#### **HIGHLIGHTS:**

Minnesota Occupational Information System Board Established
—Executive Order from the Governor

Electric Utility Information Reporting
—Adopted Rules from the Energy Agency

Large Oil Storage Facilities Applications
—Adopted Rules from the Energy Agency

Cable Communications

-Proposed Rules from the Cable Communications Board

Maximum Lawful Interest Rate for Mortgages for June, 1977

—Notice from the Department of Commerce, Banking Division

Uniform Health Insurance Forms

—Notice of Public Opinion Sought by the Department of Commerce, Insurance Division

Wage Rates on Highway Construction Projects

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Negative Declarations EIS Preparation Notice Final EIS Completion

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#### **EXECUTIVE ORDERS**=

#### **Executive Order No. 147**

Providing for the Establishment of an Occupational Information System Board of Directors to Perform Responsibilities and Accept Federal Funds under Title III of the Comprehensive Employment and Training Act of 1973, and Repealing Executive Orders Nos. 118 and 118A

I, Rudy Perpich, Governor of the State of Minnesota, by virtue of the authority vested in me by the Constitution and applicable statutes, do hereby issue this Executive Order:

WHEREAS, Title III of the Comprehensive Employment and Training Act of 1973 provides funds to support the development and implementation of statewide occupational information systems for states and local areas within states;

WHEREAS, certain sums of money have been allocated through the Act to support the development and implementation of statewide occupational information systems in qualifying states;

WHEREAS, the availability of funds allocated to Minnesota for the development and implementation of an occupational information system is contingent on the establishment of a consortium policy board which is broadly representative of both the users and producers of occupational information, namely, schools and educational agencies, manpower agencies, and business and industry; and

WHEREAS, by Executive Orders 118 and 118A a board and executive committee were created and it is necessary to make certain changes and clarify certain responsibilities:

#### NOW, THEREFORE, I order:

- 1. The establishment of the board to be known as the Minnesota Occupational Information System Board.
- 2. The purpose of the Minnesota Occupational Information System Board shall be to accept and utilize federal funds and other funds as available to fulfill its responsibilities and to fulfill policy responsibilities designated under Title III of the Comprehensive Employment and Training Act of 1973 and such attendant regulations and guidelines as may be promulgated by the U.S. Secretary of Labor.
- 3. The Minnesota Occupational Information System Board shall be comprised of sixteen member as follows: the State Affirmative Action Officer or his designee, the Commissioner of Employment Services or his designee, the Commissioner of Education or his designee, the Executive Director of the Governor's Manpower Office or his designee, the Director of the State Planning Agency or his designee, the Commissioner of Administration or his designee, the Commissioner of Personnel or his designee, the Director of the Minnesota Higher Education Coordinating Board or his designee, and the Chancellor of the State Community College System or his designee representing the Higher Education Advisory Council. The Governor shall appoint one representative from the Minnesota business and industrial community and one from the labor community to serve at his pleasure coterminously with his term as Governor. The Director of the Higher Education COoordinating Board shall appoint one local office representative of the Minnesota Department of Employment Services nominated by the Commissioner of Education, one client of the Comprehensive Employment and Training Act Program nominated by the Executive

#### **EXECUTIVE ORDERS**

Director of the Governor's Manpower Office, and one student nominated by the Higher Education Advisory Council to serve terms coterminous with that of the Governor. The Executive Director of the Minnesota Higher Education Coordinating Board or his designee shall serve as Chairperson of the board. The Minnesota Occupational Information System Board shall select its Vice-Chairperson from among the other members.

- 4. There is created an Executive Committee of the MOIS Board consisting of the following members or their designees:
  - (a) Executive Director, Higher Education Coordinating Board; chairperson
  - (b) Commissioner of Administration
  - (c) Commissioner of Personnel
  - (d) Two other members of the Board selected by the Board

The Executive Committee shall act as a screening committee concerning MOIS personnel and shall make its recommendation to the Board on all personnel questions; it shall further act on behalf of the Board when this Board is not convened.

- 5. The Minnesota Occupational Information System Board shall be authorized to:
  - (a) prescribe its time and place of meeting
- (b) determine its policies and procedures in accordance with state law except that personnel policies and procedures shall be subject to the approval of the Executive Director of the Higher Education Coordinating Board
- (c) select and hire an Executive Director and other necessary staff who shall be appointed by the Executive Director of the Higher Education Coordinating Board
  - (d) adopt an annual operational budget
  - (e) transmit appropriate reports to the Governor and Legislature
  - (f) submit requests for funds to appropriate budget officers.
- 6. The Board shall contract with the Minnesota Higher Education Coordinating Board as personnel and fiscal agent, and shall submit an annual report to the Minnesota Higher Education Coordinating Board for administrative review and evaluation and to the boards and agencies represented on or before June 30 of each year.
- 7. The Higher Education Coordinating Board shall be responsible for maintenance of the consortium between boards and agencies, perform all necessary coordinating functions, provide administrative review and evaluation annually, contract with the Board to supply fiscal and personnel services, and appoint staff on recommendation of the Board.
- 8. Members of the Minnesota Occupational Information System Board may, at the discretion of the members, be reimbursed for expenses in the manner described in Minn. Stat. § 43.329, if sufficient federal funds are available for such expenses.

#### **EXECUTIVE ORDERS**

9. Executive Orders 118 and 118A are rescinded.

This Order shall be effective on the date of publication in the State Register and shall remain in effect until rescinded by the proper authority.

IN TESTIMONY WHEREOF, I hereunto set my hand on this 26th day of April, 1977.

. .

### RULES=

## Energy Agency Electric Utility Information Reporting

The rules published at State Register Volume 1, No. 22, P. 880, December 7, 1976 (1 S.R. 880) are adopted and are identical in every respect to their proposed form with the following amendments:

EA 206 Basic forecast and current data.

- A. Each utility shall submit annually to the director data for the last calendar year and a forecast for the present year and the 15 subsequent years of the generation, the peak demand, and the consumption of electrical energy.
- B. The basic forecast and current data shall contain the following data for each year cited in rule EA 206 A. in the form requested below.
- 1. The annual electrical consumption, generation and peak demand forecast shall include:
- a. annual <u>total</u> electrical consumption in kilowatthours by ultimate consumers within the utility's Minnesota service area;
- b. annual total electrical consumption in kilowatthours by the utility's ultimate consumers outside its Minnesota service area;

#### EA 207 The extended forecast.

A. The following utilities must file an extended forecast: Northern States Power Company, Minnesota Power and Light Company, Otter Tail Power Company, Interstate Power Company, Minnkota Power Cooperative, Cooperative Power Association, United Power Association and Dairyland Power Cooperative. Data which is compiled within the same calendar year for either an extended forecast or a certificate of need application may be substituted interchangeably to satisfy those portions of both sets of rules which have identical data requirements. In such cases reference to the material substituted and a copy of the appropriate reference material shall be submitted to meet the reporting requirements.

#### EA 208 Generating facilities.

A. Present facilities. Each utility required to report under rule EA 204 A.1. shall provide the following information

with regard to each power plant serving or capable of serving its Minnesota service area as of January 1 of the current year:

- 1. the name and type of the plant;
- 2. its location and address;
- 3. the primary fuel or blended primary fuels by estimated gross percentage of each which [[is]] are currently used to operate the plant;
- 4. the secondary fuels or blended secondary fuels by estimated gross percentage of each which are currently used to operate the plant;
- 5. name-plate capacity as measured by the sum total of the maximum name-plate rating of each unit of generating equipment in the plant;
- 6. actual plant capacity as measured by the maximum load that could be supplied by present equipment on a peaking basis of which the utility shall specify duration;
- 7. the total number of kilowatt-hours generated by the plant for nonplant use during the last calendar year;
  - 8. the annual heat rate of the plant;
- 9. the quantities of primary and secondary fuels consumed during the last calendar year;
- 10. the year in which the plant or each unit of a multiunit plant began operation;
- 11. the name-plate number, kilowatt rating, power factor, voltage, phase and frequency for each unit of generating equipment in the plant.

