

STATE REGISTER =

Judicial Notice Shall Be Taken of Material Published in the State Register

The *State Register* is the official publication of the State of Minnesota, containing executive and commissioners' orders, proposed and adopted rules, official notices, state and non-state contracts, contract awards, grants, supreme court and tax court decisions, and a monthly calendar of cases to be heard by the state supreme court.

A Contracts Supplement is published every Thursday and contains additional state contracts and advertised bids, and the most complete source of state contract awards available in one source.

Vol. 14 Issue Number	*Submission deadline for Adopted and Proposed Rules, Commissioners' Orders**	*Submission deadline for Executive Orders, Contracts, and Official Notices**	Issue Date
49	Monday 21 May	Friday 25 May	Monday 4 June
50	Friday 25 May	Monday 4 June	Monday 11 June
51	Monday 4 June	Monday 11 June	Monday 18 June
52	Monday 11 June	Monday 18 June	Monday 25 June

Printing Schedule and Submission Deadlines

*Deadline extensions may be possible at the editor's discretion; however, none will be made beyond the second Wednesday (12 calendar days) preceding the issue date for rules, proposed rules and executive orders, or beyond the Wednesday (5 calendar days) preceding the issue date for official notices. Requests for deadline extensions should be made only in valid emergency situations.

******Notices of public hearings on proposed rules and notices of intent to adopt rules without a public hearing are published in the Proposed Rules section and must be submitted two weeks prior to the issue date.

Instructions for submission of documents may be obtained from the *State Register* editorial offices, 504 Rice Street, St. Paul, Minnesota 55103, (612) 296-4273.

The STATE REGISTER is published every Monday (Tuesday when Monday is a holiday) by the State of Minnesota, Department of Administration, Print Communications Division, 117 University Avenue, St. Paul, Minnesota 55155, pursuant to Minnesota Statutes § 14.46. A STATE REGISTER Contracts Supplement is published every Thursday. The Monday edition is the vehicle for conveying all information about state agency rulemaking, including official notices; hearing notices; proposed, adopted and emergency rules. It also contains executive orders of the governor; commissioners' orders; state contracts and advertised bids; professional, technical and consulting contracts; non-state public contracts; state grants; decisions of the supreme and tax courts; a monthly calendar of scheduled cases before the supreme court; and other announcements. The Thursday edition contains additional state contracts and advertised bids, and the most complete listing of contract awards available in one source.

In accordance with expressed legislative intent that the *STATE REGISTER* be self-supporting, the following subscription rates have been established: the Monday edition costs \$130.00 per year and includes an index issue published in August (single issues are available at the address listed above for \$3.50 per copy); the combined Monday and Thursday editions cost \$195.00 (subscriptions are not available for just the *Contracts Supplement*); trial subscriptions are available for \$60.00, include both the Monday and Thursday edition, last for 13 weeks, and may be converted to a full subscription anytime by making up the price difference. No refunds will be made in the event of subscription cancellation.

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Contents =

Minnesota Rules: Amendments & Additions Issues 40-49 inclusive (issues #1-39 appeared in #39) .	2834
Proposed Rules	
Jobs & Training Department Youth employment opportunities	2836
Office of Waste Management Grant programs	2837
Official Notices	
Commerce Department Notice of change to previously published official notice	2852
Jobs and Training Department Public comment sought and hearings scheduled	2853
Metropolitan Waste Control Commission	2854
Vocational Technical Education Board Outside opinion sought regarding proposed rules governing the licensure of postsecondary technical education personnel	2854
State Contracts & Advertised Bids	
Administration Department Materials Management Division: Commodities and requisitions open for bid	2855

Print Communications Division: Printing,

Contracts

Human Services Department

typesetting, keylining, design and mailing services.

Professional, Technical & Consulting

Proposals sought for production of video training tape ... 2857

Education Department	
Proposals sought for revision of a food service	
techniques course for school food	
service personnel	2858
State Designer Selection Board	
Proposals sought for a project at the \$hakopee	
Correctional Facility	2858
Health Department	
Proposals sought for position of EMS medical director	2859
Revenue Department	
Proposals sought for bid collection agency program	2860
Transportation Department	
Proposals sought for a variety of highway related	
technical activities	2860
	2000

Non-State Public Contracts

Metropolitan Transit Commission

Proposals sought for microcomputer hardware	
and software	2862
Proposals sought for rideshare commute management	
information system	2862

State Grants

Corrections Department

Grant funds available for statewide victims services	
for fiscal year 1991	2863

Supreme Court Decisions, Opinions & Rules

Decisions, opinions and orders filed Friday	
1 June 1990	2864

Announcements		2865
---------------	--	------



Minnesota Rules: Amendments and Additions :

NOTICE: How to Follow State Agency Rulemaking in the State Register

The State Register is the official source, and only complete listing, for all state agency rulemaking in its various stages. State agencies are required to publish notice of their rulemaking action in the State Register. Published every Monday, the State Register makes it easy to follow and participate in the important rulemaking process. Approximately 75 state agencies have the authority to issue rules. Each agency is assigned specific Minnesota Rule chapter numbers. Every odd-numbered year the Minnesota Rules are published. This is a ten-volume bound collection of all adopted rules in effect at the time. Supplements are published to update this set of rules. Proposed and adopted emergency rules do not appear in this set because of their short-term nature, but are published in the State Register.

If an agency seeks outside opinion before issuing new rules or rule amendments, it must publish a NOTICE OF INTENT TO SOLICIT OUTSIDE OPINION in the *Official Notices* section of the *State Register*. When rules are first drafted, state agencies publish them as **Proposed Rules**, along with a notice of hearing, or notice of intent to adopt rules without a hearing in the case of noncontroversial rules. This notice asks for comment on the rules as proposed. Proposed emergency rules and withdrawn proposed rules are also published in the *State Register*. After proposed rules have gone through the comment period, and have been rewritten into their final form, they again appear in the *State Register* as **Adopted Rules**. These final adopted rules are not printed in their entirety in the *State Register*, only the changes made since their publication as Proposed Rules. To see the full rule, as adopted and in effect, a person simply needs two issues of the *State Register*, the issue the rule appeared in as proposed, and later as adopted. For a more detailed description of the rulemaking process, see the *Minnesota Guidebook to State Agency Services*.

The *State Register* features partial and cumulative listings of rules in this section on the following schedule: issues 1-13 inclusive; issues 14-25 inclusive; issue 26, cumulative for issues 1-26; issues 27-38 inclusive; issue 39, cumulative for 1-39; issues 40-51 inclusive; and issue 52, cumulative for 1-52. An annual subject matter index for rules appears in August. For copies of the *State Register*, a subscription, the annual index, the *Minnesota Rules* or the *Minnesota Guidebook to State Agency Services*, contact the Print Communications Division, 117 University Avenue, St. Paul, MN 55155 (612) 297-3000 or toll-free in Minnesota 1-800-9747.

Rules Index for issues 40-49 (Issues 1-39 appeared in #39)

Agriculture Department

1510.0281 (adopted) 2	711
1511.01000170 (adopted) 2	432
1547.0110 (adopted) 2	789
1562.0100; .0700 (adopted)	583
Animal Health Board	
1700.2800; .2850; .2900 (proposed)	347
1705.2400; .2430; .2434; .2440; .2460; .2472; .2474; .2476;	
	347
Architecture Board	
1800.0500 (proposed) 2	404
Architecture, Engineering, Land Surveying and	
Landscape Architecture Board	
1800.1000; .1100; .1200; .2500; .2600; .2700; .2800; .2805;	
.2900 (proposed)	406
1800.1000 s.2,3,4; .1200 s.2,3,4; .3000 (proposed repealer) 2	:411
Chiropractic Examiners Board	
2500.0100; .1400; .2020; .2030; .2040 (proposed)	527
2500.1100 (proposed) 2	:530
Commerce Department	
2860.0200; .2500; .3800; .4200; .4400; .4500; .5500; .5600	
	2631
2860.3400 s.2; .6100; .6200; .6300; .6400; .6500 (repealed) 2	
2872.01000200 (proposed) 2	:680
Jobs & Training Department	
3300.0100; .0500; .0601 (proposed) 2	.836
Vocational Technical Education Board	
3700.0258 (adopted) 2	2360
3709.0200 becomes 3700.0705; 3709.0220 becomes	
3700.0750; 3709.0280 becomes 3700.0755; 3709.0210	
	2360
3700.0305; .0310; .0315; .0320; .0325; .0330; .0335; .0340;	
.0350; .0360; .0370; .0375; .0376; .0377; .0378;	
.0379 (adopted)	
3700.0355 (repealed) 2	.300

Electricity Board

3800.2650 (proposed)	2451
Ethical Practices Board	
4500.0100; .0600; .1200; .1600; .2500; (adopted)	2583
4505.0100; .0600; .0800; .0900 (adopted)	
4505.0100 s.5 (repealed)	2583
Health Department	
4656.02500330 (withdrawn)	2501
4670.0100; .1020; .1110; .1120; .1130; .1140; .1310; .1600;	
.2800; .4220; .4230; .4240 (proposed)	2688
4670.1100; .4210 s.2,3; 4670.4220 s.2,3; .4230 s.2,3;	
.4240 s.3 (proposed repealer)	2688
4745.00100060 (adopted)	2493 2563
4750.00100700 (proposed)	2303
Higher Education Coordinating Board	
4830.70007900 (adopted)	2789
Housing Finance Agency	
4900.0010 (adopted)	2354
4900.0010; .29002907 (proposed)	2616
4900.0010; .22002270 (adopted)	2354
4900.0010; .22002270 (adopted)	2432
4900.0010; .23002340 (adopted)	2432
4900.1300; .1315; .1331; .1345; .1359; .1375; .1390	
(adopted)	2632
4900.1310; .1320; .1330; .1340; .1350; .1360; .1361; .1362 (repealed)	2632
4900.1520; .1574; .1580 (adopted)	
4900.24002600 (adopted)	
•	2002
Labor & Industry Department	
5205.0010 (proposed)	
5220.2645 (proposed)	2531 2412
5221.0100; .2250 (proposed)	2412
.0900; .1000 (proposed repealer)	2532

— Minnesota Rules: Amendments & Additions

Medical Examiners Board

ì

5600.0400; .0600 (proposed)	2452
5600.0600 s.3 (proposed repealer)	2453
5600.2500 (proposed)	2414
5601.0100; .0300; .0400; .0700; .0800; .1200; .1700; .1800;	
.1900; .2000; .2100; .2200; .2300; .2400; .2500; .2600;	
.2700; .2800; .2900 (proposed)	2415
5601.1000, .1100 (proposed repealer)	
Natural Resources Department	
6100.0100; .0200; .0300; .0400; .0500; .0600; .0700; .0800;	
.0900; .1000; .1100; .1200; .1300; .1400; .1500; .1600;	
.1700; .1710; .1800; .1910; .1920; .2000; .2100; .2200;	2407
	2497
Nursing Board	
6301.1700; .1800; 6305.0100; .0200; .0300; .0400;	
.0500; 6310.2600; .2800; .2900; .3100; .3300; .3400;	
6316.0100; .0200; 6321.0100; .0500; 6330.0100; .0200;	
.0300; .0350; .0500; 6315.0600; .0700 renumbered to	
6305.0600; .0700 (proposed)	2748
6301.0100 s.3; .1200 s.6; .1700 s.2,3,4; 6310.2600 s.8a, 8b;	
6310.3100 s.5; 6315.0100 s.15,16; 6330.0100 s.3,4,5;	
6330.0400; .0500 s.2; .0600; .0700 (proposed repealer)	2748
Nursing Home Administration Licensure Board	
6400.0600 (proposed)	2581
Pollution Control Agency	2001
•••	1400
7001.0520 (proposed)	
7001.0700 (proposed)	2695
7045.0020; .0120; .0135; .0139; .0141; .0213; .0484; .0488;	2005
.0528; .0596; .0600; .0628; .0629 (proposed)	2695
7100.0335; .0340; .0350 (proposed)	2695
7100.0360 (proposed repealer)	
7035.91009150 (proposed)	
7035.91009150 (notice of hearing)	
7045.0020; .0120; .0310 (proposed)	2489
7047.00100070 (adopted)	2497
Public Safety Department	
7411.0100; .0200; .0300; .0400; .0510; .0550; .0610;	
.0700; .0800 (adopted)	2583
7411.0100 s.2,3; .0500; .0600; .0800 s.4,6; .2100;	
.2200; .2300; .2400; .2500; .2600; .2700 (repealed)	2583
7520.0100; .0600; .0610; .0650; .0680; .1000; .1100	
(proposed)	2692
7520.0610 s.1; .1000 s.2,3; .1100 s.2,3 (proposed repealer)	2692
Public Service Department	
7640.0120; .0130; .0140; .0150; .0160; .0180 (adopted)	2633
	-000
Public Utilities Commission	
7820.1500; .1600; .1700; .1800; .1900; .2000; .2010; .2100;	2714
.2150; .2200; .2300 (adopted)	2714
Minnesota State Lottery	
7857.20007000 (adopted)	2354

