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JUNE 26, 1978
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*Deadline extensions may be possible at the editor's discretion; however, none will be made beyond the second Wednesday (12 calendar days) preceding the issue date for rules, proposed rules and executive orders, or beyond the Wednesday (5 calendar days) preceding the issue date for official notices. Requests for deadline extensions should be made only in valid emergency situations.

**Notices of Public Hearings on proposed rules are published in the Proposed Rules section and must be submitted two weeks prior to the issue date.

Instructions for submission of documents may be obtained from the Office of the State Register, Suite 415, Hamm Building, 408 St. Peter Street, St. Paul, Minnesota 55102.

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Editor

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Editorial Staff

Cindy Peterson  
Secretarial Staff
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*(CITE 2 S.R. 2305)*
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The adoption of a rule becomes effective after the requirements of Minn. Stat. § 15.0412, subd. 4, have been met and five working days after the rule is published in the State Register, unless a later date is required by statutes or specified in the rule.

If an adopted rule is identical to its proposed form as previously published, a notice of adoption as proposed and a citation to its previous State Register publication will be printed.

If an adopted rule differs from its proposed form, language which has been deleted will be printed with strike outs and new language will be underlined, and the rule's previous State Register publication will be cited.

A temporary rule becomes effective upon the approval of the Attorney General as specified in Minn. Stat. § 15.0412, subd. 5. Notice of his decision will be published as soon as practicable, and the adopted temporary rule will be published in the manner provided for adopted rules under subd. 4.

Department of Personnel Recruitment and Selection Division

Adopted Temporary Rules
Governing Examination and Referral of Candidates for Classified Positions in State Civil Service and Emergency Appointments to Classified Positions

The rules published at State Register, Volume 2, Number 43, pp. 1954-5, May 1, 1978 (2 S.R. 1954), as proposed temporary rules are adopted as temporary rules as of June 5, 1978, and are identical to their proposed form with the following amendments:

2 MCAR § 2.044 Conduct of selection processes. Any or all parts of the selection process shall be held at such times and places as in the judgement of the commissioner most nearly meet the convenience of the applicants, practicability of administration, and needs of the service. The selection process shall be conducted either by the commissioner or persons designated by the commissioner. No person whose application has been accepted shall be entitled to take any part of the selection process on a date, time or place other than those determined by the commissioner. In order to protect the security of examinations by providing a reasonable period between test administrations, applicants shall be prohibited from participating in the same written or oral tests more than once within a six month period. However, if the same written or oral test is used for more than one classification or if the classification is opened for competition on both a competitive and promotional basis within the six month period, an applicant shall, upon application, be permitted to use scores obtained on the written or oral portion of the initial examination on the subsequent examination.

2 MCAR § 2.048 Order of names on eligible list. Names of eligibles shall be placed on the eligible list in the order of their final earned rating plus preference credit provided that the earned rating was a passing grade. In the case of a tie between a veteran and a nonveteran, the name of the veteran shall be placed ahead of a nonveteran. In case of other ties in final ratings, names shall be placed on the list in order of rating earned on the part of the selection process given the greatest weight. Any remaining ties shall be broken by arranging names on the basis of the last four digits of the Social Security number using a random point of origin.

2 MCAR § 2.064 Duration of eligible lists. The commissioner shall determine the period during which promotional or open competitive eligible lists shall remain in effect. However, this period shall not be less than six months for open competitive lists or one year for layoff, reemployment or promotional lists, nor more than three years for any list except for layoff lists, where the eligibility of a candidate shall be extended to a period of time equal to the employee's state seniority to a maximum of eight years. If an eligible list exists for any class and the commissioner deems it necessary to establish another such list for the same class, the existing list may, at the discretion of the commissioner, taking into consideration the number of anticipated vacancies, frequency of previous examination administration, continued candidate availability, and changes in the selection process, be cancelled. At the discretion of the commissioner, an existing list may be combined with the new list by placing names of eligibles in order of final ratings as provided in these rules. The eligibility of individuals placed on such combined lists shall expire at the end of the period established.

KEY: RULES SECTION — Underlining indicates additions to proposed rule language. Strike outs indicate deletions from proposed rule language. PROPOSED RULES SECTION — Underlining indicates additions to existing rule language. Strike outs indicate deletions from existing rule language. If a proposed rule is totally new, it is designated "all new material."
RULES

2 MCAR § 2.064

lished by the commissioner, but at the discretion of the com-
missoner, such eligibility may be extended for an additional
period or periods not to exceed three years. With eligible lists
for classes for which continuous or frequent testing is con-
ducted, the term of individual candidate eligibility shall
begin on the date of placement of their name on the eligible
lists and continue for a period established by the commis-
ioner, taking into consideration the number of anticipated
vacancies, continued candidate availability, and changes in
the selection process.

State Planning Agency
Health Planning and
Development Division

Adopted Rules Governing the
State Health Research Program

The rules proposed at State Register, Volume 2, Number
35, pp. 1639-1644, March 6, 1978 (2 S.R. 1639), are
adopted and are identical to their proposed form with the
following amendments:

10 MCAR § 1.305 Authority, purpose, definitions and
general provisions.

A. Authority. The rules contained herein are prescribed
by the Statewide Health Coordinating Council and the State
Planning Agency pursuant to the authority granted in Laws
of 1977, ch. 453, § 27 and Minnesota Department of Ad-
ministration Reorganization Order No. 83.

B. Purpose. The purpose of these rules is to establish
procedures for administering the Health Research Program.
The Health Research Program will provide funds to help
maintain biomedical research in Minnesota and to
strengthen the state’s ability to produce health services re-
search, nonmedical health research, and health policy re-
search on issues of importance to the state.

C. Definitions. The following terms as used in these
rules have the following meanings:

1. “Health Research Program” (HRP) means the pro-
gram and corresponding appropriation established by the
Minnesota Legislature in Laws of 1977, ch. 453, § 27 to
further health research in Minnesota.