#### EA 209 Transmission lines.

- A. Existing transmission lines. Each utility shall report the following information in regard to each transmission line over 100 kilovolts now in existence:
  - 1. a map showing the location of each line;
  - 2. the design voltage of each line;
- 3. [[the design power capability of each line]] the size and type of conductor;

#### RULES :

- 4. the approximate location of D.C. terminals or A.C. substations; and
- 5. the approximate length of each line and the portion of that length in Minnesota. [[; and]]
- [[6. the estimated losses at the design voltage and at the design current for the length of the transmission line and at the terminals or substations.]]

# Energy Agency Contents of Applications for Certificates of Need and Criteria for Assessment of Need for Large Oil Storage Facilities for Energy Users

Readers should note that the following rules are totally new.

Chapter Eight: EA 801-881

EA 801 Purpose of rules. The purpose of these rules is to specify the contents of applications for certificates of need and to specify criteria for assessment of need for large oil storage facilities for energy users pursuant to Minn. Stat. § 116H.13.

EA 802 Applicability of rules.

- A. Each applicant for a certificate of need for a large oil storage facility to be used in conjunction with manufacturing, mining, heating, cooling, processing or generation of electricity, or for fuel storage for vehicles, locomotives or aircraft, shall provide all of the information required by these rules. The following types of oil storage facilities shall be subject to these rules:
  - 1. a new large oil storage facility; and
- 2. an expansion of an existing oil storage facility, which expansion is itself of sufficient size to fall within the definition in EA 804 L.
- B. Exception. The following types of facilities shall not be subject to these rules:
- 1. a large oil storage facility on which construction has begun or has been completed; and
  - 2. a large oil storage facility to be constructed in con-

junction with a large electric generating facility which itself requires a certificate of need, unless that proposed large oil storage facility is not covered by the certificate of need issued for the large electric generating facility and associated facilities.

EA 803 Application procedures and timing.

- A. Each applicant for a certificate of need shall apply in a form prescribed by the director.
- B. A minimum of seven (7) bound copies and one (1) unbound copy of the application shall be filed with the director. The director may require additional bound copies. All documents, forms, and schedules filed with the application must be typed on —4" x 11" paper except for blueprints, engineering drawings, maps, and similar materials. The date of preparation and the applicant's name shall appear on each page of the application, as well as on each document filed with the application. Each application shall contain a title page and a complete table of contents which includes the applicable rules by the titles and numbers given in these regulations.
- C. Subsequent to the filing of an application, any changes or corrections to the application shall comply with rule EA 803 B as to the number of copies and size of documents. In addition, each page of a change or correction to a previously filed page shall be marked with the word "REVISED" and with the date the revision was made. The original copy of the changes or corrections shall be filed with the hearing examiner, and the remaining copies shall be submitted to the director.
- D. Each application for a certificate of need shall be accompanied by a cover letter signed by an authorized officer or agent of the applicant. The cover letter shall specify the type of facility for which a certificate of need is requested, the number of copies filed, and the rules and subdivisions thereof to which the applicant has responded.
- E. A hearing examiner shall be appointed and a public hearing shall be scheduled to commence no later than eighty days after the receipt of the application, in accordance with the Minnesota Energy Agency Rules of Procedure Governing Certificate of Need Program, rules EA 500 et seq., and the Hearing Examiner Rules of Contested Case Procedures, rules HE 201 et seq.
- F. A decision on an application for a certificate of need shall be made by the director no later than six months from the receipt of the application, provided that the application as filed is substantially complete.
- G. The director shall notify the applicant within 15 days of the receipt of an application if the application is not substantially complete. Upon such notification, the appli-

#### RULES:

cant may correct the deficiency and may resubmit the application. A decision shall be made upon the revised application within six months of the date of resubmission, assuming it is substantially complete.

H. Prior to the submission of an application, a person may be exempted from any data requirement of these rules upon a written request to the director for exemption from specified rules and a showing by that person in the request that the data requirement 1) is unnecessary to determine the need for the proposed facility or 2) may be satisfied by submission of another document. A request for exemption must be filed at least 20 days prior to submission of an application. The director shall respond in writing to each such request within 15 days of receipt including reasons for his decision. The director shall file a statement of exemptions granted and reasons therefor prior to commencement of the hearing.

EA 804 Definitions. For purposes of these rules, the following definitions shall apply:

- A. "Agency" means the Minnesota Energy Agency;
- B. "Applicant" means the person or persons submitting a certificate of need application;
- C. "Application" means a document submitted by a person or persons to the director for the purpose of obtaining a certificate of need, the contents of which are described in these rules;
- D. "BTU" means British thermal unit, a common unit of energy measurement which is used in these rules for comparative purposes;
- E. "Construction" means significant physical alteration of a site to install or enlarge a large energy facility, but not including activities incident to preliminary engineering or environmental studies;
- F. "Demand" means the quantity of an energy product which the applicant is willing and able to purchase;
  - G. "Director" means the director of the Agency;
- H. "Energy Product" means a product which may be used to provide energy;
- 1. "Forecast" means a prediction of future demand for some specified time period;
  - J. "Forecast Years" means the sixteen-year period con-

sisting of the year in which an application is filed plus the next fifteen years;

- K. "Joint Application" means an application submitted to the director by two or more persons;
- L. "Large Oil Storage Facility" means a facility on a single site designed for or capable of storing more than one million gallons of crude petroleum or petroleum fuels or oil or derivatives thereof;
- M. "Peak Day" means that day during a calendar year when the demand is the greatest;
- N. "Person" means an individual, partnership, corporation, joint stock company, unincorporated association or society, municipal corporation, or a government or governmental subdivision, unit or agency, other than a court of law;
- O. "Substantially Complete Application" means an application which is deemed by the director to be in substantial compliance with the informational requirements of these rules; and
  - P. "Ton" means 2000 pounds.

EA 805 Filing fees and payment schedule. The fee for processing an applicant shall be \$1,500 plus \$50 per one hundred thousand gallons of design oil storage capacity. The director may assess additional fees if they are reasonably necessary for completion of the evaluation of need for the proposed facility. In no event shall the total fee required of an applicant exceed the lesser of \$50,000 or 200 percent of the fee set according to the above schedule. Fifty percent of the fee set according to the above schedule shall accompany the application, and the balance shall be paid 90 days after submission of the application. The applicant shall be notified when its application is acted on by the director of any additional fees and shall pay them within 30 days of notification. The billing for such additional fees shall be accompanied by an itemized statement. No certificate of need shall be issued unless all fees are paid in full.

EA 811 Criteria for assessment of need.

A. Purpose of the criteria. The criteria for assessment of need will be used by the director in the determination of the need for a proposed large energy facility pursuant to Minn. Stat. §§ 116H.01 through 116H.15. The factors listed under each of the criteria below will be evaluated to the extent that the director deems them applicable and pertinent to each facility proposed pursuant to these rules.