Minnesota Racing Commission
7873.0185; .0186 (adopted) 2454 7877.0120; .0170 (adopted) 2454
Revenue Department
8130.2350; .2500; .2600; .2700; .2900; .3000; .3100; .3200;
.3300; .3400; .3600; .3800; .3850; .3900; .4000; .4200;
.4300; .4400; .7300; .7400; .7500; .7600; .7700; .7900;
.8000; .8100; .8300; .8400 (proposed)
8130.2400; .2700 s.4; .2800; .3000 s.9,10; .3100 s.3,4;
.3300 s.2; .4100; .4200 s.2; .7600 s.2, 3,4; .7700 s.2; .8000 s.2, 3,5; .8100 s.4; .8200; .8300 s.3, 4, 5
(proposed repealer)
Secretary of State
8250.0350; .1400 (adopted)
· · ·
Transportation Department
8810.8000; .8100; .8110; .8200; .8300; .8400; .8500
(proposed)
8810.9800 (proposed repealer)
Unlicensed Mental Health Service Providers Board
9000.00500190 (adopted)
· · ·
Veterans Affairs Department
9050.00109000 (adopted) 2355 and 2533
Office of Waste Management
9210.0100; .0110; .0120; .0130; .0131; .0140; .0150; .0160;
.0170; .0180; .0400; .0410; .0420; .0425; .0435; .0440; .0450; .0460; .0500; .0510; .0520; .0530; .0540; .0550; .0560;
U3/U10(000Seq) /8.5/
.0570 (proposed)
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12;
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 (proposed repealer) 2852 Human Services Department 9503.0015; .0075; .0170 (adopted) 2533
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 (proposed repealer) 2852 Human Services Department 2850.0015; .0075; .0170 (adopted) 2533 9505.0115 (adopted) 2632
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 (proposed repealer) 2852 Human Services Department 2853 9503.0015; .0075; .0170 (adopted) 2533 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 Human Services Department 2852 9503.0015; .0075; .0170 (adopted) 2533 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 Human Services Department 2852 9503.0015; .0075; .0170 (adopted) 2533 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 (proposed repealer) 2852 Human Services Department 2503.0015; .0075; .0170 (adopted) 2533 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580 9530.6605; .6625; .6630; .6631; .6640; .6641; 2580
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 (proposed repealer) 2852 Human Services Department 2503.0015; .0075; .0170 (adopted) 2632 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580 9530.6605; .6625; .6630; .6631; .6640; .6641; .6650; .7000; .7031 (proposed emergency) 2716
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 (proposed repealer) 2852 Human Services Department 2533 9503.0015; .0075; .0170 (adopted) 2632 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580 9530.6605; .6625; .6630; .6631; .6640; .6641; .6650; .7000; .7012; .7015; .7020; .7022; .7024 (proposed) 2483 and 2579
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 (proposed repealer) 2852 Human Services Department 2533 9503.0015; .0075; .0170 (adopted) 2632 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580 9530.6605; .6625; .6630; .6631; .6640; .6641; .6650; .7000; .7012; .7015; .7020; .7022; .7024 (proposed) 2483 and 2579 9530.7020 s.3,4 (proposed repealer) 2483 and 2579
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 (proposed repealer) 2852 Human Services Department 2533 9503.0015; .0075; .0170 (adopted) 2632 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580 9530.6605; .6625; .6630; .6631; .6640; .6641; .6650; .7000; .7012; .7015; .7020; .7022; .7024 (proposed) 2483 and 2579 9530.7020 s.3,4 (proposed repealer) 2483 and 2579 9553.0010; .0020; .0035; .0036; .0040; .0050; .0052;
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 (proposed repealer) 2852 Human Services Department 2533 9503.0015; .0075; .0170 (adopted) 2632 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580 9530.6605; .6625; .6630; .6631; .6640; .6641; .6650; .7000; .7012; .7015; .7020; .7022; .7024 (proposed) 2483 and 2579 9530.010; .0020; .0035; .0036; .0040; .0050; .0052; .0053; .0054; .0056; .0057; .0061; .0070; .0075;
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 Human Services Department 2852 9503.0015; .0075; .0170 (adopted) 2533 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580 9530.6605; .6625; .6630; .6631; .6640; .6641; .6650; .7000; .7012; .7015; .7020; .7022; .7024 (proposed) 2483 and 2579 9530.010; .0020; .0035; .0036; .0040; .0050; .0052; .0053; .0056; .0057; .0061; .0070; .0075; .0079 (withdrawn)
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 Human Services Department 2852 9503.0015; .0075; .0170 (adopted) 2632 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580 9530.6605; .6625; .6630; .6631; .6640; .6641; .6650; .7000; .7012; .7015; .7020; .7022; .7024 (proposed) 2483 and 2579 9530.010; .0020; .0035; .0036; .0040; .0050; .0052; .0053; .0056; .0057; .0061; .0070; .0075; .0079 (withdrawn) 2530.051; .0020 s.43 (proposed renumbering withdrawn) 2501
9210.0100 s.2,3; .0120 s.1-4; .0160 s.3; .0400 s.2,12; .0420 s.4,5; .0430; .0440 s.3.4; .0450 s.1 2852 Human Services Department 2852 9503.0015; .0075; .0170 (adopted) 2533 9505.0115 (adopted) 2632 9505.30103140 (adopted) 2712 9510.1020; .1040; .1050; .1070; .1110 (adopted) 2354 9520.0300; .0310; .0320; (proposed repealer) 2580 9530.6605; .6625; .6630; .6631; .6640; .6641; .6650; .7000; .7012; .7015; .7020; .7022; .7024 (proposed) 2483 and 2579 9530.010; .0020; .0035; .0036; .0040; .0050; .0052; .0053; .0056; .0057; .0061; .0070; .0075; .0079 (withdrawn)

9580.0100; .0200; .0300; .0400; .0500; .0600

(proposed repealer) 2579



Proposed Rules

Pursuant to Minn. Stat. §§ 14.22, an agency may propose to adopt, amend, suspend or repeal rules without first holding a public hearing, as long as the agency determines that the rules will be noncontroversial in nature. The agency must first publish a notice of intent to adopt rules without a public hearing, together with the proposed rules, in the *State Register*. The notice must advise the public:

- 1. that they have 30 days in which to submit comment on the proposed rules;
- 2. that no public hearing will be held unless 25 or more persons make a written request for a hearing within the 30-day comment period;
- 3. of the manner in which persons shall request a hearing on the proposed rules; and
- 4. that the rule may be modified if the modifications are supported by the data and views submitted.

If, during the 30-day comment period, 25 or more persons submit to the agency a written request for a hearing of the proposed rules, the agency must proceed under the provisions of §§ 14.14-14.20, which state that if an agency decides to hold a public hearing, it must publish a notice of intent in the *State Register*.

Pursuant to Minn. Stat. §§ 14.29 and 14.30, agencies may propose emergency rules under certain circumstances. Proposed emergency rules are published in the *State Register* and, for at least 25 days thereafter, interested persons may submit data and views in writing to the proposing agency.

Department of Jobs and Training

Proposed Permanent Rules Relating to Youth Employment Opportunities

Notice of Intent to Adopt Rules Without a Public Hearing

NOTICE IS HEREBY GIVEN that the State Department of Jobs and Training intends to adopt amendments to *Minnesota Rules*, parts 3300.0100 through 3300.0700, relating to the Youth Employment Program. The department proposes to adopt these rule amendments without a public hearing following the procedures set forth in *Minnesota Statutes*, sections 14.22 through 14.28. The statutory authority to adopt the rule amendments is *Minnesota Statutes*, section 268.33, subdivision 1. This statutory section provides the agency with emergency and permanent rulemaking authority to implement sections 268.31 through 268.36.

The proposed amendments to rules will change the definition for support services by adding the elements of child care and temporary shelter and by deleting the elements of career information training, work related protective devices, basic skills training and counseling services. The rule amendments add a definition for transitional services. Transitional services are those related to the school-to-work component of the program and may include counseling, case management, basic skills training and remedial education, life skills training, mentoring and tutoring services and work readiness skills training.

All persons have 30 days in which to submit comment in support of or in opposition to the proposed rules or any part or subpart of the rules. Comment is encouraged. Each comment should identify the portion of the proposed rules addressed, the reason for comment, and any change proposed.

Any person may make a written request for a public hearing on the rules within the 30-day comment period. If 25 or more persons submit a written request for a public hearing within the 30-day comment period, a public hearing will be held unless a sufficient number withdraw their request in writing. Any person requesting a public hearing should state his or her name and address, and is encouraged to identify the portion or proposed rule section addressed, the reason for the request, and any change proposed. If a public hearing is required, the agency will proceed pursuant to *Minnesota Statutes*, sections 14.131 through 14.20.

Comments or written requests for a public hearing must be submitted to:

Kay Tracy Youth Programs Coordinator State Job Training Office 690 American Center Building 150 East Kellogg Boulevard St. Paul, MN 55101 (612) 296-6064

The proposed rules may be modified if the modifications are supported by data and views submitted to the agency and do not result in a substantial change in the proposed rules as noticed.

A copy of the proposed rules follows this notice.

A STATEMENT OF NEED AND REASONABLENESS that describes the need for and reasonableness of each provision of the proposed rules and identifies the data and information relied upon to support the proposed rules has been prepared and is available upon request from Kay Tracy at the above address.

If no hearing is required, upon adoption of the rules, the rules and the required supporting documents will be submitted to the attorney general for review as to legality and form, where the form relates to legality. Any person may request notification of the date

Proposed Rules

of submission to the attorney general. Persons who wish to be advised of the submission of this material to the attorney general, or who wish to receive a copy of the adopted rules, must submit the written request to Kay Tracy at the above address.

Because the proposed rules will have no effect on small business, section 14.115 of the administrative procedure act does not apply.

Commissioner Department of Jobs and Training

Rules as Proposed

3300.0100 DEFINITION OF TERMS.

[For text of subps 1 to 7, see M.R.]

Subp. 9. Support services. "Support services" means services which are necessary to enable an eligible individual to participate in employment and training funded under the act. Support services may include transportation, child care, meals, temporary shelter, reasonable and necessary safety equipment, required uniforms, and other normal expenses associated with employment and training funded under the act.

Subp. 10. Transitional services. "Transitional services" means services which help eligible youth complete school, upgrade basic skills, and prepare for additional schooling or permanent employment. Transitional services may include counseling, case management, basic skills training and remedial education, life skills training, mentoring and tutoring services, and work readiness skills training.

3300.0500 OPERATION PROCEDURES.

[For text of subpart 1, see M.R.]

Subp. 2a. Transitional services. Contractors may provide or arrange for transitional services to help eligible youth complete school, upgrade basic skills, and prepare for additional schooling or permanent employment.

[For text of subps 3 to 6, see M.R.]

3300.0601 SUPPORT SERVICES.

Contractors may provide or arrange for support services as defined in part 3300.0100, subpart 9, to eligible youth, using funds allocated under this act. The cost of the support services must not exceed 15 percent of the contract. The services may include transportation, meals, career information training, work related protective devices, basic skills training, counseling services, reasonable and necessary safety equipment and materials, required uniforms, and other normal expenses associated with employment and training funded under the act. Contractors shall not be required to provide support services to eligible youth when funds received under the act have been exhausted.

Office of Waste Management

Proposed Permanent Rules Relating to Grant Programs

Notice of Intent to Adopt Rules Without a Public Hearing

Proposed Rule Amendments *Minnesota Rules* Parts 9210.0100 to 9210.0180: Governing Solid Waste Processing Facility Capital Assistance Program

NOTICE IS HEREBY GIVEN that the Minnesota Office of Waste Management (Office) intends to adopt amendments to the above-entitled rules without a public hearing following the procedures set forth in the Administrative Procedures Act for adopting rules without a public hearing in *Minnesota Statutes* §§ 14.22 to 14.28 (1988). The Office's authority to adopt the amendments to the rules is set forth in *Minnesota Statutes* § 115A.06, subd. 2 (1988).

All persons have until 4:30 p.m. on July 5, 1990, to submit comments in support of or in opposition to the proposed rule amendments or any part or subpart of the rule amendments. Comment is encouraged. Each comment should identify the portion of the proposed rule amendments addressed, the reason for the comment, and any change proposed.



Proposed Rules Z

Any person may make a written request for a public hearing on the proposed rule amendments within the comment period. If 25 or more persons submit a written request for a public hearing within the comment period, a public hearing will be held unless a sufficient number withdraw their requests in writing. Any person requesting a public hearing should state his or her name and address, and is encouraged to identify the portion of the proposed rule amendments addressed, the reason for the request, and any change proposed. If a public hearing is required, the Office will proceed pursuant to *Minnesota Statutes* §§ 14.131 to 14.20 (1988).

Comments or written requests for a public hearing must be submitted to:

Thomas Osdoba Minnesota Office of Waste Management 1350 Energy Lane St. Paul, Minnesota 55108 612-649-5773

The proposed rule amendments may be modified if the modifications are supported by data and views submitted to the Office and do not result in a substantial change in the proposed rule amendments as noticed.

The proposed rule amendments, if adopted, will revise the criteria and procedures for awarding grants under the Office's solid waste processing facility capital assistance program. The proposed rule amendments are published below. One free copy of the proposed rule amendments is available upon request from the address and telephone number stated above.

A STATEMENT OF NEED AND REASONABLENESS that describes the need for and reasonableness of each provision of the proposed rule amendments and identifies the data and information relied upon to support the proposed rule amendments has been prepared and is available from the Office upon request.

You are hereby advised, pursuant to *Minnesota Statutes* § 14.115 (1988), "Small business considerations in rulemaking," that the proposed rule amendments will have no negative effect on small businesses, as the amendments do not affect the ability of small businesses to participate in this program.

If no hearing is required, upon adoption of the rule amendments, the rule amendments and the required supporting documents will be submitted to the Attorney General for review as to legality and form to the extent form relates to legality. Any person may request notification of the date of submission to the Attorney General. Persons who wish to be advised of the submission of this material to the Attorney General, or who wish to receive a copy of the rule amendments as adopted, must submit a written request to the name and address stated above.

> Michael Robertson Director

Notice of Intent to Adopt Rules Without a Public Hearing

Proposed Rule Amendments *Minnesota Rules* Parts 9210.0400 to 9210.0460: Governing Solid Waste Reduction and Separation Grant Program

NOTICE IS HEREBY GIVEN that the Minnesota Office of Waste Management (Office) intends to adopt amendments to the above-entitled rules without a public hearing following the procedures set forth in the Administrative Procedures Act for adopting rules without a public hearing in *Minnesota Statutes* §§ 14.22 to 14.28 (1988). The Office's authority to adopt the amendments to the rules is set forth in *Minnesota Statutes* § 115A.06, subd. 2 (1988).

All persons have until 4:30 p.m. on July 5, 1990, to submit comments in support of or in opposition to the proposed rule amendments or any part or subpart of the rule amendments. Comment is encouraged. Each comment should identify the portion of the proposed rule amendments addressed, the reason for the comment, and any change proposed.

Any person may make a written request for a public hearing on the proposed rule amendments within the comment period. If 25 or more persons submit a written request for a public hearing within the comment period, a public hearing will be held unless a sufficient number withdraw their requests in writing. Any person requesting a public hearing should state his or her name and address, and is encouraged to identify the portion of the proposed rule amendments addressed, the reason for the request, and any change proposed. If a public hearing is required, the Office will proceed pursuant to *Minnesota Statutes* §§ 14.131 to 14.20 (1988).

