Duties of data submitters. To support the collection of the data described in part 4653.0200, a data submitter must:

### Proposed Rules —

- A. submit the data described in part 4653.0200 to the data processor in electronic format using the submission, file layouts, record formats, coding specifications, and authentication and de-identification specifications in Appendix D; and
- B. report to the data processor any significant discrepancies in the data with respect to consistency, completeness, accuracy, or any other issue that may affect further review and verification of the accuracy of the data.
- A data submitter must comply with these requirements on its own behalf and for any subcontractor on its behalf unless the subcontractor submits the identical data as a data submitter in its own right.
- Subp. 2. **Submission schedule.** Health plan companies, third-party administrators, and pharmacy benefit managers that meet the definition of data submitter in part 4653.0100, subpart 7, on December 31, 2008, must submit the required data on or before July 1, 2009, and at least once every six months thereafter. Health plan companies, third-party administrators, and pharmacy benefit managers that meet the definition of data submitter in part 4653.0100, subpart 7, on December 31 of any year subsequent to 2008 must submit the required data on or before July 1 of the following year and at least once every six months thereafter. Data submitters may submit the required data more frequently than every six months, but no more frequently than monthly.
- A. The first submission by a data submitter must be made on or before July 1 and must consist of enrollment data and data from all claims paid from January 1 of the previous year through March 31 of the current year, according to the specifications in Appendix D, to allow for testing of the compatibility of the data submitter's submissions with the data processor's system.
- B. Data submitters' subsequent data submissions, following the first submission, must consist of enrollment data and data from all claims paid since the last submission through at least the last day of the quarter prior to the month of submission, according to the specifications in Appendix D. For purposes of this item, a quarter ends on the last day of March, June, September, and December.
- Subp. 3. Code sources. Data submitters must use the code sources in Appendix D in association with the submission of member enrollment files, institutional and professional health care claims data, and pharmacy drug claims data.

#### Subp. 4. Complete submissions.

- A. A data submission is considered complete and formally submitted on the day the data processor determines that the data submission is complete.
- B. If the data processor does not notify the data submitter that the submission is incomplete within 30 calendar days after receiving the data submission, the data submission is considered complete and formally submitted on the day the submission was received by the data processor.
- C. If the data processor notifies a data submitter that a data submission is incomplete, it will include in the notification a statement describing the data submission's deficiencies. The data submitter must correct the deficiencies and resubmit the data within ten business days after the data submitter receives the notification. If the data processor determines that the resubmitted data are complete, the resubmitted data are considered complete and formally submitted on the date the data processor receives the resubmitted data.
- D. If a data submitter discovers a material error in any of its data submissions that have been ascertained by the data processor to be complete, the data submitter must immediately inform the data processor of the error and, within 30 days, submit a written amendment to the report identifying the error and providing the correct information via resubmission. Submission of an amendment under this subpart does not affect the date of filing.
- Subp. 5. **Discontinuance of data submission.** A data submitter may discontinue submitting health care claims data if it pays less than \$1,000,000 in health care claims for covered individuals for each of two consecutive calendar years, except that a pharmacy benefit manager may discontinue submitting health care claims data if it pays less than \$100,000 in health care claims for covered individuals for each of two consecutive calendar years. The data submitter must provide three months' written notice to the commissioner before it discontinues reporting.

### 4653.0400 GENERAL VARIANCES TO DATA ELEMENTS, SUBMISSION SPECIFICATIONS, AND THRESHOLDS.

- Subpart 1. Addition of data elements. When the commissioner identifies an additional data element not listed in Appendix A, B, or C as important to achieve the purpose of the data collection, the commissioner may require that the additional data element be collected under part 4653.0200 if the commissioner determines that:
  - A. the change is needed to comply with a new or revised state or federal law;
  - B. the change will improve the quality of or directly enhance the use of data currently being collected; or
- C. the data element is readily available and will not create a material additional burden on data submitters and will assist in meeting purposes of *Minnesota Statutes*, section 62U.04.
  - Subp. 2. Removal of data elements. The commissioner must no longer collect under part 4653.0200 a data element included in

## **Proposed Rules**

Appendix A, B, or C if the commissioner determines that:

- A. the change is needed to comply with a new or revised state or federal law;
- B. the data element is replaced by the collection of a data element added according to subpart 1; or
- C. the change will not detract from the quality of or the purpose for which the data is collected.
- Subp. 3. Modification of thresholds. When the commissioner determines that modification of a threshold in Appendix A, B, or C is important to facilitate the data collection, the commissioner may require that the threshold be modified if the following criteria are met.
- A. The commissioner may increase a threshold if the degree of completeness of submissions by data submitters demonstrates that a majority of data submitters are able to meet the higher threshold.
- B. The commissioner may decrease a threshold if the degree of completeness by data submitters demonstrates that a majority of data submitters are unable to meet the current threshold.
- Subp. 4. Change to submission specification. When the commissioner determines that a modification to the submission specifications in Appendix D is important to facilitate the data collection, the commissioner may require that the submission specifications be modified if the commissioner determines that:
  - A. the change is needed to comply with a new or revised state or federal law;
  - B. the change will improve the quality of or directly enhance the use of data currently being collected; or
- <u>C.</u> the change will not create a material additional burden on data submitters and will assist in meeting purposes of *Minnesota* <u>Statutes</u>, section 62U.04.
- Subp. 5. Notice to data submitters. If the commissioner determines that a data element will be added or deleted, or that a threshold or a submission specification will be modified, the commissioner must notify data submitters in writing at least three months in advance of the change. Data submitters must make the modification for all claims paid after the date of the change.

### 4653.0500 INDIVIDUAL VARIANCES.

The commissioner may grant a variance to a data submitter with respect to submission of a specific data element, threshold, or submission specification if the data submitter demonstrates good cause. To request a variance, a data submitter must submit a petition, according to *Minnesota Statutes*, section 14.056, and demonstrate that it meets the following criteria:

- A. failure to grant the variance would result in hardship or injustice to the data submitter;
- B. the variance would be consistent with the public interest; and
- C. the variance would not prejudice the substantial legal or economic rights of any person or entity.

#### 4653.0600 INCORPORATION BY REFERENCE.

"Minnesota Health Care Reporting System: Appendices to Minnesota Administrative Rules, Chapter 4653," issued by the Minnesota Department of Health, May 2009, is incorporated by reference. It is available through the Minitex interlibrary loan system and the Minnesota Department of Health Web site at <a href="http://www.health.state.mn.us/healthreform/encounterdata">http://www.health.state.mn.us/healthreform/encounterdata</a>. They are not subject to frequent change.

## **Adopted Rules**

A rule becomes effective after the requirements of *Minnesota Statutes* §§ 14.05-14.28 have been met and five working days after the rule is published in the *State Register*, unless a later date is required by statutes or specified in the rule. If an adopted rule is identical to its proposed form as previously published, a notice of adoption and a citation to its previous *State Register* publication will be printed. If an adopted rule differs from its proposed form, language which has been deleted will be printed with strikeouts and new language will be underlined. The rule's previous *State Register* publication will be cited.

**KEY: Proposed Rules** - <u>Underlining</u> indicates additions to existing rule language. <u>Strikeouts</u> indicate deletions from existing rule language. If a proposed rule is totally new, it is designated "all new material." **Adopted Rules** - <u>Underlining</u> indicates additions to proposed rule language. <u>Strikeout</u> indicates deletions from proposed rule language.

# Department of Labor and Industry Adopted Permanent Rules Relating to Prevailing Wages; Master Job Classifications

The rules proposed and published at *State Register*, Volume 32, Number 52, pages 2253-2262, June 23, 2008 (32 SR 2253), are adopted with the following modifications:

#### 5200.1030 BASIS FOR HIGHWAY AND HEAVY CONSTRUCTION DETERMINATIONS.

Subp. 2a. **Projects to be surveyed, criteria.** The determinations shall be made from projects on which construction work was done in the 12 months preceding the survey which are located in the area and where the estimated total cost of completing the project is \$25,000 or more.

C. If work is performed by a class of labor not named defined by part 5200.1100, Master Job Classifications, the contracting agency shall assign a wage rate and the commissioner of labor and industry shall review and certify the assigned wage rate based on the most similar trade or occupation from the area wage determination. Within 90 days, the Commissioner of Labor and Industry must determine that the work is included in an existing Master Job Classification or initiate the rulemaking procedure so that the classification will be named defined in the Master Job Classifications in part 5200.1100.

#### 5200.1035 BASIS FOR COMMERCIAL CONSTRUCTION DETERMINATIONS.

Subp. 2. **Projects to be surveyed, criteria.** From information on file and submitted by interested persons, the determinations shall be made from projects on which construction work was done in the 12 months preceding the survey, which are located in the county or, if necessary, from adjacent counties, and where the estimated total cost of completing the project is \$2,500 or more.

E. If work is performed by a class of labor not named in defined by part 5200.1100, Master Job Classifications, the Commissioner of Labor and Industry shall certify a wage rate which reflects the most similar trade or occupation from the project wage determination. Within 90 days, the Commissioner of Labor and Industry must determine that the work is included in an existing Master Job Classification or initiate the rulemaking procedure so that the classification will be named defined in the Master Job Classifications in part 5200.1100.

#### 5200.1100 MASTER JOB CLASSIFICATIONS.

Subp. 2. Laborers.	
Code No.	Position Title
101	Laborer, common (general labor work)
102	Laborer, skilled (assisting skilled craft journeyman)
103	Laborer, Landscaping (gardener, sod layer and nursery operator)
104	Flag person
105	Watch person
106	Blaster
107	Pipelayer (water, sewer and gas)
108	Tunnel miner
109	Underground and open ditch laborer (eight feet below starting grade level)
110	Survey field technician (operate total station, GPS receiver, level, rod or range poles, steel tape measure-
	ment; mark and drive stakes; hand or power digging for and identification of markers or monuments; perform
	and check calculations; review and understand construction plans and land survey materials). This classifi-
	cation does not apply to the work performed on a prevailing wage project by a land surveyor who is licensed
	the management of the month of

# - Adopted Rules

	pursuant to Minnesota Statutes, sections 326.02 to 326.15.
111	Traffic control person (temporary signage)
112	Quality control tester (field and covered off-site facilities; testing of aggregate, asphalt, and concrete mat-
	erials); limited to Minnesota Department of Transportation highway and heavy construction projects
	where the Minnesota Department of Transportation has retained quality assurance professionals to review
	and interpret the results of quality control testers' services provided by the contractor.

### Subp. 2a. Special equipment.

Code No.	Position Title
201	Articulated hauler
202	Boom truck
203	Landscaping equipment, includes hydro seeder or mulcher, sod roller, farm tractor with attachment specifi-
	cally seeding sodding, or plant, and two-framed forklift (excluding front, posi-track, and skid steer loaders),
	no earthwork or grading for elevations
204	Off-road truck
<del>205</del>	Truck for pavement marking or removal (one or two person operators)

Subp. 3. **Power equipment operators - highway and heavy projects.** For purposes of parts 5200.1000 to 5200.1120, contractors must use codes and classifications in this subpart for paying and documenting equipment operators working on highway and heavy type construction projects.

