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STATE REGISTER =

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The State Register is the official publication of the State of Minnesota, containing executive orders of the governor, proposed and adopted rules of state agencies, official notices to the public, state and non-state public contracts, contract awards, grants, supreme court and tax court decisions, and a monthly calendar of cases to be heard by the state supreme court.

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Vol. 13 Issue Number	*Submission deadline for Adopted and Proposed Rules**	*Submission deadline for Executive Orders, Contracts, and Official Notices**	Issue Date
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51	Monday 5 June	Monday 12 June	Monday 17 June
52	Monday 12 June	Monday 19 June	Monday 26 June
1 (Vol. 14)	Monday 19 June	Monday 26 June	Monday 3 July

^{*}Deadline extensions may be possible at the editor's discretion; however, none will be made beyond the second Wednesday (12 calendar days) preceding the issue date for rules, proposed rules and executive orders, or beyond the Wednesday (5 calendar days) preceding the issue date for official notices. Requests for deadline extensions should be made only in valid emergency situations.

Instructions for submission of documents may be obtained from the *State Register* editorial offices, 504 Rice Street, St. Paul, Minnesota 55103, (612) 296-4273.

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FOR LEGISLATIVE NEWS

Publications containing news and information from the Minnesota Senate and House of Representatives are available free to concerned citizens and the news media. To be placed on the mailing list, write or call the offices listed below:

SENATE

Briefly-Preview—Senate news and committee calendar; published weekly during legislative sessions.

Perspectives—Publication about the Senate.

Session Review—Summarizes actions of the Minnesota Senate.

Contact: Senate Public Information Office

Room 231 State Capitol, St. Paul, MN 55155

(612) 296-0504

Session Weekly—House committees, committee assignments of individual representatives; news on committee meetings and action. House action and bill introductions

HOUSE

This Week—weekly interim bulletin of the House.

Session Summary—Summarizes all bills that both the Minnesota House of Representatives and Minnesota Senate passed during their regular and special sessions.

Contact: House Information Office

Room 175 State Office Building, St. Paul, MN 55155 (612) 296-2146

^{**}Notices of public hearings on proposed rules and notices of intent to adopt rules without a public hearing are published in the Proposed Rules section and must be submitted two weeks prior to the issue date.

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NOTICE: How to Follow State Agency Rulemaking in the State Register

The State Register is the official source, and only complete listing, for all state agency rulemaking in its various stages. State agencies are required to publish notice of their rulemaking action in the State Register. Published every Monday, the State Register makes it easy to follow and participate in the important rulemaking process. Approximately 75 state agencies have the authority to issue rules. Each agency is assigned specific Minnesota Rule chapter numbers. Every odd-numbered year the Minnesota Rules are published. This is a ten-volume bound collection of all adopted rules in effect at the time. Supplements are published to update this set of rules. Proposed and adopted emergency rules do not appear in this set because of their short-term nature, but are published in the State Register.

If an agency seeks outside opinion before issuing new rules or rule amendments, it must publish a NOTICE OF INTENT TO SOLICIT OUTSIDE OPINION in the Official Notices section of the State Register. When rules are first drafted, state agencies publish them as Proposed Rules, along with a notice of hearing, or notice of intent to adopt rules without a hearing in the case of noncontroversial rules. This notice asks for comment on the rules as proposed. Proposed emergency rules and withdrawn proposed rules are also published in the State Register. After proposed rules have gone through the comment period, and have been rewritten into their final form, they again appear in the State Register as Adopted Rules. These final adopted rules are not printed in their entirety in the State Register, only the changes made since their publication as Proposed Rules. To see the full rule, as adopted and in effect, a person simply needs two issues of the State Register, the issue the rule appeared in as proposed, and later as adopted. For a more detailed description of the rulemaking process, see the Minnesota Guidebook to State Agency Services.

The State Register features partial and cumulative listings of rules in this section on the following schedule: issues 1-13 inclusive; issues 14-25 inclusive; issue 26, cumulative for issues 1-26; issues 27-38 inclusive; issue 39, cumulative for 1-39; issues 40-51 inclusive; and issue 52, cumulative for 1-52. An annual subject matter index for rules appears in August. For copies of the State Register, a subscription, the annual index, the Minnesota Rules or the Minnesota Guidebook to State Agency Services, contact the Print Communications Division, 117 University Avenue, St. Paul, MN 55155 (612) 297-3000 or toll-free in Minnesota 1-800-652-9747.

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Proposed Rules

Pursuant to Minn. Stat. §§ 14.22, an agency may propose to adopt, amend, suspend or repeal rules without first holding a public hearing, as long as the agency determines that the rules will be noncontroversial in nature. The agency must first publish a notice of intent to adopt rules without a public hearing, together with the proposed rules, in the State Register. The notice must advise the public:

- 1. that they have 30 days in which to submit comment on the proposed rules;
- 2. that no public hearing will be held unless 25 or more persons make a written request for a hearing within the 30-day comment period;
- 3. of the manner in which persons shall request a hearing on the proposed rules; and
- 4. that the rule may be modified if the modifications are supported by the data and views submitted.

If, during the 30-day comment period, 25 or more persons submit to the agency a written request for a hearing of the proposed rules, the agency must proceed under the provisions of §§ 14.14-14.20, which state that if an agency decides to hold a public hearing, it must publish a notice of intent in the State Register.

Pursuant to Minn. Stat. §§ 14.29 and 14.30, agencies may propose emergency rules under certain circumstances. Proposed emergency rules are published in the *State Register* and, for at least 25 days thereafter, interested persons may submit data and views in writing to the proposing agency.

Department of Labor and Industry

Proposed Permanent Rules Relating to Steamfitters

Notice of Intent to Adopt a Rule Without a Public Hearing

NOTICE IS HEREBY given that the State Department of Labor and Industry, Code Enforcement, intends to adopt the above entitled amendments to *Minnesota Rules*, parts 5230.0010 to 5230.0210 without a public hearing following the procedures set forth in the Administrative Procedure Act for adopting rules without a public hearing in *Minnesota Statutes*, sections 14.22 to 14.28. The statutory authority to adopt the rules is contained in *Minnesota Statutes*, section 176.171 (2) and section 326.48, subd. 1.

All persons have 30 days in which to submit comment in support of or in opposition to the proposed rule or any part or any subpart of the rule. Comment is encouraged. Each comment should identify the portion of the proposed rule addressed, the reason for the comment, and any change proposed.

Any person may make a written request for a public hearing on the rule within the 30-day comment period. If 25 or more persons submit a written request for a public hearing within the 30-day comment period, a public hearing will be held unless a sufficient number withdraw their request in writing. Any person requesting a public hearing should state his or her name and address, and is encouraged to identify the portion of the proposed rule addressed, the reason for the request, and any change proposed. If a public hearing is required, the agency will proceed pursuant to *Minnesota Statutes*, sections 14.131 to 14.20.

Comments or written requests for a public hearing must be submitted to:

B. James Berg
Director, Code Enforcement
4th Floor Labor and Industry Building
443 Lafayette Road
St. Paul, Minnesota 55155-4304
(612) 297-1727

The proposed amendments may be modified if the modifications are supported by data and views submitted to the agency and do not result in a substantial change in the proposed rule as noticed.

The text of the proposed amendments follows this notice in the *State Register*. The rule relates to the registration of high pressure piping trainees. A free copy of the rule is available upon request from the Director at the above address or by calling (612) 297-1727.

A Statement of Need and Reasonableness that describes the need for and reasonableness of each provision of the proposed amendments and identifies the data and information relied upon to support the proposed amendment has been prepared and is available from the Director upon request at the above address.

The Department has determined that these rules will minimally affect small businesses and will not require additional expenditures by local public bodies.

If no hearing is required, upon adoption of the rule, the rule and the required supporting documents will be submitted to the Attorney General for review as to legality and form to the extent the form relates to legality. Any person may request notification of the date of submission to the Attorney General. Persons who wish to be advised of the submission of this material to the Attorney General, or who wish to receive a copy of the adopted rule, must submit the written request to the Director.

General, or who wish to receive a copy of the adopted rule, must submit the written request to the Director.

Dated: 26 May 1989

Ken Peterson, Commission

Ken Peterson, Commissioner Department of Labor and Industry

Rules as Proposed

5230,0040 **DEFINITIONS**.

Subpart 1. to 3. [Unchanged.]

Subp. 4. Steamfitter's apprentice <u>Pipefitter trainee</u>. A "steamfitter's apprentice <u>pipefitter trainee</u>" is any person other than a contracting or journeyman steamfitter, who as his <u>whose</u> principal occupation is engaged in learning and assisting in the installation of high pressure steam piping and appurtenances under the supervision of a licensed steamfitter.

5230.0050 LICENSING AND REGISTRATION REQUIREMENT.

No person, firm, corporation, or association shall engage in the business or work at the occupation of high pressure steam piping in the state of Minnesota without first obtaining a license and being registered as a contracting or journeyman steamfitter, as the case may be, and no licensed contractor shall employ a journeyman steamfitter, to install high pressure steam piping unless such journeyman is a licensed steamfitter; and no person shall work as a steamfitter's apprentice pipefitter trainee for more than 30 days without being registered as such, nor longer than four years without making application for examination and license as a steamfitter.

Licenses shall be granted as contracting or journeyman steamfitters upon proof of qualifications therefor as hereinafter provided, and no apprentice pipefitter trainee shall be registered as such who is not at least 16 years of age.

An applicant for a contractor's license to do business in the name of a firm or partnership shall be a bona fide member or employee of such firm or partnership. An applicant for a contractor's license to do business in the name of a corporation shall be a regular employee or member in such corporation.

5230.0080 JOURNEYMAN STEAMFITTER QUALIFICATIONS.

Applicants for license shall:

- A. be at least 20 years of age;
- B. possess sufficient education to read and comprehend blueprints and specifications for the installation of high pressure steam piping;
 - C. be of good moral character;
 - D. have been a registered apprentice pipefitter trainee or journeyman steamfitter for at least four years;
 - E. pay an examination fee; and
 - F pass the required examination by at least 70 percent.

5230.0110 REGISTRATION OF APPRENTICES.

Subpart 1. and 2. [See Repealer.]

Subp. 2a. Annual registration, supervision. All contracting pipefitters shall, within ten days of the employment of a pipefitter trainee, inform the department of the employment of the pipefitter trainee, giving the name, address, age, and date of employment of the pipefitter trainee.

All persons learning the trade of pipefitting shall register with the department on an annual basis until the four years of training are documented. The registration shall be made at the time of initial registration with the department and annually after that on the anniversary of the initial registration.

All persons learning the trade of pipefitting shall be under the direct supervision of a contracting or journeyman pipefitter, and when working on high pressure piping projects, shall be limited to working on permitted projects under the provisions of Minnesota Statutes, section 326.47, subdivision 1. The ratio of pipefitter trainees to licensed pipefitters on the jobsite shall be:

- A. one pipefitter trainee for the first licensed pipefitter; and
- B. one pipefitter trainee for every three licensed pipefitters after that; provided, that at least one journeyman or contracting pipefitter must be on the jobsite at all times when work is in progress.