2. “Statewide Health Coordinating Council” (SHCC)
means the citizens’ council appointed by the Governor of
Minnesota pursuant to the National Health Planning and

3. “Committee on the Health Research Program”
(CHRP) means a committee of the SHCC which shall
supervise the administration of the Health Research Pro-
gram. All decisions of the CHRP shall be subject to review
and approval by the SHCC.

4. “State Planning Agency” means the agency of
state government established by Laws of 1965, ch. 685 to
perform statewide planning functions.

5. “State Health Planning and Development Agency”
(SHPDA) means the division of the State Planning Agency
designated by the governor to fulfill statewide health plan-
ning functions pursuant to the National Health Planning and
Resources Development Act of 1974, 42 U.S.C. § 300k
(1977 Supp.).

6. “Biomedical research” means research into the
basic processes and distribution of health and disease, in-
cluding clinical interventions that affect these processes.

7. “Health services research” means research on
manpower, practice patterns, organization, and economics
of health care aimed at improving the efficiency, effective-
ness, and distribution of health services as delivered in prac-
tice.

8. “Nonmedical health research” means research on
methods to prevent disease and promote health independ-
ently of health services professionals, by minimizing causes
of ill health related to lifestyle and environment.

9. “Health policy research and analysis” means the
study of health-related problems and the development, test-
ing, and evaluation of various policy options for dealing
with such problems. Policy studies may include health serv-
ices research, nonmedical health research and health policy
research and analysis.

10. “Grant” means an award of money pursuant to a
written agreement signed by the eligible applicant and by
the official representative of the SHCC, setting forth the
amount of the funds, the time period within which the funds
are to be expended, the purposes for which the funds may be
used, and other contractual conditions deemed necessary by
the SHCC.

10 MCAR § 1.306 Procedures for informing the public
of the Health Research Program.

A. Notice of availability. The SHCC shall inform the
public of the availability of Health Research Program funds
by the following means:
RULES

1. An announcement shall be published in the State Register.

2. An announcement shall be published two consecutive weeks in a newspaper of general circulation in each county of the state. An announcement shall be published in the form of a legal notice in 37 major newspapers providing circulation through the state.

3. An announcement of availability shall be mailed to the following Minnesota organizations and institutions:
   a. the University of Minnesota and its affiliated campuses;
   b. state universities;
   c. community colleges;
   d. private college;
   e. vocational-technical institutions;
   f. all hospitals;
   g. associations of health professionals;
   h. Mayo Foundation for Education and Research;
   i. Minnesota Department of Health;
   j. Minnesota Department of Public Welfare;
   k. Minnesota Legislature;
   l. the seven Health Systems Agencies located in Minnesota;
   m. local Boards of Health.

The announcement shall set forth the amount of funds available for award, the period of time during which grant applications will be accepted, priority considerations listed in § 1.309 of these rules which the CHRP and SHCC will consider in its evaluation of grant applications, the date when grant awards will be announced by the SHCC, and the location where applications should be submitted. The announcement of availability shall also include a detailed description of application procedures and project specifications as defined in § 1.307 of these rules.

B. Announcement of grant awards. The SHCC shall announce the disposition of available grant funds by the following means:

1. When evaluation and selection have been completed, results will be sent immediately by mail to all applicants.

2. A list of grant recipients, the amount of funds awarded to each recipient, and the nature of the research to be performed by the recipient shall be published in the State Register.

3. A list of grant recipients, the amount of funds awarded to each recipient, and the nature of the research to be performed by the recipient shall be mailed to the following organizations and institutions in order to inform the health-related research community of the types of studies to be performed:
   a. the University of Minnesota and its affiliated campuses;
   b. state universities;
   c. community colleges;
   d. private colleges;
   e. vocational-technical institutions;
   f. all hospitals;
   g. associations of health professionals;
   h. Mayo Foundation for Education and Research;
   i. Minnesota Department of Health;
   j. Minnesota Department of Public Welfare;
   k. Minnesota Legislature;
   l. the seven Health Systems Agencies located in Minnesota;
   m. Local Boards of Health.

C. Commencement of funding. All funds awarded under the Health Research Program shall become available to each recipient at the beginning of Fiscal Year 1979 (July 1, 1978)
10 MCAR § 1.306
and should be expended by the recipient no later than June 30, 1980.

10 MCAR § 1.307 Application procedures.
A. All applicants for HRP funds will be required to submit a proposal containing the following information:

1. the type of grant being applied for (i.e., whether Merit Fellowship, Nonmedical and Health Services Research, or Health Policy Study);
2. scope of the project;
3. project goal and objective;
4. project tasks to be completed;
5. a description of the project, including:
   a. a restatement of the objectives showing or demonstrating the applicant’s view of the nature of the proposed research;
   b. identification and description of the products to be delivered by the applicant;
   c. a description of the applicant’s background and experience in health-related research;
   d. identification of the personnel who will conduct the research and a detailed description of their training and research experience; no change in professional research personnel assigned to the project will be permitted without the approval of the SHCC;
6. a detailed budget of project costs delineating proposed expenses; no general overhead rate will be allowed in the budget calculation;
7. a project completion date indicating the anticipated termination of the proposed research activity;
8. an indication of any other sources of funding, already possessed or applied for, which would be used to support the proposed research project.

B. All grant applications will be required to be submitted by a time and date specified by the SHCC in the announcement of availability described in § 1.306A of these rules. Late proposals will not be accepted.

10 MCAR § 1.308 Procedures for awarding Health Research Program funds.
A. Merit Fellowship Grants.

1. Eligibility. An eligible applicant is any individual researcher who resides in or agrees to reside in Minnesota and who proposes to engage in exploratory analysis and pilot research in a health-related subject or issue which has been established as a priority by the SHCC as defined in § 1.309 of these rules. As a condition to receipt of a grant, each applicant must provide evidence that he/she has or will have an affiliation with the University of Minnesota, the Mayo Clinic, the Legislature and/or its staff, a state department or agency, a health organization, or post-secondary educational institution. This evidence must include a corroborating statement from the institution or organization with which the researcher affiliates or proposes to affiliate. Further, each applicant, as a condition to receipt of a grant, must agree to and actively seek federal or private support for full-scale research projects based on his or her exploratory analysis and pilot research.