Group 1 301 All truck and crawler cranes 50 tons and over and doing pile driving, sheeting, caisson work, rotary drivand boring  Group 2 302 Helicopter pilot 303 Concrete pump 304 All cranes with over 135-foot boom, excluding jib 305 Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or other similar equipment with sheeting type controls three cubic yards and over manufacturer's rated capacity including all attachments 306 Grader or motor patrol 307 Pile driving 308 Tugboat - 100 h.p. and over when license required  Group 3 309 Asphalt bituminous stabilizer plant 310 Cableway 311 Concrete mixer, stationary plant 312 Derrick (guy or stiffleg) (power) (skids or stationary) 313 Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments 314 Dredge or engineers, dredge (power) and engineer 315 Front end loader, five cubic yards and over including attachments	ing,
Group 2  302 Helicopter pilot 303 Concrete pump 304 All cranes with over 135-foot boom, excluding jib 305 Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or other similar equipment with sh type controls three cubic yards and over manufacturer's rated capacity including all attachments 306 Grader or motor patrol 307 Pile driving 308 Tugboat - 100 h.p. and over when license required  Group 3  309 Asphalt bituminous stabilizer plant 310 Cableway 311 Concrete mixer, stationary plant 312 Derrick (guy or stiffleg) (power) (skids or stationary) 313 Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments 314 Dredge or engineers, dredge (power) and engineer	ing,
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303 Concrete pump 304 All cranes with over 135-foot boom, excluding jib 305 Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or other similar equipment with sh type controls three cubic yards and over manufacturer's rated capacity including all attachments 306 Grader or motor patrol 307 Pile driving 308 Tugboat - 100 h.p. and over when license required  Group 3 309 Asphalt bituminous stabilizer plant 310 Cableway 311 Concrete mixer, stationary plant 312 Derrick (guy or stiffleg) (power) (skids or stationary) 313 Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments 314 Dredge or engineers, dredge (power) and engineer	
All cranes with over 135-foot boom, excluding jib  Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or other similar equipment with sh type controls three cubic yards and over manufacturer's rated capacity including all attachments  Grader or motor patrol  Pile driving  Tugboat - 100 h.p. and over when license required  Group 3  Asphalt bituminous stabilizer plant  Cableway  Concrete mixer, stationary plant  Derrick (guy or stiffleg) (power) (skids or stationary)  Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments  Dredge or engineers, dredge (power) and engineer	
Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or other similar equipment with she type controls three cubic yards and over manufacturer's rated capacity including all attachments  Grader or motor patrol Pile driving Tugboat - 100 h.p. and over when license required  Group 3  Asphalt bituminous stabilizer plant Cableway Concrete mixer, stationary plant Derrick (guy or stiffleg) (power) (skids or stationary)  Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments  Dredge or engineers, dredge (power) and engineer	
type controls three cubic yards and over manufacturer's rated capacity including all attachments  Grader or motor patrol  Pile driving  Tugboat - 100 h.p. and over when license required  Group 3  Asphalt bituminous stabilizer plant  Cableway  Concrete mixer, stationary plant  Derrick (guy or stiffleg) (power) (skids or stationary)  Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments  Dredge or engineers, dredge (power) and engineer	
307 Pile driving 308 Tugboat - 100 h.p. and over when license required  Group 3 309 Asphalt bituminous stabilizer plant 310 Cableway 311 Concrete mixer, stationary plant 312 Derrick (guy or stiffleg) (power) (skids or stationary) 313 Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments 314 Dredge or engineers, dredge (power) and engineer	vel-
Group 3  309 Asphalt bituminous stabilizer plant 310 Cableway 311 Concrete mixer, stationary plant 312 Derrick (guy or stiffleg) (power) (skids or stationary) 313 Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments 314 Dredge or engineers, dredge (power) and engineer	
Group 3 309 Asphalt bituminous stabilizer plant 310 Cableway 311 Concrete mixer, stationary plant 312 Derrick (guy or stiffleg) (power) (skids or stationary) 313 Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments 314 Dredge or engineers, dredge (power) and engineer	
Asphalt bituminous stabilizer plant Cableway Concrete mixer, stationary plant Derrick (guy or stiffleg) (power) (skids or stationary) Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments Dredge or engineers, dredge (power) and engineer	
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Concrete mixer, stationary plant  Derrick (guy or stiffleg) (power) (skids or stationary)  Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments  Dredge or engineers, dredge (power) and engineer	
Derrick (guy or stiffleg) (power) (skids or stationary)  Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments  Dredge or engineers, dredge (power) and engineer	
Dragline, crawler, hydraulic backhoe (track or wheel mounted) and/or similar equipment with shovel controls, up to three cubic yards manufacturer's rated capacity including all attachments  Dredge or engineers, dredge (power) and engineer	
controls, up to three cubic yards manufacturer's rated capacity including all attachments  Dredge or engineers, dredge (power) and engineer	
	ype
Front end loader, five cubic yards and over including attachments	
515 1 Tolk old loader, five cubic yards and over including attachments	
Locomotive crane operator	
Mixer (paving) concrete paving, road mole, including mucking operations, Conway or similar type	
Mechanic - welder on power equipment	
319 Tractor - boom type	
320 Tandem scraper	
321 Truck crane - crawler crane	
Tugboat 100 h.p. and over	

Group 4

# Adopted Rules —

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323	Air track rock drill
324	Automatic road machine (CMI or similar)
325	Backfiller operator
326	Concrete batch plant operator
327	Bituminous rollers, rubber tired or steel drummed (eight tons and over)
328	Bituminous spreader and finishing machines (power), including pavers, macro surfacing and micro surfacing, or similar types (operator and screed person)
329	Brokk or R.T.C. remote control or similar type with all attachments
330	Cat challenger tractors or similar types pulling rock wagons, bulldozers, and scrapers
331	Chip harvester and tree cutter
332	Concrete distributor and spreader finishing machine, longitudinal float, joint machine, and spray machine
333	Concrete mixer on jobsite
334	Concrete mobil
335	Crushing plant (gravel and stone) or gravel washing, crushing and screening plant
336	Curb machine
337	Directional boring machine
338	Dope machine (pipeline)
339	Drill rigs, heavy rotary or churn or cable drill
340	Dual tractor
341	Elevating grader
342	Fork lift or straddle carrier
343	Fork lift or lumber stacker
344	Front end, posi-track, or skid steer loaders, over one cubic yard up to five cubic yards with attachments
345	GPS remote operating of equipment
346	Hoist engineer (power)
347	Hydraulic tree planter
348	Launcher person (tanker person or pilot license)
349	Locomotive
350	Milling, grinding, planing, fine grade, or trimmer machine
351	Multiple machines, such as air compressors, welding machines, generators, pumps
352	Pavement breaker or tamping machine (power driven) might mite similar type
353	Pickup sweeper, one cubic yard and over hopper capacity
354	Pipeline wrapping, cleaning or bending machine
355	Power plant engineer, 100 KWH and over
356	Power actuated horizontal boring machine, over six inches
357	Pugmill
358	Pumpcrete
359	Rubber-tired farm tractor with backhoe including attachments
360	Scraper
361	Self-propelled soil stabilizer
362	Slip form (power driven) (paving)
363	Tie tamper and ballast machine
364	Tractor, bulldozer
365	Tractor, wheel type, over 50 h.p. with PTO unrelated to landscaping
366	Trenching machine (sewer, water, gas) excludes walk behind trencher
367	Tub grinder, morbark, or similar type
368	Well point dismantling or installation
Group 5	Air compressor 600 CEM or over
369	Air compressor, 600 CFM or over
370	Bituminous roller (under eight tons)
371	Concrete saw (multiple blade) (power operated)
372	Form trench digger (power)
373	Front end, skid steer, or posi-track loaders, up to and including one cubic yard with attachments

# -Adopted Rules

2-1	
374	Gunite gunall
375	Hydraulic log splitter
376	Loader (barber greene or similar type)
377	Post hole driving machine/post hole auger
378	Power actuated auger and boring machine
379	Power actuated jack
380	Pump
381	Self-propelled chip spreader (flaherty or similar)
382	Sheep foot compactor with blade - 200 h.p. and over
383	Shouldering machine (power) apsco or similar type including self-propelled sand and chip spreader
384	Stump chipper and tree chipper
385	Tree farmer (machine)
386	Vibratory driver or extractor for piling or sheeting operations
Group 6	
387	Cat, challenger, or similar type of tractors, when pulling disk or roller
388	Conveyor
389	Dredge deck hand
390	Fire person or tank car heater
391	Gravel screening plant (portable not crushing or washing)
392	Greaser (tractor)
393	Lever person
394	Oiler (power shovel, crane, truck crane, dragline, crushers, and milling machines, or other similar heavy
	equipment)
395	Power sweeper
396	Sheep foot roller and rollers on gravel compaction, including vibrating rollers
397	Tractor, wheel type, over 50 h.p., unrelated to landscaping
Subp. 5. Special crafts.	
Subp. 5. <b>Special crafts.</b> Code No.	Position Title
	Position Title Heating and frost insulators
Code No. 701 702	
Code No. 701	Heating and frost insulators
Code No. 701 702 703 704	Heating and frost insulators Boilermakers
Code No. 701 702 703 704 705	Heating and frost insulators Boilermakers Bricklayers
Code No. 701 702 703 704	Heating and frost insulators Boilermakers Bricklayers Carpenters
Code No. 701 702 703 704 705	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum)
Code No. 701 702 703 704 705 706 707 708	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors
Code No. 701 702 703 704 705 706 707 708 709	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers
Code No. 701 702 703 704 705 706 707 708 709 710	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers
Code No. 701 702 703 704 705 706 707 708 709 710 711	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person
Code No. 701 702 703 704 705 706 707 708 709 710 711 712	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright Painters (including hand brushed, hand sprayed, and the taping of pavement markings)
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright Painters (including hand brushed, hand sprayed, and the taping of pavement markings) Piledriver (including vibratory driver or extractor for piling and sheeting operations)
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright Painters (including hand brushed, hand sprayed, and the taping of pavement markings) Pipefitters - steamfitters
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 17 718	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright Painters (including hand brushed, hand sprayed, and the taping of pavement markings) Piledriver (including vibratory driver or extractor for piling and sheeting operations) Pipefitters - steamfitters Plasterers
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 17 718 719	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright Painters (including hand brushed, hand sprayed, and the taping of pavement markings) Piledriver (including vibratory driver or extractor for piling and sheeting operations) Pipefitters - steamfitters Plasterers Plumbers
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 17 718 719 720	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright Painters (including hand brushed, hand sprayed, and the taping of pavement markings) Piledriver (including vibratory driver or extractor for piling and sheeting operations) Pipefitters - steamfitters Plasterers Plumbers Roofer
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 17 718 719 720 721	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright Painters (including hand brushed, hand sprayed, and the taping of pavement markings) Piledriver (including vibratory driver or extractor for piling and sheeting operations) Pipefitters - steamfitters Plasterers Plumbers Roofer Sheet metal workers
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 17 718 719 720 721 722	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright Painters (including hand brushed, hand sprayed, and the taping of pavement markings) Piledriver (including vibratory driver or extractor for piling and sheeting operations) Pipefitters - steamfitters Plasterers Plumbers Roofer Sheet metal workers Sprinkler fitters
Code No. 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 17 718 719 720 721	Heating and frost insulators Boilermakers Bricklayers Carpenters Carpet layers (linoleum) Cement masons Electricians Elevator constructors Glaziers Lathers Ground person Ironworkers Lineman Millwright Painters (including hand brushed, hand sprayed, and the taping of pavement markings) Piledriver (including vibratory driver or extractor for piling and sheeting operations) Pipefitters - steamfitters Plasterers Plumbers Roofer Sheet metal workers

### **Adopted Rules**

725	Tile finishers. (The scope of work of a tile finisher is not as broad as tile setter. The finisher work includes
	mixing grout, grouting, and surfacing all types of tile, cutting tile, and sealing surfaces. Tile setters set the tile,
	repair and patch tile, lay out the work, and install substrates; install showers, counter tops, floors, and
	steps; lay quarry tile; install ceilings, mantels, hearths, swimming pools, domes, columns, and arches; and
	perform other work not performed by tile finishers.)
726	Drywall taper
727	Wiring system technician
728	Wiring system installer
729	Asbestos abatement worker
730	Sign erector

### **Executive Orders**

The governor has the authority to issue written statements or orders, called Executive Orders. as well as Emergency Executive Orders. The governor's authority is specified in the *Constitution of the State of Minnesota*, Article V, and in *Minnesota Statutes* § 4.035. Emergency Executive Orders, for protection from an imminent threat to health and safety, become effective immediately, are filed with the secretary of state, and published in the *State Register* as soon as possible after they are issued. Other Executive Orders become effective 15 days after publication in the *State Register* and filing with the secretary of state. Unless otherwise specified, an executive order expires 90 days after the date the governor who issued the order vacates office.

### Office of the Governor

# Emergency Executive Order # 09-04: Providing for Relief from Regulations to Certain Motor Carriers and Drivers Operating in Minnesota

**I, TIM PAWLENTY, GOVERNOR OF THE STATE OF MINNESOTA**, by virtue of the authority vested in me by the Constitution and applicable laws do hereby issue this emergency executive order:

WHEREAS, the seasonal load restrictions as described in Minnesota Statutes 2008, Section 169.87, Subdivision 2 are in effect in the South, Southeast, and Metro frost zones of the state, and upcoming in the Central, North Central and North frost zones; and

**WHEREAS**, many vehicles used to transport heating fuel exceed the weight permitted under the seasonal load restrictions even when empty; and

WHEREAS, the winter and spring season have been colder than in recent years; and

**Executive Orders** 

**WHEREAS**, temperatures continue to require heating and, without the ability to receive timely and efficient delivery of heating fuels, businesses and homeowners are in danger of running out of necessary heating fuels; and

**WHEREAS**, current statute recognizes permanent exemptions from the seasonal load restrictions for school buses, milk carriers, utility vehicles, garbage and recycling vehicles.

NOW, THEREFORE, I hereby order that an emergency condition exists and order that:

- 1. Two and three permanent axle vehicles used to transport fuels commonly used for heating are exempted from the seasonal load restrictions on local and state highways and streets imposed under Minnesota Statutes 2008, Section 169.87, provided they are loaded at no more than 50 percent (50%) capacity of the cargo tank when they are on seasonally-load restricted highways and streets.
- 2. Motor carriers must abide by all other posted road restrictions or laws governing gross weight including, but not limited to, the gross weight limits set forth in Minnesota Statutes 2008, Sections 169.823 and 169.824.

Pursuant to *Minnesota Statutes* 2008, Section 4.035, Subdivision 2, this Order is effective immediately and shall remain in effect until June 1, 2009, or the Commissioner of Transportation's removal of spring load restrictions, whichever is earlier.

IN TESTIMONY WHEREOF, I have set my hand this 12th day of March, 2009.

Signed: TIM PAWLENTY
Governor

Filed According to Law:

Signed: MARK RITCHIE
Secretary of State

## **Commissioners' Orders**

Various agency commissioners are authorized to issue "commissioner's orders" on specified activities governed by their agency's enabling laws. See the *Minnesota Statutes* governing each agency to determine the specific applicable statutes. Commissioners' orders are approved by assistant attorneys general as to form and execution and published in the *State Register*. These commissioners orders are compiled in the year-end subject matter index for each volume of the *State Register*.