Comments or written requests for a public hearing must be submitted to:

Thomas Osdoba Minnesota Office of Waste Management 1350 Energy Lane St. Paul, Minnesota 55108 612-649-5773

Proposed Rules

The proposed rule amendments may be modified if the modifications are supported by data and views submitted to the Office and do not result in a substantial change in the proposed rule amendments as noticed.

The proposed rule amendments, if adopted, will revise the criteria and procedures for awarding grants under the Office's solid waste reduction and separation grant program. The proposed rule amendments are published below. One free copy of the proposed rule amendments is available upon request from the address and telephone number stated above.

A STATEMENT OF NEED AND REASONABLENESS that describes the need for and reasonableness of each provision of the proposed rule amendments and identifies the data and information relied upon to support the proposed rule amendments has been prepared and is available from the Office upon request.

YOU ARE HEREBY ADVISED, pursuant to *Minnesota Statutes* § 14.115 (1988), "Small business considerations in rulemaking," that the proposed rule amendments will have no negative effect on small businesses, as the amendments do not affect the ability of small businesses to participate in this program.

If no hearing is required, upon adoption of the rule amendments, the rule amendments and the required supporting documents will be submitted to the Attorney General for review as to legality and form to the extent form relates to legality. Any person may request notification of the date of submission to the Attorney General. Persons who wish to be advised of the submission of this material to the Attorney General, or who wish to receive a copy of the rule amendments as adopted, must submit a written request to the name and address stated above.

Michael Robertson Director

Notice of Intent to Adopt Rules Without a Public Hearing

Minnesota Rules Parts 9210.0500 to 9210.0570: Governing Litter Prevention, Control, and Abatement Grant Program

NOTICE IS HEREBY GIVEN that the Minnesota Office of Waste Management (Office) intends to adopt the above-entitled rules without a public hearing following the procedures set forth in the Administrative Procedures Act for adopting rules without a public hearing in *Minnesota Statutes* §§ 14.22 to 14.28 (1988). The Office's authority to adopt the rules is set forth in *Minnesota Statutes* § 14.06 (1908). The Office is required to establish eligibility criteria for grants made under *Minnesota Statutes* § 115A.991 (2nd 1989) Supp.). Because such criteria are rules as defined by *Minnesota Statutes* § 14.02, subd. 4 (Supp. 1989), the Office is required to adopt the criteria as rules under *Minnesota Statutes* § 14.06 (1988).

All persons have until 4:30 p.m. on July 5, 1990, to submit comments in support of or in opposition to the proposed rules or any part or subpart of the proposed rules. Comment is encouraged. Each comment should identify the portion of the proposed rules addressed, the reason for the comment, and any change proposed.

Any person may make a written request for a public hearing on the proposed rules within the comment period. If 25 or more persons submit a written request for a public hearing within the comment period, a public hearing will be held unless a sufficient number withdraw their requests in writing. Any person requesting a public hearing should state his or her name and address, and is encouraged to identify the portion of the proposed rules addressed, the reason for the request, and any change proposed. If a public hearing is required, the Office will proceed pursuant to *Minnesota Statutes* §§ 14.131 to 14.20 (1988).

Comments or written requests for a public hearing must be submitted to:

Thomas Osdoba Minnesota Office of Waste Management 1350 Energy Lane St. Paul, Minnesota 55108 612-649-5773

The proposed rules may be modified if the modifications are supported by data and views submitted to the Office and do not result in a substantial change in the proposed rules as noticed.

The proposed rules, if adopted, will establish the criteria and procedures for awarding grants under the Office's litter prevention, control, and abatement grant program. The proposed rules are published below. One free copy of the proposed rules is available upon request from the address and telephone number stated above.

A STATEMENT OF NEED AND REASONABLENESS that describes the need for and reasonableness of each provision of the proposed rules and identifies the data and information relied upon to support the proposed rules has been prepared and is available from the Office upon request.

Proposed Rules **Z**

YOU ARE HEREBY ADVISED, pursuant to *Minnesota Statutes* § 14.115 (1988), "Small business considerations in rulemaking," that the proposed rules will have no negative effect on small businesses, as the rules do not limit the ability of small businesses to participate in this program.

If no hearing is required, upon adoption of the rules, the rules and the required supporting documents will be submitted to the Attorney General for review as to legality and form to the extent form relates to legality. Any person may request notification of the date of submission to the Attorney General. Persons who wish to be advised of the submission of this material to the Attorney General, or who wish to receive a copy of the rules as adopted, must submit a written request to the name and address stated above.

Michael Robertson Director

Rules as Proposed 9210.0100 DEFINITIONS.

[For text of subpart 1, see M.R.]

Subp. 2. [See Repealer.]

Subp. 3. [See Repealer.]

[For text of subps 4 and 5, see M.R.]

Subp. 5a. Director. "Director" means the director of the Minnesota Office of Waste Management.

[For text of subps 6 to 9, see M.R.]

Subp. 9a. Office. "Office" means the Minnesota Office of Waste Management established in Minnesota Statutes, section 115A.055.

[For text of subps 10 to 26, see M.R.]

9210.0110 SOLID WASTE PROCESSING FACILITIES CAPITAL ASSISTANCE PROGRAM.

Parts 9210.0100 to 9210.0180 implement the solid waste processing facilities capital assistance program created and described in *Minnesota Statutes*, sections 115A.49 to 115A.54, by establishing the substantive criteria and procedural conditions under which the board <u>director</u> may award grants for capital costs of solid waste processing facilities.

9210.0120 GRANT APPLICATION PROCEDURES.

Subpart 1. [See Repealer.]

Subp. 2. [See Repealer.]

Subp. 3. [See Repealer.]

Subp. 4. [See Repealer.]

<u>Subp. 5.</u> Notification by director. To initiate the process for awarding grants under the capital assistance program, the director shall publish a notice in the *State Register*. The notice shall advise eligible applicants of the availability of grant funds, inform eligible applicants of the procedure and projected schedule for the application process, and establish a date by which a preliminary grant application must be received by the director.

<u>Subp. 6.</u> Preliminary grant applications. Eligible applicants may submit to the director preliminary grant applications containing the information in part 9210.0131. Applications received by the director after the deadline in the <u>State Register</u> notice shall not be considered for funding and shall be returned to the applicants.

<u>Subp. 7.</u> Invitation by director. After the date established in the State Register notice, the director shall review the preliminary grant applications. Using the criteria in part 9210.0132, the director shall notify each applicant, in writing, as to whether it is invited to submit a final grant application. Only applicants so invited remain eligible for a grant. The director's invitation shall specify a date by which the final grant application must be received by the office.

<u>Subp. 8.</u> Applications accepted. Eligible applicants that have been invited to submit a final grant application may submit an application to the office. Final grant applications must contain the information in part 9210.0140. Final grant applications received by the office after the deadline in the director's invitation shall not be considered for funding and shall be returned to the applicant.

9210.0130 ELIGIBILITY CRITERIA.

Subpart 1. Eligible applicants. Eligible applicants are limited to cities, counties, and solid waste management districts established under *Minnesota Statutes*, sections 115A.62 to 115A.72, and sanitary districts as defined in *Minnesota Statutes*, section 115A.03, subdivision 28b.

= Proposed Rules

Subp. 2. Eligible projects project. Six types of projects are eligible for grants: For purposes of parts 9210.0100 to 9210.0180, "eligible project" means a waste processing facility that includes resource recovery, together with transfer stations, transmission facilities, or other related and appurtenant facilities primarily serving the waste processing facility. A transfer station that will initially transfer waste to a landfill is an eligible project if the transfer station is part of a resource recovery project proposed in an approved comprehensive solid waste management plan. Examples of eligible projects include waste to energy; materials recovery; chemical, physical, or biological modifications; transfer stations; processing of special waste streams including household hazardous waste; and waste incineration with resource recovery. Eligible projects are limited to those in which the land, buildings, and equipment are publicly owned.

Subp. 3. Eligible costs. Except as provided in part 9210.0200, Eligible costs under parts 9210.0100 to 9210.0180 are limited to the costs of land; waste processing equipment; structures necessary to house the waste processing equipment; transmission facilities; appropriate and necessary on-site utilities; landscaping; on-site roads and parking; structures necessary to concentrate and temporarily store solid waste before transportation to a waste processing facility; trailers, containers, and rolloff boxes necessary to transport wastes from transfer stations to a processing facility, to transport processing facility products to market, or to transport residue from the processing facility to a solid waste land disposal facility; and final design and engineering/architectural plans.

Subp. 4. Ineligible costs. Except as provided in part 9210.0200, Ineligible costs include any costs related to solid waste disposal facilities and equipment; structures for housing and maintenance of rolling stock, or any; collection equipment including drop boxes not located on the waste processing facility site; costs related to resource recovery studies, feasibility analyses, or preliminary design and engineering/architectural plans; and costs of leasing equipment.

9210.0131 PRELIMINARY GRANT APPLICATION.

Subpart 1. Preliminary grant application. A preliminary grant application must include the following information:

<u>A. the name of each applicant and the name and qualifications of the project manager, a description of the proposed waste</u> processing facility, a description of the institutional arrangements necessary for project implementation and operation, a description of the method of facility procurement, and a description of the waste stream for the facility;

B. the status of the comprehensive solid waste management plan for each county to be affected by the proposed project, and an explanation of how the proposed project is consistent with the goals established by those plans;

C. information demonstrating:

(1) whether and to what extent the natural geologic and soil conditions of the counties that would be affected by the proposed project are unsuitable for land disposal of solid waste;

(2) whether and to what extent the area to benefit from the project has existing solid waste disposal capacity of less than five years; and

(3) whether the project serves more than one local government unit and, for projects serving eligible jurisdictions in only a single county, the extent to which cooperation with jurisdictions in other counties is not feasible;

D. a preliminary financial plan that includes:

(1) estimated total capital costs and total grant eligible costs for the project;

(2) estimated annual operating and maintenance costs, including disposal costs of residuals and anticipated equipment replacement costs; and

(3) anticipated sources of funding for the project, including projected tipping fees and revenues from recovered materials and energy;

<u>E.</u> a resolution from each political subdivision participating in the project that demonstrates that, if a grant is awarded, it will implement the project, provide necessary local financing, and accept and exercise the governmental powers necessary to develop and operate the project;

F. a description of the type and quantity of materials to be recovered and the existing and potential markets for those materials; and

<u>G. an implementation plan for the proposed project that specifies the anticipated completion dates for environmental review,</u> <u>Pollution Control Agency permitting, facility construction, and the anticipated date of operation.</u>

Proposed Rules =

<u>Subp. 2.</u> Completeness. Applicants shall be notified by the director if their preliminary grant applications are not complete and shall be given 30 days from the notification in which to complete their applications. Applications that are not completed and received by the director within this 30-day deadline shall be considered ineligible for funding.

9210.0132 INVITATION TO SUBMIT FINAL GRANT APPLICATION.

Subpart 1. Criteria. The director shall evaluate preliminary grant applications to determine which eligible projects will best assist the state in achieving its solid waste management goals. The director shall base this evaluation on the following factors:

A. the consistency of the proposed project with the comprehensive solid waste management plan of each affected county;

B. the consistency of the proposed project with the legislative priorities in Minnesota Statutes, sections 115A.02 and 115A.49;

C. the consistency of the proposed project with regional solid waste management needs;

D. the extent to which the applicant is prepared to implement the proposed project upon receipt of the grant award;

E. the extent to which the grant request for the proposed project represents an effective use of grant funds, given total program resources; and

<u>F. for projects serving eligible jurisdictions in only a single county, the extent to which cooperation with jurisdictions in other counties to develop the proposed project is not feasible.</u>

<u>Subp. 2.</u> Invitation to submit final grant application. The director shall invite the eligible applicants that the director determines best meet the criteria in subpart 1 to submit final grant applications. If the director determines that no application will provide sufficient assistance to the state in achieving its solid waste management goals, the director may decide not to award any grant. The director may then reinitiate the process for awarding grants or loans by publishing a notice under part 9210.0120, subpart 5.

9210.0140 INFORMATION REQUIRED ON FINAL GRANT APPLICATION.

Applications for waste processing facilities grants shall

Subpart 1. Final grant applications. Final grant applications must include the following information as required in the application forms supplied by the board director:

[For text of items A and B, see M.R.]

C. resolutions from each applicant in conformance with *Minnesota Statutes*, section 115A.54, subdivision 2a, clause (1) and subdivision 3;

[For text of items D to I, see M.R.]

J. the type of waste processing facility for which the grant application is being submitted: waste to energy; materials recovery; chemical, physical, or biological modification; transfer stations; processing of special waste stream including household hazardous waste; or waste incineration with resource recovery.

Subp. 2. Project changes. Before submitting its final grant application, an eligible applicant may change the components of the proposed project as described in the preliminary grant application. If, however, those changes would result in a project that the director determines no longer best meets the criteria in part 9210.0132, subpart 1, the director shall remove the project from further consideration.

9210.0150 SUPPORTING DOCUMENTATION REQUIRED TO BE SUBMITTED WITH FINAL GRANT APPLICATION.

Applications for waste processing facilities grants <u>Applicants</u> shall include <u>submit</u> the following supporting documentation <u>with their</u> <u>final grant application</u>:

A. A conceptual and technical feasibility report that includes at least the following: a detailed description of the proposed waste processing facility; a description of the institutional arrangements necessary for project implementation and operation; a description of the method of facility procurement; and an analysis of the waste stream for the facility copy of the original preliminary grant application submitted under part 9210.0131.

B. A financial plan that contains:

[For text of subitem (1), see M.R.]

(2) annual operating and maintenance costs, including disposal costs of residuals and anticipated equipment replacement costs;

[For text of subitems (3) and (4), see M.R.]

C. A report demonstrating that the project is not financially prudent without state assistance, due to the applicant's financial capacity and the problems inherent in waste management in the area. The report shall include the following documentation:

[For text of subitems (1) and (2), see M.R.]

STATE REGISTER, Monday 4 June 1990

(3) projected facility tipping fees, product revenues, and other project revenues, with and without board office assistance;

[For text of subitems (4) to (8), see M.R.]