<u>Upon completion and verification of four years of employment and training by a licensed contracting pipefitter, the pipefitter trainee may make application for examination and license as a journeyman.</u>

KEY: PROPOSED RULES SECTION — <u>Underlining</u> indicates additions to existing rule language. <u>Strike outs</u> indicate deletions from existing rule language. If a proposed rule is totally new, it is designated "all new material." <u>ADOPTED RULES SECTION</u> — <u>Underlining</u> indicates additions to proposed rule language. <u>Strike outs</u> indicate deletions from proposed rule language.

Proposed Rules =

Subp. 3. Changes in employment or address. All registered apprentices pipefitter trainees shall inform the Division of Steamfitting Standards department of changes of employment and their address.

5230.0130 DUTIES OF LICENSED CONTRACTING STEAMFITTERS.

A contracting steamfitter employing a journeyman steamfitter or an apprentice steamfitter <u>a pipefitter trainee</u> shall comply with all the laws, rules, and minimum standards of the state of Minnesota, paying promptly for labor and material furnished an owner, and reporting income tax and conforming to the laws governing the relationship of master and servant, including the carrying or workmen's compensation insurance, paying the Social Security tax, paying wages when due, furnishing written contracts of employment, and employing licensed steamfitters on high pressure pipe work.

REVISOR'S INSTRUCTION. In the next edition of <u>Minnesota Rules</u>, the Revisor of <u>Statutes shall substitute</u> the term "pipefitter" wherever the term "steamfitter" appears in chapter 5230, including headnotes.

REPEALER. Minnesota Rules, part 5230.0110, subparts 1 and 2, are repealed.

Pollution Control Agency

Proposed Permanent Rules Relating to Hazardous Waste

Notice of Intent to Adopt Rules Without a Public Hearing

NOTICE IS HEREBY given that the Minnesota Pollution Control Agency (MPCA) intends to adopt the above-entitled rule amendments without a public hearing following the procedures set forth in the Administrative Procedures Act for adopting rules without a public hearing in *Minnesota Statutes* §§ 14.22 to 14.28 (1988). The MPCA's authority to adopt the rule amendments is set forth in *Minnesota Statutes* § 116.07, subd. 4 (1988).

All persons have until 4:30 p.m. on July 12, 1989, to submit comments in support of or in opposition to the proposed rule amendments or any part or subpart of the proposed rules. Comment is encouraged. Each comment should identify the portion of the proposed rule amendments addressed, the reason for the comment, and any change proposed.

Any person may make a written request for a public hearing on the proposed amendments within the comment period. If 25 or more persons submit a written request for a public hearing within the comment period, a public hearing will be held unless a sufficient number withdraw their requests in writing. Any person requesting a public hearing should state his or her name and address, and is encouraged to identify the portion of the proposed rules addressed, the reason for the request, and any changes proposed. If a public hearing is required, the MPCA will proceed pursuant to *Minnesota Statutes* §§ 14.131 to 14.20 (1988).

Comments or written requests for a public hearing must be submitted to:

Carol Nankivel Minnesota Pollution Control Agency 520 Lafayette Road North St. Paul, Minnesota 55155 612/296-7260

The proposed rule amendments may be modified if the modifications are supported by data and views submitted to the MPCA and do not result in a substantial change in the proposed rule amendments as noticed.

The proposed rule amendments, if adopted, will incorporate existing federal deadlines terminating eligibility for interim status for unpermitted hazardous waste treatment, storage and disposal facilities. The proposed rule amendments will also add references to two existing Minnesota statutes which establish requirements applicable to transporters of hazardous waste. These statutes relate to the transporters' duty to notify the Department of Public Safety in the event of a spill of hazardous waste and the requirement for hazardous waste transporters to be licensed and insured as required by the Department of Transportation. Also, the proposed rule amendments include a correction that updates the information provided for obtaining a test procedures manual that is referenced in the hazardous waste rules. Questions regarding the content of the proposed rules should be directed to Carol Nankivel at the address and telephone number stated above.

The proposed rule amendments are published below. One free copy of the proposed rule amendments is available upon request from Carol Nankivel at the address and telephone number stated above.

A Statement of Need and Reasonableness that describes the need for and reasonableness of each provision of the proposed rule amendments has been prepared and is available from Carol Nankivel at the address and telephone number stated above.

You are hereby advised, pursuant to *Minnesota Statutes* § 14.115 (1988), "Small business considerations in rulemaking," that the proposed rule amendments will have no effect on small businesses. The deadlines for the termination of interim status eligibility and the transporter requirements are already in effect in Minnesota through other state and federal authorities.

If no hearing is required, upon adoption of the amendments, the rule amendments and the supporting documents will be submitted to the Attorney General for review as to legality and form to the extent that form relates to legality. Any person may request notification of the date of submission to the Attorney General. Persons who wish to be advised of the submission of this material to the Attorney General, or who wish to receive a copy of the rule amendments as adopted, must submit a written request to Carol Nankivel at the address stated above.

Gerald Willet Commissioner

Rules as Proposed

7001.0650 INTERIM STATUS.

Subpart 1. to 6. [Unchanged.]

Subp. 7. **Termination of interim status.** Interim status terminates automatically when the agency has taken final administrative action on the permit application or when terminated by Code of Federal Regulations, title 40, section 270.73(c) to (f). The following constitute justification for the commissioner to commence proceedings to terminate interim status:

A. and B. [Unchanged.]

7045.0065 AVAILABILITY OF REFERENCES.

The documents referred to in this chapter may be obtained by contacting the appropriate offices as listed in this part.

A. to F. [Unchanged.]

G. Test Methods for Evaluating Solid Waste, Physical/Chemical Methods, publication number SW 846, (Second Edition, 1982, as amended, by Update I, April 1984, and Update II, April 1985) of the Office of Solid Waste, United States Environmental Protection Agency, 401 M Street S.W., Washington, D.C. 20460, The Second Edition of SW-846 and Updates I and II available at the state of Minnesota Law Library and by subscription from the Superintendent of Documents, United States Government Printing Office, Washington, D.C. 20402, (202) 783-3238 National Technical Information Service, 5285 Port Royal Road, Springfield, Va. 22161, (703) 487-4600 as Document number PB 87-120-291; and

H. [Unchanged.]

7045.0125 MANAGEMENT OF WASTE BY USE, REUSE, RECYCLING, AND RECLAMATION.

Subpart 1. to 4. [Unchanged.]

Subp. 5. Requirements for use of hazardous wastes as feedstock.

A. and B. [Unchanged.]

C. Transporters of hazardous wastes for use as feedstock must comply with all applicable requirements of *Minnesota Statutes*, section sections 221.033 to 221.035, and Code of Federal Regulations, title 49, parts 171 to 179.

D. [Unchanged.]

Subp. 6. Requirements for reclamation of specific hazardous wastes.

- A. A by-product or a sludge that is hazardous only because it exhibits a characteristic of hazardous waste and is reclaimed is subject to the following requirements:
 - (1) [Unchanged.]
- (2) Transporters of such a hazardous waste must comply with all applicable requirements of *Minnesota Statutes*, section sections 221.035, and Code of Federal Regulations, title 49, parts 171 to 179.
 - (3) [Unchanged.]
 - B. [Unchanged.]

KEY: PROPOSED RULES SECTION — <u>Underlining</u> indicates additions to existing rule language. Strike outs indicate deletions from existing rule language. If a proposed rule is totally new, it is designated "all new material." ADOPTED RULES SECTION — <u>Underlining</u> indicates additions to proposed rule language. Strike outs indicate deletions from proposed rule language.

Proposed Rules 3

Subp. 7. to 12. [Unchanged.]

7045.0371 TRANSPORTATION OF HAZARDOUS WASTE.

Hazardous waste shall be transported in accordance with all applicable requirements of *Minnesota Statutes*, sections 221.033 to 221.035 and Code of Federal Regulations, title 49, parts 171 to 179 (1983).

Adopted Rules

The adoption of a rule becomes effective after the requirements of Minn. Stat. § 14.14-14.28 have been met and five working days after the rule is published in *State Register*, unless a later date is required by statutes or specified in the rule.

If an adopted rule is identical to its proposed form as previously published, a notice of adoption and a citation to its previous *State Register* publication will be printed.

If an adopted rule differs from its proposed form, language which has been deleted will be printed with strikeouts and new language will be underlined. The rule's previous *State Register* publication will be cited.

An emergency rule becomes effective five working days after the approval of the Attorney General as specified in Minn. Stat. § 14.33 and upon the approval of the Revisor of Statutes as specified in § 14.36. Notice of approval by the Attorney General will be published as soon as practicable, and the adopted emergency rule will be published in the manner provided for adopted rules under § 14.18.

Board of Boxing

Adopted Rules Relating to Amateur and Professional Boxing, Full Contact Karate, and Kick Boxing

The rules proposed and published at *State Register*, Volume 12, Number 26, pages 1288-1296, December 28, 1987 (12 S.R. 1288) and Volume 12, Number 43, page 2337, April 25, 1988 (12 S.R. 2337) are adopted with the following modifications:

Rules as Adopted

2200.3900 APPLICABLE RULES.

The official rules of the United States of America Amateur Boxing Federation shall govern all amateur exhibitions, matches, and contests except when in conflict with parts 2200.0100 to 2200.9800. The board may suspend, modify, or withdraw any rule of the United States of America Amateur Boxing Federation it determines appropriate when in conflict with the rules of the Minnesota Board of Boxing. These suspensions, modifications, or withdrawals may pertain to individual situations or to all exhibitions, matches, and contests.

2200.8300 FOULS.

Subp. 5. **Intentional butt.** If a fighter's natural style is to bob and weave with much head movement, a butt will probably be unintentional; if later in the fight, a fighter greatly increases his head movement, he may be trying to butt his opponent. If it cannot be decided whether the butt is intentional, it must be ruled unintentional. The butt rule must be dealt with in the same way as rules for other fouls.

The penalty for intentional butting is a one half one point deduction, unless the butting is deliberate and repeated, in which case the fighter is disqualified.

If a cut from an intentional butt stops a fight within three rounds, and the offender is not disqualified, the result of the fight is a technical draw.

If a cut from an intentional butt stops a fight or becomes enlarged later and stops the fight after three rounds, the scores must be tallied and if the cut fighter is ahead, he wins by decision. If the cut fighter is behind, the result is a technical draw. In no event shall a fighter cut from an intentional butt lose.

If a fighter who intentionally butts cuts himself and the fight is then or subsequently stopped because of the cut, the result is a technical draw if within three rounds. If later than three rounds, the score cards must be tallied, and the other fighter must be awarded the fight if he is ahead. If not, the result is a technical draw.

Board of Optometry

Adopted Permanent Rules Relating to License Renewal

The rule proposed and published at *State Register*, Volume 13, Number 23, pages 1368-1369, December 5, 1988 (13 S.R. 1368) and Volume 13, Number 33, page 1989, February 13, 1989 (13 S.R. 1989) is adopted as proposed.

Department of Trade and Economic Development

Adopted Permanent Rules Relating to Outdoor Recreation Grants

The rules proposed and published at *State Register*, Volume 13, Number 33, pages 1996-2000, February 13, 1989 (13 S.R. 1996) are adopted as proposed.