#### RULES I

- B. A certificate of need shall be granted to the applicant if it is determined that:
- 1. the probable result of denial would adversely affect the future adequacy, reliability, or efficiency of energy supply to the applicant, to the applicant's customers, or to the people of Minnesota and neighboring states. In making this determination, the director shall consider:
- a. the accuracy of the applicant's forecast of demand for the type of energy that would be supplied by the proposed facility;
- b. the effects of the applicant's existing or expected conservation programs and state and federal conservation programs;
- c. the effects of promotional practices of the applicant which may have given rise to the increase in the energy demand, particularly promotional practices which have occurred since 1974;
- d. the ability of current facilities and planned facilities not requiring certificates of need to meet the future demand; and
- e. the effect of the proposed facility in making more efficient use of resources;
- 2. a more reasonable and prudent alternative to the proposed facility has not been demonstrated. In making this determination, the following factors shall be considered:
- a. the appropriateness of the size, type, and timing of the proposed facility compared to those of reasonable alternatives;
- b. the cost of the proposed facility and the cost of energy to be supplied by the proposed facility compared to the costs of reasonable alternatives and the cost of energy that would be supplied by reasonable alternatives;
- c. the impact of the proposed facility upon the natural and socioeconomic environments compared to the impacts of reasonable alternatives; and
- d. the expected reliability of the proposed facility compared to the expected reliability of reasonable alternatives;
- 3. the consequences of granting the certificate of need outweigh the consequences of denying the certificate, considering:
- a. the relationship of the proposed facility to overall state energy needs;

- b. the impact of the proposed facility upon the natural and socioeconomic environments compared to the impact of not building the facility;
- c. the effects of the proposed facility in inducing future development; and
- d. socially beneficial uses of the output of the proposed facility, including its uses to protect or enhance environmental quality;

#### and that

4. it has not been demonstrated on the record that the design, construction or operation of the proposed facility will fail to comply with those relevant policies, rules and regulations of other state and federal agencies and local governments which have been considered during the hearing process.

#### EA 821 Contents of application.

- A. Each application for a certificate of need for a large oil storage facility shall include the information required by rules EA 831-834 and 841-845.
- B. An application for a certificate of need, if desired by the applicant, may contain information in response to rule EA 871.
- C. Joint application. If the proposed application for a certificate of need is jointly submitted by two or more persons, then, when specified in these rules, each such person shall submit the information required by these rules.
- D. Multi-Party ownership and use. Each application for a certificate of need for a facility which is owned and used by two or more persons shall be considered as a joint application for purposes of these rules.

#### EA 831 General information.

- A. Each application shall contain a general information section which shall include the following information:
- 1. the applicant's complete name and address, telephone number, and standard industrial classification code(s);
- 2. the complete name, title, address, and telephone number of the official or agent to be contacted concerning the applicant's filing;
- 3. a brief description of the nature of the applicant's business and of the products which are manufactured, produced, or processed, or of the services rendered;

#### RULES:

- 4. a brief description of the proposed facility, its complete address (if known) or general location, a brief description of its planned use, its estimated cost, its planned inservice date, and its design capacity in gallons;
- 5. the total fee for the application as prescribed by rule EA 805, and the amount of the fee submitted with the application; and
- 6. the signature(s), and title(s) of the applicant's officer(s) or executive(s) authorized to sign the application and the signature of the preparer of the application if prepared by an outside agent.
- B. Each application shall contain a schedule in the general information section which shall list all known federal, state, and local agencies or authorities with which the applicant must file for the proposed facility. The following information shall be included on the schedule:
- 1. the names of all known federal, state, or local agencies or authorities with which the applicant must file;
- 2. the title of each required permit or certificate issued by the authorities named in response to rule EA 831 B.1. and needed by the applicant;
- 3. for each permit or certificate listed in response to rule EA 831 B.2, the date an application was filed or the projected date of future application;
- 4. for each permit or certificate listed in response to rule EA 831 B.2, the actual date a decision was made on the application, or the anticipated decision date; and
- 5. for each permit or certificate listed in response to rule EA 831 B.2, for which an application was filed, the disposition or status of the permit or certificate.
- EA 832 Need summary. Each application shall contain a section which summarizes the major factors which justify the need for the proposed facility. This summary shall not exceed, without the approval of the director, 15 pages in length, including text, tables, schedules, graphs, and figures.
- EA 833 Summary of additional considerations. Each application shall contain a section which discusses the socioeconomic considerations listed below. The applicant shall explain the relationship of the proposed facility to each of the following:

- A. socially beneficial uses of the output of the facility, including its uses to protect or enhance environmental quality;
- B. promotional activities which may have given rise to the demand for the facility; and
- C. the effects of the facility in inducing future development.
- EA 834 Conservation programs. Each application shall contain a section which relates to conservation of energy. Separate responses are required from each person submitting a joint application.
- A. Does the applicant have an energy committee or an individual responsible for determination or coordination of its energy needs?
- B. Has the applicant defined energy or conservation goals or objectives?
- C. What specific energy or conservation programs has the applicant considered?
- D. Have any energy or conservation programs been implemented? Explain the program(s) and the measured results achieved to date.
- E. Has the applicant measured or studied the energy efficiency of the facilities which will use the proposed facility as an energy source? What were the results?
- F. What major accomplishments in energy efficiency or conservation have been made by the applicant within the past five years?
- EA 841 Historical energy data. Each applicant shall provide the following information on historical energy usage in a separate section of the application. The energy usage data provided shall be for the specific facility or facilities which will make use of the energy product from the proposed facility. In a joint application separate responses shall be provided by each person. Applicants who have operated for less than five years the facility or facilities which will use energy from the proposed facility should provide data for each year of operation.
- A. List all energy products used for the five most recent calendar years. For each energy product used indicate the annual usage and peak day usage in the appropriate unit of measure, i.e., natural gas in thousands of cubic feet, oil by
- **KEY:** New rules and material proposed to be added to an existing rule are printed in **boldface.** Material proposed to be deleted from an existing rule is printed in [single brackets]. <u>Underlining</u> indicates additions to proposed rules, while [[double brackets]] indicate matter stricken from proposed rules. Existing material is printed in standard type face.

type(s) and in gallons, coal by type(s) and in tons; electricity in kilowatt hours, and liquefied gas in gallons.

- B. For each energy product listed in response to rule EA 841 A, provide the annual expense in dollars and the average cost per unit of measure for each of the five most recent calendar years.
- C. For each energy product listed in response to rule EA 841 A, provide the annual usage for the five most recent calendar years in BTU's and express the annual usage for each type of energy as a percentage of total annual use.
- D. For each energy product listed in response to rule EA 841 A, and for the five most recent calendar years, provide the percentage of energy usage that is accounted for by each of the following categories:
  - 1. space heating and cooling;
  - 2. lighting;
  - 3. manufacturing or processing;
  - 4. electric generation; and
  - 5. other
- E. Describe any significant changes in plant or equipment within the past five years which may have had an effect upon the mix or quantities of energy products used as indicated in response the paragraphs A. through D. of rule EA 841.
- F. If the energy products listed include oil, liquefied gas, or coal, describe the type and size of existing storage capacity, including the average number of days supply which would normally be on hand during the winter season and during the summer season.
- G. For each of the energy products listed in response to rule EA 841 F, describe the applicant's handling facilities, including the method of transportation.
- EA 842 Forecast data. The applicant shall provide in a separate section of the application answers to each of the questions below. In the answer to each question the applicant shall provide the assumptions upon which the answer is based. Separate responses to the questions are required from each person submitting a joint application.
- A. What are the applicant's projected total energy requirements in BTU's for the first six forecast years, the eleventh forecast year (the tenth year after the year of application), and the sixteenth forecast year (the fifteenth year after the year of application)?