### **Minnesota Department of Natural Resources (DNR)**

Approval of the DNR Chippewa Plains - Pine Moraines and Outwash Plains Subsection Forest Resource Management Plan Pursuant to *Minnesota Statues*, Section 89.012

### **Decision Record**

Official Notice and Order

Approval of the DNR Chippewa Plains / Pine Moraines and Outwash Plains Subsection Forest Management Resource Management Plan for 582,866 acres of state forest lands located in Becker, Beltrami, Cass, Clearwater, Crow Wing, Hubbard, Itasca, Koochiching, Mahnomen, Morrison, Otter Tail, Todd, and Wadena counties in north central Minnesota.

Notice Published: March 23, 2009 Effective Date: February 18, 2009

**NOTICE IS HEREBY GIVEN** that the Commissioner of the Minnesota Department of Natural Resources has approved the DNR Chippewa Plains / Pine Moraines and Outwash Plains Subsection Forest Resource Management Plan that will direct vegetation management on state lands in the Chippewa Plains and in the Pine Moraines and Outwash Plains subsections landscape (see *Exhibit A*, attached hereto and incorporated within).

### WHEREAS:

- 1. *Minnesota Statutes*, Section 89.012 provides that the commissioner shall approve unit forest resource plans by written order published in the *State Register*.
- 2. The agency solicited and received written comments regarding the proposed vegetation management plan for state forest lands within the Chippewa Plains / Pine Moraines and Outwash Plains subsection landscape regions.
- 3. All notice and procedural requirements in Minnesota Statutes, and other applicable rules and law has been complied with.
- 4. The DNR Chippewa Plains / Pine Moraines and Outwash Plains Subsection Forest Resource Management Plan is both needed and reasonable.

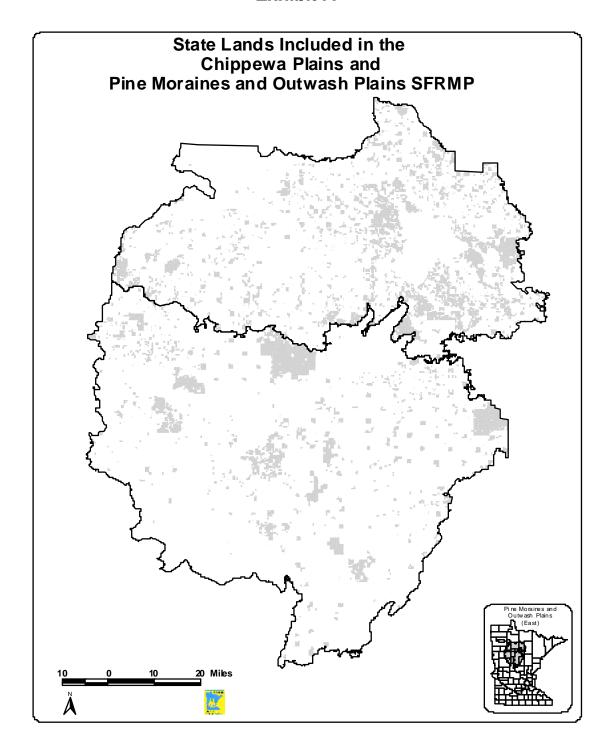
**NOW THEREFORE, IT IS ORDERED** that the DNR Chippewa Plains / Pine Moraines and Outwash Plains Subsection Forest Resource Management Plan is approved for implementation on state forest lands in the Chippewa Plains / Pine Moraines and Outwash Plains subsections landscape (See Exhibit A, attached hereto and incorporated herein) pursuant to authority vested in me by Minnesota law.

**IT IS FURTHER ORDERED** that the approval of the DNR Chippewa Plains / Pine Moraines and Outwash Plains Subsection Forest Resource Management Plan is effective on February 18, 2009.

Dated: February 18, 2009

Mark Holsten, Commissioner Department of Natural Resources

### **Exhibit A**



Pursuant to *Minnesota Statutes* §§ 14.101, an agency must first solicit comments from the public on the subject matter of a possible rulemaking proposal under active consideration within the agency by publishing a notice in the *State Register* at least 60 days before publication of a notice to adopt or a notice of hearing, and within 60 days of the effective date of any new statutory grant of required rulemaking.

The State Register also publishes other official notices of state agencies and non-state agencies, including notices of meetings and matters of public interest.

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# Minnesota Comprehensive Health Association (MCHA) Notice of Proposed Premium Rate Meetings

**NOTICE IS HEREBY GIVEN** that a representative of the Minnesota Comprehensive Health Association's (MCHA) executive staff and a representative of the Minnesota Department of Commerce will hold premium rate meetings in Minnesota as indicated below. The purpose of the meetings is to allow MCHA enrollees an opportunity to comment on the proposed premium rates. Any rate change must be approved by the Minnesota Department of Commerce. New premium rates would go into effect on July 1, 2009.

For additional information, please call Peggy Zimmerman-Belbeck at (952) 593-9609.

#### SCHEDULE OF 2009 PUBLIC MEETINGS ON PROPOSED PREMIUM RATES

LOCATION	DATE	TIME
ROCHESTER	Tuesday, March 31, 2009	6:30 pm
Ramada Hotel & Conference Center		
1517 SW 16th Street		
Rochester, MN 55902		
(507) 289-8866		
TWIN CITIES	Thursday, April 2, 2009	6:30 pm
Sheraton Bloomington Hotel		
7800 Normandale Blvd.		
Bloomington, MN 55439		
(952) 835-7800		

In case of inclement weather, please call the hotel to be informed of meeting cancellation. If you are unable to attend these meetings for any reason, please log onto the MCHA website at: www.mchamn.com for data provided at the meeting.

### Minnesota Department of Health (MDH)

### **Division of Health Policy**

# Notice of Adoption of Technical Changes to Uniform, Standard Companion Guides for Health Care Claims Electronic Transactions, Pursuant to *Minnesota Statutes*, *Section 62J.536*

**Adoption: NOTICE IS HEREBY GIVEN** that Technical Changes to Rules for the following Uniform, Standard Companion Guides for Health Care Claims Electronic Transactions are adopted with the modifications listed at the end of this Notice:

Health Care Claim – Professional Electronic Transaction (837P);

Health Care Claim – Institutional Electronic Transaction (837I);

Health Care Claim – Dental Electronic Transaction (837D);

NCPDP Pharmacy Claim - Submission and Response [NCPDP 5.1 (and the corresponding NCPDP 1.1)]; and

NCPDP Pharmacy Reversal – Submission and Response [NCPDP 5.1 (and the corresponding NCPDP 1.1)].

These rules are maintained pursuant to Minnesota Statutes, section 62J.536.

Companion Guides Available: The Minnesota Uniform Companion Guides for the Implementation of the:

Health Care Claim – Professional Electronic Transaction (837P);

Health Care Claim - Institutional Electronic Transaction (837I);

Health Care Claim – Dental Electronic Transaction (837D);

NCPDP Pharmacy Claim - Submission and Response [NCPDP 5.1(and the corresponding NCPDP 1.1)]; and

NCPDP Pharmacy Reversal – Submission and Response [NCPDP 5.1 (and the corresponding NCPDP 1.1)]; with Technical Changes as defined by the Commissioner of Health, and showing changes between proposed and adopted technical changes in underline-strikeout format, are available on the World Wide Web at <a href="http://www.health.state.mn.us/asa/rules.html">http://www.health.state.mn.us/asa/rules.html</a> and at Minnesota's Bookstore at (651) 297-3000 or (800) 657-3757. The Minnesota's Bookstore TTY relay service phone number is (800) 627-3529. If you have any questions, please e-mail HealthASAGuides@state.mn.us.

Interested parties may also obtain a printed copy of the adopted technical changes to the rule showing all of the changes from the proposed technical modifications in a strike/underline format by contacting Amy Luitjens by phone at 651-201-3578 or by fax at 651-201-5179.

Description of the Rules. Minnesota Statutes, section 62J.536, requires the Minnesota Commissioner of Health, in consultation with the Minnesota Administrative Uniformity Committee (AUC), to promulgate rules pursuant to section 62J.61 establishing and requiring group purchasers and health care providers to use electronic claims and eligibility transactions with a single, uniform companion guide to the implementation guides described under Code of Federal Regulations, title 45, part 162. The statute requires that beginning July 15, 2009 all group purchasers must accept from health care providers the health care claims or equivalent encounter information transaction described under Code of Federal Regulations, title 45, part 162, subpart K. The statute also requires that beginning July 15, 2009 all health care providers must submit to group purchasers the health care claims or equivalent encounter information transaction described under Code of Federal Regulations, title 45, part 162, subpart K. The statute further requires that each transaction described above will use a single, uniform companion guide to the implementation guide described under the Code of Federal Regulations, title 45, part 162. In addition, the statute requires all group purchasers and health care providers to exchange claims and eligibility information electronically using the transactions, companion guides, implementation guides, and timelines set forth in subdivision 1 of the statute. The statute requires the Commissioner of Health to promulgate rules pursuant to section Minnesota Statutes, section 62J.61, at least 12 months prior to the timelines required in subdivision 1 of Minnesota Statutes, section 62J.536. A Notice of Adoption of the above referenced rules was published in the Minnesota State Register, Volume 32, Number 51, June 16, 2008, page 2215. Under Minnesota Statutes, section 62J.61, the Commissioner of Health is exempt from chapter 14, including section 14.386, in implementing sections 62J.50 to 62J.54, subdivision 3, and 62J.56 to 62J.59. Because the Commissioner of Health has determined that it is unduly cumbersome to publish the entire text of the adopted technical changes to the rules, the Commissioner of Health is publishing this notice of adoption of technical changes to the rules with a detailed description of the changes.

**Development of Technical Changes:** The Commissioner of Health, in consultation with the AUC and its Claims Data Definition Technical Advisory Group (TAG), Pharmacy Work Group, and Medical Code TAG determined that it was necessary to make technical

changes to the previously adopted rules in order to provide additional clarification to the transactions. The Commissioner of Health consulted with these AUC TAGs and work group in developing proposed technical changes. An announcement of the proposed changes was published as a Notice of Intent to Adopt Technical Changes to the above referenced rules in the *State Register*, Volume 33, Number 25, page 1111, December 22, 2008. The notice also announced a thirty-day period for public comment on the proposed changes, from December 22, 2008 through January 20, 2009. It further solicited detailed information and comments specifically regarding requirements in the Minnesota Uniform Companion Guides for the NCPDP Pharmacy Claim – Submission and Response (NCPDP 5.1) and the NCPDP Pharmacy Reversal – Submission and Response (NCPDP 5.1) transactions for reporting of multi-ingredient compound prescription drugs. The Minnesota Department of Health (MDH) collected the public comments, which were received from fifteen organizations and individuals. The MDH reviewed the comments and consulted with the AUC Claims Data Definition Technical Advisory Group (TAG), Pharmacy Work Group, and Medical Code TAG to make modifications to the rules as listed following this notice. The modifications include changes to previously adopted requirements regarding the reporting of multi-ingredient compound prescription drugs as part of pharmacy claims transactions.

Dated: March 23, 2009

Sanne Magnan, M.D., Ph.D., Commissioner
P.O. Box 64975

St. Paul, MN 55164-0975

#### **Modifications:**

The following modifications from the rules adopted in the *State Register*, Volume 32, Number 51, page 2215, June 16, 2008 have been adopted.

The following sections of the *Health Care Claim – <u>Professional Electronic Transaction (837P)</u>* have been modified and differ from the adopted rule published on June 16, 2008 (page numbers below are for the adopted rule published June 16, 2008):

Title page;

Contact For Further Information On This Companion Guide - Page 2;

Table of Contents - Page 3;

- 1.0 Companion Guide Revision History, Page 4;
- 2.0 Statement from the Minnesota Department of Health Page 5;
- 3.0 Statement from the Minnesota Administrative Uniformity Committee (MN-AUC) Page 7;
- 4.1.2 Applicability Page 8;
- 4.1.3 Scope Page 10;
- 4.1.4 Reference HIPAA Implementation Guide Page 10;
- 4.1.5.2 Situational Loops, Segments, and Data Elements Page 11;
- 4.1.5.3 Segments and Data Elements Classified as Not Considered for Processing (NCFP) Page 11;
- 4.1.6 Addressing Code Set Issues in the Companion Guide Page 12;
- 4.2.3.4 Claims Attachments and Notes Page 19;
- 4.3 Process for Updating Companion Guide Document Page 21;
- 4.4 Minnesota Best Practices for the Implementation of Electronic Health Care Transactions Page 21;
- 5.1 Introduction to Table Page 22;
- 5.1.2, Table I Page 23;

Changes were made to the following section 5.2, Companion Guide Tables rows, identified by "ID", and "Name" columns and page number:

```
NM108, Identification Code Qualifier, Page 27;
NM109, Subscriber Primary Identifier, Page 27;
AMT, SALES TAX AMOUNT, Page 45;
LIN, Drug Identification; Page 46;
```

The following rows were added to section 5.2, Companion Guide Tables – Page 29, below "IG Page" 148, "ID" NM1, "Name" Patient Name:

```
NM101, Entity Identifier Code, Page 29;
NM102, Entity Type Qualifier, Page 29;
NM103, Name Last or Organization Name, Page 29;
NM104, Name First, Page 29;
```

NM105, Name Middle, Page 29;

NM107, Name Suffix, Page 29;

NM108, Identification Code Qualifier, Page 29

NM109, Patient Primary Identifier, Page 29;

The following rows were added to section 5.2, Companion Guide Tables – Page 32, below "IG Page" 199, "ID" PWK, "Name" CLAIM SUPPLEMENTAL INFORMATION:

PWK01, Report Type Code; Page 32;

PWK02, Report Transmission Code; Page 32;

PWK05, Identification Code Qualifier; Page 32;

PWK06, Identification Code; Page 32;

Section 6.0 Appendices, Page 50, was updated;

Changes were made to the following Appendix A, Table A.5.1 rows, identified by "Chapter Number", and "Chapter Description/Title" columns and page number:

- 4, Part B Hospital (Including Inpatient Hospital Part B and OPPS), Page 56;
- 9, Rural Health Clinics and Federal Qualified Health Centers, Page 56;
- 10, Home Health Agency Billing, Page 57;
- 14, Ambulatory Surgical Centers, Page 57;
- 19, Indian Health Services, Page 59.