Emergency Rules

Proposed Emergency Rules

According to Minn. Stat. of 1984, §§ 14.29-14.30, state agencies may propose adoption of emergency rules if: 1) expressly required; 2) authorized by statute; or 3) if the manner permitted by a directive (given by statute, federal law or court order) does not allow for compliance with sections 14.14-14.28. The agency must, however, publish a notice of intent to adopt emergency rules, along with the rules them, selves, in the *State Register*. The notice must advise the public:

- 1) that a free copy of the proposed emergency rule is available upon request from the agency;
- 2) that notice of the date that the rule is submitted to the attorney general will be mailed to persons requesting notification;
- 3) that the public has at least 25 days after publication of the proposed emergency rule to submit data and views in writing; and
- 4) that the emergency rule may be modified if the data and views submitted support such modification.

Adopted Emergency Rules

Emergency rules take effect five working days after approval by the attorney general, and after compliance with Minn. Stat. §§ 14.29-14.365. As soon as possible, emergency rules are published in the State Register in the manner provided for in section 14.18.

Emergency rules are effective for the period stated in the notice of intent to adopt emergency rules. This may not exceed 180 days.

Continued/Extended Emergency Rules

Adopted emergency rules may be continued in effect (extended) for an additional 180 days. To do this, the agency must give notice by: 1) publishing notice in the *State Register*; and 2) mailing the same notice to all persons who requested notification on rulemaking. No emergency rule may remain in effect 361 days after its original effective date. At that point, permanent rules adopted according to Minn. Stat. 14.14-14.28 supercede emergency rules.

Board of Unlicensed Mental Health Service Providers

Notice of Continuation of Emergency Rules Relating to the Practice of Unlicensed Mental Health Service Providers

NOTICE IS HEREBY GIVEN that the State of Minnesota Board of Unlicensed Mental Health Service Providers is continuing the above-entitled emergency rules in effect for an additional 180 days in accordance with *Minnesota Statutes*, Chapter 14, section 14.35.

The notice adopting the emergency rules was published at *State Register*, Volume 13, number 37, pages 1924-1925, February 6, 1989 (13 S.R. 1924-25). The rules became effective January 10, 1989, and are scheduled to expire July 9, 1989. This notice of continuation will extend the effective life of the above-entitled rules through December 26, 1989.

Dated: 30 May 1989

Robert A. Sullivan Executive Director Board of Unlicensed Mental Health Service Providers

KEY: PROPOSED RULES SECTION — <u>Underlining</u> indicates additions to existing rule language. <u>Strike outs</u> indicate deletions from existing rule language. If a proposed rule is totally new, it is designated "all new material." <u>ADOPTED RULES SECTION</u> — <u>Underlining</u> indicates additions to proposed rule language. <u>Strike outs</u> indicate deletions from proposed rule language.

Official Notices:

Pursuant to the provisions of Minnesota Statutes § 14.10, an agency, in preparing proposed rules, may seek information or opinion from sources outside the agency. Notices of intent to solicit outside opinion must be published in the *State Register* and all interested persons afforded the opportunity to submit data or views on the subject, either orally or in writing.

The State Register also publishes other official notices of state agencies, notices of meetings, and matters of public interest.

Department of Administration

Materials Management Division

Notice of Solicitation of Outside Information or Opinions Regarding Proposed Rules Governing the Minnesota Small Business Procurement Program

NOTICE IS HEREBY GIVEN that the State Department of Administration is seeking information or opinions from sources outside the agency in preparing to propose the adoption of the rule governing the MN Small Business Procurement Program for Economically Disadvantaged Vendors. The adoption of the rule is authorized by Laws of Minnesota 1989, Chapter 352, Section 23, which permits the agency to promulgate emergency rules.

The State Department of Administration requests information and opinions concerning the subject matter of the rule. Interested persons or groups may submit data or views on the subject matter of concern in writing or orally. Written statements should be addressed to:

Dorothy Lovejoy, Manager Customer & Vendor Services Materials Management Division Department of Administration Room 112, State Administration Building 50 Sherburne Avenue St. Paul, Minnesota 55155

Oral statements will be received during regular business hours over the telephone at (612) 296-8489 and in person at the above address.

All statements of information and opinions shall be accepted until July 5, 1989. Any written material received by the State Department of Administration shall become part of the rulemaking record to be submitted to the attorney general or administrative law judge in the event that the rule is adopted.

Dated: 5 June 1989

Sandra J. Hale Commissioner of Administration

Minnesota Comprehensive Health Association

Notice of Annual Meeting of the Board of Directors

NOTICE IS HEREBY GIVEN that the Annual Meeting of Members of the Minnesota Comprehensive Health Association will be held at 9:00 a.m. on Tuesday, June 20, 1989, in the Ramsey Room of the Minnesota Club, 317 North Washington Street, St. Paul, Minnesota. The Annual Meeting of the Board of Directors will follow the annual meeting of members. For additional information, please call (612) 456-8466.

Department of Human Services

Notice of Availability of Reports for Review and Comment: State Title XX Intended Use Report and Title XX Block Grant for Social Service Activities Report

The Division of Community Social Services has submitted a Title XX Intended Use Report for the period of October 1, 1988 through September 30, 1989 and Title XX Block Grant For Social Service Activities Report for Federal Fiscal Year 1986 to the Department of Health and Human Services, Administration for Children, Youth and Families. These reports are intended to meet federal requirements for funds provided under Title XX of the Social Security Act.

These reports are available for public review and comment. Copies of these reports can be obtained from the:

Department of Human Services
Division of Community Social Services
3rd Floor
Human Services Building
444 Lafayette Road
St. Paul, MN 55155-3839

Department of Labor and Industry

Code Enforcement, High Pressure Piping Division

Notice of Solicitation of Outside Information or Opinions Regarding Proposed Rules Governing Ammonia Piping

NOTICE IS HEREBY GIVEN that the State Department of Labor and Industry is seeking information or opinions from sources outside the agency in preparing to propose the adoption of rules governing ammonia piping installers and installation. These rules will not govern ammonia on farms used for agriculture purposes. The adoption of the rules is authorized by *Minnesota Statutes*, sections 326.48, 326.46 and 326.50 (1988), which permit the agency to prescribe standards for the installation of high pressure piping and rules for examination and licensing of pipefitters. The definition of high pressure piping has been expanded to include ammonia systems. *Laws 1989*, Chapter 22, codified as amended at *Minnesota Statutes*, section 326.461, subd. 2.

The State Department of Labor and Industry requests information and opinions concerning the subject matter of the rules. Interested persons or groups may submit data or views on the subject matter of concern. Written statements should be addressed to:

B. James Berg
Director, Code Enforcement
Department of Labor and Industry
4th Floor, 443 Lafayette Road
St. Paul, Minnesota 55155-4304

All statements of information and opinions shall be accepted until July 12, 1989. Any written material received by State Department of Labor and Industry shall become part of the rulemaking record to be submitted to the attorney general or administrative law judge in the event that the rule is adopted.

Dated: 5 June 1989

Ken Peterson, Commissioner Department of Labor and Industry

Department of Labor and Industry

Workers' Compensation Division

Notice of Solicitation of Outside Information or Opinions Regarding Proposed Rule Governing Definitions of Physician and Chiropractor Levels of Service in the Medical Fee Schedule

NOTICE IS HEREBY GIVEN that the State Department of Labor and Industry is seeking information or opinions from sources outside the agency in preparing to propose the amendment of the *Minnesota Rules*, Parts 5221.2900, 5221.1100 and 5221.1200, which describe levels of chiropractor services and physician office and consultation services used in the Medical Fee Schedule codes. The adoption of the rule is authorized by *Minnesota Statutes*, sections 176.136 and 176.83, which permits the agency to adopt rules for determining whether a charge for a health service is excessive.

The State Department of Labor and Industry requests information and opinions concerning the subject matter of the rule. Interested persons or groups may submit data or views on the subject matter of concern in writing or orally. Written statements should be addressed to:

Official Notices

David Sherwood-Gabrielson Director, Rehabilitation and Medical Affairs Department of Labor and Industry 3rd Floor, 443 Lafayette Road St. Paul, Minnesota 55155-4316

Oral statements will be received during regular business hours over the telephone at (612) 296-8213 and in person at the above address.

All statements of information and opinions shall be accepted until July 12, 1989. Any written material received by State Department of Labor and Industry shall become part of the rulemaking record to be submitted to the attorney general or administrative law judge in the event that the rule is adopted.

Dated: 5 June 1989

Ken Peterson, Commissioner Department of Labor and Industry

Department of Labor and Industry

Workers' Compensation Division

Notice of Solicitation of Outside Information or Opinions Regarding Proposed Rule Governing Permanent Total Disability and Reimbursement of Supplementary Benefits

NOTICE IS HEREBY GIVEN that the State Department of Labor and Industry is seeking information or opinions from sources outside the agency in preparing to propose the amendment of the rules governing permanent total disability and reimbursement of supplementary benefits. The adoption of the rules is authorized by *Minnesota Statutes*, sections 175.17 (1988), 176.132 (1988) and 176.83 (1988).

The State Department of Labor and Industry requests information and opinions concerning the subject matter of the rule. Interested persons or groups may submit data or views on the subject matter of concern in writing or orally. Written statements should be addressed to:

Gary Hall, Compensation Attorney Department of Labor and Industry Workers' Compensation Division 2nd Floor, 443 Lafayette Road St. Paul, Minnesota 55155-4301

Oral statements will be received during regular business hours over the telephone at (612) 296-6588 and in person at the above address.

All statements of information and opinions shall be accepted until July 12, 1989. Any written material received by State Department of Labor and Industry shall become part of the rulemaking record to be submitted to the attorney general or administrative law judge in the event that the rule is adopted.

Dated: 5 June 1989

Ken Peterson, Commissioner Department of Labor and Industry

Minnesota State Retirement System

Board of Directors, Regular Meeting

A meeting of the Board of Directors, Minnesota State Retirement System, will be held on Friday, June 16, 1988 at 8:30 a.m. in the office of the System, 529 Jackson Street, St. Paul, Minnesota.

State Contracts and Advertised Bids =

Pursuant to the provisions of Minn. Stat. § 14.10, an agency must make reasonable effort to publicize the availability of any services contract or professional and technical services contract which has an estimated cost of over \$2,000.

Department of Administration procedures require that notice of any consultant services contract or professional and technical services contract which has an estimated cost of over \$10,000 be printed in the State Register. These procedures also require that the following information be included in the notice: name of contact person, agency name and address, description of project and tasks, cost estimate, and final submission date of completed contract proposal. Certain quasi-state agencies are exempted from some of the provisions of this statute.

Commodities contracts with an estimated value of \$15,000 or more are listed under the Procurement Division, Department of Administration. All bids are open for 7-10 days before bidding deadline. For bid specifics, time lines, and other general information, contact the appropriate buyers whose initials appear in parentheses next to the commodity for bid, by calling (612) 296-6152.

Awards of contracts and advertised bids for commodities and printing, as well as awards of professional, technical and consulting contracts, appear in the midweek STATE REGISTER Contracts Supplement, published every Thursday. Call (612) 296-0931 for subscription information. Thank you.

Department of Administration: Materials Management Division

Contracts and Requisitions Open for Bid

Call 296-2600 for information on a specific bid, or to request a specific bid.