2. Process of award. Grants to merit fellows shall be awarded on a competitive basis with applications being evaluated in terms of:
   a. the applicant’s professional credentials;
   b. demonstrated past performance in doing health-related research;
   c. proposed subject for study;
   d. clarity of the project goal and objectives;
   e. the project work plan;
   f. the project budget;
   g. the qualifications of any additional professional research staff who will assist with the research project.

3. Terms of grant. Grants to merit fellows shall be limited to a maximum of $50,000 per year for two years. Each grant may be utilized to provide a stipend to support the researcher and necessary clerical and research assistants and to cover the costs of other professional expenses incurred in the process of performing the research.

4. At least one half of the merit fellowship grants shall be allocated to health services research, nonmedical health research, and health policy analysis. Merit fellowship grants shall be allocated to biomedical research, health services research, nonmedical health research, and health policy research and analysis. At least one-half of these funds shall be allocated to health services research, nonmedical health research, and health policy research and analysis.

B. Nonmedical and Health Services Research grants.

1. Eligibility. An eligible applicant is a Minnesota
post-secondary education institution, state department or agency, or other health organization.

2. Purposes. Grants may be used to fund senior research positions, to provide seed money for nonmedical and health services research activities, and to provide information, analysis, and technical support to health planners and state agencies on questions of health costs, productivity, health care regulation, and organization of the health care delivery system. The grants may also be used to support investigations and evaluations of preventive health care techniques designed to improve public health.

3. Process of award. Grants for nonmedical and health services research shall be awarded on a competitive basis and shall be used only to support activities which are consistent with state health priorities established by the SHCC and listed in § 1.309 of these rules.

Applications shall be evaluated in terms of:

a. demonstrated past performance of the applicant organization, institution, or agency in doing health-related research;

b. proposed subject for study;

c. clarity of the project goal and objectives;

d. the project work plan;

e. the project budget;

f. qualifications of the professional research staff who will carry out the research; the experience of the assigned personnel will be given greater weight than will the demonstrated past performance of the organization, institution, or agency.

4. Determination of grant amount. The amount and nature of the grant shall be determined by the SHCC, which shall take into consideration the amount of funds requested and available; the demonstrated ability of the eligible applicant to perform research in the areas set forth in point B.2. above; and the technical assistance to health planners, state agencies, and the legislature which may be generated by the proposed research.

C. Health Policy Study grants.

1. Eligibility. An eligible applicant is any individual or organization that proposes a study relevant to the formulation of state health policy.

10 MCAR § 1.309

2. Process of award. Grants for Health Policy Studies shall be awarded on a competitive basis and shall be consistent with health policy issues of priority concern as established by the SHCC and listed in § 1.309 of these rules. Applications shall be evaluated in terms of:

a. the applicant’s professional credentials, where the applicant is an individual, or, where the applicant is an organization, institution, or agency, the demonstrated past performance of the entity in doing health policy research;

b. demonstrated past performance in doing health-related research;

c. proposed subject for study;

d. clarity of the project goal and objectives;

e. the project work plan;

f. the project budget;

g. qualifications of the professional research staff who will carry out the research; where the applicant is an organization, institution, or agency, the experience of the assigned personnel will be given greater weight than will the demonstrated past performance of the organization, institution, or agency.

3. Determination of grant amount. The nature and size of the grant shall be determined by the SHCC, which shall take into consideration the amount of funds requested and available, the importance of the proposed subject of study to the state, and its consistency with the purpose of the HRP as defined in § 1.305B and the priorities established by the SHCC and listed in § 1.309 of these rules.

10 MCAR § 1.309 Priority considerations in the disbursement of Health Research Program funds. In evaluating applications for HRP grants, the SHCC will consider the proposed subject of study in terms of the following priorities:

The provision of primary care services for medically underserved populations located in rural or economically depressed areas.

The development of multi-institutional systems for coordinating or consolidating institutional health services, including obstetric, pediatric, emergency medical, intensive
and coronary care, and radiation therapy, and mental health services.

The development of multi-institutional arrangements for the sharing of support services necessary to all health service institutions.

The development of medical group practices (especially those whose services are appropriately coordinated or integrated with institutional health services), health maintenance organizations, and other organized systems for the provision of health care.

The training and increased utilization of physician extenders, especially nurse clinicians.

The promotion of activities to achieve needed improvements in the quality of health services.

The development by health service institutions of the capacity to provide various levels of care (including intensive care, acute general care, and extended care) on a geographically integrated basis.

The promotion of activities for the prevention of disease, including studies of nutritional and environmental factors affecting health and the provision of preventive health care services.

The development of effective methods of educating the general public concerning proper personal (including preventive) health care and methods for effective use of available health services.

Method to reduce the incidence and prevalence of the leading causes of death and disability in Minnesota. Basic biomedical research into the causes of diseases and disability, and the development of methods to reduce their incidence and prevalence in Minnesota.

The development of improved methodologies for health planning.

10 MCAR § 1.310 Conflict of interest.

A. When any member of the SHCC or the CHRP has any conflict of interest with respect to a Health Research Program grant application under consideration by the SHCC, that member shall, prior to consideration of the application, voluntarily disclose such interest to the CHRP and SHCC, either verbally or in writing, and shall be disqualified from voting on that particular grant application. A conflict of interest shall be deemed to exist where a SHCC member:

1. has a material financial interest, whether direct or indirect, in the proposed research or study;

2. is a director, trustee, or officer of any organization or institution applying for Health Research Program funds;

3. is an employee of any applicant;

4. is the spouse, child, parent, or sibling of any individual applicant.