- B. For the first six forecast years, the eleventh forecast year, and the sixteenth forecast year, what percentage of the total energy requirement given in response to rule EA 842 A is projected to be utilized for each of the following: space heating and cooling, lighting, manufacturing or processing, electricity generation and other?
- C. What different energy products does the applicant expect to use during the forecast years? With respect to the various energy products, what significant shifts from current usage are expected by the applicant during the forecast years?
- D. What energy supply problems, if any, does the applicant anticipate during the forecast years?
- E. How much reduction, if any, in energy requirements for future years does the applicant anticipate as a result of conservation programs or improvements in the energy efficiencies of equipment?
- EA 843 Description of proposed facility. Each applicant shall provide a description of the proposed oil storage facility in a separate section of the application. Separate responses to items G. through K. of rule EA 843 are required from each person submitting a joint application. The following information, or as much as is known at the time of application, shall be provided:
- A. a description of the proposed oil storage facility, including:
  - 1. its design capacity in gallons;
  - 2. the type(s) of energy products to be stored;
  - 3. its dimensions;
  - 4. its estimated cost;
  - 5. its expected economic life; and
- 6. an appropriate drawing which shows interconnections with other facilities at the site;
- B. the complete name and address of the engineer, or (if designed by an outside agent) the firm, which designed the storage facility;
- C. the complete name and address of the contractor or firm which will construct the storage facility;
- D. the approximate planned date for starting construction and the approximate planned in-service date;
  - E. a list of the sources or anticipated fuel suppliers and

any contractual arrangements which assure the applicant a source of supply, including:

- 1. length of the contract(s) in years;
- 2. contractual volume per year, month, day, or as appropriate;
  - 3. name(s) of supplier(s);
  - 4. notification, if any, required to terminate a contract;
  - 5. method of delivery; and
- 6. anticipated supply cycle (weekly, monthly, seasonally, by spot purchase, etc.);
- F. an explanation of the source of supply for the proposed storage facility, if the applicant does not have a firm contract for necessary additional supplies of fuel;
- G. the purpose and planned use of the proposed storage facility, including its relationship to the facility which requires it;
- H. the percentage of the annual energy requirements of the energy-consuming facility which will use the proposed storage facility as an energy source that will be provided by the proposed storage facility;
- I. the estimated number of days of fuel requirements which are planned to be stored in the proposed storage facility during the winter season and during the summer season for the first full year of operation;
- J. an explanation of the effects of the proposed storage facility upon the applicant's ability to supply its customers; and
- K. an explanation of the impact, if any, of the proposed facility upon the energy efficiency of operation of the facility which will use the proposed storage facility as a fuel source.
- EA 844 Alternatives. Each applicant shall respond to the following questions and submit the required data in a separate section of the application.
- A. Was conservation of energy or improving the efficiency of the process requiring the proposed facility considered as an alternative to construction of the proposed facility? To what extent was it considered?

- B. Are there any known restrictions or limitations on the types of alternatives available to the applicant's proposed facility?
- C. Specifically, what other energy alternatives were examined? For each alternative examined provide the following information:
  - 1. a description of the alternative;
  - 2. when it was studied;
  - 3. who performed the study;
  - 4. why it was rejected;
- 5. whether economics was considered and to what extent; and
- 6. whether environmental data were considered and to what extent.

EA 845 Environmental data. Each applicant shall provide environmental data for the proposed facility and for each alternative large energy facility described in response to rule EA 844, to the extent that such data is reasonably available. Information relating to construction and operation of these facilities shall be provided as indicated below.

#### A. Location

- 1. If the specific location for the proposed facility is known, provide the county, township, range and section(s) of that site. If a specific location has not been chosen, provide the county, township, range and section(s) for each parcel of land which in the opinion of the applicant could serve as the site for the proposed facility.
- 2. For each site identified in response to rule EA 845 A.1, list:
  - a. the nature of the terrain at the site;
  - b. the general soil type at the site;
  - c. the depth to groundwater at the site;
- d. the types of vegetation (including forest, brush, marsh, pasture, and cropland) on the site;
- e. the predominate types of land use (such as residential, forest, agricultural, commercial, and industrial) within one mile of the site;

- f. lakes, streams, wetlands or drainage ditches within one mile of the facility and any other lakes, streams, wetlands, drainage ditches, wells or storm drains into which liquid contaminants could flow;
- g. national natural landmarks, national wilderness areas, national wildlife refuges, national wild and scenic rivers, national parks, national forests, national trails and national waterfowl production areas within one mile of the site, as mapped on the Inventory of Significant Resources by the State Planning Agency;
- h. state critical areas, state wildlife management areas, state scientific and natural areas, state wild, scenic and recreational rivers, state parks, state scenic wayside parks, state recreational areas, state forests, state trails, state canoe and boating rivers, state zoo, designated trout streams, and designated trout lakes within one mile of the site, as mapped on the Inventory of Significant Resources by the State Planning Agency; and
- i. national historic sites and landmarks, national monuments, National Register Historic Districts, registered state historic or archaeological sites, state historical districts, sites listed on the National Register of Historic Places, and any other Cultural Resources within one mile of the facility site, as indicated by the Minnesota Historical Society.
- B. Wastewater, projected air emissions and noise sources.
  - 1. Discharges to water.
- a. Point discharges. Indicate the location, route and final receiving waters for any discharge points. For each discharge point indicate the source, the amount and the nature of the discharge. (Provide quantitative data if possible.)
- b. Area runoff. Indicate the area from which runoff may occur, potential sources of contamination in the area, and receiving waters for any runoff.

#### 2. Airborne emissions.

- a. Point sources. Estimate the quantity of gaseous and particulate emissions that would occur during full operation from each emission source and indicate the location and nature of the release point.
- b. Area sources. Indicate locations which may be sources of fugitive dust and indicate the nature of the source (including type of material, amount, and turnover rate).
- 3. Noise. Indicate the maximum noise levels (in decibels, A scale) expected at the property boundary. Also,

indicate the expected maximum increase over ambient noise levels.

- C. Pollution control and safeguards equipment.
- 1. Air pollution controls. Indicate types of emission control devices and measures that would be used.
- 2. Water pollution controls. Indicate types of pollution control equipment and runoff control measures that would be used to comply with applicable state and federal regulations and statutes.
- 3. Oil spill safeguards. Describe measures that would be taken to prevent oil spills or to minimize the environmental impact of a spill on surface waters and groundwaters of the state.
- 4. Other safeguards and controls. Indicate any other equipment or measures, including erosion control, that would be used to reduce impact of the facility. Indicate the types of environmental monitoring, if any, that are planned for the facility and describe relevant environmental monitoring data already collected.
  - D. Induced development.
- 1. Vehicular traffic. Estimate the amounts and types of vehicular traffic which would be generated by the facility.
- 2. Utility use. Indicate the extent to which the facility would create or add to the need for expanded utilities or public services.
- 3. Water use. Indicate the amount of water which would be appropriated and the amount which would be consumed by the facility, the expected source of the water, and how the water would be used.
- EA 871 Other data filed with the application. In addition to the information required by the director, the applicant may desire to file other data. If, in the opinion of the applicant, additional relevant data should be submitted for consideration, such data should be filed in a separate section of the application.

#### EA 881 Certificate of need modifications.

- A. Issuance of a certificate may be made contingent upon modifications required by the director. When the director denies an application, he shall state the reason(s) for the refusal and the changes, if any, which would make the facility certifiable.
- B. The following changes in a facility previously certified by the director shall not require recertification:

#### RULES:

- 1. oil storage capacity additions or subtractions of less than ten (10) percent of the capacity approved by the director; and
- 2. changes of less than two years in the in-service date.
- C. If an applicant determines that a change greater than those specified in rule EA 881 B. is necessary or desirable, it shall inform the director of the desired change, accompanied by a written statement detailing the reasons for the proposed change. The director shall evaluate these reasons and within 45 days of receipt of said statement notify the applicant whether the proposed change is acceptable without recertification.

#### Higher Education Coordinating Board Registration of Private Post-Secondary Institutions

Chapter Nine: HECB 901-1000 Private Institutions Registration

HECB 901 Purpose. The purpose of this chapter is to [[augment Minnesota Session Laws, Chapter 201 (1975) and to provide assistance and protection for persons choosing private institutions and programs by providing policies, procedures, standards, and criteria assuring the authenticity and legitimacy of private post-secondary institutions and programs.]] provide for the registration of private post-secondary institutions and the approval of degrees to be granted and names to be used under Minn. Stat. §§ 136A.61-136A.71.