Commodity: Centron console

configuration

Contact: Joseph Gibbs 612-296-3750 Bid due date at 2pm: June 14 Agency: Normandale Community

College

Deliver to: Bloomington **Requisition #: 27156 10490**

Commodity: IBM equipment Contact: Joan Breisler 612-296-9071 Bid due date at 2pm: June 16 **Agency:** State University Deliver to: Winona

Requisition #: 26074 12514

Commodity: Mitsubishi computers Contact: Joan Breisler 612-296-9071 Bid due date at 2pm: June 16 **Agency:** State Auditor Deliver to: St. Paul

Requisition #: 61000 49574

Commodity: Radio pagers—lease only Contact: Pat Anderson 712-296-3770

Bid due date at 2pm: June 16

Agency: Various Deliver to: Various

Requisition #: Price contract

Commodity: Fixed seating Contact: John Bauer 612-296-2621 Bid due date at 2pm: June 19 Agency: Community College

Deliver to: Willmar

Requisition #: 02310 16875

Commodity: Maintenance contract/ communications equipment

Contact: Pam Anderson 612-296-1053 Bid due date at 2pm: June 15 Agency: Faribault Regional Human

Services Center Deliver to: Faribault

Requisition #: 55303 14022

Commodity: Truck mounted pothole

patching unit

Contact: Mary Jo Bruski 612-296-3772

Bid due date at 2pm: June 20 **Agency:** Transportation Deliver to: Detroit Lakes **Requisition #:** 79382 01653

Commodity: Wang equipment Contact: Joan Breisler 612-296-9071 Bid due date at 2pm: June 20 Agency: Employee Relations

Deliver to: St. Paul

Requisition #: 24000 95726

Commodity: Lockers

Contact: John Bauer 612-296-2621 Bid due date at 2pm: June 20

Agency: Giants Ridge Recreation Area

Deliver to: Biwabik

Requisition #: 43 000 10620

Commodity: Directors office furniture-

Contact: John Bauer 612-296-2621 Bid due date at 2pm: June 20 Agency: Board of Voc-Tech Education

Deliver to: St. Paul

Requisition #: 36000 15329 1

Commodity: Paper-rebid

Contact: John Bauer 612-296-2621 Bid due date at 2pm: June 20 Agency: Jobs & Training Deliver to: St. Paul

Requisition #: 21200 20523 3

Commodity: Software: data

manipulation

Contact: Margaret Frank 612-296-3778

Bid due date at 2pm: June 20 Agency: Jobs & Training Deliver to: St. Paul

Requisition #: 21200 20748

Commodity: Channel extenders IBM Contact: Joan Breisler 612-296-9071

Bid due date at 2pm: June 20 Agency: Jobs & Training Deliver to: St. Paul

Requisition #: 21200 20729

Commodity: Rubber flooring

Contact: Pam Anderson 612-296-1053

Bid due date at 2pm: June 20 Agency: State University Deliver to: St. Cloud

Requisition #: 26073 21010

State Contracts and Advertised Bids

Commodity: Laser printer

Contact: Joan Breisler 612-296-9071

Bid due date at 2pm: June 20

Agency: Higher Education Coordination

Board

Deliver to: St. Paul

Requisition #: 60000 06390

Commodity: Perfect plank

Contact: Pam Anderson 612-296-1053

Bid due date at 2pm: June 20

Agency: DNR

Deliver to: Grand Rapids **Requisition #:** 29007 10180

Commodity: Truck lamps, lenses, etc.
Contact: Dale Meyer 612-296-3773
Bid due date at 2pm: June 20
Agency: Various Department of
Transportation statewide
Deliver to: Statewide

Requisition #: Price Contract-rebid

Commodity: Computer overload micro

computer

Contact: Don Olson 612-296-3771 Bid due date at 2pm: June 20

Agency: Various **Deliver to:** Various

Requisition #: Request for proposal

Commodity: Snow removal Contact: Joyce Dehn 612-297-3830 Bid due date at 2pm: June 20 Agency: Iron Range Resources & Rehabilitation Board

Deliver to: Eveleth (Giants Ridge) **Requisition #:** Price Contract-rebid

Commodity: Laundry (linens, towels,

blankets, etc.)

Contact: Joyce Dehn 612-297-3830 Bid due date at 2pm: June 19 Agency: Correctional Facility Deliver to: Sauk Centre

Requisition #: Price Contract-rebid

Commodity: Biological specimens and

disecting supplies

Contact: Dale Meyer 612-296-3773 Bid due date at 2pm: June 21

Agency: Various **Deliver to:** Various

Requisition #: Price Contract

Department of Administration: Print Communications Division

Printing vendors for the following printing contracts must review contract specifications in printing buyers office at 117 University Avenue, Room 134-B, St. Paul, MN.

Commodity: Departmental transfer check, 80M continuous pin feed form, 93%" × 31/2" overall, negs furnished

Contact: Printing Buyer's Office Bids are due: June 14

Agency: State Treasurer Deliver to: St. Paul Requisition #: 7516

Commodity: Preprinted envelopes, 125M 6" × 11%", type to set Contact: Printing Buyer's Office

Bids are due: June 14

Agency: Human Services Department

Deliver to: St. Paul **Requisition #:** 7604

Commodity: Student payroll warrant, 60M, 12" × 3½" deta ched, type to set

+ negs, 2-sided

Contact: Printing Buyer's Office

Bids are due: June 14

Agency: Community College System

Deliver to: St. Paul **Requisition #:** 7510

Commodity: Hypothermia—The Cold Facts, 50M 3½" × 6¾", 26 pages + cover, type to set, 2-sided, one fold

plus gatefold

Contact: Printing Buyer's Office

Bids are due: June 14

Agency: Natural Resources Department

Deliver to: St. Paul **Requisition #:** 7337

Commodity: Human services form, 30M sets of 38 pp + self cover, $11'' \times 17''$ folded to $8\frac{1}{2}'' \times 11''$, camera

ready, saddle stitch

Contact: Printing Buyer's Office

Bids are due: June 14

Agency: Human Services Department

Deliver to: St. Paul **Requisition #:** 7104

Commodity: "The Round Table", 3M copies (6 times a year), on 2 11" × 17" sheets folded to 8½" × 11", camera ready, saddle stitch

Contact: Printing Buyer's Office

Bids are due: June 14

Agency: Faribault Regional Center

Deliver to: Faribault **Requisition #:** 7523

Commodity: Job service introduction card, 25 M 2-part sets, 1- and 2-sided,

type to set, $6'' \times 73/4''$ overall **Contact:** Printing Buyer's Office

Bids are due: June 15

Agency: Jobs & Training Department

Deliver to: St. Paul **Requisition #:** 7624

Commodity: Violation warning, 2M books of 50 3-part sets, $4\frac{1}{4}$ " × $8\frac{3}{4}$ ", negs furnished, 2-sided, preprinted

numbering Contact: Printing Buyer's Office

Bids are due: June 15

Agency: Minnesota State Patrol

Deliver to: St. Paul **Requisition #:** 7535

Commodity: Windowed envelope, 5M $11\frac{1}{2}$ " × 5" plus gummed flap, type to

set, 1-sided

Contact: Printing Buyer's Office

Bids are due: June 15

Agency: Jobs & Training Department

Deliver to: St. Paul **Requisition #:** 7617

Commodity: Uniform traffic tickets, 100M 5-part sets, 2-sided, 41/4" × 91/8" overall, 20 sets per book,

preprinted numbers

Contact: Printing Buyer's Office

Bids are due: June 15

Agency: Minnesota State Patrol

Deliver to: St. Paul **Requisition #:** 7534

Commodity: Fall 1989 Tabloid, 140M 12 pages, type to set, 6 halftones per issue, 11" × 8" half fold for mailing

Contact: Printing Buyer's Office

Bids are due: June 15

Agency: North Hennepin Community

College

Deliver to: Brooklyn Park **Requisition #:** 7599

Commodity: Quarterly class flyer, 177M per issue (3 issues) 40 pp, 8" x 103/4", 4-color, type to set, saddle

Contact: Printing Buyer's Office

Bids are due: June 19

Agency: Anoka-Ramsey Community

College

Deliver to: Coon Rapids **Requisition #:** 7539

Commodity: Double window mailing envelope, #10, type to set, 200M to be

delivered each month

Contact: Printing Buyer's Office

Bids are due: June 20

Agency: Human Services Department

Deliver to: St. Paul **Requisition #:** 6069

Professional, Technical & Consulting Contracts ==

Department of Agriculture

Marketing Division

Notice of Request for Proposals for Contract Services for "Ethanol Answer Person" Project

NOTICE IS HEREBY GIVEN that the Department of Agriculture intends to engage the services of a contractor as an ethanol field representative to provide professional and technical services for education and promotion of ethanol-blended fuels.

The contractor shall be expected to provide own transportation vehicle and travel extensively throughout Minnesota. Duties include: conducting small meetings and seminars; working with and providing advice to different groups of people including auto-mechanics, vo-tech and high school teachers and students, farmers, and business companies/organizations; writing press releases; being available for and soliciting interview time on radio and TV; providing advance advertising and public relations for other Minnesota Ethanol Commission's activities; and distributing pamphlets, brochures, and other promotional materials. The contract begins July 1, 1989 and ends June 30, 1990 with possible extension periods beyond the end date. A cost breakdown should be included in the proposal: Responses must be received by June 27, 1989. Copies of the Request for Proposal can be obtained by contacting:

Kay Kruse Marketing Division, Department of Agriculture 90 West Plato Boulevard, St. Paul, MN 55107 (612) 297-4654

Bois Forte Indian Reservation

Notice of Contract Availability for Design and Construction Engineering Services

The Bois Forte Indian Reservation in cooperation with the Minnesota Department of Transportation and the Federal Highway Administration requires the services of a qualified consultant for preliminary and construction engineering of an access road from St. Louis County State Aid Highway 104 to a recreational complex on the Bois Forte Reservation located on Lake Vermillion near Tower, Minnesota.

The project consists of access roads totalling approximately one mile in length and may include appurtenant features such as walkways and lighting. The services required are the preparation of a Project Memorandum, detailed design plans and supervision of construction.

To be considered, consultants should submit their brochure and/or experience resume such as Federal Forms 254 and 255 by June 30, 1989. This is not a Request for Proposal.

Please send your response to:

Mike Vidmar Economic Development Director Bois Forte Reservation Box 16 Nett Lake, MN 55772

State Designer Selection Board

Request for Proposal for Four Projects

To Registered Professional in Minnesota:

The State Designer Selection Board has been requested to select designers for four projects. Design firms who wish to be considered for this project should submit proposals on or before 4:00 P.M., July 5, 1989, to George Iwan, Executive Secretary, State Designer Selection Board, Room G-10, Administration Building, St. Paul, Minnesota 55155-1495.

The proposal must conform to the following:

- 1) Six copies of the proposal will be required.
- 2) All data must be on $8\frac{1}{2}$ " × 11" sheets, soft bound.
- 3) The cover sheet of the proposal must be clearly labeled with the project number, as listed in number 7 below, together with the designer's firm name, address, telephone number and the name of the contact person.
 - 4) Mandatory Proposal contents in sequence:
- a) Identity of firm and an indication of its legal status, i.e. corporation, partnership, etc. If the response is from a joint venture, this information must be provided for firms comprising the joint venture.
- b) Names of the persons who would be directly responsible for the major elements of the work, including consultants, together with brief descriptions of their qualifications. If desired, identify roles that such persons played in projects which are relevant to the project at hand.
- c) A commitment to enter the work promptly, if selected, by engaging the consultants, and assigning the persons named 4b above along with adequate staff to meet the requirements of work.
- d) A list of State and University of Minnesota current and past commissions under contract or awarded to the prime firm(s) submitting this proposal during the three (3) years immediately preceding the date of this request for proposal. The prime firm(s) shall <u>list and total</u> all fees associated with these projects whether or not the fees have been received or are anticipated. In addition, the prime firm(s) shall indicate the amount of fees listed which were paid directly to engineers or other specialty consultants employed on the projects listed pursuant to the above.
- e) A section containing graphic material (photos, plans, drawings, etc.) as evidence of the firm's qualification for the work. The graphic material must be identified. It must be work in which the personnel listed in "c" have had significant participation and their roles must be clearly described. It must be noted if the personnel named were, at the time of the work, employed by other than their present firms.