B. Any member of the SHCC may challenge any other member by means of a properly recorded vote which shall determine the status of the challenged member concerning any possible conflict of interest before further voting on the proposed grant application under consideration.

C. No member of the Minnesota Legislature shall serve on the CHRP. No member of the CHRP, the SHCC, or the Minnesota Legislature shall be eligible to receive a grant under the Health Research Program.

Department of Public Service
Public Service Commission
Adopted Rules Governing Gas and Electric Utilities, PSC 410-418 — Filing of Annual Reports

The rules published at State Register, Volume 1, Number 26, pp. 998-1000, January 5, 1977 (1 S.R. 988) are adopted and are identical to their proposed form, with the following amendments:

Chapter Eleven

PSC 410 Definitions. For the purpose of PSC 410-418, the following definitions shall apply:

A. "Commission" means the Minnesota Public Service Commission.

B. "Federally regulated" means the utility, excluding a municipally-owned utility, which files an annual report prescribed by the federal agency having rate making authority.

C. "FPC" means the Federal Power Commission or its successor agency in the Federal Department of Energy.

D. "Public Utility" is defined in Minn. Stat. § 216B.02, subd. 4, as amended by Laws of 1978, ch. 795, and shall also include municipally-owned utilities.
RULES

E. "REA'' means the Rural Electrification Administration of the United States Department of Agriculture.

F. "Minnesota regulated electric cooperative'' means a cooperative utility association which elects to have its rates and practices regulated by the commission, as provided in Laws of 1978, ch. 795.

PSC 411 General application.

A. Any public utility regulated under Minn. Stat. ch. 216B and subject to the provisions of PSC 350-352, Minnesota Uniform System of Accounts, is covered under the provisions of PSC 410-418.

B. Each public utility shall file with the commission a completed annual report as hereinafter prescribed in these rules on or before May 1 of each year covering its operation for the preceding calendar year.

C. In the event that a public utility has ceased operation through a merger or sale of any of its plant during the calendar year, each of the involved public utilities shall be responsible for filing an annual report with the commission which reflects the operations of the properties which were subject to such sale or merger.

D. Notwithstanding these rules, the commission may require special report(s) concerning any matter under the jurisdiction of the commission.

PSC 412 Annual report: electric public utility, federally regulated.

A. Each electric public utility subject to federal regulation shall file with the commission one certified copy of the prescribed federal agency or authority annual report form (FPC Annual Report Form No. 1 or 1F) certified by a company officer.

B. Reports by an electric public utility which has multistate operations shall provide information concerning its Minnesota jurisdictional operations on the schedules listed below. Such schedules shall be prepared using the same format used in reporting total company data and shall be clearly labeled Minnesota Operation at the top of each schedule. Schedules shall include:

1. summary of utility plant in service and accumulated depreciation and amortization reserves by primary account;
2. summary of the depreciation accrual for the year;
3. materials and supplies;
4. accumulated deferred income taxes;
5. accumulated investment tax credit;
6. statement of income for the year;
7. operating revenues;
8. operating and maintenance expenses;
9. taxes accrued, prepaid and charged during year.

Statements shall be included setting forth the method or basis used in making allocations between jurisdictions.

PSC 413 Annual report: gas public utility, federally regulated.

A. Each gas public utility subject to federal regulation shall file with the commission one certified copy of the prescribed federal agency or authority annual report form (FPC Annual Report Form No. 2 or 2A) certified by a company officer.

B. Reports by a gas public utility which has multistate operations shall provide information concerning its Minnesota operations on the schedules listed below. Such schedules shall be prepared using the same format used in reporting total company data and shall be clearly labeled Minnesota Operation at the top of each schedule. Schedules shall include:

1. summary of utility plant in service and accumulated depreciation and amortization reserves by primary account;
2. summary of the depreciation accrual for the year;
3. materials and supplies;
4. accumulated deferred income taxes;
5. accumulated investment tax credit;
6. statement of income for the year;
7. operating revenues;
8. operating and maintenance expenses;
PSC 413
9. taxes accrued, prepaid and charged during year.

Statements shall be included setting forth the method or basis used in making allocations between jurisdictions.

PSC 414 Annual report: Minnesota regulated electric cooperative, REA corporation or association.

A. Each Minnesota regulated electric cooperative subject to REA regulation shall file with the commission one certified copy of the prescribed REA annual report form (REA Form No. 7 and Form No. 7A) certified by a cooperative officer.

B. Reports by an electric cooperative which has multi-state operations shall provide information concerning its Minnesota operations on the schedules listed below. Such schedules shall be prepared using the same format used in reporting total company data and shall be clearly labeled Minnesota Operation at the top of each schedule. Schedules shall include:

1. summary of utility plant in service and accumulated depreciation and amortization reserves by primary account;
2. summary of the depreciation accrual for the year;
3. materials and supplies;
4. accumulated investment tax credits;
5. accumulated investment tax credit;
6. statement of income for the year;
7. operating revenues;
8. operating and maintenance expenses;
9. taxes accrued, prepaid and charged during year.

Statements shall be included setting forth the method or basis used in making allocation between jurisdictions.

PSC 415 Annual report: Minnesota regulated electric cooperative, non-REA corporation or association.

A. Each Minnesota regulated electric cooperative not subject to REA regulation shall file with the commission one certified copy of the prescribed federal agency or authority annual report or the prescribed Minnesota Annual Report certified by a company officer.

B. Reports by an electric cooperative which has multi-state operations shall provide information concerning its Minnesota operations on the schedules listed below. Such schedules shall be prepared using the same format used in reporting total company data and shall be clearly labeled Minnesota Operation at the top of each schedule. Schedules shall include:

1. summary of utility plant in service and accumulated depreciation and amortization reserves by primary account;
2. summary of the depreciation accrual for the year;
3. materials and supplies;
4. accumulated deferred income taxes;
5. accumulated investment tax credit;
6. statement of income for the year;
7. operating revenues;
8. operating and maintenance expenses;
9. taxes accrued, prepaid and charged during year.