(9) other characteristics of waste management in the area that render state office assistance important to the financial feasibility of the project.

D. For each county affected by the project, a comprehensive solid waste management plan, developed under Minnesota Statutes, section 115A.46 and approved by the director.

E. Preliminary design and engineering/architectural plans and equipment specifications of the proposed waste processing facility, and a description of the management and staffing plans for the proposed project.

E Documentation that explaining how waste supplies will be committed to the project for the life of the project and that the applicant has the mechanism mechanisms to commit the wastes.

[For text of items G to I, see M.R.]

J. Resolutions that comply with Minnesota Statutes, section 115A.54, subdivision 2a, elause (1) and subdivision 3.

K- If the applicant requests priority under Minnesota Statutes, section 115A.49, documentation:

(1) that the natural geologic and soil conditions are especially unsuitable for land disposal of solid waste;

(2) that the available capacity of existing solid waste disposal facilities is less than five years; or

(3) that the proposed project would serve more than one local government unit.

L. If the project serves eligible jurisdictions in only a single county, documentation demonstrating that cooperation with jurisdictions in other counties is not needed or not feasible, including:

(1) a description of past efforts to develop multicounty facilities or waste management programs; and

(2) a description of characteristics of the applicant's individual situation that preclude or inhibit cooperation with other counties, such as waste supply, market availability, technology constraints, geographic factors, or factors involving institutional arrangements.

9210.0160 REVIEW AND EVALUATION OF FINAL GRANT APPLICATIONS.

Subpart 1. Determination of eligibility and completeness. Upon receipt of an <u>a final grant</u> application, the chair or a designee <u>director</u> shall determine <u>confirm</u> the eligibility of the applicant <u>and the eligibility of the project</u>, <u>and shall determine</u> the eligibility of the costs identified in the <u>final grant</u> application, the eligibility of the project identified in the <u>application</u>, and the completeness of the <u>final grant</u> application.

Subp. 2. Notice of determination of eligibility and completeness. Within 14 days After receiving the final grant application, the ehair director shall notify the applicant of the ehair's director's determinations of eligibility and completeness. If the ehair director determines that the applicant or the project is ineligible, the ehair director shall reject the final grant application, return it to the applicant, and notify the applicant of the reasons for the rejection. If the ehair director determines that any part of the project costs is are ineligible or that the final grant application is incomplete, the ehair director shall notify the applicant of the ineligible portion of the costs or of the deficiency shall identify the portions of the final grant application that are incomplete. The applicant has 14 30 days after receiving the notice to correct any inadequacies identified by the ehair director. If the inadequacies are corrected and the corrected application is received by the director within the time allowed, the final grant application will be further considered.

Subp. 3. [See Repealer.]

Subp. 4. Evaluation of <u>final grant</u> applications. If the <u>board</u> <u>director</u> determines that the <u>project is in need of state assistance final</u> <u>grant</u> application is <u>eligible</u> and <u>complete</u>, the <u>board</u> <u>director</u> shall evaluate the <u>final grant</u> application to determine whether the <u>final</u> <u>grant</u> application demonstrates:

[For text of item A, see M.R.]

B. that affected political subdivisions are committed to implementing the project, providing necessary local financing, and accepting and exercising the government governmental powers necessary for project implementation and operation;

[For text of items C to E, see M.R.]

Proposed Rules =

E that the project is not financially prudent without state <u>office</u> assistance, because of the applicant's financial capacity and the problems inherent in the waste management situation in the area, particularly transportation distances and limited waste supply and markets for resources recovered.

Subp. 5. Board determination Award of grants. If the board director determines that the final grant application satisfies the requirements of subpart 4, the board director shall determine the amount of the grant award and the applicant shall be notified. If the board director determines that the final grant application fails to satisfy the requirements of subpart 4, the board director shall reject the final grant application and the chair shall return the final grant application to the applicant, together with a statement of the reasons for the determination. An applicant that does not receive a grant award may resubmit a preliminary grant application upon future notice by the director under part 9210.0120, subpart 5.

Subp. 6. Consultation with other agencies. In its During the evaluation of the final grant application, the board director shall consider any recommendations provided by the Pollution Control Agency, the State Planning Agency, and the appropriate regional development commission or the Metropolitan Council.

9210.0170 LIMITATIONS.

Subpart 1. Maximum grant award. Except as provided in part 9210.0200, The maximum grant award is 25 percent of the eligible capital costs of the project or \$2,000,000, whichever is less, unless the project is a recycling project or a project to compost or cocompost waste. A recycling project or a project to compost or cocompost waste may receive grant assistance up to 50 percent of the capital cost of the project or \$2,000,000, whichever is less.

Subp. 1a. Reduced grant award. The director may award a grant for less than the maximum grant amount. The director shall ask an applicant to document the impacts of reduced grant assistance before reducing the amount of a grant award. The director shall only reduce the amount of a grant award under the following conditions:

A. program resources are insufficient to provide full assistance to all applicants that are awarded grants by the director;

<u>B. the cost figures provided in the final grant application are significantly higher than the cost figures in the preliminary grant application without a change in the project scope approved by the director under part 9210.0140, subpart 2, to justify the higher cost; or</u>

C. the director determines that the applicant has not adequately demonstrated financial need for the full grant amount.

Subp. 2. Limitations on grant award. The amount of the board's director's grant award shall be limited to an amount needed to complete the project considering all the sources of funding presently available to the applicant.

Grants shall not be awarded to cover any cost associated with tasks performed before the <u>execution of the grant award agreement</u> or after the expiration of the grant agreement.

Subp. 3. Limitations on disbursal of funds. No grant funds shall be disbursed until the board director has:

A. determined the total estimated eapital cost of the project and;

<u>B.</u> ascertained that financing of the <u>project</u> cost is assured by funds provided by the state, by an agency of the federal government within the amount of funds then appropriated to that agency and allocated by it to projects within the state, by any person, or by the appropriation of proceeds of bonds or other funds of the recipient to a fund for the construction of; and

C. received commitments from the recipient to implement the project.

9210.0180 GRANT AGREEMENT.

Subpart 1. Requirements. A grant agreement shall:

A. include as attachments the resolutions required under *Minnesota Statutes*, section 115A.54, subdivision 2a, clause (1) and subdivision 3;

B. incorporate by reference the final grant application submitted to the board director under part 9210.0120;

C. allow the recipient to enter into contracts to complete the work specified in the agreement subject to any board approval by the director that may be required in the agreement;

[For text of item D, see M.R.]

E. provide that the board director will not accept amendments requesting that additional funds be awarded to the recipient except as provided in part 9210.0200;

E require that the recipient provide periodic written reports to the board <u>director</u> on the developmental and operational history of the project so that knowledge and experience gained from the project may be made available to other communities in the state;

[For text of items G and H, see M.R.]

Subp. 2. Rescission of grant. If a project is not completed and operational in accordance with according to the terms and conditions

STATE REGISTER, Monday 4 June 1990

of the grant agreement, including time schedules, the grant shall be rescinded, and the entire amount of the grant shall be repaid unless the board <u>director</u> determines that variances from the respective agreements are <u>an amendment to the grant agreement is</u> justified and that. The director shall agree to an amendment if it will allow the original objectives of the project will to be accomplished.

Subp. 3. Disbursement. The board director shall disburse grants in accordance with according to the payment schedule in the grant agreement.

9210.0400 DEFINITIONS.

[For text of subpart 1, see M.R.]

Subp. 2. [See Repealer.]

[For text of subp 3, see M.R.]

Subp. 3a. Director. "Director" means the director of the Minnesota Office of Waste Management.

[For text of subps 4 and 5, see M.R.]

Subp. 5a. Institutional arrangements. <u>"Institutional arrangements" means methods of financing, marketing, procurement, securing the waste supply, or joint efforts by more than one local government unit.</u>

Subp. 5b. Office. "Office" means the Minnesota Office of Waste Management established in Minnesota Statutes, section 115A.055.

Subp. 6. Person. "Person" has the meaning given it in Minnesota Statutes, section 116.06, but does not include the board director.

Subp. 7. **Project.** "Project" means a solid waste reduction or separation project.

[For text of subps 8 to 11, see M.R.]

Subp. 12. [See Repealer.]

[For text of subp 13, see M.R.]

9210.0410 PURPOSE AND ADMINISTRATION.

Parts 9210.0400 to 9210.0460 implement the solid waste reduction and separation grant program created and described in *Minnesota* Statutes, sections 115A.49 to 115A.53, by establishing the substantive criteria and procedural conditions under which the board director may award grants for the costs of solid waste reduction and separation projects. Applicants for grants under this program are encouraged to contact the chair and request a preapplication review of proposed projects are encouraged to develop solid waste separation projects that are innovative in terms of the materials to be separated, the institutional arrangements to implement the project, or the technologies to separate materials or collect separated materials.

9210.0420 ELIGIBILITY CRITERIA.

Subpart 1. Eligible applicants. Eligible applicants are limited to cities, counties, and solid waste management districts established under *Minnesota Statutes*, sections 115A.62 to 115A.72, and sanitary districts defined in *Minnesota Statutes*, section 115A.03, subdivision 28b. Eligible applicants may apply for grants on behalf of any person who is not an eligible applicant, but the named recipient shall be the city, county, or district.

Subp. 2. Eligible projects. Only solid waste reduction projects, solid waste separation projects, and collection systems for separated solid wastes are eligible for grants. To be eligible for funding, a project must be a new project or an expansion of an existing project, and must be designed to operate for a minimum of three years after a grant is received. A project may include household hazardous waste management, but may not consist solely of household hazardous waste or problem materials management.

Subp. 3. Eligible costs. Grant funding is available for development costs as defined in subpart 4 and implementation costs as defined in subpart 5. Development costs shall be no more than 20 percent of the total grant award. Eligible costs under parts 9210.0400 to 9210.0460 are limited to the following:

Subp. 4. [See Repealer.]

Subp. 5. [See Repealer.]

A. preliminary planning and development, feasibility study, and preliminary design costs, but not more than 20 percent of a grant award may be spent on these activities;

Proposed Rules =

,... <u>B.</u> capital, operating, and maintenance costs of waste reduction and separation equipment such as source separation collection vehicles, collection trailers, drop boxes, curbside collection bins, and other containers used exclusively for the collection or transport of separated wastes or the management of household hazardous waste or problem materials; and

B C. costs of any necessary legal, financial, economic, educational, marketing, social, governmental, and administrative activities required for the implementation of the project.

Subp. 6. Household hazardous waste disposal costs. The cost of household hazardous waste or problem materials disposal is eligible provided no feasible alternative to disposal exists and no funding is available from federal, state, metropolitan, local, or private sources to fund the cost of disposal.

Subp. 7. Ineligible costs. Ineligible costs include any costs related to the purchase or lease of real property, waste processing equipment, structures necessary to house waste collection or processing equipment, and costs related to solid waste disposal facilities and equipment used exclusively for disposal of solid waste.

9210.0425 GRANT APPLICATION PROCEDURES.

Subpart 1. Notification by director. To initiate the process for awarding grants for solid waste separation projects, the director shall publish a notice in the *State Register* advising eligible applicants of the availability of waste separation project grants. The notice shall describe the procedure for awarding grants and shall establish a deadline by which proposals must be submitted.

<u>Subp. 2.</u> **Proposals.** Following the publication of a notice in the <u>State Register</u>, eligible applicants may submit grant proposals to the director. Proposals must contain the information in part 9210.0435, and must be received by the director by the deadline established in the <u>State Register</u> notice. Proposals received by the director after that deadline shall not be considered for funding and shall be returned to the applicant.

Subp. 3. Award of grants. Using the criteria in part 9210.0440, the director shall review all proposals received by the director before the deadline established in the *State Register* notice and shall award waste separation project grants. Grants shall be awarded through a grant agreement meeting the limitations in part 9210.0450 and containing the terms established in part 9210.0460.

9210.0435 PROPOSAL.

An eligible applicant shall submit a proposal in the form specified by the director. A proposal shall include the following information:

<u>A.</u> a detailed description of the project, including the project participants, the waste separation activities, the amounts of each type of waste to be separated, and the implementation schedule;

<u>B.</u> an itemized description of the project costs, including the total estimated cost, total grant eligible cost, and the amount of grant funding requested;

<u>C.</u> an itemized description of the project financing, including applicant contributions, other government contributions, and private contributions;

D. a list of each political subdivision affected by the project and a description of any potential barriers to successful project implementation;

E. a detailed work plan and budget for implementing the project, including a budget item for the preparation of a report to the office on the results of the project;

<u>F. for each county affected by the project, documentation that a comprehensive solid waste management plan, developed under Minnesota Statutes, section 115A.46, has been approved by the director;</u>

<u>G. a resolution from each political subdivision participating in the project that demonstrates that, if a grant is awarded, it will implement the project, provide necessary local financing, and accept and exercise the governmental powers necessary to develop and operate the project;</u>

H. documentation explaining how waste supplies will be committed to the project for the life of the project and that the applicant has mechanisms to commit the wastes;

<u>I. a preliminary market analysis for recovered materials, including documentation of commitments from markets for recovered materials, such as letters of intent or contracts;</u>

J. documentation explaining the promotion and education program to be developed in conjunction with the project and a description of the program, its timeline and budget, and how it relates to the education component of the comprehensive solid waste management plan for each affected county;

K. a discussion of the status of required permits from permitting agencies;

L. a discussion of any potential adverse environmental effects from the project and how they will be mitigated;

M. information demonstrating:

(1) whether and to what extent the natural geologic and soil conditions of the counties that would be affected by the proposed project are especially unsuitable for land disposal of solid waste;

(2) whether and to what extent the area to benefit from the proposed project has existing solid waste disposal capacity of less than five years; and

(3) whether the proposed project serves more than one local government unit and, for projects serving eligible jurisdictions in only a single county, the extent to which cooperation with jurisdictions in other counties is not feasible.