HECB 902 Definitions. The following terms, words and phrases shall have the meanings hereinafter ascribed to them for the purpose of this chapter:

- A. "Act" means: [[Minnesota Session Laws (1975), Chapter 201]] Minn. Stat. §§ 136A.61-136A.71;
- B. "Registration" means: the process by which a school pursuant to [[section 3 of the act]] Minn. Stat. § 136A.63 and HECB 903 files an application, submits required information pursuant to [[section 4 of the act]] Minn. Stat. § 136A.64 and HECB 904[[,]] and pays fees pursuant to [[section 9 of the act]] Minn. Stat. § 136A.69 and HECB 914;

- C. "School" means: any individual, partnership, company, firm, society, trust, association, corporation[[,]] or any combination thereof[[,]] operating or doing business in Minnesota[[,]] which
- 1. is, owns or operates a private, non-profit postsecondary education instutition,
- 2. provides a post-secondary instructional program or course leading to a degree whether or not for profit[[,]] or
- 3. is, owns or operates a private post-secondary education institution which uses the term "college", "academy", "institute" [[,]] or "university" in its name or advertising;
- D. "Schools located outside Minnesota which offer programs or courses within Minnesota" in [[section 3 of the act]] Minn. Stat. § 136A.63 means: schools located outside Minnesota which offer any course, program[[,]] or education activity which is available to residents of Minnesota and which does not require them to leave the state for the completion of such;
- E. "Degree" means: any award given by a school which signifies or is generally taken to signify completion of a program or course and which is designated by the term degree, associate, bachelor, baccalaureate, master's or doctorate:
- F. "Records" means: those school documents and files containing student data relating to academic credits, grades, degrees awarded[[,]] and periods of attendance. School transcripts or documents on which are recorded each student's data relating to academic credits earned, courses completed, grades awarded, degrees awarded[[,]] and periods of attendance qualify as "records";
- G. "Authentic" means: real, genuine, reliable, bona fide;
- H. "Legitimate" means: lawful, reasonable, in accordance with accepted standards;
- I. "Approval" means: [[determination by the Board that a school meets minimal requirements]] authorization from the Board for a school to offer specified degrees or to use the terms "college", "academy", "institute" [[,]] or "universty" in its name[[,]] or both of the foregoing pursuant to [[section 5 of the act]] Minn. Stat. § 136A.65, HECB 905 and HECB 906;
- J. "Board" means: The Minnesota Higher Education Coordinating Board;

K. "Executive Director" means: The Executive Director of the Minnesota Higher Education Coordinating Board.

HECB 903 Registration. All schools located within Minnesota and those schools located outside of Minnesota which offer programs or courses within Minnesota shall annually register with the Board. The Board shall by annual resolution adopt and publish a list of those schools registered pursuant to Minn. Stat. 136A.63. A school is registered when it has filed an application, submitted required information pursuant to Minn. Stat. § 136A.64 and HECB 904 and pays fees pursuant to Minn. Stat. § 136A.69 and HECB 914. A school need not be approved to be registered.

HECB 904 Information [[For Application]] required for registration.

Pursuant to [[section 4 of the act]] Minn. Stat. § 136 A.64[[,]] schools subject to registration [[or approval]] shall provide the Board with such information as the Board needs to determine the nature and activities of the school. The Board shall have the authority to verify the accuracy of the information submitted to it by inspection, visitation[[,]] or any other means it deems necessary. Any and all information submitted to the Board for the purpose of registration [[and approval]] shall be public information in accordance with existing state and federal law.

[[As a basis for the review by the Board,]] Each applicant for registration [[or approval]] shall submit the following accompanied by an affidavit attesting to the accuracy and truthfulness of the [[submissions]] same:

- A. a copy of the school articles of incorporation, constitution, by-laws[[, charter,]] or other operating [[policies]] documents;
- B. a duly adopted statement of the school's missions and goals;
- [[(c) evidence of registration with the Minnesota Secretary of State, if appropriate;]]
- [[(d)]] C. evidence of any school or program licenses granted by agencies of the State of Minnesota or any other state;
- [[(c)]] D. a copy of the current school catalog and if not contained in the catalog:
- 1. a list of members of the board of trustees or directors, if any;
  - 2. a list of current institutional officers;
- 3. a list of current faculty, full-time and part-time, with degrees held or applicable experience;

- 4. a list and description of all school facilities;
- 5. a list and description of all current course offerings;
- 6. a list of all requirements for satisfactory completion of courses, programs[[,]] and degrees;
- 7. a statement of the school's policy pertaining to freedom or limitation of expression and inquiry;
- 8. [[an accurate, up-to-date, and detailed]] a current schedule of fees, charges for tuition, required supplies, student activities, housing and all other [[typical]] standard charges;
- 9. a statement of the school policy regarding refunds and adjustments;
- 10. a statement of the school policy relating to granting of credit for prior education, training[[,]] and experience:
- 11. a statement of the school policies relating to student admission, evaluation, suspension[[,]] and dismissal;
- [[(f)]] <u>E.</u> a copy of the fiscal balance sheet on an accrual basis or of a certified audit of the immediate past fiscal year for the school:
- [[(g)]] <u>F.</u> one copy of all promotional and recruitment materials and advertisements;
- [[(h)]] <u>G.</u> a plan for the preservation of student records pursuant to HECB [[907]] <u>908.</u>

If on the basis of the above information the Board is unable to determine the nature and activities of a school [[and its programs or to approve degrees or names]], the Board will require any additional information needed to make such [[judgments]] determination.

HECB 905 Approval of degrees. [[The Board shall approve]] Degrees granted by registered schools [[deemed authentic and legitimate by the Board if said degrees meet the requirements of HECB 908.06, HECB 908.07, and HECB 908.08. Degrees granted by schools which are not deemed legitimate and authentic shall not be approved by the Board. Degrees which do not meet the requirements of HECB 908.06, HECB 908.07, and HECB 908.08 shall not be approved by the Board unless granted conditional approval under HECB 911. No school subject to registration,]] which meet the requirements of this section, HECB 904, HECB 908 and the policies and standards of HECB 907 shall be approved by the Board if said schools provide:

A. teaching personnel to provide the educational programs for each degree for which approval is sought;

- B. educational programs leading to each degree for which approval is sought;
- C. appropriate and accessible library, laboratory and other physical facilities to support the educational program for each degree for which approval is sought;
- D. a rationale showing that degree programs are consistent with the school mission and goals.

Degrees granted by registered schools which do not meet these requirements shall not be approved by the Board unless granted conditional approval under HECB 911. No school located in Minnesota even if otherwise registered pursuant to the act and this chapter and no school located outside Minnesota which offers programs or courses within Minnesota even if otherwise registered pursuant to the act[[,]] shall grant a degree to students in Minnesota where the student has not left Minnesota for the major portion of the program or course unless such [[a]] degree [[has been]] is approved by the Board. After reviewing the recommendations of its staff and advisory committee, the Board shall by resolution annually adopt and publish a list of schools approved to offer degrees together with a list of the specified degrees so approved.

HECB 906 Aprpoval of names. The Board shall approve a school using the term "academy", "institute", "college"[[,]] or "university" in its name provided the school meets the minimum requirements of this chapter. No school subject to registration under HECB 903 shall use the terms "academy", "institute", "college"[[,]] or "university" in its name in maintaining, advertising, promoting[[,]] or conducting any program or educational activity in Minnesota without the approval of the Board.