Formatting and other changes were made to the following Appendix A, Table A.5.2 rows, identified by row title and page number:

Children's Mental Health Crisis Response Services, Page 62;

Mental Health Targeted Case Management (MH-TCM), Page 62;

Children's Mental Health Residential Treatment Services, Page 63;

Intensive Residential Treatment Services (IRTS), Page 63;

Adult Day Treatment, Page 63;

CTSS Children's Day Treatment, Page 63;

Adult Rehabilitative Mental Health Services (ARMHS), Page 65;

A new row for "Intensive Mental Health Rehabilitation Services (IRMHS)" was added at the end of Table A.5.2, Page 65;

Changes were made to Appendix A, A.5.3 Table 3 – Substance Abuse Services, Page 66, identified by row title and page number:

Hospital-based inpatient ROOM AND BOARD, Page 66;

A new row, "Detox", was added following the row above, Page 66;

Rule 24 Option - Hospital-based inpatient room and board and treatment, Page 66;

A new row, "Rule 24 Option – Detox", was added following the row above, Page 66;

Non-hospital based inpatient residential program, ROOM AND BOARD, Page 66;

Non-hospital based inpatient residential DETOX SERVICES, Page 66;

Non-hospital based inpatient residential treatment program, TREATMENT component, Page 66;

A new appendix, "Appendix E: Reporting MNCare and Sales Tax", was added, Page 72.

Throughout the entire Manual: Formatting and punctuation changes have been made to improve readability.

The following sections of the *Health Care Claim* – <u>Institutional Electronic Transaction (8371)</u> have been modified and differ from the adopted rule published on June 16, 2008 (page numbers below are for the adopted rule published June 16, 2008):

Title page;

Contact For Further Information On This Companion Guide – Page 2;

Table of Contents – Page 3;

- 1.0 Companion Guide Revision History, Page 4;
- 2.0 Statement from the Minnesota Department of Health Page 5;
- 3.0 Statement from the Minnesota Administrative Uniformity Committee (MN-AUC) Page 7;
- 4.1.2 Applicability Page 8;

- 4.1.3 Scope Page 10;
- 4.1.4 Reference HIPAA Implementation Guide Page 10;
- 4.1.5.2 Situational Loops, Segments, and Data Elements Page 11;
- 4.1.5.3 Segments and Data Elements Classified as Not Considered for Processing (NCFP) Page 11;.
- 4.1.6 Addressing Code Set Issues in the Companion Guide Page 12;
- 4.2.3.4 Claims Attachments and Notes Page 19;
- 4.3 Process for Updating Companion Guide Document Page 21;
- 4.4 Minnesota Best Practices for the Implementation of Electronic Health Care Transactions Page 21;
- 5.1 Introduction to Table Page 22;

Changes were made to the following section 5.2, Companion Guide Tables rows, identified by "ID", and "Name" columns and page number:

NM108, Identification Code Qualifier, Page 26;

NM109, Subscriber Primary Identifier, Page 26;

AMT, FACILITY TAX AMOUNT, Page 42;

LIN, Drug Identification; Page 42.

The following rows were added to section 5.2, Companion Guide Tables – Page 27, below "IG Page" 142, "ID" NM1, "Name" Patient Name:

NM101, Entity Identifier Code, Page 27;

NM102, Entity Type Qualifier, Page 27;

NM103, Name Last or Organization Name, Page 27;

NM104, Name First, Page 27;

NM105, Name Middle, Page 27;

NM107, Name Suffix, Page 27;

NM108, Identification Code Qualifier, Page 27;

NM109, Patient Primary Identifier, Page 27;

The following rows were added to section 5.2, Companion Guide Tables – Page 29, below "IG Page" 168, "ID" PWK, "Name" CLAIM SUPPLEMENTAL INFORMATION:

PWK01, Attachment Report Type Code; Page 29;

PWK02, Attachment Transmission Code; Page 29;

PWK05, Identification Code Qualifier; Page 29;

PWK06, Attachment Control Number; Page 29;

PWK07, Attachment Description; Page 29;

Section 6.0 Attachments, Page 44, was updated

Changes were made to the following Appendix A, Table A.5.1 rows, identified by "Chapter Number", and "Chapter Description/Title" columns and page number:

- 4, Part B Hospital (Including Inpatient Hospital Part B and OPPS), Page 50;
- 9, Rural Health Clinics and Federal Qualified Health Centers, Page 51;
- 10, Home Health Agency Billing, Page 51;
- 14, Ambulatory Surgical Centers, Page 52.

Formatting and other changes were made to the following Appendix A, Table A.5.2 rows, identified by title and page number:

Children's Mental Health Crisis Response Services, Page 56;

Mental Health Targeted Case Management (MH-TCM), Page 56;

Children's Mental Health Residential Treatment Services, Page 57;

Intensive Residential Treatment Services (IRTS), Page 57;

Adult Day Treatment, Page 57;

CTSS Children's Day Treatment, Page 57;

Adult Rehabilitative Mental Health Services (ARMHS), Page 59;

A new row for "Intensive Mental Health Rehabilitation Services (IRMHS)" was added at the end of Table A.5.2, Page 59;

Changes were made to Appendix A, A.5.3 Table 3 – Substance Abuse Services, Page 60, identified by row title and page number:

Hospital-based inpatient ROOM AND BOARD, Page 60;

A new row, "Detox", was added following the row above, Page 60;

Rule 24 Option – Hospital-based inpatient room and board and treatment, Page 60;

A new row, "Rule 24 Option – Detox", was added following the row above, Page 60;

Non-hospital based inpatient residential program, ROOM AND BOARD, Page 60;

Non-hospital based inpatient residential DETOX SERVICES, Page 60;

Non-hospital based inpatient residential treatment program, TREATMENT component, Page 60.

Changes were made to Appendix D, K3 Segment Usage Instructions, Present on Admission Indicators - Page 65.

A new appendix, "Appendix E: Reporting MNCare and Sales Tax", was added, Page 66.

Throughout the entire Manual: Formatting and punctuation changes have been made to improve readability.

The following sections of the *Health Care Claim* – <u>Dental Electronic Transaction (837D)</u> have been modified and differ from the adopted rule published on June 16, 2008 (page numbers below are for the adopted rule published June 16, 2008):

Title page;

Contact For Further Information On This Companion Guide - Page 2;

Table of Contents – Page 3;

- 1.0 Companion Guide Revision History, Page 4;
- 2.0 Statement from the Minnesota Department of Health Page 5;
- 3.0 Statement from the Minnesota Administrative Uniformity Committee (MN-AUC) Page 7;
- 4.1.2 Applicability Page 8;
- 4.1.3 Scope Page 10;
- 4.1.4 Reference HIPAA Implementation Guide Page 10;
- $4.1.5.2\ Situational\ Loops,\ Segments,\ and\ Data\ Elements-Page\ 11;$
- 4.1.5.3 Segments and Data Elements Classified as Not Considered for Processing (NCFP) Page 11;
- 4.1.6 Addressing Code Set Issues in the Companion Guide Page 12;
- 4.2.3.4 Claim Attachments and Notes Page 18;
- 4.3 Process for Updating Companion Guide Document Page 20;
- 4.4 Minnesota Best Practices for the Implementation of Electronic Health Care Transactions Page 21;
- 5.1 Introduction to Table Page 22;
- 5.1.2 Table I, Page 23;

Changes were made to the following section 5.2, Companion Guide Tables rows, identified by "LOOP ID", and "Name" columns and page number:

```
2400, SALES TAX AMOUNT, Page 40;
```

The following rows were added to section 5.2, Companion Guide Tables, after "Loop ID" 2010BA, "Name" Subscriber Name – Page 27:

```
NM101, Entity Identifier Code, Page 27;
```

NM102, Entity Type Qualifier, Page 27;

NM103, Name Last or Organization Name, Page 27;

NM104, Name First, Page 27;

NM105, Name Middle, Page 27;

NM107, Name Suffix, Page 27;

NM108, Identification Code Qualifier, Page 27;

NM109, Identification Code, Page 27;

The following rows were added to section 5.2, Companion Guide Tables, after "Loop ID" 2010CA, "Name" Patient Name - Page 28:

NM101, Entity Identifier Code, Page 28;

NM102, Entity Type Qualifier, Page 28;

NM103, Name Last or Organization Name, Page 28;

```
NM104, Name First, Page 28;
```

NM105, Name Middle, Page 28;

NM107, Name Suffix, Page 28;

NM108, Identification Code Qualifier, Page 28;

NM109, Identification Code, Page 28;

The following rows were added to section 5.2, Companion Guide Tables, after "Loop ID" 2300, "Name" CLAIM SUPPLEMENTAL INFORMATION – Page 32;

PWK01, Report Type Code, Page 32;

PWK02, Report Transmission Code, Page 32;

PWK05, Identification Code Qualifier, Page 32;

PWK06, Identification Code, Page 32;

Section 6.0 Appendices, Page 42, was updated.

A new appendix, "Appendix D: Reporting MNCare and Sales Tax", was added, Page 46.

Throughout the entire Manual: Formatting and punctuation changes have been made to improve readability.

The following sections of the <u>NCPDP Pharmacy Claim – Submission and Response [NCPDP 5.1 (and the corresponding NCPDP 1.1)]</u> have been modified and differ from the adopted rule published on June 16, 2008 (page numbers below are for the adopted rule published June 16, 2008):

Title page;

Disclaimer - Page 2;

Contact For Further Information On This Companion Guide - Page 2;

Table of Contents, Page 3;

- 1.0 Companion Guide Revision History, Page 4;
- 2.0 Statement from the Minnesota Department of Health Page 5;
- 3.0 Statement from the Minnesota Administrative Uniformity Committee (MN-AUC) Page 7;
- 4.1.2 Applicability Page 8;
- 4.1.4 Reference HIPAA Implementation Guide Page 10;
- 4.1.5.2 Optional Segments and Fields Page 11;
- 4.1.5.3 Segments and Fields Classified as Not Considered for Processing (NCFP) Page 11;
- 4.1.6 Addressing Code Set Issues in the Companion Guide Page 12;
- 4.2 Process for Updating Companion Guide Document Page 13;
- 4.3 Minnesota Best Practices for the Implementation of Electronic Health Care Transactions Page 13;
- 4.4 General Introduction to the Companion Guide Tables Page 13;
- 4.4.1 Compressing Field Rows into Segment Rows Page 14;
- 4.4.2 Relationship Between Condition Given to Segments and Fields in the HIPAA Implementation Guide, and the Minnesota Usage Classification Given in the Companion Guide Page 14 and Section 4.4.2 Table 1, Page 15;

In Section 5.2, Companion Guide Table, Pages 16 – 37, the following columns were deleted:

The "Field Length" column was deleted from the header rows on all pages of section 5.2;

The "MN Usage Same as NCPDP IG" column was deleted from the header rows on all pages of section 5.2;

Changes, including changes in the display of zero values from "Ø" to "0", were made to the following section 5.2 table rows, identified by "Segment", "ID", and "Name" columns and page number:

Transaction Header, , , Page 16;

Header, 101-A1, BIN NUMBER, Page 16;

Header, 103-A3, Transaction Code, Page 17;

Header, 109-A9, TRANSACTION COUNT, Page 17;

Header, 202-B2, SERVICE PROVIDER ID QUALIFIER, Page 17;

Patient, , , Page 17;

Patient, 304-C4, DATE OF BIRTH, Page 18;