9210.0440 REVIEW AND EVALUATION OF APPLICATIONS PROPOSALS.

Subpart 1.. Determination of eligibility and completeness. Upon receipt of an application a proposal, the chair director shall determine the eligibility of the applicant, the eligibility of the project identified in the application proposed, the eligibility of the costs identified in the application proposal, and the completeness of the application proposal. A proposal is complete if it enables the director to determine whether:

A. the project is conceptually and technically feasible;

<u>B.</u> the affected political subdivisions are committed to developing, implementing, and operating the project, providing necessary local financing, and accepting and exercising the governmental powers necessary for project development, implementation, and operation; and

<u>C. operating revenue from the project, considering the availability and security of sources of solid waste and of markets for recyclable materials together with any proposed federal, state, local, or private financial assistance, will be sufficient to pay all costs over the life of the project.</u>

Subp. 2. Notice of determination of eligibility and completeness. Within 14 days After receiving the application proposal, the ehair director shall notify the applicant of the ehair's determinations of eligibility and completeness of the proposal. If the ehair director determines that the applicant or the project is ineligible, the ehair director shall reject the application proposal, return it to the applicant, and notify the applicant of the reasons for the rejection. If the ehair director determines that any part of the project costs is ineligible or that the application proposal is incomplete, the ehair director shall notify the applicant of the project costs is ineligible or that the application proposal is incomplete, the ehair director shall notify the applicant of the ineligible portion of the costs or of the deficiency. The applicant has 30 days after receiving the notice to correct any inadequacies identified by the ehair director. If the inadequacies are corrected within the time allowed, the application proposal will be further considered. If the inadequacies are not corrected within the time allowed, the application proposal is complete and the applicant must submit a new application to be considered again. If the chair director determines that the application proposal is complete and the project is eligible to receive grant funds, the chair director shall forward the application to the board for its evaluation evaluate the proposal under subpart 3a.

Subp. 3. [See Repealer.]

Subp. 3a. Evaluation of proposals. The director shall evaluate each proposal that is determined to be eligible and complete. In evaluating each proposal, the director shall consider whether:

A. the scope of the proposed project is consistent with the comprehensive solid waste management plan of each affected county;

B. the applicant is prepared to implement the proposed project upon receipt of the grant award;

C. the proposed project represents an innovative approach to solid waste separation activities, including:

(1) separation of materials that are inadequately addressed by existing solid waste separation programs;

(2) institutional arrangements that present unique advantages for the type of waste separation and the materials to be separated; or

(3) new technologies that improve or expand existing solid waste separation programs, or support new solid waste separation activities;

D. the applicant has evaluated the opportunities for private investment in the capital costs or operating costs of the proposed project; and

E. the proposed project provides information transferable to other political subdivisions throughout the state.

Subp. 4. [See Repealer.]

Proposed Rules

Subd. 4a. Award of grants. The director shall award grants for those proposals that will be the most beneficial in improving solid waste separation in the state, based upon the director's evaluation of the factors identified in subpart 3a. The director shall return those proposals that do not receive grant awards, together with a statement of the reasons for the determination. An applicant that does not receive a grant award may resubmit a proposal upon future notice by the director under part 9210.0425, subpart 1.

Subp. 5. Consultation with other agencies. In its During the evaluation of the application proposal, the board director shall consider any recommendations provided by the Pollution Control Agency, the State Planning Agency, the appropriate regional development commission, the Metropolitan Council, and other state and regional authorities.

9210.0450 LIMITATIONS.

Subpart 1. [See Repealer.]

[For text of subp 2, see M.R.]

Subp. 2a. Reduced grant award. The director may award a grant for less than the maximum grant amount if program resources are insufficient to provide full assistance to all applicants to be awarded grants by the director. The director shall ask an applicant to document the impacts of reduced grant assistance before reducing the amount of a grant award.

Subp. 2b. No grant awards. If the director determines that no proposal will provide sufficient assistance to the state in achieving its solid waste management goals, the director may decide not to award any grant. The director may then reinitiate the process for awarding grants by publishing a notice under part 9210.0425, subpart 1.

Subp. 3. Limitations on grant award. The amount of the board's director's grant award shall be limited to an amount needed to complete the project considering all the sources of funding presently available to the applicant, whether or not the applicant has applied for funds. Grants shall not be awarded to cover any cost associated with tasks performed before the execution of the grant award agreement or after the expiration of the grant agreement, other than development costs incurred in the preparation of the grant application.

Subp. 4. Limitations on disbursal of funds. No grant money funds shall be paid to an applicant disbursed until the board director has:

A. determined the total estimated cost of the project and;

<u>B.</u> ascertained that financing of the cost project is assured by funds provided by the state, by an agency of the federal government within the amount of funds then appropriated to that agency and allocated by it to projects within the state, by any person, or by the appropriation of bond proceeds, or other funds of the recipient, to a fund for the development and implementation of; and

C. received commitments from the recipient to implement the project.

9210.0460 GRANT AGREEMENT.

Subpart 1. **Requirements.** No grant money <u>funds</u> shall be paid to an applicant awarded a grant until that applicant has executed a written grant agreement with the board <u>director</u>. The grant agreement shall:

A. incorporate by reference the final grant application proposal submitted to the board in accordance with director according to part 9210.0430;

B. allow the recipient to enter into contracts to complete the work specified in the agreement subject to any board approval by the director that may be required in the agreement;

[For text of item C, see M.R.]

D. provide that the board director will not accept amendments requesting that additional funds be awarded to the recipient; and

E. require the recipient to provide periodic written reports to the board <u>director</u> on the <u>developmental and</u> implementation history of the project so that knowledge and experience gained from the project may be made available to other communities in the state.

Subp. 2. **Rescission of grant.** If a project is not developed and implemented in accordance with according to the terms and conditions of the grant agreement, including time schedules, the grant shall be rescinded, and the entire amount of the grant shall be repaid unless the board director determines that a variance from an amendment to the grant agreement is justified and that. The director shall agree to an amendment if it will allow the original objectives of the project will to be accomplished.

Subp. 3. Disbursement. The board <u>director</u> shall pay grant money <u>funds</u> to the recipient in accordance with according to the payment schedule in the grant agreement.

Rules as Proposed (all new material)

SOLID WASTE LITTER PREVENTION, CONTROL, AND ABATEMENT GRANT PROGRAM 9210.0500 DEFINITIONS.

Subpart 1. Scope. The terms defined in this part and in *Minnesota Statutes*, section 115A.03, apply to parts 9210.0500 to 9210.0570, unless the context requires otherwise.

Subp. 2. Comprehensive solid waste management plan. "Comprehensive solid waste management plan" means a written plan prepared under *Minnesota Statutes*, section 115A.46.

Subp. 3. Director. "Director" means the director of the Minnesota Office of Waste Management.

Subp. 4. Litter. "Litter" means solid waste improperly disposed of along roads, in vacant lots, or in other areas not specifically designated as solid waste disposal facilities.

Subp. 5. Litter prevention, control, or abatement. "Litter prevention, control, or abatement" means eliminating or reducing the improper disposal of solid waste, or containing or removing existing solid waste litter.

Subp. 6. Office. "Office" means the Minnesota Office of Waste Management established in Minnesota Statutes, section 115A.055.

Subp. 7. Person. "Person" has the meaning given it in Minnesota Statutes, section 116.06, but does not include the director.

Subp. 8. Project. "Project" means a solid waste litter prevention, control, or abatement project.

Subp. 9. Recipient. "Recipient" means an applicant who has received a grant under the solid waste litter prevention, control, and abatement grant program.

Subp. 10. Solid Waste. "Solid waste" has the meaning given it in Minnesota Statutes, section 116.06, subdivision 10.

Subp. 11. Waste processing equipment. "Waste processing equipment" means machinery or devices used as an integral component of a waste processing facility.

Subp. 12. Waste separation. "Waste separation" means the process of segregation, accumulation, or collection of recyclable or compostable solid wastes.

9210.0510 PURPOSE AND ADMINISTRATION.

Parts 9210.0500 to 9210.0570 implement the solid waste litter prevention, control, and abatement grant program created and described in *Minnesota Statutes*, section 115A.991, by establishing the substantive criteria and procedural conditions under which the director may award grants for the costs of solid waste litter prevention, control, and abatement projects.

9210.0520 ELIGIBILITY CRITERIA.

Subpart 1. Eligible applicants. Eligible applicants are limited in *Minnesota Statutes*, section 115A.991, to each county that has included programs to prevent, control, or abate litter in its comprehensive solid waste management plan or solid waste master plan. Eligible applicants may apply for grants on behalf of a person who is not an eligible applicant, but the named recipient shall be the county.

Subp. 2. Eligible projects. Eligible projects are limited to programs developed for the prevention, control, or abatement of solid waste litter.

Subp. 3. Eligible costs. Eligible costs under parts 9210.0500 to 9210.0570 are limited to the following implementation costs:

A. operating and maintenance costs of equipment used to collect litter, and operating costs of processing litter to recover recyclable materials;

B. purchase costs, not to exceed ten percent of the grant award, for additional waste receptacles to prevent litter;

C. administrative, enforcement, and legal costs necessary to implement the proposed project; and

D. educational and promotional costs, including financial incentives for organizations that participate in litter prevention, control, or abatement activities.

Subp. 4. Ineligible costs. Ineligible costs include costs related to the purchase or lease of real property, collection equipment, waste processing equipment or structures, or costs related to equipment used exclusively for disposal of solid waste.

Proposed Rules =

9210.0530 GRANT APPLICATION PROCEDURES.

Subpart 1. Notice. To initiate the process for awarding litter grants, the director shall publish a notice in the State Register advising eligible applicants of the availability of litter grants. The notice shall describe the procedure for awarding grants and shall establish a deadline by which grant applications must be submitted.

Subp. 2. Grant applications. Following the publication of a notice in the *State Register*, eligible applicants may submit to the director litter grant applications. Grant applications must contain the information in part 9210.0540 and must be received by the director by the deadline established in the *State Register* notice. Grant applications received by the director after that deadline shall not be considered for funding and shall be returned to the applicant.

Subp. 3. Award of grants. Using the criteria in part 9210.0550, the director shall review all grant applications received by the director before the deadline established in the *State Register* notice and shall award litter grants. Grants shall be awarded through a grant agreement meeting the limitations in part 9210.0560 and containing the terms established in part 9210.0570.

9210.0540 INFORMATION AND DOCUMENTATION REQUIRED IN GRANT APPLICATION.

An application for a solid waste litter project grant shall include the following information, as required in the application form supplied by the director:

A. the name of each applicant making the grant application;

B. the name of each political subdivision affected by the project or located in the area in which the project is intended to be implemented;

C. the name, address, and qualifications of the project manager;

D. an itemized description of the project costs, including the total estimated cost, total grant eligible cost, and the amount of grant funding requested;

E. the amount and source of all other money to be used to fund the project, including the amount of money to be contributed by the applicant;

E a detailed description of the proposed project, including a list of organizations that intend to participate in the project;

G. for each county affected by the proposed project, documentation that a comprehensive solid waste management plan, developed under *Minnesota Statutes*, section 115A.46, has been approved by the director;

H. a resolution from each political subdivision participating in the proposed project that demonstrates that, if a grant is awarded, it will implement the project, provide necessary local financing, and accept and exercise the governmental powers necessary to develop and operate the project;

I. a description of the promotion and education program to be developed in conjunction with the proposed project, including its timeline and budget, and how it relates to the education component of the comprehensive solid waste management plan for each affected county;

J. a discussion of any potential environmental impacts from the proposed project; and

K. a work plan that describes how the applicant will implement the proposed project, including:

(1) the specific tasks to be completed under the grant;

(2) the work hours of staff and employees of local government units needed to complete each task;

(3) the costs of completing each task;

(4) the time schedule needed to complete each task; and

(5) a description of the reports, documents, public education material, and other written materials to be developed.

9210.0550 REVIEW AND EVALUATION OF APPLICATIONS.

Subpart 1. Determination of eligibility and completeness. Upon receipt of an application, the director shall determine the eligibility of the applicant, the eligibility of the project specified in the application, the eligibility of the costs specified in the application, and the completeness of the application.

Subp. 2. Notice of determination of eligibility and completeness. After receiving the application, the director shall notify the applicant of the director's determinations of eligibility and completeness. If the director determines that the applicant or the project is ineligible, the director shall reject the application, return it to the applicant, and notify the applicant of the reasons for the rejection. If the director determines that any part of the project costs are ineligible or that the application is incomplete, the director shall notify the applicant of the ineligible portion of the costs or of the deficiency. The applicant has 30 days after receiving the notice to correct any inadequacies identified by the director. If the inadequacies are corrected and the corrected application is received by the director within the time allowed, the application will be further considered. If the inadequacies are not corrected within the time allowed, the

application is rejected. If the director determines that the application is complete and the project is eligible to receive grant funds, the director shall evaluate the application under subpart 3.

Subp. 3. Evaluation of applications. The director shall evaluate each application that is determined to be eligible and complete. In evaluating each application, the director shall consider whether:

A. the proposed project is conceptually and technically feasible;

B. the scope of the proposed project is consistent with the comprehensive solid waste management plan of each affected county;

C. the affected political subdivisions are committed to implementing the proposed project, providing necessary local financing, and accepting and exercising the governmental powers necessary for project implementation and operation;

D. the applicant is prepared to implement the proposed project upon receipt of the grant award;

E. the proposed project demonstrates a high probability of success due to community support, and encourages citizens and private and nonprofit organizations to participate in litter prevention, control, or abatement activities; and

F the proposed project provides information transferable to other political subdivisions throughout the state.

Subp. 4. Award of grants. The director shall award grants for those projects that will be the most beneficial in promoting the prevention, control, or abatement of litter, based upon the director's evaluation of the factors identified in subpart 3. The director shall return those applications that do not receive grant awards, together with a statement of reasons for the determination. An applicant that does not receive a grant award may resubmit the application upon future notice by the director.

Subp. 5. Consultation with other agencies. In evaluating the applications, the director shall consider any recommendations provided by the Pollution Control Agency, the State Planning Agency, the Department of Natural Resources, the Department of Trade and Economic Development, the Department of Transportation, and the appropriate regional development commission or the Metropolitan Council.

9210.0560 LIMITATIONS.

Subpart 1. Maximum grant. The maximum grant award for each eligible project is 50 percent of the total eligible costs, or \$20,000, whichever is less.