Statements shall be included setting forth the method of basis used in making allocation between jurisdictions.

PSC 416 Annual report: electric and gas public utilities, nonfederally regulated.

A. Each electric or gas public utility not subject to federal regulation shall file with the commission one certified copy of the prescribed federal agency or authority annual report or the prescribed Minnesota Annual Report certified by a company officer.

B. Reports by an electric or gas utility which has multi-state operations shall provide information concerning its Minnesota operations on the schedules listed below. Such schedules shall be prepared using the same format used in reporting total company data and shall be clearly labeled Minnesota Operation at the top of each schedule. Schedules shall include:

1. summary of utility plant in service and accumulated depreciation and amortization reserves by primary account;
2. summary of the depreciation accrual for the year;
3. materials and supplies;
4. accumulated deferred income taxes;
5. accumulated investment tax credit;
6. statement of income for the year;
7. operating revenues;
8. operating and maintenance expenses;
9. taxes accrued, prepaid and charged during year;
10. operating revenues, firm and interruptible gas sales.

Statements shall be included setting forth the method or basis used in making allocations between jurisdictions.


PSC 418 Additional reports. In addition to the above mentioned reports, each public utility shall also include with its annual report filing a copy of any financial, statistical or operational reviews or reports which public utility would prepare for distribution to stockholders, bondholders, patrons, or the appropriate governing authority.

Adopted Rules Governing Gas and Electric Utilities, PSC 420-423 — Lobbying Expenditures

The rules published at State Register, Volume 1, Number 26, pp. 1000-1001, January 5, 1977 (1 S.R. 1000), are adopted and are identical to their proposed form, with the following amendments:

Chapter Eleven

PSC 420 Definitions applicable to lobbying expenditures. For the purpose of PSC Rules 420-423 422, the following definitions shall apply:

A. "Commission" means the Public Service Commission.

B. "Lobbying expenditure" means a purchase, payment, distribution, loan, advance, deposit or gift of money or anything of value made for the purpose of influencing legislation or administrative action or supporting the election of any candidate to office. Lobbying expenditures also include the pro rata portion of salaries of lobbyists which represents the portion of their duties related to lobbying.

C. "Lobbyist" means any individual or association engaged for pay or other consideration or authorized by a public utility to spend money who spends more than five hours in any month or more than $250, not including travel expenses and membership dues, in any year, for the purpose of attempting to influence legislative or administrative action by communicating or urging others to communicate with public officials. "Lobbyist" does not include any person engaged in formal rate cases before a regulatory body.

D. "Public utility" is defined in Minn. Stat. § 216B.02, subd. 4, and shall also include municipally-owned utilities.

E. "Utility nonoperating expense" means expenditures associated with activities other than those resulting from the regular activity of supplying energy and service to the consumer.

F. "Utility operating expense" means expenditures associated with the direct or regular activity of supplying energy and service to the consumer.

PSC 421 General application.

A. PSC 420 423 422 apply to each public utility regulated under Minn. Stat. ch. 216B including each municipally-owned utility.

B. Each public utility shall maintain accounts and records relating to lobbying expenditures and make them available for inspection by the commission upon request.

C. Each public utility shall report lobbying expenditures in accordance with PSC Rule 422; and on 30 days notice at such time as the Commission deems necessary to carry out its regulatory responsibilities.

PSC 422 Accounting treatment—lobbying expenditures.

A. Each public utility shall cause sub-accounts to be established for the sole purpose of recording lobbying expenditures.

KEY: RULES SECTION — Underlining indicates additions to proposed rule language. Strike outs indicate deletions from proposed rule language. PROPOSED RULES SECTION — Underlining indicates additions to existing rule language. Strike outs indicate deletions from existing rule language. If a proposed rule is totally new, it is designated "all new material."
PSC 422

1. Lobbying expenditures for utility operating expense shall be charged to a sub-account of Miscellaneous General Expenses.

2. Lobbying expenditures for utility nonoperating expense shall be charged to a sub-account of Other Income Deductions.

B. The above accounts shall be effective the first day of January of the year following the year in which this regulation becomes effective for any expenditures subsequent to that date.

Proposed rule PSC 423 is withdrawn.
Pursuant to the provisions of Laws of 1978, ch. 480, an agency must make reasonable effort to publicize the availability of any consultant services contract or professional and technical services contract which has an estimated cost of over $2,000.

Department of Administration procedures require that notice of any consultant services contract or professional and technical services contract which has an estimated cost of over $10,000 be printed in the State Register. These procedures also require that the following information be included in the notice: name of contact person, agency name and address, description of project and tasks, cost estimate, and final submission date of completed contract proposal.

Department of Corrections
Minnesota State Training School at Red Wing
Notice of Availability of Contract for Psychological Services

The Minnesota State Training School at Red Wing is seeking an individual to provide psychological services under contract as follows:

1. This institution is seeking to employ an individual, under contract, to perform intake psychological testing on all newly committed youths as well as limited specialized vocational testing on selected adult clients in our population.

2. The selected contractor would be paid at the rate of $20.00 per hour for an eight (8) hour day. The contract would require being at the institution a minimum of once per week, with the provision to expand to twice per week, depending on the volume of intake (maximum of 95 consultant days during the twelve (12) month contract).

3. Inquiries and formal expressions of interest should be directed to:

   Thomas P. Kernan  
   Assistant Superintendent  
   Minnesota State Training School  
   P.O. Box 45  
   Red Wing, Minnesota 55066

Department of Economic Security
Employment and Training Division
Notice of Withdrawal of Request for Proposals (RFP) for Consultant Services in Regard to CETA Programs Published in the June 5, 1978 Issue of the State Register

A Notice of Request for Proposals was issued on June 5, 1978, for the purpose of assessing the present staff organiza-
tional patterns and intra-agency relationships as they impact upon the existing monitoring systems for the delivery of Comprehensive Employment and Training Act (CETA) Programs for the fifty-four (54) county Balance of State Prime Sponsor Area. The Request for Proposals is hereby withdrawn.