Patient, 305-C5, PATIENT GENDER CODE, Page 18;

```
Patient, 311-CB, PATIENT LAST NAME, Page 18;
Patient, 322-CM, PATIENT STREET ADDRESS, Page 18;
Patient, 323-CN, PATIENT CITY ADDRESS, Page 18;
Patient, 324-CO, PATIENT STATE / PROVINCE ADDRESS, Page 18;
Patient, 325-CP, PATIENT ZIP/POSTAL ZONE, Page 19;
Patient, 326-CQ, PATIENT PHONE NUMBER, Page 19;
Patient, 333-CZ, Employer ID, Page 19;
Insurance, , , Page 19;
Insurance, 306-C6, PATIENT RELATIONSHIP CODE, Page 21;
Claim, , , Page 21;
Claim, 436-E1, PRODUCT/ SERVICE ID QUALIFIER, Page 21;
Claim, 456-EN, ASSOCIATED PRESCRIPTION/ SERVICE REFERENCE #, Page 21;
Claim, 457-EP, ASSOCIATED PRESCRIPTION/ SERVICE DATE, Page 21;
Claim, 406-D6, COMPOUND CODE, Page 22;
Claim, 414-DE, DATE PRESCRIPTION WRITTEN, Page 22;
Claim, 415-DF, NUMBER OF REFILLS AUTHORIZED, Page 23;
Claim, 419-DJ, PRESCRIPTION ORIGIN CODE, Page 23;
Claim, 454-EK, SCHEDULED PRESCRIPTION ID NUMBER, Page 24;
Claim, 418-D1, Level of Service, Page 24;
Prescriber, , , Page 26;
Prescriber, 467-1E, Prescriber Location Code, Page 26;
Prescriber, 469-H5, Primary Care Provider Location Code, Page 27;
COB and Other Payments, , , Page 27;
COB/Other Payments, 337-4C, COORDINATION OF BENEFITS/OTHER PAYMENTS COUNT, Page 28;
COB/Other Payments, 338-5C, OTHER PAYER COVERAGE TYPE, Page 28;
COB/Other Payments, 339-6C, OTHER PAYER ID QUALIFIER, Page 28;
COB/Other Payments, 341-HB, OTHER PAYER AMOUNT PAID COUNT, Page 28;
COB/Other Payments, 431-DV, OTHER PAYER AMOUNT PAID, Page 29;
COB/Other Payments, 471-5E, OTHER PAYER REJECT COUNT, Page 29;
DUR/PPS, , , Page 29;
DUR/PPS, 473-7E, DUR/PPS CODE COUNTER, Page 30;
DUR/PPS, 476-H6, DUR CO-AGENT ID, Page 31;
Pricing, , , Page 31;
Pricing, 409-D9, INGREDIENT COST SUBMITTED, Page 31;
Pricing, 412-DC, DISPENSING FEE SUBMITTED, Page 32;
Pricing, 477-BE, PROFESSIONAL SERVICE FEE SUBMITTED, Page 32;
Pricing, 433-DX, PATIENT PAID AMOUNT SUBMITTED, Page 32;
Pricing, 438-E3, INCENTIVE AMOUNT SUBMITTED, Page 32;
Pricing, 479-H8, OTHER AMOUNT CLAIMED SUBMITTED QUALIFIER, Page 32;
Pricing, 481-HA, FLAT SALES TAX AMOUNT SUBMITTED, Page 33;
Pricing, 484-JE, PERCENTAGE SALES TAX BASIS SUBMITTED, Page 33;
Pricing, 430-DU, GROSS AMOUNT DUE, Page 34;
Compound, , , Page 34;
Compound, 447-EC, COMPOUND INGREDIENT COMPOUND COUNT, Page 35;
Clinical, , , Page 36;
Clinical, 491-VE, DIAGNOSIS CODE COUNT, Page 36;
```

In Section 6.2, Companion Guide Table, Pages 38 – 45, the following columns were deleted:

The "Field Length" column deleted from the header rows on all pages of section 6.2;

The "MN Usage Same as NCPDP IG" column was deleted from the header rows on all pages of section 6.2;

Changes were made to all section 6.2 table rows to indicate that they pertain to the NCPDP Pharmacy Claim Response Transaction;

Additional changes, including changes in the display of zero values from "Ø" to "0", were made to section 6.2 table rows, identified by

```
"Segment", "ID", and "Name" columns and page number:
        Header, , , Page 38;
        [Added: Response Message, , , Page 38;]
        Insurance, , , Page 38;
        Insurance, 301-C1, GROUP ID, Page 38;
        Insurance, 545-2F, NETWORK REIMBURSE-MENT ID, Page 39;
        Status, 503-F3, AUTHORIZATION NUMBER, Page 39;
        Status, 510-FA, REJECT COUNT, Page 39;
        Status, 546-4F, REJECT FIELD OCCURRENCE INDICATOR, Page 40;
        Status, 550-8F, HELP DESK PHONE NUMBER, Page 40;
        Claim, , , Page 40;
        Pricing, , , Page 40;
        Pricing, 505-F5, PATIENT PAY AMOUNT, Page 41;
        Pricing, 506-F6, INGREDIENT COST PAID, Page 41;
        Pricing, 507-F7, DISPENSING FEE PAID, Page 41;
        Pricing, 557-AV, TAX EXEMPT INDICATOR, Page 41;
        Pricing, 558-AW, FLAT SALES TAX PAID, Page 41;
        Pricing, 560-AY, PERCENTAGE SALES TAX RATE PAID, Page 42;
        Pricing, 561-AZ, PERCENTAGE SALES TAX BASIS PAID, Page 42;
        Pricing, 509-F9, TOTAL AMOUNT PAID, Page 43;
        Pricing, 523-FN, AMOUNT ATTRIBUTED TO SALES TAX, Page 43;
        Pricing, 512-FC, ACCUMULATED DEDUCTIBLE AMOUNT, Page 44;
        Pricing, 513-FD, REMAINING DEDUCTIBLE AMOUNT, Page 44;
        Pricing, 514-FE, REMAINING BENEFIT AMOUNT, Page 44;
        Pricing, 517-FH, AMOUNT APPLIED TO PERIODIC DEDUCTIBLE, Page 44;
        Pricing, 520-FK, AMOUNT EXCEEDING PERIODIC BENEFIT MAXIMUM, Page 45;
        Pricing, 346-HH, BASIS OF CALCULATION—DISPENSING FEE, Page 45;
        Pricing, 347-HJ, BASIS OF CALCULATION—COPAY, Page 45;
        Pricing, 348-HK, BASIS OF CALCULATION—FLAT SALES TAX, Page 45;
        Pricing, 349-HM, BASIS OF CALCULATION—PERCENTAGE SALES TAX, Page 45
        DUR/PPS, , , Page 45.
```

Throughout the entire Manual: Formatting and punctuation changes have been made to improve readability.

The following sections of the <u>NCPDP Pharmacy Claim – Reversal Submission and Response [NCPDP 5.1 (and the corresponding NCPDP 1.1)]</u> have been modified and differ from the adopted rule published on June 16, 2008 (page numbers below are for the adopted rule published June 16, 2008):

```
Title page;
Disclaimer – Page 2;
```

Contact For Further Information On This Companion Guide - Page 2;

- 1.0 Companion Guide Revision History Page 4;
- 2.0 Statement from the Minnesota Department of Health Page 5;
- 3.0 Statement from the Minnesota Administrative Uniformity Committee (MN-AUC) Page
- 4.1.2 Applicability Page 8;
- 4.1.4 Reference HIPAA Implementation Guide Page 10;
- 4.1.5.2 Optional Segments and Fields, Page 11;
- 4.1.5.3 Segments and Fields Classified as Not Considered for Processing (NCFP) Page 11;
- 4.1.6 Addressing Code Set Issues in the Companion Guide Page 12;
- 4.2 Process for Updating Companion Guide Document Page 13;
- 4.3 Minnesota Best Practices for the Implementation of Electronic Health Care Transactions Page 13;
- 4.4 General Introduction to Companion Guide Tables, Page 13;
- 4.4.1 Compressing Field Rows into Segment Rows Page 14;
- 4.4.2 Relationship Between Condition Given to Segments and Fields in the NCPDP Telecommunication Implementation Guide and the Minnesota Usage Classification Given in the Companion Guide, Page 14 and Section 4.4.2 Table 1, Page 15;

In Section 5.2, Companion Guide Table, Pages 16 – 19, the following columns were deleted:

The "Field Length" column deleted from the header rows on all pages of section 5.2;

The "MN Usage Same as NCPDP IG" column was deleted from the header rows on all pages of section 5.2;

Changes, including changes in the display of zero values from "Ø" to "0", were made to the following section 5.2 table rows, identified by "Segment", "ID", and "Name" columns and page number:

```
Header, 101-A1, BIN NUMBER, Page 16;
Header 101-A3, Transaction Code, Page
Header, 202-B2, SERVICE PROVIDER ID QUALIFIER, Page 17;
Patient, , , Page 17;
Patient, 304-C4, DATE OF BIRTH, Page 18;
Patient, 305-C5, PATIENT GENDER CODE, Page 18;
Patient, 310-CA, PATIENT FIRST NAME, Page 18;
Patient, 322-CM, PATIENT STREET ADDRESS, Page 18;
Patient, 323-CN, PATIENT CITY ADDRESS, Page 18;
Patient, 324-CO, PATIENT STATE / PROVINCE ADDRESS, Page 18;
Patient, 325-CP, PATIENT ZIP/POSTAL ZONE, Page 18;
Patient, 326-CQ, PATIENT PHONE NUMBER, Page 18;
Patient, 333-CZ, EMPLOYER ID, Page 19.
Insurance, , , Page 19;
Claim, , , Page 19;
DUR/PPS, , , Page 19;
```

In Section 6.2 Companion Guide Table, Pages 20 – 22, the following columns were deleted:

[Added Pricing, , , Page 19]

The "Field Length" column was deleted from the header rows on all pages of section 6.2;

The "MN Usage Same as NCPDP IG" column was deleted from the header rows on all pages of section 6.2;

Changes were made to all section 6.2 table rows to indicate that they pertain to the NCPDP Pharmacy Claim Reversal Response Transaction;

Additional changes, including changes in the display of zero values from "Ø" to "0", were made to section 6.2 table rows, identified by "Segment", "ID", and "Name" columns and page number:

```
Header, , , Page 20;
Header, 102-A2, VERSION/RELEASE NUMBER, Page 20;
Header, 103-A3, TRANSACTION CODE, Page 20;
Header, 109-A9, TRANSACTION COUNT, Page 20;
Header, 501-F1, HEADER RESPONSE STATUS, Page 20;
Header, 202-B2, SERVICE PROVIDER ID QUALIFIER, Page 20;
Header, 201-B1, SERVICE PROVIDER ID, Page 21;
Header, 401-D1, DATE OF SERVICE, Page 21;
[Added Response Message, , , Page 21];
Status, , , Page 21;
Status, 112-AN, TRANSACTION RESPONSE STATUS, Page 21;
Status, 503-F3, AUTHORIZATION NUMBER, Page 21;
Status, 510-FA, REJECT COUNT, Page 22;
Status, 546-4F, REJECT FIELD OCCURRENCE INDICATOR, Page, 21;
Status, 550-8F, HELP DESK PHONE NUMBER, Page 22;
Claim, , , Page 22.
```

Throughout the entire Manual: Formatting and punctuation changes have been made to improve readability.

# Minnesota Higher Education Facilities Authority (MHFA) Notice of Public Hearing on Revenue Obligations on Behalf of the University of St. Thomas

**NOTICE IS HEREBY GIVEN** that a public hearing will be held by the Minnesota Higher Education Facilities Authority (the "Authority") with respect to a proposal to issue revenue bonds or other obligations on behalf of the University of St. Thomas (the "University"), as owner and operator of the University of St. Thomas, at the Grand Room of the Embassy Suites Hotel, 175 East Tenth Street, St. Paul, Minnesota, on Wednesday, April 8, 2009, at 1:00 p.m. Under the proposal, the Authority would issue its revenue bonds or other obligations in the maximum principal amount of \$63,000,000 to finance a project (the "Project") consisting of site preparation for, and construction and equipping of a three-level, approximately 180,000 square foot athletic and recreation complex for students, faculty and staff, to be owned and operated by the University and located on the block bounded by Summit, Cleveland, Selby and Cretin Avenues on the University's St. Paul campus, the principal street address of which is 2115 Summit Avenue, St. Paul, Minnesota.

At said time and place the Authority shall give all parties who appear or have submitted written comments an opportunity to express their views with respect to the proposal to undertake and finance the Project.

Dated: March 23, 2009 By Order of the

Minnesota Higher Education Facilities Authority
Marianne Remedios, Executive Director

### **State Board of Investment**

### Notice to Institutional Investment Management Firms for Consideration to Potentially Manage a Portion of the Pension Assets and Other Accounts

The Minnesota State Board of Investment (MSBI) retains institutional investment management firms to manage a portion of the pension assets and other accounts under its control. Periodically, the MSBI will conduct a search for institutional investment management firms on an as needed basis. For additional information on the domestic stock, international stock, or domestic bond portfolio programs for the MSBI, firms are asked to write to the following address for additional information:

External Manager Program

Minnesota State Board of Investment

60 Empire Drive, Suite 355 St. Paul, MN 55103-3555

Tel.: (651) 296-3328 Fax: (651) 296-9572 E-mail: minn.sbi@state.mn.us

Please refer to this notice in your written request.