Subp. 2. **Reduced grant award.** The director may award a grant for less than the maximum grant amount. The director shall ask an applicant to document the impacts of reduced grant assistance before reducing the amount of a grant award. The director shall reduce the amount of the grant award under the following conditions:

A. program resources are insufficient to provide full assistance to all applicants awarded grants by the director; or

B. the director determines that the applicant has not adequately demonstrated financial need for the full grant amount.

Subp. 3. No grant awards. If the director determines that no applications will provide sufficient assistance to the state in achieving its solid waste management goals, the director may decide not to award any grant. The director may then reinitiate the process for awarding grants by publishing a notice under part 9210.0530, subpart 1.

Subp. 4. Limitations on grant award. The amount of the grant award shall be limited to an amount needed to complete the project considering all the sources of funding presently available to the applicant. Grants shall not be awarded to cover any cost associated with tasks performed before execution of the grant agreement or after the expiration of the grant agreement.

Subp. 5. Limitations on disbursal of funds. No grant funds shall be disbursed until the director has:

A. determined the total estimated cost of the project;

B. ascertained that financing of the project cost is assured by the recipient; and

C. received commitments from the recipient to implement the project.

9210.0570 GRANT AGREEMENT.

Subpart 1. Requirements. No grant funds shall be paid to an applicant awarded a grant until that applicant has executed a written grant agreement with the director. The grant agreement shall:

A. incorporate by reference the grant application submitted to the director according to part 9210.0540;

Proposed Rules I

B. allow the recipient to enter into contracts to complete the work specified in the agreement subject to any approval by the director that may be required in the agreement;

C. provide that any cost overruns incurred in the development and implementation of the proposed project shall be the sole responsibility of the recipient;

D. provide that the director will not accept amendments requesting that additional funds be awarded to the recipient; and

E. require the recipient to provide periodic written reports to the director on the developmental and implementation history of the project so that knowledge and experience gained from the project may be made available to other communities in the state.

Subp. 2. **Rescission of grant.** If a project is not developed and implemented according to the terms and conditions of the grant agreement, including time schedules, the grant shall be rescinded and the entire amount of the grant shall be repaid unless the director determines that an amendment to the grant agreement is justified. The director shall agree to an amendment if it will allow the original objectives of the project to be accomplished.

Subp. 3. Disbursement. The director shall pay grant funds to the recipient according to the payment schedule in the grant agreement.

REPEALER. *Minnesota Rules*, parts 9210.0100, subparts 2 and 3; 9210.0120, subparts 1 to 4; 9210.0160, subpart 3; 9210.0400, subparts 2 and 12; 9210.0420, subparts 4 and 5; 9210.0430; 9210.0440, subparts 3 and 4; and 9210.0450, subpart 1, are repealed.

Official Notices =

Pursuant to the provisions of Minnesota Statutes \$14.10, an agency, in preparing proposed rules, may seek information or opinion from sources outside the agency. Notices of intent to solicit outside opinion must be published in the *State Register* and all interested persons afforded the opportunity to submit data or views on the subject, either orally or in writing.

The State Register also publishes other official notices of state agencies, notices of meetings, and matters of public interest.

Department of Commerce

Notice of Change to Official Notice Published in *State Register* Vol. 14 #44, April 30, 1990, pp. 2535 and 2536.

NOTE: Underlining indicates the changes from the original notice.

MINNESOTA REGULATED LOAN ACT—ADJUSTMENT IN DOLLAR AMOUNTS

Commissioner of Commerce Thomas H. Borman announced today that an additional ten percent (10%) change will occur in certain dollar amounts indexed in the Regulated Loan Act (The Act), *Minnesota Statutes*, Chapter 56. The Act provides for periodic adjustment in dollar amounts that would be effective on July 1, in even-numbered years based on a percentage change in the Implicit Price Deflator for the Gross National Product.

Information obtained from the U.S. Department of Commerce, Bureau of Economic Analysis, in their monthly publication "Survey of Current Business" for February 1990 indicates the percentage change from the revised reference base to be 44% calculated to the nearest whole percentage point as required. The reference index was revised nationally from 1972 = 100 to 1982 = 100, with the statutory reference base index for dollar adjustments being December 1980. The index for December 1980 was 89 and for December 1989 is 127.9, for an increase of 38.9 or a percentage change of 43.71%. The statute requires that the percentage change in the reference base index be 10% or more in order to adjust the dollar amounts. The portion of the percentage change in the index in excess of a multiple of ten percent shall be disregarded. Dollar amounts change only in multiples of ten percent of amounts appearing in law effective August 1, 1981. There was no change in dollar amounts in 1982.

According to Commissioner Borman, this means indexed dollar limits will increase an additional 10% effective July 1, 1990. The results of indexing are as follows:

Official Notices

	Original	7-1-84 (10% increase)	7-1-86 (10% increase)	7-1-88 (10% increase)	7-1-90 (10% increase)
Loan maximum M.S. § 56.131, subd. 10	\$35,000 (a)	\$38,500	\$42,000	\$45,500	\$49,000
Principal subject to 33% interest M.S. § 5	\$ 350 56.131, subd. 1(a)(\$ 385 (1)	\$ 420	\$ 455	<u>\$ 750</u>
Maximum closing costs on real estate secu	\$ 250 red loan M.S. § 56	\$ 275 .131, subd. 2(b)	\$ 300	\$ 325	\$ 350
Minimum real estate secured loan for d M.S. § 56.131, subd. 6	4	\$ 8,250 983	\$ 9,000	\$ 9,750	\$10,500
Minimum real estate secured loan exce	\$ 2,700 eding M.S. § 56.12	\$ 2,970 2	\$ 3,240	\$ 3,510	\$ 3,780
Assumption fee M.S. § 56.12	\$ 150	\$ 165	\$ 180	\$ 195	\$ 210

The maximum loan amount subject to 33 percent per year interest rate was amended to \$750 by Chapter 464, 1990 Session Laws, which became effective April 24, 1990. This dollar amount is not subject to indexing on July 1, 1990 as indicated in the revisors' technical corrections bill Chapter 612, 1990 Session Laws.

The minimum default charge permitted in *Minnesota Statutes*, Section 56.131, subdivision 1(f)(4) increased to \$4.00 effective June 25, 1985. December 1984 is the reference base index for the minimum default charge adjustment in dollar amounts. This December 1984 index was 109.6, and for December 1989 is 127.9, for an increase of 18.3 or a percentage change of 16.70%. The portion of the percentage change in the index in excess of a multiple of ten percent shall be disregarded. This results in a 10% increase for the minimum default charge from \$4.00 to \$4.40 beginning July 1, 1990.

The next published adjustment is scheduled on or before April 30, 1992 to be effective July 1, 1992, based on the December 1991 index.

Department of Jobs and Training

Public Comment Period and Hearing on Proposed State Plan for the Minnesota Energy Assistance Program—1991

NOTICE IS HEREBY GIVEN that a public comment period on the above-entitled matter will begin upon publication of this notice and will close after thirty (30) days at 4:30 p.m. on Thursday, July 5, 1990.

The 1991 Energy Assistance Plan describes how federal funds will be used to help low-income households pay home heating bills and conserve energy. Comments received at public hearings and during the comment period will be considered in the development of the State Plan that regulates the program.

All interested or affected persons will have an opportunity to comment concerning the proposed State Plan for the Minnesota Energy Assistance Program—1991. A single copy of the State Plan may be obtained by writing to:

Economic Opportunity Office 670 American Center Building 150 East Kellogg Boulevard St. Paul, Minnesota 55101

Notice is hereby given that public hearings on the above-entitled matter will be held at:

Official Notices =

<u>CITY</u>	HEARING SITE AND ADDRESS	TIME	<u>DATE</u>
Grand Rapids	Wilson Hall, Itasca Comm. College 1851 E. Hiway 169 Grand Rapids, MN 55744	3:30-5:30 p.m.	6/13
St. Paul	Public Utilities Commission 780 American Center Bldg. 150 East Kellogg Boulevard St. Paul, MN 55101	1:00-3:00 p.m.	6/21

Oral and written testimony may be submitted at the hearing. In addition, written testimony will be accepted at the above address until 4:30 p.m. on Thursday, July 5, 1990. All comments will be considered by the Department of Jobs and Training, Economic Opportunity Office.

Metropolitan Waste Control Commission

Notice of Informational Meetings for Infiltration and Inflow

NOTICE IS HEREBY GIVEN that the MWCC is initiating a systemwide study to evaluate infiltration and inflow in the Metropolitan Disposal System. Informational meetings will be held for the purpose of providing details of proposed methodologies, the goals and objectives of the study, and community input and discussion. Meetings will be held:

June 18	2:30 - 4:30 p.m.	Dakota County Library—Wescott 1340 Wescott Road Eagan, Minnesota 55123 Public Meeting Room
June 18	2:30 - 4:30 p.m.	Hennepin Technical College Eden Prairie Campus 9200 Flying Cloud Drive Eden Prairie, Minnesota 55344 Room #H117 and #H118
June 20	2:30 - 4:30 p.m.	White Bear Lake Police Station 4700 Miller Avenue White Bear Lake, Minnesota 55110 Old City Council Chambers
June 20	7:00 - 9:00 p.m.	City of Falcon Heights 2077 West Larpenteur Avenue Falcon Heights, Minnesota 55113 City Council Chambers
June 21	2:30 - 4:30 p.m.	Brooklyn Center Civic Center Community Center 6301 Shingle Creek Parkway Brooklyn Center, Minnesota 55430 Constitution Hall

For additional information, contact Wayne Rikala, Project Manager, MWCC, at 229-2127 or Mary Richardson, Richardson, Richter and Associates, at 334-3210.

State Board of Vocational Technical Education

Notice of Intent to Solicit Outside Opinion Regarding Proposed Rules Governing the Rules for Licensure of Postsecondary Technical Education Personnel

NOTICE IS HEREBY GIVEN that the State Board of Vocational Technical Education is seeking information or opinions from sources outside the agency in preparing to amend Chapter Thirty-Five: Rules for Licensure of Vocational Technical Education Personnel

State Contracts and Advertised Bids

and specifically in new venture programs Turf and Irrigation Management and Golf Facilities Management. The adoption of these rules is authorized by *Minnesota Statutes* § 136C.04, subd. 9. and 125.185 subd. 4.

The State Board of Vocational Technical Education requests information and comments concerning the subject matter of these rules. Interested or affected persons may submit written statements of information or comment orally. Written comments should be addressed to:

Ms. Jeanette Daines State Board of Vocational Technical Education 100 Capitol Square Bldg. 550 Cedar Street St. Paul, MN 55101 (612) 296-0679 Ms. Georgia Pomroy State Board of Vocational Technical Education 100 Capitol Square Bldg. 550 Cedar Street St. Paul, MN 55101 (612) 296-0680

Oral statements will be received during regular business hours over the telephone at (612) 296-0680 or in person at the above address.

All statements of information and comments shall be accepted until 4:30 p.m., Friday, July 6, 1990. Any written material received by the State Board of Vocational Technical Education shall become part of the record to be submitted to the Attorney General or Administrative Law Judge in the event the rule is adopted.

Helen Henrie, Interim State Director State Board of Vocational Technical Education

State Contracts and Advertised Bids —

Pursuant to the provisions of Minn. Stat. §14.10, an agency must make reasonable effort to publicize the availability of any services contract or professional and technical services contract which has an estimated cost of over \$2,000.

Department of Administration procedures require that notice of any consultant services contract or professional and technical services contract which has an estimated cost of over \$10,000 be printed in the *State Register*. These procedures also require that the following information be included in the notice: name of contact person, agency name and address, description of project and tasks, cost estimate, and final submission date of completed contract proposal. Certain quasi-state agencies are exempted from some of the provisions of this statute.

Commodities contracts with an estimated value of \$15,000 or more are listed under the Procurement Division, Department of Administration. All bids are open for 7-10 days before bidding deadline. For bid specifics, time lines, and other general information, contact the appropriate buyers whose initials appear in parentheses next to the commodity for bid, by calling (612) 296-6152.

Awards of contracts and advertised bids for commodities and printing, as well as awards of professional, technical and consulting contracts, appear in the midweek <u>STATE REGISTER Contracts Supplement</u>, published every Thursday. Call (612) 296-0931 for subscription information.

Department of Administration: Materials Management Division

Contracts and Requisitions Open for Bid

Call 296-2600 for information on a specific bid, or to request a specific bid.

Commodity: Hazardous waste storage building Contact: Steve Burgstahler 296-3775 Bid due date at 2pm: June 8 Agency: State University Deliver to: Moorhead Requisition #: 26072-02212 Commodity: Scorbot electronic testing equipment Contact: Pam Anderson 296-1053 Bid due date at 2pm: June 6 Agency: St. Cloud University Deliver to: St. Cloud, MN Requisition #: 26073-21832 Commodity: 90 day loan of interactive audio-video communications equipment Contact: Donald Olson 296-3771 Bid due date at 2pm: June 7 Agency: Human Services Deliver to: St. Paul Requisition #: Price Contract

State Contracts and Advertised Bids =

Commodity: Seed—various types Contact: Patricia Anderson 296-3770 Bid due date at 2pm: June 18 Agency: Transportation Department Deliver to: Various Requisition #: Price Contract

Commodity: 3 panel display Contact: John Bauer 296-2621 Bid due date at 2pm: June 5 Agency: Natural Resources Deliver to: St. Paul Parks & Recreation Requisition #: 29000-54100

Commodity: Waste tire dump abatement—Mower County Contact: Norma Cameron 296-2546 Bid due date at 2pm: June 18 Agency: Pollution Control Deliver to: Mower County Requisition #: Price Contract

Commodity: Platform scale Contact: John Bauer 296-2621 Bid due date at 2pm: June 11 Agency: Pollution Control Deliver to: St. Paul Requisition #: 32300-22556 Commodity: Telecom: feature/multi-line phones Contact: Patricia Anderson 296-3770 Bid due date at 2pm: June 21 Agency: Various Deliver to: Various Requisition #: Price Contract

Commodity: Northgate slimline 320 computer Contact: Bernie Vogel 296-3778 Bid due date at 2pm: June 8 Agency: Human Services Deliver to: St. Paul Requisition #: 55000-03653

Commodity: Express 286 computer **Contact:** Bernie Vogel 296-3778 **Bid due date at 2pm:** June 8 **Agency:** Winona State University **Deliver to:** Winona, MN **Requisition #:** 26074-13479

Commodity: Northgate 486/25 computer Contact: Bernie Vogel 296-3778 Bid due date at 2pm: June 8 Agency: Winona State University **Deliver to:** Winona, MN **Requisition #:** 26074-13469

Commodity: Warranty service on grid laptop Contact: Bernie Vogel 296-3778 Bid due date at 2pm: June 12 Agency: Revenue Deliver to: St. Paul Requisition #: 67520-23569

Commodity: Organic vapor meter Contact: Joe Gibbs 296-3750 Bid due date at 2pm: June 12 Agency: Pollution Control Deliver to: St. Paul Requisition #: 32400-22555

Commodity: Ultra centrifuge Contact: Joe Gibbs 296-3750 Bid due date at 2pm: June 12 Agency: Health Deliver to: Minneapolis Requisition #: 12400-47653

Department of Administration: Print Communications Division

Printing vendors for the following printing contracts must review contract specifications in printing buyers office at 117 University Avenue, Room 134-B, St. Paul, MN.