Persons or organizations wishing to receive additional information may write the contracting officer, Roger Villa, at the address below, or call (612) 296-6065.

Agency name and address: Minnesota Department of Economic Security, Employment and Training Division, Balance of State Office, 690 American Center Building, 150 East Kellogg Boulevard, St. Paul, Minnesota 55101.

Energy Agency
Conservation Division
Notice of Request for Proposals for Window Infiltration Testing

The Minnesota Energy Agency will invite qualified interested testing companies to submit proposals to perform window infiltration testing on new windows installed in residential and light commercial buildings. Tests will be performed in the field on pre-identified windows located in the Minneapolis/St. Paul SMSA as well as in a limited number of remote locations. Tests will be performed in strict conformance to specifically modified requirements of ASTM E-283 as well as encompassing special test procedures. Testing will be performed during the summer and fall of 1978; submit your requests by July 5, 1978. For further information contact:

Richard Depta
Minnesota Energy Agency
980 American Center Building
150 East Kellogg Boulevard
St. Paul, MN 55101
(612) 296-1003

Pollution Control Agency
Notice of Request for Proposals for Water Quality Study

The Pollution Control Agency, Division of Water Quality, located at 1935 West County Road B2, Roseville, Minnesota 55113, is seeking proposals to collect data to assess the impact of changing nutrient loadings on the water quality of four Upper Mississippi lakes located at or near Bemidji, Minnesota.

Proposals shall at a minimum include:

1. Sampling of six (6) inlet and outlet stations for Lakes Irving, Bemidji, Wolf, and Andrusia during the period from August 1, 1978 to June 30, 1979. Samples shall be collected twice per month during the open water season and once per month during the winter.

2. Epilimnetic and hypolimnetic samples collected at each of five (5) in-lake stations on Lakes Irving (1), Bemidji (2), Wolf (1), and Andrusia (1). Sampling shall be conducted from August 1, 1978 to June 30, 1979, at the same frequency as inlet and outlet sampling described above.
3. No less than six (6) minor lake tributary stations sampled bimonthly during the period August 1, 1978 to June 30, 1979.

4. In-lake, and inlet and outlet samples shall be analyzed for total phosphorus, dissolved orthophosphate phosphorus, organic nitrogen, ammonia nitrogen, nitrite plus nitrate nitrogen, conductivity, pH, and turbidity. In-lake surface samples shall also include chlorophyll a analysis, and open water secchi disc readings. Algal enumeration and algal assays shall be conducted on no less than three (3) samples from each lake station. Samples collected from minor tributaries shall be analyzed for total phosphorus, dissolved orthophosphate phosphorus, conductivity and total solids. Stream flow estimates for inlet, outlet, and tributary stations shall also be provided.

Estimated cost: $15,000.

All physical, chemical and microbiological analyses shall be made in accord with methods cited in Methods for Chemical Analysis of Water and Wastes (EPA, 1974) and Standard Methods for the Examination of Water and Wastewater (APHA, 1976).

Quarterly reports and data summaries to the Pollution Control Agency shall be provided in a form acceptable to the agency.

Persons desiring to submit proposals to the agency should notify Louis J. Breimhurst, Director, Division of Water Quality, Minnesota Pollution Control Agency at the address listed above. The final submission date for proposals is July 17, 1978.

Department of Transportation Administration Division
Notice of Request for Proposals for Counseling Services

The Minnesota Department of Transportation (Mn/DOT) is seeking the services of a licensed professional psychologist to provide professional counseling to department employees in the Twin City area for the purpose of resolving problems adversely affecting the ability of employees to perform in a proficient and productive manner. These services shall include:

1. The conducting of personal counseling sessions with employees upon supervisory or self-referral.
2. Referral of certain employees with personal problems to an appropriate community agency or private practitioner.
3. Referral of employees needing medical care to competent medical authorities.
4. Advising supervisors and managers in appropriate techniques of dealing with problem employees.

It is further required that the contractor file a monthly written report of activities and progress with the Mn/DOT Personnel Director at the time of invoice submittal. This report shall include:

1. for each employee counseled, the dates and lengths of the counseling sessions conducted, categorization of problems dealt with and summaries of problem resolutions where there have been supervisor and/or Personnel Office involvement;
2. summaries of training sessions conducted;
3. program evaluation.

It shall be the responsibility of Mn/DOT to provide to the contractor:

1. reasonable office space and equipment in the Transportation Building suitable for confidential counseling sessions;
2. incidental typing and reproduction services required for report preparation and related documentation;
3. telephone facilities for the purpose of communicating on matters dealing with the rendering of professional services to Mn/DOT employees.

To meet the Employee Assistance needs of Mn/DOT, the contractor must possess:

1. a doctorate in psychology;
2. a license as a professional psychologist;
3. considerable experience in the practice of clinical psychology;
4. experience as a professional counselor with an employer of 1,000 plus personnel ranging from managers and professionals to trades persons, technicians and clerks.

A contract for the requested services will commence August 1, 1978 and terminate on June 30, 1979. The compensation limit during the contract period is $20,000.00 with payment not to exceed $20.00 per hour. Payments will be
made monthly for the hours listed on the monthly reports. Services are to be provided to Mn/DOT employees at least 3 days in each work week.

Qualified professionals should submit their resumes and work plan proposals to Donald G. Wicklund, Personnel Director, Minnesota Department of Transportation, 315 Transportation Building, St. Paul, Minnesota 55155 not later than July 17, 1978.