## Department of Labor and Industry (DOLI)

### **Labor Standards Unit**

# Notice of Addition to Commercial Prevailing Wage Rates for Asbestos Abatement Workers in Various Counties

Additional rates have been added to the Commercial Prevailing Wage Rates certified 01/20/09, for **Labor Code 435**, Asbestos Abatement Worker in Beltrami, Carlton, Clay, Clearwater, Cook, Crow Wing, Itasca, Kittson, Koochiching, Lake, Lake of the Woods, Mahnomen, Marshall, Morrison, Mower, Murray, Nobles, Norman, Pennington, Polk, Red Lake, Roseau, and St Louis Counties.

Copies with the added certified wage rates for this Region or these Counties may be obtained by writing the Minnesota Department of Labor and Industry, Prevailing Wage Section, 443 Lafayette Road North, St. Paul, Minnesota 55155-4306, or by calling (651) 284-5091, or accessing our web site at *www.doli.state.mn.us*. Charges for the cost of copying and mailing are \$.25 per page for the first 100 pages, \$.65 per page after that. Make check or money order payable to the State of Minnesota.

Steve Sviggum Commissioner Department of Labor & Industry

# Metropolitan Airports Commission Notice of Public Hearing on Commercial Vehicle Ordinance

**NOTICE IS HEREBY GIVEN** that on the 8<sup>th</sup> day of April 2009, at 1:00 p.m. in Room 3040, at West Mezzanine, Lindbergh Terminal World-Chamberlain Field, the Metropolitan Airports Commission will hold a public hearing of the Management and Operations Committee to receive verbal and written testimony relative to proposed amendments to Ordinance No .93.

#### COMMERCIAL VEHICLE ORDINANCE

An Ordinance to promote and conserve public safety, health, peace, convenience and welfare and to provide for the equitable allocation of the costs of establishing and maintaining ground transportation facilities at the Airport; to manage the operation of various forms of ground transportation at the Airport in recognition of limited roadway capacity; to regulate the operation of all Commercial Vehicles at Minneapolis-St. Paul International Airport, a public airport under the operation, direction and control of the Metropolitan Airports Commission.

Copies of the proposed Ordinance can be found under the Management and Operations Committee meeting agenda and materials for the April 8, 2009 meeting at: <a href="https://www.mspairport.com/mac/meetings/mo.aspx">www.mspairport.com/mac/meetings/mo.aspx</a> approximately one week prior to the hearing, or can be obtained earlier by contacting Tammy Rader at (612) 794-4466 or: <a href="maintain-tammy.rader@mspmac.org">tammy.rader@mspmac.org</a>.

Written and verbal comments will be accepted at the public hearing. Written comments will also be accepted at the below address until 4:00 p.m. on Friday, April 17 2009:

Metropolitan Airports Commission Attn: Legal Department General Office 6040 28<sup>th</sup> Avenue South Minneapolis, MN 55450-2799

Dated this 16th day of March 2009

Mr. Jeffrey W. Hamiel, Executive Director Metropolitan Airports Commission

### **Minnesota Pollution Control Agency**

**Regional Division** 

Notice of Availability of Draft Lower Vermillion River Watershed Turbidity Total Maximum Daily Load and Request for Comment

Public Comment Period Begins: March 23, 2009 Public Comment Period Ends: April 22, 2009

The Minnesota Pollution Control Agency (MPCA) is requesting comments on the Lower Vermillion River Turbidity Total Maximum Daily Load (TMDL) Assessment. The aquatic life use of the Lower Vermillion River (LVR) Watershed is impaired because it does not meet state water quality standards for turbidity. The draft TMDL Report is available for review at:

http://www.pca.state.mn.us/water/tmdl/tmdl-draft.html

Written comments on the draft TMDL Report must be sent to the MPCA contact person listed below by 4:30 P.M., on the date the public comment period ends. The MPCA will prepare responses to comments received, make any necessary revisions of the draft TMDL Report, and submit it to the U.S. Environmental Protection Agency (EPA) for approval.

The Vermillion River Watershed is located southeast of the Twin Cities metropolitan area. The Vermillion River travels approximately 59 miles from its headwaters in southeastern Scott County near New Market, to the confluence with the Mississippi River south of Lock and Dam Three. The Watershed drains nearly 356 square miles and consists of 17 subwatersheds. At the falls in Hastings, the river drops 90 feet to join the floodplain of the Mississippi River. The shared floodplain is known as the Vermillion River Bottoms. On this alluvial floodplain, the LVR parallels the Mississippi River for approximately 20 miles before joining it just downstream from Lock and Dam Three near Red Wing. The flow of the LVR is largely affected by flow in both the Mississippi and Cannon Rivers.

Both point and nonpoint sources of pollution contribute to LVR turbidity impairment. Point sources refer to pollutants routed through a specific and identifiable conveyance, like a pipe. Nonpoint sources refer to pollutants that travel to surface waters via overland runoff or from near-channel erosion. Turbidity levels can be elevated by erosion from fields and construction sites, urban runoff from precipitation, eroding streambanks, action of bottom-feeding organisms, such as carp, and excessive algal growth.

The LVR Watershed Turbidity TMDL is being developed in collaboration with the MPCA, MN Department of Natural Resources, Army Corps of Engineers, Metropolitan Council, Dakota County, the Dakota County Soil and Water Conservation District, the Vermillion River Joint Powers Organization and Tetra Tech, the project's technical consultants.

There were multiple public and stakeholder meetings held at various locations in the Lower Vermillion River Watershed; the most recent was on March 19, 2008, at the public library in Hastings.

Agency Contact Person: Written comments and requests for more information should be directed to:

Justin Watkins

MPCA – Southeast Region 18 Wood Lake Drive SE Rochester, MN 55904

**Phone:** (507) 281-7763; 1-800-657-3864

**Fax:** (507) 280-5513

E-mail: justin.watkins@pca.state.mn.us

TTY users may call the MPCA teletypewriter at (651) 282-5332 or 1-800-657-3864.

**Preliminary Determination on the draft TMDL Report:** The MPCA Commissioner has made a preliminary determination to submit this TMDL Report to the EPA for final approval. A draft TMDL Report and fact sheet are available for review at the MPCA office at the address listed below and at the MPCA Website: http://www.pca.state.mn.us/water/tmdl/tmdl-draft.html. Suggested changes will be considered before the final TMDL Report is sent to the EPA for approval.

**Written Comments:** You may submit written comments on the conditions of the draft TMDL Report or on the Commissioner's preliminary determination.

Written comments must include the following:

- 1. A statement of your interest in the draft TMDL Report;
- 2. A statement of the action you wish the MPCA to take, including specific references to sections of the draft TMDL that you believe should be changed; and
- 3. The reasons supporting your position, stated with sufficient specificity as to allow the Commissioner to investigate the merits of your position.

**Petition for Public Informational Meeting:** You also may request that the MPCA Commissioner hold a public informational meeting. A public informational meeting is an informal meeting that the MPCA may hold to solicit public comment and statements on matters before the MPCA, and to help clarify and resolve issues.

A petition requesting a public informational meeting must include the following information:

- 1. A statement identifying the matter of concern;
- 2. The information required under items 1 through 3 of "Written Comments," identified above;
- 3. A statement of the reasons the MPCA should hold a public informational meeting; and
- 4. The issues that you would like the MPCA to address at the public informational meeting.

**Petition for Contested Case Hearing:** You also may submit a petition for a contested case hearing. A contested case hearing is a formal evidentiary hearing before an administrative law judge. In accordance with *Minnesota Rules* 7000.1900, the MPCA will grant a petition to hold a contested case hearing if it finds that: (1) there is a material issue of fact in dispute concerning the application or draft TMDL Report; (2) the MPCA has the jurisdiction to make a determination on the disputed material issue of fact; and (3) there is a reasonable basis underlying the disputed material issue of fact or facts such that the holding of the contested case hearing would allow the introduction of information that would aid the MPCA in resolving the disputed facts in making a final decision on the draft TMDL Report. A material issue of fact means a fact question, as distinguished from a policy question, whose resolution could have a direct bearing on a final MPCA decision.

A petition for a contested case hearing must include the following information:

- 1. A statement of reasons or proposed findings supporting the MPCA decision to hold a contested case hearing according to the criteria in *Minnesota Rules* 7000.1900, as discussed above; and
- 2. A statement of the issues proposed to be addressed by a contested case hearing and the specific relief requested or resolution of the matter.

In addition and to the extent known, a petition for a contested case hearing should also include the following information:

- 1. A proposed list of prospective witnesses to be called, including experts, with a brief description of proposed testimony or summary of evidence to be presented at a contested case hearing;
- 2. A proposed list of publications, references, or studies to be introduced and relied upon at a contested case hearing; and
- 3. An estimate of time required for you to present the matter at a contested case hearing.

**MPCA Decision:** You may submit a petition to the Commissioner requesting that the MPCA Citizens' Board consider the TMDL Report approval. To be considered timely, the petition must be received by the MPCA by 4:30 p.m. on the date the public comment period ends, identified on page 1 of this notice. Under the provisions of *Minnesota Statutes* § 116.02, subd 6(4), the decision whether to submit the TMDL Report and, if so, under what terms will be presented to the Board for decision if: (1) the Commissioner grants the petition requesting the matter be presented to the Board; (2) one or more Board members request to hear the matter before the time the Commissioner makes a final decision on the TMDL Report; or (3) a timely request for a contested case hearing is pending. You may participate in the activities of the MPCA Board as provided in *Minnesota Rules* 7000.0650.

The written comments, requests, and petitions submitted on or before the last day of the public comment period will be considered in the final decision on this TMDL Report. If the MPCA does not receive written comments, requests, or petitions during the public comment period, MPCA staff as authorized by the Board, will make the final decision on the draft TMDL Report.

# Teachers Retirement Association (TRA) Notice of Meeting of the Board of Trustees April 14, 2009

The Board of Trustees, Minnesota Teachers Retirement Association will hold a meeting on Tuesday, April 14, 2009 at 9:30 a.m. in Suite 400, 60 Empire Drive, St. Paul, MN to consider matters which may properly come before the Board.

# **State Grants & Loans**

In addition to requests by state agencies for technical/professional services (published in the State Contracts Section), the *State Register* also publishes notices about grants and loans available through any agency or branch of state government. Although some grant and loan programs specifically require printing in a statewide publication such as the *State Register*, there is no requirement for publication in the *State Register* itself. Agencies are encouraged to publish grant and loan notices, and to provide financial estimates as well as sufficient time for interested parties to respond.

### **Applying for Grants**

Have you checked up on all the "active" state grants? Only for *State Register* subscribers is a "Contracts & Grants" section available that lists all grants and contracts open for bid. Open the *State Register* and click on Bookmarks in the upper left corner. You will see a list of ALL the current rules, with an INDEX, and previous years' indices, as well as a list of all contracts and grants open for bid. Subscribe and receive LINKS to the *State Register*. You also receive Subscriptions cost \$180 a year (an \$80 savings). Here's what you receive via e-mail:

- Word Search Capability
- Updates to Index to Vol. 31
- LINKS, LINKS, LINKS
- "Contracts & Grants" Open for Bid
- · Easy Access to State Register Archives

- Early delivery, on Friday
- E-mailed to you . . . its so easy
- Indexes to Vols. 31, 30, 29, 28 and 27

It's all E-mailed to you, at end-of-day on Friday, instead of waiting for the non-subscriber's issue released on Monday. Contact Cathy Hoekstra, our subscriptions manager, at (651) 297-8777, or Fax: (651) 297-8260, or E-mail: cathy.hoekstra@state.mn.us

# Minnesota Department of Employment and Economic Development (DEED)

**Rehabilitation Services** 

### **Proposals Sought for Innovation in Transition-aged Youth Employment**

On behalf of a consortium of Minnesota state agencies, the Department of Employment and Economic Development (DEED) seeks proposals from qualified responders to develop, operate and assess a pilot project with an innovative approach to job placement and career development for transition-aged youth with significant disabilities. Proposals may be submitted by any public or private organization on behalf of a partnership. The term of any resulting contract is anticipated to be for 18 months. No optional contract extensions are anticipated.

DEED anticipates awarding up to five grants. DEED anticipates that grants may range from about \$50,000 to \$100,000 each, depending upon the scope of proposed project activity and the extent of proposed project evaluation.

The full Request for Proposals, including background and instructions, can be found at the DEED website at: http://www.deed.state.mn.us/rehab

Questions can be sent to Jeanne Berg at: Jeanne.Berg@state.mn.us

Proposals must be physically received by the Department of Employment and Economic Development (DEED) at First National Bank Building, 332 Minnesota St., First National Bank Building, St. Paul, MN 55101-1351, by mail or hand delivered, no later than 4:30 p.m. (Central Time) on Monday, April 27, 2009.

# Minnesota Pollution Control Agency (MPCA) Request for Proposals for Minnesota Clean Diesel Campaign Grants

#### **Project Overview:**

The Minnesota Pollution Control Agency (MPCA) is publishing this Request for Proposals (RFP) to provide potential applicants

### State Grants & Loans

with information, procedures, and application materials, regarding competitive application for the MPCA's Minnesota Clean Diesel Campaign Grants administered under Minnesota Statute § 116.03, subd. 2.

The MPCA is currently accepting applications in the following topic area:

Protecting human health and the environment by reducing the risk of toxic air pollutants from diesel emissions through diesel retrofits to school buses.