Printing vendors NOTE: Other printing contracts can be found in the Materials Management Division listing above, and in the Professional, Technical & Consulting Contracts section immediately following this section.

Commodity: Extended campus class schedule, camera ready copy, 7½ x 10¾, four quarterly editions 75,000 total quantity Contact: Printing Buyer's Office Bids are due: June 6 Agency: Mankato State University Deliver to: Mankato Requisition #: 7348

Commodity: Class schedules, camera ready copy, seven quarterly issues, 7¾ x 11 Contact: Printing Buyer's Office Bids are due: June 6 Agency: Mankato State University Deliver to: Mankato Requisition #: 7409 Commodity: Fish disposition report, camera ready copy, one-sided form, two-sided cover, 4,000 sets Contact: Printing Buyer's Office Bids are due: June 6 Agency: Natural Resources Deliver to: St. Paul Requisition #: 9065

Commodity: Notification card, 7,000 cards continuous feed, punch for pin feed, perforated, 1001b Contact: Printing Buyer's Office Bids are due: June 6 'Agency: Natural Resources Deliver to: St. Paul Requisition #: 9007 Commodity: Acknowledgement card, 1001b, punch for pin feed, 5000 cards continuous feed, perforated Contact: Printing Buyer's Office Bids are due: June 6 Agency: Natural Resources Deliver to: Willow River Requisition #: 9006

Commodity: Posters #1, 2, 3, 4, camera ready, one-sided, 27" x 19", three bleeds and one photo Contact: Printing Buyer's Office Bids are due: June 6 Agency: Health Department Deliver to: Minneapolis Requisition #: 9057, 9058, 9059, 9060



STATE REGISTER, Monday 4 June 1990

Commodity: Continuous college stickers, camera ready, one-sided, 1600 labels, 200/roll Contact: Printing Buyer's Office Bids are due: June 6 Agency: Lakewood Community College Deliver to: White Bear Lake Requisition #: 7472

Commodity: Violation warning (report), 150,000 tickets, three part set, carbonless, perforation Contact: Printing Buyer's Office Bids are due: June 6 Agency: Minnesota State Patrol Deliver to: St. Paul Requisition #: 9015

Commodity: Departmental transfer check, negatives available, continuous pin-feed form, 80,000, perforation Contact: Printing Buyer's Office Bids are due: June 6 Agency: State Treasurer Deliver to: St. Paul Requisition #: 9037

Commodity: Grow power brochure, negatives available, one-sided, 7,000 sheets shrink wrap Contact: Printing Buyer's Office Bids are due: June 6 Agency: Health Department Deliver to: Minneapolis Requisition #: 7331 Commodity: Engineer renewal license, fanfold for computer printer, type to be set, 50,000 sheets, one-sided Contact: Printing Buyer's Office Bids are due: June 6 Agency: Labor & Industry Deliver to: St. Paul Requisition #: 9064

Commodity: Mississippi River yardstick, laminated plastic stick-on rulers, 1¹/₂"x36", two-color screen process, camera ready lettering, 4,000 qty.
Contact: Printing Buyer's Office Bids are due: June 8
Agency: Natural Resources
Deliver to: St. Paul Requisition #: 9096

Commodity: D.C.D. report, carbonless form, two-sided, camera ready, 60,000 sets Contact: Printing Buyer's Office Bids are due: June 8 Agency: PERA Deliver to: St. Paul Requisition #: 9086 Commodity: Records container label, carbon interleave, camera ready, onesided, 12,500 sets, 6"x4" Contact: Printing Buyer's Office Bids are due: June 8 Agency: Central Stores Deliver to: St. Paul Requisition #: 9089

Commodity: Master control card, type to be set, one-sided, 1,000–2 part sets carbon interleave, perforating Contact: Printing Buyer's Office Bids are due: June 8 Agency: Jobs and Training Deliver to: St. Paul Requisition #: 9082

Commodity: Class schedule, cameraready, two-sided, 40 pp., saddlestitched, 81/2"x11", 3 quarterly issues Contact: Printing Buyer's Office Bids are due: June 12 Agency: Inver Hill Community College Deliver to: Inver Grove Heights Requisition #: 7455

Professional, Technical & Consulting Contracts —

Department of Human Services

Maxis Division

Request for Proposals to be Performed on a Contractual Basis

NOTICE IS HEREBY GIVEN that Maxis Division, Department of Human Services, is seeking the following services:

A studio production of a 15 to 20 minute video training tape from a completed script provided by the Maxis Division on the basics of the MA Program. Production elements to include: Professional talent, set, studio crew, Chyron graphics, 1 inch or Beta mastering and post-production editing, teleprompter, all post production services including ADO, music licensing. \$4000.00 of the \$17,000.00 budget is to be directed from contract "awardee" to Ad Tech Animation, Eden Prairie, MN, for prearranged animation work. The estimated amount of this contract (including the \$4000.00 for Ad Tech) is not to exceed \$17,000.000. Legislative Authority, *Minnesota Statute* 256.01. All responses must be received by 4:30 p.m. on June 29, 1990.

Direct responses to:

Steven Bertsch Community and Human Resource Development Division 444 Lafayette Road St. Paul, Minnesota 55155-3821

Department of Education

Child Nutrition Section

Request for Proposal for Revision of a Food Service Techniques Course for School Food Service Personnel

This request for proposal (RFP) is designed to provide potential grant recipients with sufficiently detailed information to enable them to submit a proposal for the development and preparation of a 12 hour Food Service Techniques course for school food service personnel.

The RFP is issued by the Child Nutrition Section of the Division of School Management and Support Services, Minnesota Department of Education. The formal RFP may be requested from and inquiries should be directed to:

Susan Rudberg, Nutritionist Martrene Wicks, Nutritionist Supervisor (612) 296-6986 Child Nutrition Section Minnesota Department of Education 550 Cedar Street St. Paul, MN 55101

It is anticipated that the cost of services, as described in the Request for Proposal, to be provided during the contract period from August 27, 1990 to January 11, 1991, will be no more than \$5,000. This is the maximum price to be paid and the Child Nutrition Section does not commit itself to spend this entire amount. The deadline for submission of completed proposals will be 4:00 p.m. June 29.

State Designer Selection Board

Request for Proposal for a Project at the Shakopee Correctional Facility

To Minnesota Registered Design Professionals:

The State Designer Selection Board has been requested to select a designer for a project at the Shakopee Correctional Facility. Design firms who wish to be considered for this project should deliver proposals on or before 4:00 p.m., June 26, 1990, to George Iwan, Executive Secretary, State Designer Selection Board, Room G-10, Administration Building, St. Paul, Minnesota 55155-1495.

The proposal must conform to the following:

- 1) Six copies of the proposal will be required.
- 2) All data must be on $8\frac{1}{2}$ " x 11" sheets, soft bound.

3) The cover sheet of the proposal must be clearly labeled with the project number, as listed in number 7 below, together with the designer's firm name, address, telephone number and the name of the contact person.

4) Mandatory Proposal contents in sequence:

a) Identity of firm and an indication of its legal status, i.e. corporation, partnership, etc. If the response is from a joint venture, this information must be provided for firms comprising the joint venture.

b) Names of the persons who would be directly responsible for the major elements of the work, including consultants, together with brief descriptions of their qualifications. If desired, identify roles that such persons played in projects which are relevant to the project at hand.

c) A commitment to enter the work promptly, if selected, by engaging the consultants, and assigning the persons named 4b above along with adequate staff to meet the requirements of work.

d) A list of State and University of Minnesota current and past commissions under contract or awarded to the prime firm(s) submitting this proposal during the three (3) years immediately preceding the date of this request for proposal. The prime firm(s) shall <u>list and total</u> all fees associated with these projects whether or not the fees have been received or are anticipated. In addition, the prime firm(s) shall indicate the amount of fees listed which were paid directly to engineers or other specialty consultants employed on the projects listed pursuant to the above.

e) A section containing graphic material (photos, plans, drawings, etc.) as evidence of the firm's qualification for the work. The graphic material must be identified. It must be work in which the personnel listed in "c" have had significant participation and their roles must be clearly described. It must be noted if the personnel named were, at the time of the work, employed by other than their present firms.

The proposal shall consist of no more than twenty (20) faces. Proposals not conforming to the parameters set forth in this request will be disqualified and discarded without further examination.

5) Statutory Proposal Requirements:

In accordance with the provisions of *Minnesota Statutes*, 1981 Supplement, Section 363.073; for all contracts estimated to be in excess of \$50,000.00, all responders having more than 20 full-time employees at any time during the previous 12 months must have an affirmative action plan approved by the Commissioner of Human Rights before a proposal may be accepted.

The proposal will not be accepted unless it includes one of the following:

a) A copy of your firm's current certificate of compliance issued by the Commissioner of Human Rights; or

b) A statement certifying that the firm has a current certificate of compliance issued by the Commissioner of Human Rights; or

c) A statement certifying that the firm has not had more than 20 full-time employees in Minnesota at any time dufing the previous 12 months; or

d) A statement certifying that the firm has an application pending for a certificate of compliance.

6) Design firms wishing to have their proposals returned after the Board's review must follow one of the following procedures:

a) Enclose a self-addressed stamped postal card with the proposals. Design firms will be notified when material is ready to be picked up. Design firms will have two (2) weeks to pick up their proposals, after which time the proposals will be discarded; or

b) Enclose a self-addressed stamped mailing envelope with the proposals. When the Board has completed its review, proposals will be returned using this envelope.

In accordance with existing statute, the Board will retain one copy of each proposal submitted.

Any questions concerning the Board's procedures or their schedule for the project herein described may be referred to George Iwan at (612) 296-4656.

7) PROJECT-14-90

Plan and Design Expansion Minnesota Correctional Facility Shakopee, Minnesota

The 1990 Legislature has approved funds to plan and design the expansion of the Minnesota Correctional Facility-Shakopee. This expansion includes the addition of two living units and a Vocational Training/Warehouse Building. The amount of funds available for this project is \$300,000.00.

It would be the intent of the Department of Corrections to utilize the existing cottage design to the greatest extent possible. Some interior modifications may be required to meet current programming needs. The operational program for the Vocational Training/ Warehouse Building has not been completed at this date.

Questions concerning this project may be referred to James Zellmer at 642-0247.

Mark Anderson, Chairman State Designer Selection Board

Department of Health

Community Health Services Division

Emergency Medical Services Section

Request for Proposal for EMS Medical Director

The Minnesota Department of Health is requesting proposals from eligible physicians to serve as medical director of its Emergency Medical Services Section for the period from July 1, 1990, to June 30, 1991.

Qualifications for the position include: current active practice of emergency medicine or a related specialty; recognized standing in

the professional community, e.g., current or recent chairmanships and memberships of the American College of Emergency Physicians, Minnesota Medical Association, and other relevant professionals associations; experience in working with governmental agencies; familiarity with the state emergency medical systems grant program and other federal and state programs; an interest in assisting the Department of Health in defining and achieving goals specific to the planning, development, and regulation of emergency medical services, and the setting of appropriate guidelines and standards.

Minimum tasks include: assisting the Department of Health in the administration of emergency medical services rules and regulations; reviewing requests for licensure, waivers and variances; representing the Department in its contacts with associations, public and private agencies, and the general public; providing general technical assistance to the Department; attendance at conferences appropriate to medical director involvement at state and national level; consultation with EMS field consultants, including regional meetings with local providers and physician medical directors as requested and as necessary; providing regular progress reports.

Candidates must respond in the form of a written proposal to enter into a contract as required by the Department of Health. Reimbursement for a maximum of 300 hours assistance will be \$19,000, which includes travel and expenses. The deadline for proposals is June 25, 1990.

Copies of the Request for Proposal (RFP), containing additional information about medical director duties and instructions for submitting a proposal, are available from:

Donald O. Hedman, Grants Manager Emergency Medical Services Section Minnesota Department of Health 393 N. Dunlap St. P.O. Box 64900 St. Paul, MN 55164-0900 (612) 643-2165

Correction Notice:

Department of Revenue

Request for Bid Collection Agency Program

A professional contract for the above titled services was published in the May 21, 1990 *State Register* in the "Official Notices" section on pages 2724-2727. It should have appeared in this section for "Professional, Technical & Consulting Contracts." Those vendors interested in this contract should consult the May 21, 1990 issue for the full contract.

Department of Transportation

Division of Technical Services

Notice of Potential Availability of Contracts for a Variety of Highway Related Technical Activities

The Minnesota Department of Transportation (Mn/DOT) is carrying out an expanded highway program. To assist it with the implementation of this program, Mn/DOT may require the services of qualified consultants for work which may include but not be limited to:

Category A: Preliminary Design (Environmental Studies, Project Development Reporting and Geometric Layouts).