Department of Transportation
Bureau of Operations
Notice of Request for Proposals for Conducting Foundation Soils Investigation on Five Proposed Bridge Sites in Brooklyn Center

Notice is hereby given that the Department of Transportation is seeking responses to a Request for Proposals from qualified Soils and Foundation Engineering Consultant firms for conducting a foundation soils investigation. The work task will include but is not limited to the taking of foundation borings, obtaining undisturbed samples, performing field tests, performing laboratory tests, conducting an engineering analysis and formulating foundations recommendations for design for State Project 2786 Bridges 27913, 27914, 27962, 27982 and 27929 located in Brooklyn Center on Proposed T.H. 94 between Humboldt Avenue and Dupont Avenue. The soils investigation must be completed by mid-October 1978. An amount not to exceed $50,000 is available for this investigation. Proposals and inquiries must be submitted to:

B. F. Himmelman, P.E.
Director, Materials Engineering
Minnesota Department of Transportation
137 Transportation Building
St. Paul, Minnesota 55155
(612) 296-3164

The proposal must be received by Mn/DOT by 4:30 p.m., July 17, 1978.

Department of Transportation
Bureau of Policy and Planning
Notice of Request for Proposals for Consultant Services

Notice of a Request for Proposals from qualified consultants to develop alternative methods of meeting informational needs of tourists and other visitors, including, but not limited to directional signing, is hereby issued. An amount not to exceed $25,000 is available for this study. Study must be completed by December 1, 1978. For additional information contact:

Dick Stehr
Director
Office of Transportation Analysis
(612) 296-7968

Charles Sanft
Project Manager
Transportation Forecasts Section
(612) 296-6758

All proposals must be received by the Minnesota Department of Transportation, c/o Jim Harrington, Commissioner, Room 411, Transportation Building, St. Paul, Minnesota, 55155, before 4:00 p.m., July 14, 1978.
OFFICIAL NOTICES

Pursuant to the provisions of Minn. Stat. § 15.0412, subd. 6, an agency, in preparing proposed rules, may seek information or opinion from sources outside the agency. Notices of intent to solicit outside opinion must be published in the State Register and all interested persons afforded the opportunity to submit data or views on the subject, either orally or in writing.

The State Register also publishes other official notices of state agencies, notices of meetings, and matters of public interest.

Department of Commerce
Banking Division

Bulletin No. 1925: Maximum Lawful Rate of Interest for Mortgages for the Month of July, 1978

Notice is hereby given that the Banking Division, Department of Commerce, State of Minnesota, pursuant to Minn. Stat. § 47.20, subd. 4, the Conventional Home Loan Assistance and Protection Act, hereby determines that the maximum lawful rate of interest for home mortgages for the month of July, 1978, is nine and three-quarters (9.75) percent.

Robert A. Mampel
Commissioner of Banks
June 13, 1978

Energy Agency
Administration Division

Request for Comments on Biennial Energy Policy and Conservation Report

The Minnesota Energy Agency is seeking comments on the statements and recommendations contained in its Energy Policy and Conservation Report, submitted to the Governor and Legislature in March 1978. Copies of the full report have been provided to state depository libraries and are available for review in the Energy Agency library, 980 American Center Building, St. Paul. Copies of the report summary and recommendations are available from the Energy Conservation Information Center at the same address, telephone 296-5175 (Twin Cities) or 1-800-652-9028 (statewide).

Any interested persons or groups may submit information or comments on this subject in writing to Julie Close, Energy Conservation Information Center, Minnesota Energy Agency, 980 American Center Building, 150 East Kellogg Blvd., St. Paul, MN 55101. Written comments will be considered by the Energy Agency in developing subsequent reports and policy recommendations.

Ethical Practices Board
Advisory Opinion #52

Approved by the Ethical Practices Board on June 12, 1978

Issued to:
Representative Raymond J. Albrecht
State Office Building
St. Paul, Minnesota 55155

Summary

52. The fundamental criteria for determining whether an expense for constituent service paid by a candidate or a principal campaign committee of a candidate shall be considered a campaign expenditure or a noncampaign disbursement is the period of time in which constituent services are provided, used, or consumed. Generally, payments by a candidate or a principal campaign committee of a candidate after adjournment sine die of the legislature in an election year are campaign expenditures. The use of legislatively appropriated funds to pay for constituent services after adjournment sine die of the legislature is not a campaign expenditure.

The full text of the opinion is available upon request from the office of the State Ethical Practices Board, 41 State Office Building, St. Paul, Minnesota 55155, (612) 296-5148.

Department of Health
Emergency Medical Services Section

Filing of Application for Licensure for Emergency Land Ambulance Service by City of Falcon Heights

On June 5, 1978, the City of Falcon Heights filed application with Warren R. Lawson, M.D., Commissioner of Health, for a license to operate a (an) emergency land ambu-
such as the promulgation of these proposed rules, must register with the Ethical Practices Board as a lobbyist within five days of commencement of such activity by the individual. The Ethical Practices Board is located at Room 401, State Office Building, Saint Paul, Minnesota 55155.

Warren R. Lawson, M.D.
Commissioner

**Department of Health Vital Statistics Division**

**Notice of Intent to Solicit Outside Opinion on Proposed Rules**

Notice is hereby given, pursuant to the provisions of Minn. Stat. § 15.0412, subd. 6 (1976), that the Minnesota Commissioner of Health will propose the adoption of new rules and the amendment and revision of existing rules.

All interested parties desiring to submit data or views relating to the proposed adoption, amendment or revision of the rules relating to vital statistics should address their comments (either written or oral) to Mr. Frederick L. King, Minnesota Department of Health, 717 Delaware Street Southeast, Minneapolis, Minnesota 55440, (612) 296-5316. Material and comments submitted for consideration should be pertinent to the matter at hand.

Any materials submitted shall be reviewed and considered by the commissioner during the preparation of the proposed rules. Notice of the public hearing on the proposed rules shall be published in the *State Register* and given to all interested parties who have registered with the Office of the Secretary of State in accordance with the provisions of the Administrative Procedure Act.