The Board shall approve any school using the above terms in its name if the school meets all the following requirements:

- [[.10]] A. Any school using the term "academy" in its name [[must be deemed legitimate and authentic by the Board and]] must meet the policies and standards of HECB 907 and the minimum requirements of this chapter.
- [[.20]] B. Any school using the term "institute" in its name [[must be deemed legitimate and authentic by the Board and]] must meet the policies and standards of HECB 907 and the minimum requirements of this chapter.
- [[.30]] C. Any school using the term "college" in its name must [[be deemed legitimate and authentic by the

Board]] meet the policies and standards of HECB 907, must meet the minimum requirements of this chapter[[,]] and must offer at least one program leading to an associate degree [[which is approved as a degree under HECB 905]]. If it so chooses, it may use the term "academy" or "institute" in its name.

[[.40]] D. Any school using the term "university" in its name must [[be deemed legitimate and authentic by the Board]] meet the policies and standards of HECB 907, must meet the minimum requirements of this chapter[[,]] and must offer at least one program leading to a baccalaureate, master's[[,]] or doctorate degree [[which is approved as a degree under HECB 905]]. If it so chooses, it may use the term "academy", "institute" [[,]] or "college" in its name.

[[.50]] E. A school subject to registration will be granted approval to use the term "college" in its name if the school [[is deemed legitimate and authentic by the Board]] meets the standards of HECB 907, does not offer an associate degree[[,]] but proposes to use the term "college" in conjunction with the terms "business" ("commercial"), "barber"[[,]] or "beauty" to signify the offering of technical and specialized programs providing preparation for career opportunities in the fields of business and barber and beauty services.

HECB [[908]] 907 Policies and standards. After reviewing the recommendations of its staff and advisory committee, [[pursuant to HECB 904,]] the Board by resolution shall annually adopt and publish a list of registered schools [[which have been judged as legitimate and authentic]] pursuant to HECB 903, of schools approved to offer specified degrees pursuant to HECB 905[[,]] and of schools approved to use the names "college", "academy", "institute"[[,]] and "university" in Minnesota[[,]] pursuant to HECB 906. The criteria which will be used to make these determinations with respect to approval are those listed below:

[[A school shall be deemed authentic and legitimate if in the judgment of the Board it has a genuine educational program, sufficient finances, and sound institutional policies and practices. Among the criteria which will be used to determine if a school meets these standards are those listed below. Failure to meet any one of these criteria will not necessarily prevent a school from being judged authentic and legitimate. Rather a judgment will be made on the basis of a pattern which in the whole supports a legitimate educational program, sufficient finances, and sound institutional policies and practices.

A legitimate and authentic school is one which: []

#### RULES:

- [[.01 has a statement of its mission and goals which is consistent with its charter, constitution, by-laws, or operating procedures;]]
- [[.02]] A. has an organizational framework [[and publicly identified]] with administrative and teaching personnel to provide [[and to carry out]] the educational programs [[consistent with its duly adopted charter, constitution, bylaws, or operating policies]] it purports to offer;
- [[.03 if it has a board of directors or trustees, clearly identifies in accounting procedures and makes available for inspection any compensation to board members as a result of board membership;]]
- [[.04]] B. has [[a]] financial [[condition]] resources sufficient to meet the school's financial obligations[[; to refund]] including refuding [[all]] tuition and other charges[[, within a reasonable period of time,]] consistent with its stated policy in the event of dissolution of the [[school]] institution or in the event of [[any]] claims for refund against the [[school by the student body;]] institution, to provide [[purported]] service to [[its]] the students as purported[[;]] and [[for the proper use and support of the school to be maintained;]] to provide educational programs leading to degrees as purported;
- [[.05]] C. operates in conformity with generally accepted budgeting and accounting procedures. [[The Board recommends the standards]] One such set of standards are those adopted by the National Association of College and University Business Officers.;
- [[.06 has an educational]] D. provides for an educational program [[and curriculum which is a clearly defined sequence of learning experiences through courses, laboratory work, field experience, or independent work and which provides the student a depth and breadth of knowledge appropriate to the field and to the level of the]] leading to the degree it purports to offer;
- [[.07 has educational programs and curriculum which are consistent with the school missions and goals;]]
- [[.08 supports the curriculum with a faculty qualified by experience and training to teach the content of the program and to evaluate student performance and with]] E. provides appropriate and accessible library, laboratory[[,]] and other physical facilities to support the educational program offered;
- [[.09]] F. has [[an official]] a policy on freedom or limitation of expression and inquiry for faculty and students which is published or available on request;
- [[.10 promotes the welfare and educational advancement of the student by providing policies and information to stu-

- dents and prospective students including:]] G. provides information to students and prospective students concerning:
- [[a. official school statistics pertaining to retention, graduation and readmission of students;]]
- [[b. stated]] 1. comprehensive and accurate policies relating to student admission, evaluation, suspension and dismissal;
- [[c. stated]] 2. clear and accurate policies relating to granting of credit for prior education, training[[,]] and experience and for courses offered by the school;
- [[d. an accurate,]] 3. current [[, and detailed]] schedule of fees, charges for tuition, required supplies, student activities, housing and all other [[typical]] standard charges [[, and the school observes such schedules as are provided or published]];
- [[e. an official]] 4. policy regarding refunds and adjustments [[to students who withdraw or alter enrollment status and the policy and procedures are observed as published]] for withdrawal or modification of enrollment status;
- [[f. if the school has a financial aid program, a comprehensive and accurate description of the]] 5. procedures and standards used for the selection of recipients as well as the terms of payment and repayment for any financial aid program;
- [[.11]].H. uses for promotion and student recruitment only publications and advertisements which are truthful and do not give any false, fradulent, deceptive, inaccurate[[,]] or misleading impression with respect to the school, its personnel, programs, services[[,]] or occupational opportunities for its graduates;
- [[.12 requires]] I. has any compensated recruitment agents operating in Minnesota identify themselves as [[recruitment]] agents of the school when talking to or corresponding with students and prospective students.
- Failure to meet any one of these criteria will not necessarily prevent a school from being given approval. Rather a judgment will be made on the basis of a pattern which in the whole supports a legitimate educational program, sufficient finances and sound institutional policies and practices.
- HECB [[907]] 908 Records. [[Retroactive to August 1, 1975]] Any school located or operating within Minnesota must maintain permanent records for all students enrolled therein at any time. Each school must provide a plan for the preservation of such records which meet the following criteria:

#### RULES =

- A. There shall be at least one copy of the records held in a secure depository;
- B. An appropriate [[school]] official shall be designated to provide copies of the record or transcript when requested to do so by the student;
- C. Provision shall be made for the preservation of student records after the school shall cease to exist. Such provisions shall be consistent with clauses A. and B.;
- D. In instances where the school does not have a binding [[contract]] agreement for the preservation of student records after the school shall cease to exist, the school shall demonstrate financial security for the preservation of student records after the school shall cease to exist in the form of a continuous surety bond or trust arrangement.

HECB 909 Disapproval and appeal. If approval for degree or name is not granted by the Board [[or a school is not deemed authentic and legitimate by the Board]], the applying school shall have the opportunity for a hearing pursuant to Minnesota Statutes, Chapter 15. If a school wishes a hearing, it has 30 days from the date of notification of the Board's decision to present a written request for a hearing.

HECB 910 Withdrawal of approval. The Board may, after notice and upon providing an opportunity for a hearing[[,]] pursuant to Minnesota Statutes, Chapter 15 if requested by the parties adversely affected, refuse to renew, revoke[[,]] or suspend any approval for any one or any combination of the following grounds:

- A. violation of any provisions of the act or of this chapter;
- B. furnishing to the executive director false, misleading[[,]] or incomplete information;
- C. presenting to prospective students information relating to the school which is false, fradulent, deceptive, [[substantially]] inaccurate in a material respect or misleading:
- D. refusal to allow reasonable inspection or supply reasonable information after written request therefor by the executive director.