The proposal shall consist of no more than twenty (20) faces. Proposals not conforming to the parameters set forth in this request will be disqualified and discarded without further examination.

5) Statutory Proposal Requirements:

In accordance with the provisions of *Minnesota Statutes*, 1981 Supplement, Section 363.073; for all contracts estimated to be in excess of \$50,000.00, all responders having more than 20 full-time employees at any time during the previous 12 months must have an affirmative action plan approved by the Commissioner of Human Rights before a proposal may be accepted.

The proposal will not be accepted unless it includes one of the following:

- a) A copy of your firm's current certificate of compliance issued by the Commissioner of Human Rights; or
- b) A statement certifying that the firm has a current certificate of compliance issued by the Commissioner of Human Rights;

or

- c) A statement certifying that the firm has not had more than 20 full-time employees in Minnesota at any time during the previous 12 months; or
 - d) A statement certifying that the firm has an application pending for a certificate of compliance.
 - 6) Design firms wishing to have their proposals returned after the Board's review must follow one of the following procedures:
- a) Enclose a self-addressed stamped postal card with the proposals. Design firms will be notified when material is ready to be picked up. Design firms will have two (2) weeks to pick up their proposals, after which time the proposals will be discarded; or
- b) Enclose a self-addressed stamped mailing envelope with the proposals. When the Board has completed its review, proposals will be returned using this envelope.

In accordance with existing statute, the Board will retain one copy of each proposal submitted.

Any questions concerning the Board's procedures or their schedule for the project herein described may be referred to George Iwan at (612) 296-4656.

7a) PROJECT-16-89

Fond-du-lac Center Cloquet, Minnesota

The proposed project consists of the preparation of working drawings for classrooms, laboratories, offices, and other necessary purposes. The sum of \$100,000.00 has been appropriated for all fees and other expenses associated with the preparation of full working drawings.

Questions concerning this project may be referred to Joel Longie at (218) 879-0294 or Dan Brennan at (612) 296-8952.

7b) PROJECT-17-89

Athletic Facilities
Hibbing Community College

The proposed project consists of the preparation of working drawings for athletic facilities. The plans must be developed in cooperation with the local school board. The sum of \$25,000.00 has been appropriated for all fees and other expenses associated with the preparation of full working drawings.

Questions concerning this project may be referred to Dan Brennan at (612) 296-8952.

7c) PROJECT-18-89

Campus Expansion
Rainy River Community College

The proposed project consists of the preparation of working drawings for classrooms, laboratories, student services areas, facility offices, a bookstore, child care, maintenance facilities, library, administration areas, and parking areas.

The sum of \$155,000.00 has been appropriated for all fees and other expenses associated with the preparation of full working drawings.

Questions concerning this project may be referred to Allen Rasmussen at (218) 285-7722 or Dan Brennan at (612) 296-8952.

7d) PROJECT-19-89

Cambridge Regional Treatment Center Feasibility Study for Conversion to use by Cambridge Community College

The proposed project consists of a joint Department of Human Services and Community College Board study of the feasibility of converting buildings at the Cambridge Regional Treatment Center for use by the Cambridge Community College. The study must be submitted to the chairs of the Senate Finance Committee and House Appropriations Committee for their advisory recommendations. Following receipt of the recommendations, a preliminary plan for the conversion shall be prepared and submitted to the legislature by January 1, 1990. The plan must include a timetable for the transfer and for any construction, remodeling or repairs required to make the facilities ready for use by the college center. It must also include the estimated costs of the facility improvements required to convert the buildings to college use.

The sum of \$150,000.00 has been appropriated for all fees and other expenses associated with the conduct of this study and, if required, the preparation of the preliminary plan.

Questions concerning this project may be referred to Dan Brennan at (612) 296-8952.

NOTE: In the case of Projects 16-89, 18-89 and 19-89, it is imperative that the design team include the following:

- 1) a design consultant with experience in the programming design of higher education facilities, and
- 2) a cost consultant with demonstrated success in providing cost control estimating from the program documents as well as managing cost estimating during project design.

Bernard Jacob, Chairman State Designer Selection Board

Department of Education

Request for Proposals for Regional Coordination and Delivery of Planning, Evaluation, and Reporting Services

PROPOSALS ARE REQUESTED from regional educational service agencies including educational cooperative service units (ECSU's), colleges or universities, or a combination thereof, to provide a variety of educational curriculum and instruction services relative to the Planning, Evaluation, and Reporting legislation. Proposals should relate to service criteria outlined by the Department of Education and should encompass a service area identical to ECSU regional boundaries.

For further information contact:

Dr. Barry Shaffer, PER Specialist Office of Assessment and Program Evaluation 550 Cedar St. St. Paul, MN 55101 (612) 297-1929

Proposals must be submitted by June 26, 1989.

Department of Finance

Request for Proposal Regarding the Selection of a Financial Advisor for the Master Lease-Lease Purchase Program

I. INTRODUCTION

The State of Minnesota is planning for the Master Lease-Lease Purchase Financing Program for the 1990-1991 biennium. The Master Lease program provides by a single contract the payment for the acquisition of various equipment items to be used by various state agencies. The financial advisor will work with the Department of Finance and these state agencies to assist in the structuring of the program.

Preliminary estimates indicate that the amount of financing for the Master Lease Program will be \$27.5 million in fiscal year 1990 and \$11.3 million in 1991. A copy of a memo concern the Master Lease equipment needs is attached as Exhibit A.

The state sold Master Lease Certificates of Participation in 1985 and 1987.

The Master Lease Program is authorized by *Minnesota Statutes* 16A.85 (1988) as amended by *Minnesota Laws 1989* Chapter 271, Sections 7 and 8. A copy of the Master Lease statute including the changes made by the 1989 Legislature is attached as Exhibit B

II. PURPOSE AND DUTIES

The financial advisor would help in structuring the Master Lease program. Included would be the following:

- A. What equipment should be included.
- B. The expected life of the equipment included.
- C. Which existing leases could be refunded (if any).
- D. The structure of the financing.
- E. Work with legal counsel on legal documents.
- F. Assist in the selection of a trustee (if needed).

III. PREPARATION OF FINANCIAL ADVISORS' PROPOSAL

Each financial advisor is to respond to the following:

- A. Your prior involvement as a financial advisor in developing a Master Lease financing for governmental agencies. Indicate:

 - 1) the names of the agencies;
 - 2) dates:
 - 3) amount of the Master Lease financing;
 - 4) your role in structuring the Master Lease; and,
 - 5) the structure of the Master Lease financing used.
- B. At least 2 references of governmental agencies from A above. Include the name, address and telephone number of the contact
- C. Your proposed approach to the Master Lease financing. Include any creative ideas that could be used to improve the Master Lease Financing used by the state of Minnesota in 1985 and 1987, particularly in light of the statutory changes made by the 1989
 - D. State your interpretation of the required duties.
 - E. State your costs of providing the service.
 - F Provide the name of a contact person within your company and a phone number.

Each bidder must provide with its bid a copy of its Certificate of Compliance from the state of Minnesota Department of Human Rights pursuant to Minnesota Statutes 363.073, or certify in writing that it has not had more than 20 full time employees in Minnesota at any time during the 12 months preceding the date of this bid.

IV. TIMETABLE

Event	Doto
RFP Issued to Financial Advisors	<u>Date</u> June 8
Financial Advisors' Proposals Due Interviews (If necessary)	June 20
Financial Advisor Selected	June 26 June 30
TION CONTACT	Julie 50

V. INFORMATION CONTACT

For additional information contact:

Peter Sausen DEPARTMENT OF FINANCE STATE OF MINNESOTA 400 Centennial Office Building 658 Cedar St. Paul, MN 55155 (612) 296-8372

VI. PROPOSAL SELECTION

A. Nature of Procurement

This procurement is undertaken by the state pursuant to the provisions of 16B.17. As such, it is not governed by strict competitive bidding requirements frequently associated with the purchase of supplies and materials by the state.

Accordingly, the state shall select the consultant whose proposal demonstrates clear capability to best fulfill the purposes of the RFP in a cost effective manner. The state reserves the right to accept or reject proposals in whole or in part and to negotiate separately as necessary to serve the best interests of the State of Minnesota.

VII. ADDITIONAL PROPOSAL AND CONTRACT REQUIREMENTS

A. Duration of Offer

All proposals must indicate that they are valid for a minimum of ninety (90) calendar days.

B. Public Status of Proposals Submitted

Pursuant to Minnesota law, all proposals submitted in response to this RFP shall become the property of the State of Minnesota. Such proposals shall also constitute public records and shall be available for viewing and reproduction by any person.

C. Contractual Terms

The contract resulting from this procurement shall, in addition to terms negotiated by the parties, contain the terms and conditions set forth in State of Minnesota Form 1051 attached as Exhibit C.

Department of Human Services

Health and Residential Programs Division

Notice of Availability of Consultation Contract

The Department of Human Services intends to issue a consultant contract to assist the Department in identifying the utilization of outpatient mental health benefits and providing an assessment as to the most cost effective, appropriate, efficacious outpatient mental health services utilized by children in the MA Program. The results of this study will be used to prepare recommendations for the legislature regarding the most appropriate and cost effective use of outpatient mental health services for children.

This contract will be awarded to a candidate based on his/her experience, education, achievements, and professional standing. The Department of Human Services will make the final selection of a consultant and issue a contract based upon the Department's needs but not to exceed \$30,000.

Candidates must demonstrate that they have the capability to undertake such a project and that they can complete the project in final form by February 1, 1990. Candidates should specifically describe how they would propose to undertake this project, the steps that they would use, the data that would be reviewed and analyzed, and other resources that would be utilized. Candidates must also be available as needed during the period February to May 1990 to assist in responding to inquiries regarding the report and/or conduct further research as required.

The deadline for receipt of proposals in the offices of DHS is July 7, 1989 at 3:00 p.m. Proposals should be directed to:

Ron Hook, Health Legal Specialist Health and Residential Programs Division Space Center 444 Lafayette Rd. St. Paul, Mn. 55155-3853

Department of Human Services

Brainerd Regional Human Services Center

Notice of Request for Proposal for Medical and Psychological Services

NOTICE IS HEREBY GIVEN that Brainerd Regional Human Services Center is seeking the following services for the period July 1, 1989 through June 30, 1990. These services are to be performed as requested by the administration of the Brainerd Regional Human Services Center.

- 1. Services of a Psychiatrist to perform consultation and treatment for mentally ill clients in the Timberland Mental Health Program, five days per week, for 52 weeks, on campus at times jointly agreed to. The amount of the contract is estimated not to exceed \$187,200.00. If required services cannot be obtained through a single contract, multiple contracts will be awarded.
- 2. Services of a licensed consulting psychologist to visit Timberland Mental Health Program and provide 16 hours of service per week. Duties include administering and interpreting psychological tests, and providing psychological consultation. The amount of the contract is estimated not to exceed \$20,800.00.