Please be advised that Minn. Stat. ch. 10A requires each lobbyist to register with the Ethical Practices Board within five days after he commences lobbying. Lobbying includes attempting to influence rulemaking by communicating or urging others to communicate with public officials. A lobbyist is generally any individual who spends more than $250 per year for lobbying or any individual who is engaged for pay or authorized to spend money by another individual or association and who spends more than $250 per year or five hours per month at lobbying. The statute provides certain exceptions. Questions should be directed to the Ethical Practice Board, 41 State Office Building, St. Paul, Minnesota 55155, (612) 296-5615.

Warren R. Lawson, M.D.
Commissioner

June 15, 1978
OFFICIAL NOTICES

Housing Finance Agency

Notice of Intent to Solicit Outside Opinion on Rules Governing Housing Programs for Urban American Indians

Notice is hereby given that the Minnesota Housing Finance Agency is seeking information or opinions from sources outside the agency in preparing to propose the adoption of rules governing housing programs for urban Native Americans. These rules are authorized by Laws of 1978, ch. 670.

To facilitate the gathering of information, informational meetings will be held at various locations throughout the state. The second such meeting will be held in St. Paul, Minnesota at the St. Paul American Indian Center, 1001 Payne Avenue, on June 26, 1978 at 7:00 p.m. Any interested persons may submit data or reviews on this subject in writing or orally at this meeting.

Written material may also be submitted to:

May Hutchinson
Minnesota Housing Finance Agency
200 Nalpak Building
333 Sibley Street
St. Paul, MN 55101

Any written material received shall become a part of the hearing record in the event rules governing the subject matter are promulgated.

James J. Solem
Executive Director

Department of Public Safety Highway Patrol Division

Notice of Intent to Solicit Outside Opinion Regarding Proposed Standards for Wheelchair Securement Devices

Notice is hereby given that the Department of Public Safety is seeking information, comments and opinions from sources outside the department in preparing to draft and propose rules relating to wheelchair securement devices. Such rules are authorized by Laws of 1978, ch. 752.

The rules are required by ch. 752 to contain standards for wheelchair securement devices in vehicles used to provide transportation service to wheelchair occupants. Ch. 752 specifies the following criteria:

1. The device must prevent any forward, backward or lateral movement of an occupied wheelchair, when the device is engaged and the vehicle is in motion, accelerating or braking.

2. The device must attach to the frame of the wheelchair without damaging it.

3. The vehicle must contain seat belts, meeting applicable state and federal regulations, for the purpose of securing the occupant of the wheelchair and not for securing the wheelchair.

All interested or affected persons or groups are invited to provide information on this subject until August 1, 1978 to:

Major Richard Lueck
State Highway Patrol
107 Transportation Building
St. Paul, MN 55155

Written statements will be made part of the public hearing record.

Department of Human Rights

Pre-determination Agreements, June 2, 1978 through June 9, 1978

A pre-determination agreement is an agreement reached before the commissioner has determined whether or not there is reason to believe that a discriminatory practice occurred. It is signed by the charging party, the respondent, and the commissioner. By entering into a pre-determination agreement, a respondent makes no admission that a discriminatory practice has occurred. Pre-determination agreements were reached between the department, charging parties, and the following respondents:

Mackay Envelope Company, E4910
Greif Brothers, E5072
Thorp Credit and Thrift Company, E4670
Tonka Toys, E4821
Montgomery Ward, PA341
Minneapolis Civil Service Commission, E4008, E3667, E3024, RP43
American Hoist, E4815
Independent School District #833, E5042
Sutton Place, PA265
Anderson Window Corporation, E4872
St. Paul Book and Stationery, E5026

(CITE 2 S.R. 2323)
OFFICIAL NOTICES

Hearing Notices


Department of Human Rights, Complainant, vs. County of Wabasha, Respondent, August 2, 1978, 9:00 a.m., Wabasha County Courthouse, Wabasha, Minnesota.

Water Planning Board Notice of Meeting

Notice is hereby given that the Water Planning Board will hold a meeting on Tuesday, July 11, at 10:00 a.m. in Room 14, State Office Building, St. Paul, Minnesota. An agenda for the meeting may be obtained one week prior to the meeting by contacting the undersigned at 600 American Center Building, 150 East Kellogg Boulevard, St. Paul, Minnesota 55101.

Thomas Kalitowski
Chairman

Errata

1. The following errata should be noted for the proposed amendment of DPW 44.

At 2 S.R. 2271, the first sentence of D.9.c.(4) should read: "If an applicant fails to assign rights to support or to cooperate in establishing paternity or obtaining support, an AFDC grant will be provided only for the child/children and through protective or vendor payments."

At 2 S.R. 2272, "$15,000," should read: "$15,000,"

At 2 S.R. 2273, part "(e) (5)" of D.12.a.(5) should read: "e (5)"

At 2 S.R. 2274, part "(3) (c)" of D.12.a. (7)(c) should read: "(3) (c)"

Also at 2 S.R. 2274, after D.12.b.(2)(n), change "(4)(c)" to "(15)(o)"

At 2 S.R. 2276, part "(a) (i)" of D.12.b.(8)(b)(i) should read: "(a) (i)"

2. The following errata should be noted for adopted rules Liquor 38 and Liquor 56.

At 2 S.R. 100, in Liquor 38 A., the third line states, "in accordance with with the privileges . . . ." The second "with" should be deleted.

At 2 S.R. 101, in Liquor 38 E.3., change "branc" to "brand"

At 2 S.R. 102, in Liquor 38 E.(16), the bracket to the left of the word "the" should be to the left of the number "16"

At 2 S.R. 104, in Liquor 38 J., the third sentence begins, "However, intoxicating liquors . . . ." The phrase "and ethyl alcohol" should be inserted after "liquors"

At 2 S.R. 104, in Liquor 56, the word "Sale" should be changed to "sale"
STATE OF MINNESOTA
OFFICE OF THE STATE REGISTER
Suite 415, Hamm Building
408 St. Peter Street
St. Paul, Minnesota 55102
(612) 296-8239

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