HECB 911 Conditional approval. Notwithstanding anything in this chapter to the contrary, the Board may grant conditional approval of degrees[[,]] and names for periods of less than one year if to do so would be in the best interests of

currently enrolled students or prospective students of registered schools.

HECB 912 Unauthorized representations. No school and none of its officials or employees shall advertise or represent in any manner that such school is approved or accredited by the Board or state of Minnesota except that any school which is duly registered with the Board[[,]] or any of its officials or employees[[,]] may represent that the school is registered with the Board with the following language: "\_\_\_\_\_\_\_ is registered with the Minnesota Higher Education Coordinating Board."

HECB 913 Non-Refundable fees. All fees collected by the Board pursuant to the act and this chapter are not refundable [[in the event that an application is not approved in whole or in parts]].

HECB 914 Delegation of authority. The Executive Director is hereby delegated the authority and responsibility for issuance of public information, designing of application forms, reviewing applications, securing information, making recommendations, setting guidelines and approving uses pursuant to HECB 906[[.50]] E., the appointment of appropriate advisory committees, the establishment of visitation teams[[,]] and prescribing of procedures and setting of fees for this program. The Executive Director shall at least annually advise and report to the Board the status of the program.

HECB 915 Advisory committee. The Executive Director shall appoint an Advisory Committee on Private Institutions of at least 10 but no more than 20 members. The members of the Advisory Committee should be qualified to give respected judgments in those areas covered by the act and this chapter. The principal purposes of the Advisory Committee shall be:

- A. to assist in maintaining an appropriate and responsible environment in which applications for registration and approval will be fairly and equitably reviewed;
- B. to review staff summaries of all applications within established guidelines and criteria;
  - C. to review reports of site visitations;
- D. to forward recommendations on all active applications to the Board.

HECB 916 Schools licensed under Minnesota statutes. Any school subject to the provisions of Minn. Stat., ch. 141 (1974)[[,]] or any school required to be licensed by any board authorized under Minnesota law to issue such licens-

#### RULES =

es[[,]] must have a currently valid license from the Commissioner of Education of the State of Minnesota or from any other licensing board to which that school is subject prior to [[registration]] any approval by the Board under the act. The Board will accept as final the determinations of the Commissioner of Education and of any other board as to whether a school is subject to the license requirements of Minn. Stat., ch. 141 or any other Minnesota licensing law and whether that school meets the requirements of ch. 141 and of any other Minnesota licensing law; the Board will not conduct separate inquiries into the substantive basis for those determinations.

The requirement of this rule is in addition to [[,]] and not a substitute for [[,]] any other requirements or obligations of this Chapter.

HECB 917 Rights, duties [[,]] and obligationsnot impaired by Minnesota Statutes, chapter 141. Except as expressly stated to the contrary in this chapter, any action taken pursuant to Minn. Stat. ch. 141 (1974) by the Commissioner of Education of the State of Minnesota in relation to any school, person[[,]] or other entity does not in any manner alter the rights, duties[[,]] and obligations of that school, person[[,]] or entity under this chapter or under [[Minnesota Laws of 1975, ch. 201]] Minn. Stat. §§ 136A. 61-136A.71.

HECB 900 Separability. If any rule [[,]] or portion thereof[[,]] within this chapter[[,]] or the application thereof to any school or other circumstances[[,]] is held or declared invalid, the remainder of the chapter[[,]] and the application of such rule or portion to other schools or circumstances shall not be affected thereby.

## Cable Communications Board

Variances, Rulemaking, Contested Cases, Delegation of Authority, Definitions, Renewal of a Certificate of Confirmation, Franchise Procedures, Standards and Amendments, Interconnection, Cable System Report to Board, and Cable Service Territories

#### Notice of hearing

Notice is hereby given that a public hearing in the aboveentitled matter will be held in Room 83, of the State Office Building, Wabasha St., St. Paul, Minnesota, on June 21, 1977, commencing at 1:30 p.m., reconvening at 7:30 p.m., and continuing until all persons have had an opportunity to be heard.

All interested or affected persons will have an opportunity to participate. Statements may be made orally and written materials may be submitted at the hearing. In addition, written materials may be submitted by mail to Mr. Howard Kaibel, State Office of Hearing Examiners, 1745 University Avenue, St. Paul, Minnesota, 55104, either before the hearing or within 20 days after the close of the hearing.

The statutory authority of the Minnesota Cable Communications Board to promulgate the proposed rules is contained in Minn. State. § 238.06, subd. 1 (1976).

The following changes in Board rules are proposed for adoption:

#### Definitions-

Add definitions of "Twin Cities Metropolitan Area" and "Board"; add "municipality" to the definition of "person."

#### Variances-

Add a provision requiring that requests for variances be submitted 20 days in advance of the Board meeting at which action is requested.

#### Rulemaking-

Change rules to conform with the Rules of the Office of the Hearing Examiners and Minn. Stat. Ch. 15 (1976).

#### Contested Cases-

Change rules to conform with Minn. Stat. ch. 15 (1976) and the Rules of the Office of Hearing Examiners. Amend remaining rules regarding the Board's decision in contested cases.

#### Cable System Reports to Board-

Amend rule by clarifying that annual reports by cable communications system operators are due on the first of May each year.

#### Cable Service Territories-

Add provision to rule specifying a period for comment by the Metropolitan Council on a proposed cable service territory.

The following changes will be made in the MCAR (Cable Board Rules and Regulations) at the time of their revised final printing:

- 1. The word "Commission" will be changed to "Board" pursuant to Minn. State. § 15.012.
- 2. All statutory cites will be updated so as to reflect the most recent edition of Minnesota Statutes.
- Rule citations will be changed from MCCC to MCCB.
- 4. All rules will be changed to conform to the following format:

#### MCCB 1

Testimony or other evidence to be submitted for consideration should be pertinent to the matter at hand and may be presented either orally or in writing at the public hearing or by mailing a statement to Mr. Howard Kaibel, State Office of Hearing Examiners, 1745 University Avenue, St. Paul, Minnesota, 55104. If the person submitting a statement cannot be present to read their statement at the time of the hearing, the statement will be entered into the record. For those wishing to submit written statements or exhibits, it is requested that at least three copies of such statement, exhibit or summary be furnished at the hearing. The record will remain open for receipt of briefs or other written material relative to the proposed rule for 20 days subsequent to the public hearing.

Copies of the proposed rules will be available after May 16, 1977, at the Cable Board's offices and free copies may be obtained by writing to the Minnesota Cable Communications Board, 500 Rice St., St. Paul, Minnesota, 55103. Additional copies will be available at the door on the date of the hearing. A "Statement of Need" explaining why the Minnesota Cable Communications Board feels the proposed rules are necessary and a "Statement of Evidence" outlining the testimony the Cable Board will be introducing will be filed with the Hearing Examiner's Office at least 25 days prior to the hearing and will be available there for public inspection.

Please be advised that Minn. Stat. ch. 10A, requires each lobbyist to register with the Ethical Practices Board within five days after he commences lobbying. Lobbying includes attempting to influence rulemaking by communicating or urging others to communicate with public officials. A lobbyist is generally any individual who spends more than \$250 per year for lobbying or any individual who is engaged for pay or authorized to spend money by another individual or association and who spends more than \$250 per year or five hours per month at lobbying. The statute provides certain exceptions. Questions should be directed to the Ethical Practices Board, 41 State Office Building, St. Paul, Minnesota, 55155, phone (612) 296-5615.

Robert J. McDonald, Executive Director MINNESOTA CABLE COMMUNICATIONS BOARD

#### **Rules as Proposed**

Chapter One

MCCB 2 Definitions. As used in these Rules the following words and phrases shall have the meanings given them herein unless a different meaning clearly appears in the text.