Category B: Detail Design & Plan Preparation

- I. Highway
- 2. Lighting
- 3. Signing

- 4. Traffic Signals
- 5. Landscaping
- 6. Hydraulics

Category C: Field Surveys (Control, Design)

Category D: Geotechnical Investigation

- 1. Soils Survey/Borings
- 2. Soils Analysis/Recommendations
- 3. Foundation Survey/Borings
- 4. Foundation Analysis/Recommendations
- 5. Geological Survey/Analysis/Recommendations

Category C: Special Services

- 1. Contamination/Hazardous/Analysis/Recommendations
- 2. Land Use Study (Planning)
- 3. Chemical Disposal and Recycle
- 4. Endangered Species
- 5. Building Condition Survey
- 6. Traffic Forecasting and Analysis
- 7. Water
- 8. Air
- 9. Noise
- 10. Hydrologic
- 11. Vibration (Blasting, Pile Driving)
- 12. Expert Witness (Litigation-Testimony)
- 13. Pavement Management

Category F: Construction

- 1. Management
- 2. Inspection
- 3. Staking

Those consultants who wish to be considered for any of the potential projects, please furnish the following information:

- 1. Federal Forms 254 and 255 and your brochure if you have one.
- 2. Indicate the category of activity in which you are qualified and wish to be considered (Example: B-1-5-6, C).

3. Provide representative samples of work in each category you have chosen. Samples, for example, could be one or two representative 11×17 plan sheets or a section from a report. This packet should not exceed 20 sheets.

4. Where applicable to the categories of work you have chosen, provide the following information:

- a. Description of your CADD system.
- b. Description of the methods used in the preparation of plan sheets.
- c. Description of the computer software used to develop earthwork computations, cross sections and alignment.
- 5. Indicate in which fields your key personnel are registered or have related certifications (Engineer, Architect, OSHA, etc.)

6. Indicate whether your firm has a Certificate of Compliance from the Minnesota Department of Human Rights. *Minnesota Statutes* 363.073.

- 7. Indicate if your firm is certified with the State of Minnesota as a Disadvantaged Business Enterprise (DBE).
- 8. Indicate if your firm qualifies as a Small Business Enterprise within the definition contained in Minnesota Statutes 645.445.

9. Indicate if your firm is certified with the Department of Administration as an Economically, Disadvantaged (EDB) business.

10. Description of Company's Accounting System. The description shall include answers to the following questions:

a. Is your accounting system a cash or an accrual based system?

- b. How are direct expenses reported? Are direct expenses segregated from overhead costs?
- c. How are bonuses distributed to employees?

It is expected that a qualified reference list will be developed from the response that will remain in effect until June 30, 1991.

This is not a request for proposal. All expressions of interest in being considered shall be delivered to the address indicated below not later than three o'clock (3:00) p.m. June 29, 1990.

Gabriel S. Bodoczy, P.E. Consultant Agreements Engineer Transportation Building, Room 720-S St. Paul, Minnesota 55155 Telephone: (612) 296-3051

Non-State Public Contracts ==

The State Register also serves as a central marketplace for contracts let out on bid by the public sector. The Register meets state and federal guidelines for statewide circulation of public notices. Any tax-supported institution or government jurisdiction may advertise contracts and requests for proposals from the private sector.

It is recommended that contracts and RFPs include the following: 1) name of contact person; 2) institution name, address, and telephone number; 3) brief description of project and tasks; 4) cost estimate; and 5) final submission date of completed contract proposal. Allow at least three weeks from publication date (four weeks from date article is submitted for publication). Surveys show that subscribers are interested in hearing about contracts for estimates as low as \$1,000. Contact the editor for further details.

Metropolitan Transit Commission

Request for Proposals for Microcomputer Hardware and Software

NOTICE IS HEREBY GIVEN that the Metropolitan Transit Commission will receive sealed bids at the office of the Metropolitan Transit Commission, 560 Sixth Avenue North, Minneapolis, Minnesota 55411-4398, until 10:00 a.m. on Thursday, June 14, 1990, for the following microcomputer hardware and software:

386 and 386sx computers, peripheral hardware and software (EISA, ISA, or Microchannel architecture)

MacIntosh computers, peripheral hardware and software.

All specifications and bid information are available from the Metropolitan Transit Commission at the above noted address or phone (612) 349-7662.

The Metropolitan Transit Commission reserves the right to reject all bids.

The successful bidder will be required to comply with all applicable Equal Employment Opportunity laws and regulations.

All bidders will be required to certify that they are not on the Comptroller General's List of Ineligible Contractors.

The Metropolitan Transit Commission hereby notifies all prospective bidders that in regard to any contract entered into pursuant to this Invitation for Bids, economically disadvantaged businesses will be afforded full opportunity to submit bids in response, and will not be subject to discrimination on the basis of race, color, sex or national origin in consideration for an award.

Metropolitan Transit Commission

Request for Proposals for a Rideshare Commute Management Information System

NOTICE IS HEREBY GIVEN that the Metropolitan Transit Commission will receive proposals at the office of the Metropolitan Transit Commission, 560 Sixth Avenue North, Minneapolis, Minnesota 55411-4398, until 2:00 p.m. on Thursday, June 28, 1990, for a rideshare commute management information system.

All plans, specifications, and proposals are available from the Metropolitan Transit Commission at the above noted address or phone (612) 349-7651.

The Metropolitan Transit Commission reserves the right to reject all proposals.

Contractor will be required to comply with all applicable Equal Employment Opportunity laws and regulations.

All proposers will be required to certify that they are not on the Comptroller General's List of Ineligible Contractors.

The Metropolitan Transit Commission hereby notifies all proposers that in regard to any contract entered into pursuant to this request for proposals, economically disadvantaged businesses will be afforded full opportunity to submit proposals in response, and will not be subject to discrimination on the basis of race, color, sex or national origin in consideration for an award.

State Grants —

In addition to requests by state agencies for technical/professional services (published in the State Contracts section), the *State Register* also publishes notices about grant funds available through any agency or branch of state government. Although some grant programs specifically require printing in a statewide publication such as the *State Register*, there is no requirement for publication in the *State Register* itself.

Agencies are encouraged to publish grant notices, and to provide financial estimates as well as sufficient time for interested parties to respond.

Department of Corrections

Notice of Availability of Funds

The Minnesota Department of Corrections, Victim Services Unit announces the availability of grant funds for statewide victims services for fiscal year 1991. Total amount available under this Request For Proposal (RFP) is \$531,132, (\$311,107—Victims of Crime Act, \$220,025 State).

Priority will be given to proposals that demonstrate multi-jurisdictional or organizational collaboration.

Funds are available for the following services:

1. New or expanded direct services to battered women and their children who are victims of domestic violence as a result of drug and alcohol abuse. Funding cannot be used to support existing services or to supplant funding to existing services.

Amount Available: \$175,000 Source: State General Revenue

2. New or expanded direct services to survivors of sexual assault. Priority will be given to services that will address one or more of the following: Unserved/underserved geographical areas, juvenile victims of non-familial sexual assault, early intervention with child victims, male victims, juvenile victims who have begun demonstrating offender behavior, elderly, adult or children who are physically and/or emotionally challenged, gay and lesbian, and victims of sexual exploitation.

Amount Available: \$76,483 Source: Federal Victims of Crime Act

3. New and expanded direct services and special projects related to primary and secondary victims of crime. Priority will be given to unserved/underserved geographical areas and project designs that demonstrate systems advocacy and collaboration with other programs and services to victims of crime other than sexual assault or battering, or services to prioritized populations as identified in the Minnesota Department of Corrections, Victim Services Unit, Victims of Crime (VOCA) Plan which is available upon request. Special projects could include but are not limited to research regarding impact of public policy related to victims, training of professionals especially in the criminal justice system and impact of socialization and culture as they endorse the acceptance of violence as a means to conflict resolution.

Amount Available: \$101,358 Source: \$71,333 VOCA, \$30,025 State DOC Victims Fund

4. New and expanded direct services to victims of child abuse. Priority will be given to services to juvenile victims of crime, juvenile victims of non-familial assault, juvenile victims demonstrative criminal or status offender behavior, juvenile victims of drug related crime and specialized populations that demonstrate increased risk to abuse. Mini grants totaling \$15,000 will be available for prevention projects.



(CITE 14 S.R. 2863)

State Grants =

Amount Available: \$138,291 Source: \$123,291 VOCA, \$15,000 State DOC Victims Fund

5. New or expanded services for crime victims on Nett Lake and/or Red Lake Reservations. Funding is available through a special Victims of Crime Act and priority will be given to services sponsored directly through Reservation Business Committees, however, nonprofit organizations are welcomed to apply.

Amount Available: \$40,000 Source: Federal Victims of Crime Act

VOCA funding requires a 20% inkind or cash match for organizations currently providing effective services to victims and 35% cash or inkind match for organizations not yet providing victim services. Funds granted to an Indian tribe or Native American organization on Indian reservations will require a five percent (5%) match (cash or inkind) to be used to cover the total costs of the VOCA supported victim assistance program project. Successful applicant(s) may be eligible for continued funding after the initial grant period.

Nonprofit and governmental units are eligible to apply. The deadline for the grant proposal submission is 4:30 p.m. <u>Monday</u>, <u>July</u> <u>23</u>, <u>1990</u>. To receive a request for proposal which describes how to apply for this funding, contact Tammy Osowski, Minnesota Department of Corrections, Victim Services Unit, 300 Bigelow Building, 450 North Syndicate Street, St. Paul, Minnesota 55104, Telephone (612) 642-0251.

May 1990

Supreme Court Decisions, Opinions & Rules =

Decisions Filed 1 June 1990

C4-89-1566 State of Minnesota v. Daren Damont Gray, Appellant. Hennepin County District Court.

1. A warrantless arrest of defendant in his motel room was justified because of exigent circumstances.

2. When a reasonable juror could have concluded defendant was the aggressor or did not withdraw, the trial court was correct in refusing to instruct the jury on self-defense. The trial court properly instructed the jury on first degree manslaughter where the instruction was submitted by defense coursel and accurately reflected the law.

3. The evidence was sufficient for the jury to have reasonably convicted defendant of first degree premeditated murder and first degree felony-murder.

Affirmed. Popovich, C.J.

C8-89-744 State of Minnesota v. Leonard Richards, Appellant. Hennepin County.

Violation of defendant's constitutional right to represent himself requires a new trial.

Reversed and new trial granted. Simonett, J.

Dissenting, Yetka & Coyne, JJ.

Orders

CX-87-739 In Re the Petition for Disciplinary Action against Fredric A. Bremseth, an Attorney at Law of the State of Minnesota. Supreme Court.

Suspended. Kelley, J.

C8-90-1088 In Re the Petition for Disciplinary Action Against Paul H. Madsen, an Attorney at Law of the State of Minnesota. Supreme Court.

Publicly reprimanded. Kelley, J.

C5-90-1128 In Re the Petition for Disciplinary Action Against Richard T. Ince, an Attorney at Law of the State of Minnesota. Supreme Court.

Supervised probation. Kelley, J.

C1-90-1157 In Re the Petition for Disciplinary Action Against Stephen A. Clapp, and Attorney at Law of the State of Minnesota. Supreme Court.

Publicly reprimanded and probation. Kelley, J.

Announcements :

Summer Activities at State Parks: From prairie songs to kayaking to yodeling to earthlore, Minnesota state park visitors will be

offered a wide variety of activities this summer through the Lifetimes and Landscapes program. Lifetimes and Landscapes is a series of entertaining and educational programs relating to the natural, historical and cultural resources of Minnesota. The programs offer an opportunity to enjoy music, theater, storytelling, characterizations and demonstrations in state park settings. Lifetimes and Landscapes will offer 25 different programs and more than 90 performances in 48 state parks throughout Minnesota from now through Oct. 14. A variety of other special events are also planned in state parks this summer, including Volksmarches, which are non-competitive, family-oriented walks where participants travel at their own pace. All who finish the prescribed routes are winners. People can get a sample of what state parks have to offer on Sunday, June 3, when all 65 state parks will host open houses and offer free admission. Many state parks are planning Lifetimes & Landscapes activities or other special events that day. For a free guide to the Lifetimes & Landscapes program and a listing of other special events at Minnesota 1-800-652-9747 (ask for the DNR).

Environmental Quality Board (EQB): Comments are due June 27 on EAWs (environmental assessment worksheets) for the following projects at their listed regional governing unit: Forest Oaks Property Development, City of Zimmerman (612) 856-4666; Northwest Trunk Sanitary Sewer, MPCA (612) 296-7432; Finamart Convenience Center, City of Wyoming (612) 462-5426.

Construction Update: June is shaping up to be the month many motorists have been waiting for. Four bridges and three ramps in downtown Saint Paul will be reopened. And, the paving on eastbound I-94 by the Capitol, including the new 50 mile-per-hour curve, will be complete. The exact dates are subject to good weather, but here is an outline of when they will occur. Even though the bridges are open to traffic, motorists will see some temporary lane closures as finishing work is completed.

Ramp/Bridge Anticipated Opening Dates: 1) 6th Street entrance ramp to westbound I-94. June 1st. 2) Robert Street bridge over I-94. June 1.3) Wabasha Street bridge over I-94. Mid-June. 4) St. Peter Street bridge over I-94. Mid-June. 5) 10th Street exit ramp from eastbound I-94. Mid-June. 6) 12th Street ramp to westbound I-94. Late June. 7) Robert Street bridge over the Mississippi River. June 25. For more information contact: Bob Winter, I-94 Corridor Manager, 779-1209, or Ione Maus, I-94 Communications Director, 779-1248.

Human Services Laws and Rules

Human Services Laws 1989

An extract from the statutes. Includes legislative amendments and additions from the most recent session. Code No. 2-56. \$29.95.

Human Services Rules 1989

Rules governing assistance programs, eligibility grant amounts, AFDC and residence requirements. MN Rules Chapter 9500-9580. Code No. 3-95. \$34.95.

3 ring binder. 2" capacity. 1 required for each of above listed publications. Code No. 10-21. \$4.25.

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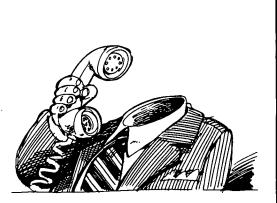
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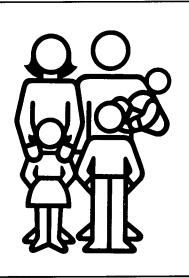
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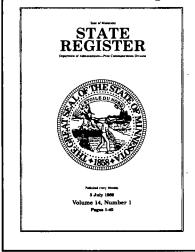


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