Diesel emission reduction efforts address the following MPCA Strategic Plan Goals and Objectives:

- A.1 Minnesota's outdoor air will meet or improve upon all environmental and human health-related federal and state ambient
  air quality standards.
  - ☐ Objective A1c) Reduce direct man-made emissions of fine particulate (PM2.5); and,
  - ☐ Objective A1d) Reduce overall emissions in Minnesota of volatile organic compounds.
- · A.3 Minnesota reduces its contribution to regional, national, and global air pollution.
  - Objective A3b) Reduce greenhouse gas emissions in Minnesota by 15 percent of 2005 levels.

The MPCA currently has \$1.2 million to fund grants to coordinate installation of diesel retrofits on school buses statewide, including buses for preschool children. These funds will be awarded to the organization or organizations best able to address the objectives of this competitive RFP.

For a copy of the Request for Proposal contact:

Lynette Podritz, Management Analyst Minnesota Pollution Control Agency 520 Lafayette Road North St Paul, MN 55155-4194

**Phone:** (651) 757-2653 **Fax:** (651) 297-8676

E-mail: contracts@pca.state.mn.us

Responders are encouraged to supply an email address and to receive the RFP electronically. The subject line of the email request should state Minnesota Clean Diesel Campaign Grants RFP CR 2388.

Proposal Due Date and Time: The due date for responses to this funding notice is no later than 2:30 p.m. CDST, on Thursday, April 16, 2009.

#### **Questions:**

The opportunity for pre-proposal/application assistance is available on an equal basis to all potential applicants through April 3, 2009. Prospective applicants, who have questions regarding the application or submittal of the application/proposal, should contact Lynette Podritz, Contract Specialist, via e-mail at: contracts@pca.state.mn.us.

Other personnel are NOT AUTHORIZED to discuss this request for proposal with proposers before the proposal submission deadline. Contact regarding this RFP with any personnel not listed above could result in disqualification.

Pre-proposal/-application assistance may include helping potential applicants determine whether the applicant itself or the applicant's proposed project is eligible for funding, assisting potential applicants with administrative issues relating to the submission of a proposal/application, and responding to requests for clarification of the announcement. Clarifications that result in changes to the funding announcement will be communicated to potential applicants.

Responses to questions that exceed the scope included in the paragraph above but do not rise to the level of making formal clarifications to the RFP will be communicated to all potential applicants.

Regardless of MPCA availability to answer pre-proposal/application questions, applicants are solely responsible for the content and submission of their proposal/application, and receiving information and assistance from MPCA does not guarantee funding.

### State Grants & Loans=

### **Department of Transportation (Mn/DOT)**

# Notice of Availability of Contract(s) for State Transportation Employment Program (STEP) Grant

The Minnesota Department of Transportation is requesting proposals for the purpose of providing a trusted source of qualified women and minority job seekers for the highway heavy construction industry. Mn/DOT, with support from the Federal Highway Agency (FHWA), is committed to assisting contractors in building a well-trained and diverse workforce. A key component in ensuring the success of this mission is to develop reliable sources of labor for the contractors who are required to train individuals and employ them on federally funded Mn/DOT projects.

Work is proposed to start after April 27, 2008.

A copy of the Request for Proposal is available by mail through April 10, 2008. **A written request (by direct mail or fax) is required to receive the Request for Proposals.** After April 10, 2008, the Request for Proposals must be picked up in person.

The Request for Proposals can be obtained from:

Contract Administrator Minnesota Department of Transportation Office of Civil Rights 395 John Ireland Blvd., MS 170 St. Paul, MN 55155

Phone: (651) 366-3015 Fax: (651) 366-3129

Proposals submitted in response to the Request for Proposals in this advertisement must be received at the address above no later than 4:30 P.M. Central Daylight Time, **Friday**, **April 17**, **2008**. Late proposals will not be considered. No time extensions will be granted.

This request does not obligate the State to complete the work contemplated in this notice. The State reserves the right to cancel this solicitation. All expenses incurred in responding to this notice are solely the responsibility of the proposer.

**Informal Solicitations:** Informal solicitations for professional/technical (consultant) contracts valued at over \$5,000 through \$50,000, may either be published in the *State Register* or posted on the Department of Administration, Materials Management Division's (MMD) Web site. Interested vendors are encouraged to monitor the P/T Contract Section of the MMD Web site at <a href="https://www.mmd.admin.state.mn.us">www.mmd.admin.state.mn.us</a> for informal solicitation announcements.

**Formal Solicitations:** Department of Administration procedures require that formal soliciations (announcements for contracts with an estimated value over \$50,000) for professional/technical contracts must be published in the *State Register*. Certain quasi-state agency and Minnesota State College and University institutions are exempt from these requirements.

**Requirements:** There are no statutes or rules requiring contracts to be advertised for any specific length of time, but the Materials Management Division strongly recommends meeting the following requirements:

\$0 - \$5000 does not need to be advertised. Contact the Materials Management Division: (651) 296-2600 \$5,000 - \$25,000 should be advertised in the *State Register* for a period of at least seven calendar days; \$25,000 - \$50,000 should be advertised in the *State Register* for a period of at least 14 calendar days; and anything above \$50,000 should be advertised in the *State Register* for a minimum of at least 21 calendar days

### **Help with State Contracts**

A summarized "Contracts & Grants" section lists **all contracts and grants** currently open for bid. It is available **only to subscribers** to the *State Register*. Subscribers also receive LINKS to the *State Register*, as well as Bookmarks. Subscribers also receive a growing INDEX to each volume, including the current issue, as well as indices to previous volumes. Here's what you receive via e-mail:

- Word Search Capability
- Updates to Index to Vol. 31
- LINKS, LINKS, LINKS
- "Contracts & Grants" Open for Bid
- Easy Access to State Register Archives

- · Early delivery, on Friday
- E-mailed to you . . . its so easy
- Indexes to Vols. 31, 30, 29, 28 and 27

Subscriptions cost \$180 a year (an \$80 savings). It's all E-mailed to you, at end-of-day on Friday, instead of waiting for the non-subscriber's issue released on Monday. Contact Cathy Hoekstra, our subscriptions manager, at (651) 297-8777, or **Fax:** (651) 297-8260, or **E-mail:** *cathy.hoekstra@state.mn.us* 

### Minnesota State Colleges and Universities (MnSCU)

Office of the Chancellor

**Information Technology Services** 

Request for Proposals for Identifying an Identity and Access Management Vendor to Provide IdM/IAM Software to the MnSCU Office of the Chancellor and all Campuses

MnSCU has decided to establish an Identity and Access Management (IAM) production environment intended to improve access and access management related to computing resources throughout the entire MnSCU system. MnSCU intends to establish a long-term contract for use of IAM software from the successful responder. The resulting contract should provide the basic toolset to enable MnSCU to serve all computing users – past, present, and future; regardless of their affiliation and/or campus association.

**Department:** Minnesota State Colleges and Universities, Office of the Chancellor

Sealed Proposals for: Identity and Access Management Software

Will be received by: Dale Johnson, Office Manager, Information Technology Services

Office of the Chancellor, MnSCU

Wells Fargo Place

30 7th Street East, Suite 350 St. Paul, MN 55101-7804

All inquiries about this RFP must be directed to:

Dr. Ken Brumbaugh, System Director

Identity Management

Telephone: (651) 201-1429

E-mail: ken.brumbaugh@csu.mnscu.edu

All responses to this RFP must be received no later than: 3:00 p.m. (Central Daylight Saving Time), April 6, 2009.

For a complete copy of the RFP and required submission materials, please visit website: http://its.mnscu.edu/iamrfp/.

# Metropolitan State University (MnSCU) Metropolitan State University Request for Proposals for Operation of Campus Bookstore

**NOTICE IS HEREBY GIVEN** that proposals will be received by the Director of Purchasing, Metropolitan State University, until 3:00 pm, April 14<sup>th</sup> 2009, for the purpose of the Operation of Campus Bookstore according to the specifications on file at Metropolitan State University 700 East 7<sup>th</sup> Street, Saint Paul, MN 55106.

Institution: Metropolitan State University

Name: Dave Peasley

Title: Purchasing Coordinator/Buyer

Mailing Address: 700 East 7th Street, Founders Hall, Room 329

Saint Paul, MN 55106

Sealed proposals can be hand delivered to the cashiers' window at Founders Hall, Room 327 on the Saint Paul Campus or mailed at the above address. Proposals received after this date and time will be rejected and returned unopened.

Faxed or electronic proposals are not accepted. This request for proposal does not obligate the State to complete the proposal project and the state reserves the right to cancel the solicitation process if it is considered to be in its best interest.

Specifications and Request for Proposal forms may be obtained from the Director of Safety and Security at the address or e-mail shown below. There is no plan for a pre-proposal conference at this time.

For further information contact:

Thomas Maida

Title: Safety and Security Director

Address: 700 East 7th Street

Saint Paul MN 55106

Telephone: (651) 793-1725 Fax: (651) 793-1718

E-mail address: thomas.maida@metrostate.edu

# Minnesota State Colleges and Universities (MnSCU) Minneapolis Community and Technical College Sealed Bids Sought for Server Room Cooling Upgrades

**Sealed Bids for:** Server Room Cooling Upgrades

Technology Building

Minneapolis Community and Technical College

Minneapolis, MN

will be received by: Valerie Frolov

Minneapolis Community and Technical College

1501 Hennepin Ave

Suite T0600

Minneapolis, Minnesota 55403

Until 2:00 PM, local time, April 7th, 2009 at which time the bids will be received and evaluated.

#### **Project Scope:**

Cooling system upgrades for the Server Room located in the Technology Building on the Minneapolis Community & Technical College main campus. Mechanical work will include replacement and upgrading of the Server Room dedicated cooling units with new data room cooling units and associated air cooled condensing units, new cooling air distribution ductwork, associated refrigerant piping, condensate piping and VAV Box and temperature sensor relocation. Electrical work will include electrical connections to existing panel boards to serve the new cooling equipment. Disconnection and demolition of the existing mechanical and electrical equipment is also included, and special care will be required to properly coordinate work with no cooling system downtime. Refer to the Project Plans and Specifications for additional information and requirements.

A Mandatory Pre-Bid Meeting will be held at Minneapolis Community and Technical College, Room T0600, Thursday, March 26, 2008, 10:00 AM. The Engineer and/or College/University Representatives will review the bidding procedures, Bidding Documents and other conditions with interested Bidders and answer questions.

Bid Forms, Contract Documents and Specifications as prepared by the Project Engineer, **Robert Lowe**; **Paulson and Clark Engineering, Inc.**, are on file at the office of the above named Project Engineer. Complete sets only of Bid Forms and Specifications for use by Bidders in submitting a bid may be obtained at the following address:

Paulson & Clark Engineering, Inc. 2352 East County Road J. White Bear Lake, Minnesota 55110 Phone: (651) 407-6056

# Minnesota State Colleges and Universities (MnSCU) Minnesota State College Southeast Technical Request for Proposal for Ground Sign

Minnesota State College Southeast Technical is requesting proposals for a ground sign.

A copy of the Request For Proposal may be obtained by contacting Michael Kroening at MSC Southeast Technical, 1250 Homer Road, Winona, MN 55987; Phone: (507) 453-2752 or by e-mail: *mkroening@southeastmn.edu* 

Proposals must be submitted no later than April 8, 2009, 2:00 P.M. CT. All proposals must be sealed and marked "RFP for ground sign", 1250 Homer Road, Winona, MN 55987.

The college reserves the right to reject any or all proposals, to waive any information or irregularities in the bidding and to make the award serving the best interest of the college.

### Minnesota State Colleges and Universities (MnSCU)

### **Minnesota State College Southeast Technical**

# Request for Proposal for Radiography (X-ray) Machine with Wall Unit and Digital Image Processor

Minnesota State College Southeast Technical is requesting proposals for radiography (X-ray) machine with wall unit and digital image processor.

A copy of the Request For Proposal may be obtained by contacting Michael Kroening at MSC Southeast Technical, 1250 Homer Road, Winona, MN 55987; Phone: (507) 453-2752 or by e-mail: *mkroening@southeastmn.edu*.

Proposals must be submitted no later than April 8, 2009, 10:00 A.M. CT. All proposals must be sealed and marked "RFP for radiography (x-ray) machine with wall unit and digital image processer," 1250 Homer Road, Winona, MN 55987.

The college reserves the right to reject any or all proposals, to waive any information or irregularities in the bidding and to make the award serving the best interest of the college.

### **Explore Minnesota Tourism**

### Notice of Availability of Contract for Tourism Database/Website Project

Explore Minnesota Tourism (a state of Minnesota agency) is requesting proposals for the purpose of database analysis and development and launch of related web applications and a consumer website.

Work is proposed to start after May 15, 2009.

A Request for Proposals will be **mailed** upon request through April 3, 2009, or made available electronically upon request through April 6, 2009. A written request by direct mail, fax or email is required to receive the Request for Proposals.

The Request for Proposals can be obtained by contacting:

Jan Edstrom
Explore Minnesota Tourism
121 7th Place East, Suite 100
St. Paul, MN 55101

**Fax:** (651) 296-7095 **E-mail:** tourism@state.mn.us

Proposals submitted in response to the Request for Proposals in this advertisement must be received at the address above no later than 4:00 p.m. Central Daylight Time, Friday, April 10, 2009.