Responses on the above services must be received by July 3, 1989. Direct inquiries to:

Keith R. Bernard Hospital Services Director Brainerd Regional Human Services Director 1777 Highway 18 East Brainerd, MN 56401 218/828-2220

Interagency Board for Quality Assurance

Request for Proposals for Exception to the Nursing Home Moratorium

Purposes

The Interagency Board for Quality Assurance is accepting proposals from nursing homes and boarding care homes requesting an exception to the nursing home moratorium. The Commissioner of Health, in coordination with the Commissioner of Human Services, may approve such requests under conditions listed in *Minnesota Statutes* 144A.073. These conditions refer to four categories of exceptions which are defined as:

- (a) "Conversion" means the relocation of a nursing home bed from a nursing home to an attached hospital.
- (b) "Renovation" means extensive remodeling of, or construction of an addition to, a facility on an existing site with a total cost exceeding ten percent of the appraised value of the facility or \$200,000, whichever is less.
 - (c) "Replacement" means the demolition or reconstruction of all or part of an existing facility.
- (d) "Upgrading" means a change in the level of licensure of a bed from a boarding care bed to a nursing home bed in a certified boarding care facility.

Emergency Rules

Rules governing procedures for exceptions to the nursing home bed moratorium were effective November 7, 1988 and notice of their adoption was published in the *State Register* on November 28, 1988. These rules were extended by notice of continuation published in the *State Register* on May 1, 1989.

Appropriation Available

The commissioner of health may license or certify beds through the exception review process, provided the projected total annual increased state medical assistance costs of all licenses or certifications granted during the biennium under these exceptions to the moratorium do not exceed \$300,000.

Eligibility

A proposal for an exception to the moratorium may be submitted by an organization or individual authorized by a facility's governing board or management to prepare and submit a proposal to the Interagency Board for Quality Assurance.

Procedure for Submitting Proposals

The complete request for proposals, including instructions, format and necessary forms, is available upon request. Please submit 15 copies of the completed proposal by 4:30 September 11, 1989:

Anne P. Kane, Executive Director
Interagency Board for Quality Assurance
Minnesota Department of Health—Health Resources
393 North Dunlap Street
St. Paul, MN 55164-0938
(612) 643-2154

Legislative Commission on Employee Relations

Notice of Request for Proposals to Evaluate Alternative Collective Bargaining Unit Structures for Minnesota's Public School Districts

The Legislative Commission on Employee Relations is seeking proposals from qualified consultants to evaluate options and make recommendations regarding regional and statewide collective bargaining for employees of Minnesota's Public School Districts. Details of the requirements and scope of the project are included in the Request for Proposals, which can be obtained from Greg Hubinger, Director, Legislative Commission on Employee Relations, 85 State Office Building, St. Paul, MN 55155, (612) 296-2963.

The amount available for this project is \$50,000. Proposals will be accepted until 3:00 PM, Friday, June 23, 1989.

Department of Natural Resources

Bureau of Information & Education

Request for Proposals for Contractor to Assist in Producing The Minnesota Volunteer

The Department of Natural Resources wishes to retain a contractor to assist in producing the department's bimonthly magazine *The Minnesota Volunteer.* Duties include editing, writing articles, doing layout and design, and overseeing production.

Services begin June 26. Proposals must be received by 4:30 p.m., June 19. Estimated cost of contract not to exceed \$23,000. For copies of the Request for Proposals, contact: Department of Natural Resources, Bureau of Information and Education, 500 Lafayette Road, St. Paul, MN 55155-4046.

Department of Transportation

Office of the Commissioner

Notice of Available Pro Bono Contract for Anti-Litter Advertising Marketing Program

Background

The Minnesota Department of Transportation is developing and coordinating a comprehensive highway beautification program for the state. One of the key components is an anti-litter campaign.

More than 70% of the 8,000 tons of trash recovered from Minnesota highways each year is from products consumed by individuals: food and candy wrappers, beverage containers, tobacco products, etc.

We must change the careless attitude of individuals who litter. A marketing and public relations program can both educate and motivate offenders to change their behavior.

Objective

The reduction of litter on Minnesota highways is the overall objective of the proposed marketing plan. The campaign should consist of television and radio commercials, outdoor billboards, public relations materials, direct mail pieces, and volunteer programs, among other techniques. The consultant will be responsible for producing all artwork, purchasing media time and space, and conducting an ongoing evaluation of the project using accepted market research techniques.

The advertising program must strive to:

- * Engender a sense of pride in the State of Minnesota.
- * Discourage deliberate offenders from littering.

Timing and Funding

The anti-litter campaign should be implemented beginning in September, 1989, and should be completed by October, 1990. All professional services and costs (other than media time and space) must be provided by the contractor on a *pro bono* basis. Total expenses for the purchase of media time and space must not exceed \$200,000.

Firms desiring consideration must express their interest by letter, submitting therewith a succinct but complete outline of proposed activities to answer the needs expressed in this notice. Interested firms must also submit a statement of experience, establishing their background with similar projects. All letters of interest must reach the Department by four o'clock (4:00) p.m., Monday, July 3, 1989.

Proposals should be submitted to:

Rodney A. Pletan State Maintenance Engineer Minnesota Department of Transportation G-20 Transportation Building Saint Paul, Minnesota 55155 Telephone: (612) 297-3590

State Board of Vocational Technical Education

Notice of Request for Proposals for Adult Education in Consumer and Homemaking Education

The State Board of Vocational Technical Education is seeking proposals for projects to provide research, curriculum, development, expand, continue or initiate new programs to serve families through extension offerings on worksite, on campus or a customized design.

Application for these monies must be made through a Technical Institute. The requests must address the needs of families: Grants for the purposes set forth may be used for—program development and improvement of instruction curricula and support services and activities relating to managing individual and family resources, making consumer choices, managing home and work responsibilities, improving responses to individual and family crisis, strengthening parenting skills, assisting aged and handicapped individuals, improving nutrition, conserving limited resources, understanding the impact of new technology on life and work, applying consumer and homemaking education skills to jobs and careers, and other needs as determined by the State.

The RFP application and additional information should be requested from:

Florence K. Stater, Program Manager State Board of Vocational Technical Education 550 Cedar Street Capitol Square Building Suite 350 St. Paul, MN 55101 (612) 296-0667

Approximately \$230,000.00 will be available statewide for these projects to operate from July 1, 1989 to June 30, 1990. Proposals must be *received* by Florence K. Stater, at the above address on Thursday July 6, 1989.

State Board of Vocational Technical Education

Notice of Request for Proposals for Contractual Services

The State Board of Vocational Technical Education is requesting proposals from any qualified individual or firm interested in assisting the State Board of Vocational Technical Education Instructional Support Services Manager in the Development of New and Innovative Supplemental Service Models to be incorporated within the technical college system. These models will test and demonstrate improved approaches to serving handicapped students enrolled in technical education.

The specifications and scope of the development of the state supplemental services models are contained in a formal RFP. To obtain a copy of the RFP, contact:

Lloyd A. Petri State Board of Vocational Technical Education 109 Capitol Square Building, 1st Floor 550 Cedar Street St. Paul, MN 55101 (612) 296-9445

The cost of this project shall not exceed \$32,000. The deadline for proposal submission is Monday, July 3, 1989 by 4:00 p.m.

Supreme Court Decisions

Decisions Filed 9 June 1989

C9-87-2322 Citizens National Bank of Madelia, petitioner, Appellant v. Mankato Implement, Inc. Court of Appeals.

- 1. Evidence was sufficient to find that bank orally consented to debtor's disposition of collateral.
- 2. Bank's oral consent to disposition of collateral was effective to extinguish its security interest in the collateral despite a written provision in security agreement that such consent must be in writing.
- 3. Evidence that security agreements were filed in the normal course of business was sufficient to show that security interest was perfected.

Affirmed. Yetka, J.

Supreme Court Decisions

C6-87-1337 In the Matter of the Disciplinary Action Against the Dentist License of Joseph H. Wang. Court of Appeals.

- 1. The findings of the Board of Dentistry that appellant made suggestive or improper advances to three patients in violation of *Minnesota Statutes* § 150A.08, subd. 1(6) (1984) and *Minnesota Rule* 3100.6200(c) (1987) are not supported by substantial evidence.
- 2. Appellant did not violate *Minnesota Statutes* § 150A.08, subd. 1(5) (1984) by prescribing tetracycline for treatment of acne of a former patient nor does substantial evidence support the finding that he authorized three refills of that prescription.
- 3. Minnesota Statutes § 150A.08, subd. 3 (1986) does not authorize the Board of Dentistry to assess against licensees the attorney fees and investigation costs incurred by the attorney general. The assessment of costs by the Board of Dentistry in a disciplinary matter must be in conformity with those costs enumerated in Minnesota Statutes § 3.761, subd. 4.

Reversed and dismissed. Wahl, J.

Took no part, Keith, J.

C7-87-1394 James L. Snyder v. City of Minneapolis, petitioner, Appellant. Court of Appeals.

- 1. Under the facts and circumstances of this case, plaintiff cannot be charged with knowledge of the illegal nature of his land use and may recover in negligence against the City.
- 2. The cap on municipal tort liability provided by *Minnesota Statutes* § 466.04 is not an affirmative defense but a statutory rule of law trial courts are obliged to impose whenever damages exceed the statutory limit.
- 3. Minnesota Statutes § 466.04, the cap on municipal tort liability, survives plaintiff's constitutional challenges based on equal protection and due process and does not violate art. 1, Sec. 8 of the Minnesota Constitution.
- 4. Damages are modified in accordance with this opinion.
- 5. Plaintiff's estoppel claim does not entitle him to money damages.
- 6. Plaintiff failed to prove a claim under 42 U.S.C. 1983.

Affirmed as modified, and remanded. Wahl, J.

Took no part, Keith, J.

C9-88-2301 Anthony Elmer Dent, petitioner, Appellant v. State of Minnesota, Hennepin County.

- 1. Claims of violation of fundamental rights which were raised and decided on direct appeal, or which were known at the time and could have been, but were not, asserted in the direct appeal will not be reconsidered in the subsequent proceeding seeking postconviction relief.
- 2. Only when a claim of deprivation of constitutional rights is so novel that its legal basis was not reasonably available to appellate counsel at the time of a direct appeal from a criminal conviction may a defendant in a subsequent proceeding seeking postconviction relief successfully assert inadequacy of appellate counsel, providing such appellate counsel has otherwise raised issues reasonably deemed by counsel to be meritorious.

Affirmed. Kelley, J.

Tax Court =

Pursuant to Minn. Stat. § 271.06, subd. 1, an appeal to the tax court may be taken from any official order of the Commissioner of Revenue regarding any tax, fee or assessment, or any matter concerning the tax laws listed in § 271.01, subd. 5, by an interested or affected person, by any political subdivision of the state, by the Attorney General in behalf of the state, or by any resident taxpayer of the state in behalf of the state in case the Attorney General, upon request, shall refuse to appeal. Decisions of the tax court are printed in the State Register, except in the case of appeals dealing with property valuation, assessment, or taxation for property tax purposes.

A subscription service to *all decisions* of the Minnesota Tax Court is available through Minnesota's Bookstore. An annual subscription for a full 12 months costs \$275, renewable on the subscription's anniversary. Individual decisions may be purchased for \$2.25 plus 6% sales tax and \$1.50 postage/handling per order. Decisions printed below do not include the written memorandums attached to each decision. The memorandums explain the court's reasons for its decisions and can be very lengthy. To subscribe, or order individual decisions, use the handy order form at the back of this magazine, or call (612) 296-0931 for more information.

Tax Court—Regular Division

Docket No. 4949—Dated: 10 May 1989

Ronald L. and Dee Ann Dahl, Appellants, vs. Commissioner of Revenue, Appellee

The above-entitled matter came on for hearing before the Honorable Arthur C. Roemer, Judge of the Minnesota Tax Court, on October 27, 1988, at the Kittson County Courthouse in Hallock, Minnesota.

Garry A. Pearson, of the law firm of Pearson, Christensen & Fischer, Grand Forks, North Dakota, appeared for the appellants.

Thomas M. O'Hern, Jr., Special Assistant Attorney General, appeared on behalf of the appellee.

The sole issue in this case is whether funds transferred from a wholly owned corporation to the appellants constitute loans, or taxable income and capital gain.

Post-trial briefs were filed by both parties. A supplemental brief, filed by the appellee on February 21, 1989 and objected to by appellant, was not considered, nor were the portions of the reply brief that were not responsive to the appellee's brief.

The Court, having heard and considered the evidence adduced at the hearing and upon all of the files and records herein, now makes the following:

FINDINGS OF FACT

- 1. The appellants, husband and wife, are engaged in farming operations in Kittson County, Minnesota.
- 2. The appellants own 1,450 acres of farm land in Kittson County.
- 3. In 1976 appellants formed a corporation called Skyhawk Farm, Inc. (hereinafter "Skyhawk"). Appellants owned all the stock in the corporation, there being two officers; Ronald L. Dahl being elected President and Treasurer and Dee Ann Dahl, his spouse, being elected Vice President and Secretary.
- 4. Skyhawk leased the 1,450 acres from appellants beginning February 17, 1976, as well as other farm land from other owners, on which it conducted farming operations, principally raising wheat, oats, sunflower seeds and sugar beets. The lease agreement between Skyhawk and appellants does not specify a specific rent amount but provides for a rent comparable to that received by other owners for similar land.
 - 5. The principal assets of Skyhawk consisted of crop inventory, and farm machinery and equipment.
- 6. Ronald Dahl was paid a salary by the corporation which, in addition to the rent, constituted his only source of income. In non-profitable years this salary was reduced or no salary was paid.
 - 7. Dee Ann Dahl had income from non-farm employment.
 - 8. The corporate minutes indicated that, from 1980 through 1986, Skyhawk paid the following amounts of rent to Ronald Dahl:

<u>Year</u>	<u>Amount</u>	<u>Year</u>	<u>Amount</u>
1979	\$ 19,700	1983	\$ 30,000
1980	\$ 25,000	1984	\$ 55,000
1981	\$ 43,500	1985	\$100,000
1982	\$ 53,000	1986	\$ 50,000

9. From 1980 through 1986 Ronald Dahl's salary was as follows:

<u>Year</u>	<u>Amount</u>	<u>Year</u>	<u>Amount</u>
1979	\$ 17,700	1983	\$ 15,000
1980	\$ 17,700	1984	\$ 15,000
1981	\$ 18,000	1985	\$ -0-
1982	\$ 5,865	1986	\$ -0-

- 10. Skyhawk selected a fiscal year ending October 31st of each year.
- 11. On October 31, 1981, Skyhawk's accumulated earnings and profits were \$222,862, all of which was invested in personal property, mostly farm machinery and equipment.
 - 12. Skyhawk had book earnings and profits and accumulated earnings and profits as follows:

Year Ended	Current Year	<u>Accumulated</u>
10-31-76	\$ 54,183	\$ 54,183
10-31-77	42,046	96.229

Tax Court

10-31-78	(34,254)	61,975
10-31-79	8,919	70,894
10-31-80	59,660	130,554
10-31-81	92,308	222,862
10-31-82	(66,231)	
10-31-83	9,860	
10-31-84	100.101	

13. The net income of Skyhawk as disclosed on federal income tax returns was as follows:

10-31-81	\$ 83,304
10-31-82	(15,288)
10-31-83	(28,891)
10-31-84	38,937
10-31-85	4,542
10-31-86	19,412

- 14. Prior to 1982, appellants as well as Skyhawk borrowed money from the Farmers State Bank of Stevens. Appellants borrowed very little money personally. In September, 1981, the Skyhawk loan balance approximated \$61,000 and the Ronald Dahl loan balance approximated \$10,000.
- 15. Beginning in 1982, Ronald Dahl and, particularly, Skyhawk substantially increased their borrowing. In December, 1982, Ronald Dahl's loan balance was \$29,000 and Skyhawk's was \$210,000 (\$160,000 held by Farmers State Bank of Stevens and \$50,000 held by Security State Bank of Warroad, Minnesota, a sister bank [hereinafter "Security State Bank"]).
- 16. Skyhawk continued to borrow significant amounts of money from the Farmers State Bank of Stevens and, by arrangement of the Farmers State Bank of Stevens, from the Security State Bank, and from First National Bank of Grand Forks, North Dakota. Loans up to \$200,000 were made and retained by the Farmers State Bank of Stevens. Where the amount of the loans exceeded \$200,000 but were less than \$400,000, the loan was made by the Farmers State Bank of Stevens but the amount over \$200,000 was assigned to Security State Bank. Amounts over \$400,000 were made by the Farmers State Bank of Stevens but assigned to the First National Bank of Grand Forks.
- 17. Sometime in 1982 or 1983, appellants were advised by a bank officer of the Farmers State Bank of Stevens, Minnesota with whom they were conducting business, that at the request of the First National Bank of Grand Forks no further loans would be made to them individually, but all loans must be made to Skyhawk. This was done so as to coordinate all loans in one entity.
 - 18. As of June 1, 1984, the appellants did not personally owe any money to the banks.
- 19. The Farmers State Bank of Stevens required a personal guarantee from the appellants of all corporate loans to Skyhawk, which is the practice in the case of wholly owned subsidiaries.
- 20. Sometime in the late 1970s, appellants consulted their Certified Public Accountant, Jim Wright, and again in 1982, appellants consulted their Certified Public Accountant, Conrad M. Dahl (no relationship to appellants), who handled their tax matters, whether loans from the corporation to the appellants would be recognized for tax purposes. Both Mr. Wright and Conrad Dahl advised them that loans would be recognized if properly documented.
- 21. Skyhawk maintained a single entry journal for each year entitled, "National Farmers Income Tax Records," consisting of 56 columns in which entries were made for receipts and disbursements in appropriate columns designated by the type of receipt or disbursement.
- 22. Appellant Ronald Dahl transferred, from time to time by personal check, significant amounts of money from Skyhawk to himself for his personal use. These amounts were later entered in the journal in a column titled "loans."
- 23. After the end of the fiscal year, the journal was taken to his CPA, Conrad Dahl, who categorized these transfers as rent, salary or loans.
- 24. The loan balances owed to Skyhawk by Ronald Dahl as of the end of each fiscal year as shown on the corporate books and records of Skyhawk are as follows:

<u>Year</u>	<u>Amount</u>	<u>Year</u>	<u>Amount</u>
1982	\$316,809.75	1985	\$537,998.66
1983	\$381,322.03	1986	\$374,554.69
1984	\$546,483.76	1987	\$190,290.19

25. The corporate return of Skyhawk disclosed the following loan balances:

<u>Year</u>	<u>Amount</u>	<u>Year</u>	<u>Amount</u>
10-31-76	\$ 516	10-31-82	\$225,527
10-31-77	4,553	10-31-83	302,218
10-31-78	3,553	10-31-84	543,484
10-31-79	3,553	10-31-85	534,999
10-31-80	3,553	10-31-86	371,555
10-31-81	23 730		

- 26. Each year, as part of the tax return preparation, the CPA and Dahl analyzed the debts and reclassified some of Skyhawk's debt as personally owed by Dahl. Dahl testified that all of Skyhawk's loans should have been classified as personal loans.
- 27. The difference between the loan balances shown on the books and records, as compared to the income tax returns, is largely due to an adjustment for commodity credits (for example, \$91,284 commodity credits in fiscal year 1982 and \$76,103.76 commodity credits in fiscal year 1983).
- 28. Appellant Ronald Dahl testified he intended to repay these loans from rent, salary, other loans or other income. The assets of appellants (mostly land) exceeded the amount of the loans at all times.
 - 29. Repayments to Skyhawk were made by Ronald Dahl in the following amounts:

1981	\$ 71,865	1984	\$ 73,000
1982	66,500	1985	128,600
1983	33,800		

- 30. During the three year period at issue, 1982 through 1984, Skyhawk borrowed \$821,312.72 from Farmers State Bank of Stevens, and Skyhawk loaned \$790,303 to Ronald Dahl. Ronald Dahl repaid \$170,665 in cash, and other repayments totalling \$697,673.42 by way of rent and salary owed by Skyhawk and reclassification of loans.
 - 31. The Commissioner in his order treated loan repayments during the three years at issue as contributions to capital.
- 32. To reduce his debt to Skyhawk, Ronald Dahl in April, 1985 pledged 320 acres of his farmland to secure \$128,000 of Skyhawk's indebtedness, which \$128,000 was applied to Skyhawk's loan balance; in 1987 Ronald Dahl borrowed \$175,000 from Farmers Home Administration which he turned over to Skyhawk, and which Skyhawk used to reduce its debt to the banks.
- 33. By the end of FY 1985, Dahl had repaid, through cash contributions, applications of salary and rent, and reallocations of debt, a total of \$651,073.92.
 - 34. In 1987, Farmers State Bank of Stevens reclassified \$190,290.19 of Skyhawk's loans as loans to Ronald Dahl.
- 35. Appellants' need for loans stemmed from his construction of a \$225,000 home, the construction of which was commenced in 1982 and completed in 1983, and substantial losses on the commodity market, totaling \$660,000 during the period 1982 through 1985.
- 36. The funds for the loans made by Skyhawk to Ronald Dahl were obtained by loans made by Skyhawk from the Farmers State Bank of Stevens, Security State Bank, and the First National Bank of Grand Forks.
 - 37. No dividends were ever declared by Skyhawk.
- 38. No authorization of loans was contained in the minutes of the stockholders or directors of Skyhawk, merely a recognition of the amounts loaned to appellants from the corporation.
 - 39. No ceiling was set on loans from Skyhawk to appellants, and no specific loan repayment plan was ever developed.
- 40. Skyhawk was a conduit for loans made to Ronald Dahl from Farmers State Bank of Stevens, Security State Bank, and the First National Bank of Grand Forks.
- 41. The Commissioner, in his order dated August 7, 1987, increased the taxable income of the appellants for calendar years 1982, 1983 and 1984 in the amounts of \$238,288, \$70,780 and \$36,900, respectively, resulting in additional tax of \$40,334, \$8,229 and \$1,328, respectively. The 1982 taxable income was later amended to \$173,191.
- 42. The distributions to Dahl were classified in accordance with the *Internal Revenue Code* (*USC* § 316a), which determines taxability in accordance with the following allocation: first, current year's earnings, then accumulated earnings, return of capital, and finally, capital gain.
 - 43. The Court finds that the amounts in issue constitute loans for Minnesota income tax purposes.
 - 44. The attached Memorandum is hereby made a part of these Findings of Fact.