Late proposals will not be considered. Fax or emailed proposals will not be considered.

This request does not obligate the State to complete the work contemplated in this notice. The State reserves the right to cancel this solicitation. All expenses incurred in responding to this notice are solely the responsibility of the responder.

# Department of Health (MDH) Health Economics Program

### Notice of Request for Information Related to Provider Peer Grouping

The Minnesota Department of Health (MDH) seeks information from a wide range of respondents on issues related to developing a system of comparing health care providers on a composite measure of cost and quality. MDH is required to establish a methodology for this provider peer grouping system by January 1, 2010. MDH will use this methodology to produce a first set of peer groupings by June 1, 2010. Providers included in the peer grouping system will have an opportunity to review their data for accuracy and to submit comments prior to the comparisons being publicly reported in September 2010. MDH will publish such risk-adjusted comparisons on at least an annual basis thereafter.

The peer grouping system will include a combined measure of cost and quality for a provider's patient population, and will also be performed for select specific health conditions and potentially other dimensions of care. This Request for Information (RFI) identifies key issues and questions that must be addressed in developing a methodology for provider peer grouping. For example, MDH needs input about what medical services and health conditions should be included in the peer grouping system as well as recommendations on how to determine which providers are primarily responsible for coordinating an individual's care. The information received in response to this RFI and collected through additional background research will be synthesized into a set of issue papers. This information will be used as the starting point for a public workgroup process to advise the development of the peer grouping system.

The Request for Information is available on MDH's website at: www.health.state.mn.us/healthreform .

#### Interested parties may also request a copy of the Request for Information from:

Mat Spaan Health Economics Program 85 East 7<sup>th</sup> Place, Suite 220 Saint Paul, MN 55101 Phone: (651) 201-5171

E-mail: Mat.Spaan@state.mn.us

A conference call to answer questions about the RFI will be held on March 31, 2009 at 9:30 am CST. Please contact Mat Spaan at (651) 201-5171 for more information about how to participate in this conference call. Written questions about the RFI may also be submitted to *health.reform@state.mn.us* by April 6, 2009.

Responses to this Request for Information must be received 4:00 pm, Monday, April 20th, 2009. Responses may be emailed to *health.reform@state.mn.us* or sent via direct mail to Mat Spaan (contact information listed above).

### Minnesota Historical Society (MHS)

# Notice of Request for Proposals for Archaeological Data Recovery Research: 21-LA-541, Agate Bay/Whiskey Row Site

The Minnesota Historical Society (hereinafter, the Society) seeks a Consultant to conduct formal archaeological excavations and prepare a report of field investigations in connection with proposed construction of a safe harbor and marina within the City of Two Harbors in Lake County. Construction of the new inland calm water basin for the harbor will completely destroy the Agate Bay/Whiskey Row Site (hereinafter, the Site). Data recovery excavations are proposed as a means of mitigating that effect.

The construction schedule for harbor excavation has not yet been finalized, although design plans are underway. The Society intends to enter into a contract with a qualified Consultant to conduct the necessary work. Although funding sources for this project have yet to be finalized, development will be completed under a special permit issued by U.S. Army Corps of Engineers, and the project is therefore subject to review under Section 106 of the National Historic Preservation Act.

Proposals must be received by 2:00 p.m. Local Time on Thursday, April 16, 2009. Late proposals will not be considered.

The Request for Proposals is available from Mary Green-Toussaint, Purchasing Coordinator, Minnesota Historical Society via e-mail: mary.green-toussaint@mnhs.org.

Dated: 24 March 2009

### **Minnesota Department of Human Services (DHS)**

### **Adult Mental Health Division**

# Request for Proposals to Provide Design and Development of an E-Learning Training Course

**NOTICE IS HEREBY GIVEN** that the Minnesota Department of Human Services is requesting proposals to provide design and development of an e-learning training course.

Work is proposed to start April 20, 2009. For more information, or to obtain a copy of the Request for Proposal, contact:

Connie L. Morton

Department of Human Services

Chemical and Mental Health Services Administration

Adult Mental Health Division

P.O. Box 64988

444 Lafayette Road North

St. Paul, MN 551550988

**Phone:** (651) 431-3141 **Fax:** (651) 431-7418

E-mail: Connie.L.Morton@state.mn.us

This is the only person designated to answer questions by potential responders regarding this request.

Proposals submitted in response to this Request for Proposals must be received at the address above no later than **4:00 p.m.**, **Central Daylight Time**, **April 6**, **2009**. **Late proposals will not be considered**. Fax or e-mailed proposals will **not** be considered.

This request does not obligate the State to complete the work contemplated in this notice. The State reserves the right to cancel this solicitation. All expenses incurred in responding to this notice are solely the responsibility of the responder.

### **Department of Human Services (DHS)**

## **Disability Services Division**

# Notice of Request for Proposals for Leadership for Innovative Employment Solutions

**NOTICE IS HEREBY GIVEN** that the Minnesota Department of Human Service - Disability Services Division is issuing a Request for Proposals Grant to develop leadership and dialogue facilitation around employment policy that will result in the increased employment of Minnesotans with disabilities. These activities will bring together Minnesotans with disabilities, their families and advocates, resulting in leadership, engagement, and networking leading to policy development and a greater awareness of the value of increased employment of people with disabilities by communities, businesses, and national, state, and local agencies. Activities defined by the respondent should identify policy goals that support increased employment outcomes and stable earnings of people with disabilities and embrace employment as a foundational life activity for all Minnesotans.

For a complete copy of the Request for Proposal, contact:

Stacy Myhre

Department of Human Services - Disability Services Division

P.O. Box 64967 540 Cedar Street

St. Paul, MN 55164-0967 **Phone:** (651) 431-2714 **FAX#:** (651) 431-7411

E-mail: Stacy.Z.Myhre@state.mn.us

Completed proposals must be received by 4:00 PM CDT, on Monday, April 13, 2009. For communication assistance, contact Minnesota Relay Service at 7-1-1 or 1-800-627-3529. If you ask, we will give you this information in another form, such as Braille, large print, or audiotape.

Hand-delivered proposals must be time-stamped by staff at the front desk at this location by the designated time. Mailed proposals must be received and time-stamped by Disability Services Division staff by the designated time. Proposals not stamped will not be accepted. Proposals must be sealed, and visibly show the Responder's name, address, and RFP title on the outer packaging. Responders must adhere to all terms of this RFP. Late proposals will not be considered. All costs incurred in responding to this RFP will be borne by the responder. Faxed or email responses will not be considered.

### **Department of Human Services (DHS)**

### **State Operated Services (SOS)**

# Request for Proposals for Qualified Contractor(s) to Conduct the Development and Delivery of a Comprehensive Employee Engagement Survey Program

The Minnesota Department of Human Services, State Operated Services (SOS) is requesting proposals for the purpose of:

- 1. Development and delivery of a comprehensive employee engagement survey program.
- 2. Analysis and interpretation of the survey results.
- 3. Training on interpreting the results and training to develop plans to improve the work environment for a group(s) to be determined at a later date.
- 4. Project implementation assistance.

Work is proposed to start after July 1, 2009

The Request for Proposal will be available by mail or email from this office through 4:00 p.m., Central Time, 4/8/2009. **A written request (by direct mail, email or fax) is required to receive the Request for Proposal.** After 4:00 p.m., Central Time, 4/8/2009, the Request for Proposal must be picked up in person.

The Request for Proposal can be obtained from:

Mary Sweep SOS-Contracts 1801 Technology Drive NE Willmar, MN 56201

**Fax:** (320) 231-7049

E-mail: mary.sweep@state.mn.us

Proposals submitted in response to the Request for Proposal in this advertisement must be received at the address above no later than 4:00 p.m., Central Time, 4/17/2009. **Late proposals will not be considered.** Fax or e-mailed proposals will **NOT** be considered.

This request does not obligate the State to complete the work contemplated in this notice. The State reserves the right to cancel this solicitation. All expenses incurred in responding to this notice are solely the responsibility of the responder.

### **State Lottery**

### **Proposals Sought for Auditing Services**

The Minnesota State Lottery will issue a Request for Proposal (RFP) on March 23, 2009, for auditing services. The services will focus on the audit of the Lottery's financial statements, audit the Lottery's information system, conduct a security assessment audit, provide technical advice, and provide assistance related to the internal controls of the accounting system. A copy of the RFP can be found on the Lottery's special website: <a href="https://www.mnlottery.com/auditrfp/">www.mnlottery.com/auditrfp/</a>, or call or write for the full RFP, which will be sent free of charge to interested vendors.

For more information contact:

Carolyn Ross, Purchasing Manager

2645 Long Lake Road Roseville, MN 55113 **Phone:** (651) 635-8102

**Fax:** (651) 635-8188

E-mail: carolynr@mnlottery.com

This is the only person designated to answer questions regarding this request for interested vendors.

Interested parties should submit their response to this office by 3:00 p.m. (CST) on April 28, 2009.

### **Department of Transportation (Mn/DOT)**

### **Engineering Services Division**

# Notice of Potential Availability of Contracting Opportunities for a Variety of Highway Related Technical Activities ("Consultant Pre-Qualification Program")

This document is available in alternative formats for persons with disabilities by calling Juanita Voigt at (651) 366-4774 for persons who are hearing or speech impaired by calling Minnesota Relay Service at (800) 627-3529.

Mn/DOT, worked in conjunction with the Consultant Reform Committee, the American Council of Engineering Companies of Minnesota (ACEC/MN), and the Department of Administration, to develop the Consultant Pre-Qualification Program as a new method of consultant selection. The ultimate goal of the Pre-Qualification Program is to streamline the process of contracting for highway related professional/technical services. Mn/DOT awards most of its consultant contracts for highway-related technical activities using this method, however, Mn/DOT also reserves the right to use Request for Proposal (RFP) or other selection processes for particular projects. Nothing in this solicitation requires Mn/DOT to use the Consultant Pre-Qualification Program.

Mn/DOT is currently requesting applications from consultants. Refer to Mn/DOT's Consultant Services web site, indicated below, to see which highway related professional/technical services are available for application. Applications are accepted on a continual basis. All expenses are incurred in responding to this notice will be borne by the responder. Response to this notice becomes public information under the Minnesota Government Data Practices.

Consultant Pre-Qualification Program information, application requirements and applications forms are available on Mn/DOT's Consultant Services web site at: http://www.dot.state.mn.us/consult.

Send completed application material to:

Juanita Voigt
Consultant Services
Office of Technical Support
Minnesota Department of Transportation
395 John Ireland Blvd. Mail Stop 680

### **Department of Transportation (Mn/DOT)**

### **Engineering Services Division**

### Notice Concerning Professional/Technical Contract Opportunities and Taxpayers' Transportation Accountability Act Notices

**NOTICE TO ALL:** The Minnesota Department of Transportation (Mn/DOT) is now placing additional public notices for professional/technical contract opportunities on Mn/DOT's Consultant Services **website** at: www.dot.state.mn.us/consult

New Public notices may be added to the website on a daily basis and be available for the time period as indicated within the public notice. Mn/DOT is also posting notices as required by the Taxpayers' Transportation Accountability Act on the above referenced website.

# **Non-State Bids, Contracts & Grants**

The *State Register* also serves as a central marketplace for contracts let out on bid by the public sector. The *State Register* meets state and federal guidelines for statewide circulation of public notices. Any tax-supported institution or government jurisdiction may advertise contracts and requests for proposals from the private sector. It is recommended that contracts and RFPs include the following: 1) name of contact person; 2) institution name, address, and telephone number; 3) brief description of commodity, project or tasks; 4) cost estimate; and 5) final submission date of completed contract proposal. Allow at least three weeks from publication date (four weeks from the date article is submitted for publication). Surveys show that subscribers are interested in hearing about contracts for estimates as low as \$1,000. Contact editor for futher details.

# Metropolitan Council Contracting Opportunities

The Metropolitan Council posts all notices of Requests for Proposals (RFP) and Invitations for Bids (IFB) for all of its divisions on its website: <a href="http://www.metrocouncil.org">http://www.metrocouncil.org</a> (see Doing Business with the Council, Contracting Opportunities). Project-specific instructions for obtaining the solicitation documents are provided in each notice.

If you have any questions regarding this advertisement, or need assistance accessing the notices, please contact: Miriam, for Metropolitan Council projects, at (651) 602-1095 or *miriam.lopez-rieth@metc.state.mn.us*; or Candace, for Metro Transit projects, at (612) 349-5070 or *candace.osiecki@metc.state.mn.us*.

# University of Minnesota (U of M) Subscribe to Bid Information Service (BIS)

The University of Minnesota offers 24-hour/day, 7-day/week access to all Request for Bids/Proposals through its web-based Bid Information Service (BIS). Subscriptions to BIS are free. Visit our website at *bidinfo.umn.edu* or call the BIS Coordinator at (612) 625-5534.

Request for Bids/Proposals are also available to the public each business day from 8:00 a.m. to 4:30 p.m. in the Purchasing Services lobby, Suite 560, 1300 S. 2nd Street, Minneapolis, Minnesota 55454.

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