CONCLUSIONS OF LAW

- 1. The amounts in issue constitute loans for Minnesota income tax purposes.
- 2. The Order of the Commissioner of Revenue is hereby reversed.

LET JUDGMENT BE ENTERED ACCORDINGLY. A STAY OF 15 DAYS IS HEREBY ORDERED.

BY THE COURT, Arthur C. Roemer, Judge Minnesota Tax Court

Tax Court—Regular Division

Docket No. 4885—Dated: 19 May 1989

David R. and Linda Hohlen, Appellants, vs. Commissioner of Revenue, Appellee

The Court's Findings of Fact, Conclusions of Law and Order for Judgment in the above-entitled matter was issued February 15, 1989. On March 3, 1989, the appellee moved for Amended Findings of Fact and Conclusions of Law. A hearing on appellee's motion was held before the Honorable Arthur C. Roemer, Judge of the Minnesota Tax Court, on March 30, 1989, at the Courtroom of the Tax Court, 520 Lafayette Road, St. Paul, Minnesota.

James E. Ostgard, Attorney at Law, appeared for the appellants.

Thomas K. Overton, Special Assistant Attorney General, appeared for the appellee.

Appellee moved for an entire new set of twenty findings to replace the fifteen findings contained in the original opinion. At the hearing, however, appellee agreed that a significant number of the requested findings either were already included in the original findings and memorandum or did not conform to the evidence.

NOW THEREFORE, having heard and considered the arguments of counsel and upon all of the briefs, files and records herein, the Court's Order of February 15, 1989 is hereby amended as follows:

- 1. Finding of Fact Number 2 shall be amended as follows:
- [2.] The appellants occupied a home at 12100 92nd Avenue North, Maple Grove, Minnesota, along with their 9 year old daughter, for one and one-half years prior to December, 1986.
- 2. In addition to the findings in the original order, this Court makes the following additional findings, to be inserted on page 3 of the February 15, 1989 order, following finding numbered 9:
- [10.] After Linda Hohlen and Mike Scott left for work on the day the search warrant was executed, David Hohlen was the only adult in the Hohlen residence. The Hohlens' 9 year old daughter went to a neighbor's to play.
- [11.] David and Linda Hohlen previously possessed only a small amount of marijuana, as evidenced by their admission of consumption and misdemeanor guilty plea.
 - [12.] David and Linda Hohlen knew that Mike Scott had received at least one shipment of marijuana at the Hohlen residence.
- 3. Findings numbered 10 through 15 of the original February 15, 1989 order, now following the above three additional findings, shall be renumbered as 13 through 18, respectively.
- 4. In the Memorandum to the Court's February 15, 1989 opinion, on page 6, lines 1-2, 10-12 and 13-15, the following substitutions are hereby made:

DELETE:

(2) 350 grams of marijuana found in a downstairs closet used by Mike Scott (adjoining his bedroom).

REPLACE WITH:

(2) 350 grams of marijuana found in a downstairs closet in the bedroom used by Mike Scott.

DELETE:

(5) 118 grams of marijuana on a closet shelf in the downstairs closet adjacent to Mike Scott's bedroom and used by Mike Scott.

REPLACE WITH:

(5) 118 grams of marijuana on a closet shelf of the downstairs closet in the bedroom used by Mike Scott.

DELETE:

(6) marijuana paraphernalia found in the closet used by Mike Scott and on the floor of the downstairs bedroom used by Mike Scott.

REPLACE WITH:

- (6) marijuana paraphernalia found in the closet of the downstairs bedroom used by Mike Scott and on the floor of the downstairs bedroom used by Mike Scott.
- 5. In the Memorandum to the Court's February 15, 1989 opinion, on page 9, lines 5-9, the following paragraph IS HEREBY DELETED:

Minnesota Statutes § 297D.01 creates a presumption that any person found possessing less than 42.5 grams (approximately 1.5 ounces) of marijuana is not considered to be a dealer but possesses the marijuana for personal use. Any person possessing more than 42.5 grams of marijuana is presumed to be a dealer.

6. In the Memorandum to the Court's February 15, 1989 opinion, on page 13, lines 22-24, the following substitution is hereby made:

DELETE:

In the Wiley case there apparently was not evidence that the marijuana belonged to someone else.

REPLACE WITH:

In the <u>Wiley</u> case the person who shared the home with Wiley was charged with and pled guilty to possession of marijuana. However, an informant reported that Wiley had been selling marijuana from the shared residence and marijuana was found in a drawer containing Wiley's personal items of identification and items of men's clothing.

7. That part of appellee's motion requesting Amended Conclusions of Law is hereby denied.

IT IS SO ORDERED.

BY THE COURT, Arthur C. Roemer, Judge Minnesota Tax Court

Announcements =

Travelers' Road Information: Minnesotans and travelers from nearby states can call for road information for the highways they wish to travel in Minnesota. The system may be used by callers with rotary dial phones as well as by touch button phones. Call 296-3076 in the Twin Cities area and 1-800-542-0220 in greater Minnesota, Iowa, Wisconsin, North and South Dakota.

Environmental Quality Board (EQB): Comments on environmental assessment worksheets (EAWs) are due June 28 at the regional governing unit listed with the following projects: Evergreen Subdivision-Monticello, City of Monticello; Uptown Village, City of Minneapolis; Lake Superior Paper Industries No. 2 Paper Machine, Minn. Pollution Control Agency (PCA); Southport Centre, City of Apple Valley; Kandiyohi CSAH 2, Kandiyohi County; and Kandiyohi County CSAH 27. Petitions for environmental impact assessment have been received on the following projects: LTV Limestone Storage-Taconite Harbor, Cook County; Hennepin CSAH 30 Reconstruction, Hennepin County Department of Public Works; Lake Tetonka RV Campground, LeSueur County; Wayzata Yacht Club, Lake Minnetonka Conservation District; Talmadge River Campground, Duluth Township Zoning Commission; Wabasha County Community Center/Fair, City of Wabasha; St. Croix Valley Air Show, Environmental EQB; and NSP Electric Transmission Line—Derrynane Township to LeSueur, EQB. For more information on any of the above, contact EQB Monitor editor Gregg Downing, (612) 296-8253.

Conservation Days: The Minnesota Zoo is celebrating the second annual Conservation Days on June 24-25. Displays will be staffed by representatives of many local and national conservation organizations, and will be located inside the Tropics Building from 10 am-4 pm each day. All presentations reflect this year's theme, "habitat preservation." The program includes the World of Birds Show and appearances by representatives of In the Heart of the Beast Puppet Theater. For more information, call (612) 431-9200.

Announcements =

Future Resources: The Minnesota Future Resources Commission is developing a strategic plan to help guide Environmental Trust Fund expenditures. The Commission, composed of eight state senators and eight representatives, will be travelling to several communities to hear citizen concerns about natural resources that should be considered in the development of the plan. Meetings will be held in Marshall, June 13; Rochester, June 27; Fergus Falls, July 11; Bemidji, July 25; St. Cloud, Aug. 17; Duluth, Aug. 29; and Metro, Aug. 15 (Murray Hall, College of St. Thomas, St. Paul). For more information, call Jackie Olenick, (612) 296-2668.

Arts Board to Meet: The next regular meeting of the Minnesota State Arts Board will take place at 9:30 a.m. on Thursday, June 22, 1989 at the Arts Board offices, 432 Summit Avenue in Saint Paul. The public is invited to attend. Open meeting law guidelines will be in effect. Agenda items will include the selection of grantees for the Arts Board's Operating Support and Series Presenters programs. Call 297-4212 for more information.

1-494 Corridor Survey: Selected employers and employees working along Interstate Hwy. 494 from the Minnesota River to Interstate Hwy. 394/Hwy. 12 will be surveyed this summer and fall about their travel behavior and any concerns they have regarding traffic congestion and parking in the I-494 corridor. A 1988 "suburban mobility initiatives demonstration" grant from the Urban Mass Transit Administration will help to determine feasible travel demand management and land use strategies to ease the growing problem of congestion on I-494. Travel demand management strategies include such things as staggering work hours, forming carpools or vanpools, and providing preferential parking spaces to vehicles with more passengers. The Minnesota Department of Transportation and IMPROVE-494, a private-sector group of developers, businesses and employers in the I-494 corridor, are working with the Metropolitan Council on this demonstration project. IMPROVE-494 will be surveying travel behavior in three areas along the corridor: the southwest quadrant of I-494 and Normandale Blvd. in Bloomington; north of I-494 on the east and west side of France Av. in Bloomington and Edina; and north of I-494 between Hwy. 169 and Hwy. 18 in Eden Prairie. In June, IMPROVE-494 began surveying employers and employees in the first of these areas. Large employers will be contacted individually and small employers will be contacted in groups. This fall, IMPROVE-494 will conduct similar surveys in the remaining two areas. Once gathered, the information will be used to determine what type of travel demand strategies will work best in the corridor. For more information, contact Jeanne Landkamer (612) 291-6511.

Moose Hunt Application Deadline Near: Applications for Minnesota's 1989 moose hunting season must be postmarked or delivered to the Department of Natural Resources (DNR) License Center in St. Paul no later than Thursday, June 15. Those who received moose hunting permits in any year after 1977 are not eligible to apply this year. Applicants must provide their driver's license number or Minnesota I.D. number. This information is necessary to enforce the regulation that excludes previous moose license holders from the drawing for a period of five seasons. A \$12 fee must accompany each party application. A total of 994 hunting parties, each comprising four persons, will be selected at random by computer. This will allow 3,976 hunters to take part in this season's hunt. The license fee is \$250 per party. Applications blanks and instructions showing the various hunting zones can be obtained from county auditors and most license agents. The forms are also available from the DNR License Center at 500 Lafayette Road, St. Paul. Hunters receiving permits for northeastern Minnesota moose zones are required to attend an orientation session this fall prior to the season. The three meeting locations are Ely, Isabella and Silver Bay, and a time schedule for the meetings is included with the application information. All members of the party need not attend at the same time. Moose licenses for the northeast zones will be marked valid at the meetings.

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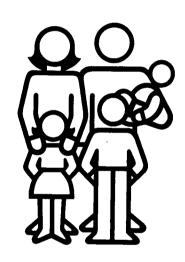
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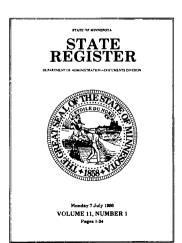
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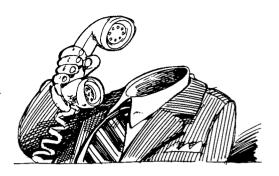
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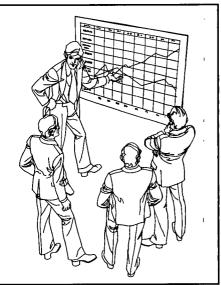
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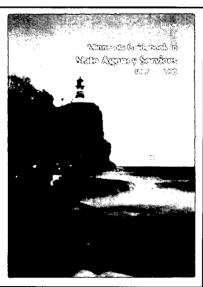
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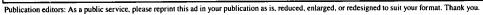
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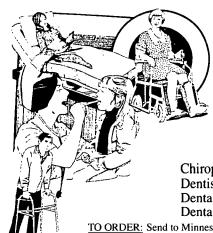
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