[(a)] A. "Cable communications company" means any

person owning, controlling, operating, managing or leasing a cable communications system within the state.

- [(b)] **B.** "Cable communications system" means any system which operates for hire the service of receiving and amplifying programs broadcast by one or more television or radio stations and any other programs originated by a cable communications company or by another party, and distributing such programs by wire, cable, microwave or other means, whether such means are owned or leased to persons who subscribe to such service. Such definition does not include:
- [(1)] 1. Any system which serves fewer than 50 subscribers;
  - [(2)] 2. Any master antenna television system;
- [(3)] **3.** Any specialized closed-circuit system which does not use the public rights-of-way for the construction of its physical plant; and
- [(4)] **4.** Any translator system which receives and rebroadcasts over-the-air signals.
- [(c)] C. ["Commission"] "Board" [means the commission on cable communications] shall mean the cable communications board created by the provisions of [Minnesota Statutes, 1973 Supplement, Section 238.04.] Laws of 1973, ch. 568.
- [(d)] **D.** "Franchise" means any authorization granted by a municipality in the form of a franchise, privilege, permit, license or other municipal authorization to construct, operate, maintain, or manage a cable communications system in any municipality.
- [(e)] E. "Franchising authority" means a municipality, as herein defined, that has the authority to issue a cable communications franchise, or a group of municipalities, as herein defined, acting in concert pursuant to a joint powers agreement, that determines to issue a single, joint cable communications franchise.
- [(f)] **F.** "Head end" means the electronic control center of a cable communications system, which includes antennas, preamplifiers, frequency converters, demodulators, modulators and other related equipment which receives, amplifies, filters and converts incoming signals to cable system channels.
- [(g)] G. "Master antenna television system" means any system which serves only the residents of one or more apartment dwellings under common ownership, control or management and any commercial establishment located on the premises of such apartment house and which transmits only signals broadcast over the air by stations which may be normally viewed or heard locally without objectionable in-

terference, and which does not provide any additional service over its facilities other than closed-circuit security viewing services.

- [(h)] **H.** "Municipality" means any organized town, city or county with respect to the unorganized territory within its boundaries.
- [(i)] I. "Person" means any individual, trustee, partnership, municipality, association, corporation or other legal entity.
- [(j)] **J.** "Program" means any broadcast-type program, signal, message, graphics, data or communication content service.
  - [(k)] K. "State" means the State of Minnesota.
- [(a)] L. "State agency" means any office, department, board, commission, bureau, division, public corporation, agency or instrumentality of the state.
- M. "Twin Cities metropolitan area" means that area comprised of Anoka, Carver, Dakota, Hennepin, Ramsey, Scott, and Washington counties.

MCCB 20 Variances. The [Commission] Board may grant a variance from any of its rules, regulations or standards, except where inconsistent with or otherwise prohibited by law, to promote the public interest, to avoid undue hardship and to promote the effective and reasonable application of its rules, regulations or standards relating to cable communications. Any person may petition the [Commission] Board for a variance from a rule, regulation or standard. The petition shall set forth the text of the rule, regulation or standard from which a variance is sought, the specific variance requested, and all facts, views, arguments and data deemed to support the granting of a variance. Any such petition shall be submitted to the Board at least 20 days prior to the Board meeting for which it is requested to be heard. The petitioner shall cause to be published once each week for two successive weeks in a newspaper of general circulation in the municipality in which the system for which the variance is requested is located, a concise statement of the variance sought and the time, date and place of the [Commission] Board meeting at which the variance is to be considered. Any interested person may file with the [Commission] Board a petition in opposition to the granting of the variance. Any such petition shall state with particularity the reasons why the variance should not be granted. The [Commission] Board may hear testimony from all interested persons concerning the granting of a variance. If the granting of a variance is substantially contested, the [Commission] **Board** may deem the matter a contested case to which the [Commission] **Board** is not a party for disposition under Chapter Four of these Rules. A variance shall be granted if, upon good cause shown, there is a finding that the granting of the variance is necessary or proper to avoid undue hardship on the petitioner and to promote the development and utilization of cable communications in the State of Minnesota. A variance which differs from that requested may be granted and it may be of a specific limited duration.

Chapter Three

MCCC 46-55 are repealed.

MCCB 56 Rule making proceedings. The Board shall adopt, amend, suspend, or repeal its rules in accordance wit the procedures set forth in Minn. Stat. ch. 15 (1976).

Chapter Four

MCCC 61-62 are repealed.

MCCB 64 Commencement of contested case. Within ten days following receipt of a complaint or application or the adoption of an order by the [Commission] **Board** initiating a contested case, the [Commission shall appoint a hearing officer and commence the contested case by serving upon all known parties a copy of the document of initiation and a notice stating:

- (a) The commencement of the contested case:
- (b) The time and place of the prehearing conference, if any;
  - (c) The purpose of the prehearing conference;
  - (d) The name of the hearing officer;
- (e) The rights of the parties to counsel and to a formal hearing;
- (f) That failure to attend may prejudice the party's right in this and subsequent proceedings; and
  - (g) These Rules or a citation thereto.

If the hearing officer decides that no prehearing conference will be held, notice of hearing pursuant to MCCC 74 shall be given.]

Board shall proceed to commence a contested case hearing in accordance with the procedures set forth in the rules of the Office of the Hearing Examiners.

MCCC 65-75 are repealed.

MCCB 76 The [Commission] board decision.

- [(a) Basis for Determination:
- (1) The Record. No factual information or evidence, except tax returns, tax reports and other material held confidential by the Commission, which is not a part of the record shall be considered by the hearing officer or the Commission in the determination of a contested case.
- (2) Experience, Technical Competence and Specialized Knowledge. The hearing officer may use his experience, technical competence and specialized knowledge in the evaluation of the evidence presented in the case.
  - (b) Proposal for Decision:
- (1) Need for Proposal, Exceptions and Argument. Whenever in a contested case the Commission has retained the authority to render a final decision, intends to make such final decision and has not heard or read the evidence, the decision, if adverse to a party to the proceeding other than the Commission, shall not be made until a Proposal for Decision has been served, and an opportunity has been afforded each party adversely affected to file exceptions and present argument, whether written or oral or both, to the Commission.
- (2) Service and Contents. The Proposal for Decision shall be served on all parties to the case. The Proposal shall contain:
- (aa) A statement of the proposed decision.
- (bb) The reasons for such decision. Each reason shall consist of a concise statement of the conclusions upon each contested issue of fact necessary to the decision.
- (cc) A final date for the filing of exceptions by parties adversely affected.
- (dd) A statement of the right of parties adversely affected to present argument.
  - (c) Decisions and Orders:
- (1) Formal Decision or Order with Reasons Required. Every decision or order rendered by the hearing officer or the Commission in a contested case shall be in

writing or stated in the record and shall be accompanied by a statement of the reasons therefor. The statement of reasons shall include a concise statement of the conclusions upon each contested issue of fact necessary to the decision. A decision or order on a petition for severance, petition for intervention, petition of prejudice, on noticed facts which are challenged, or on a petition for rehearing shall be rendered in accordance with this Rule.

- (2) Service and Contents. Every decision or order shall be served on all parties to the case. It shall contain:
- (aa) A statement of the decision or order in the case;
  - (bb) A staement of the reasons therefor;
  - (cc) An affidavit of service.]
- A. Parties adversely affected by the report of the hearing examiner shall have 20 days from the date of service of the report to file exceptions with the Board and request an opportunity to present arguments to the majority of the Board.
- B. If there has been a request for an opportunity to present arguments the Board shall, as soon as practicable, set a date for the hearing of the arguments and give reasonable notice of same to all parties to the contested case and to the public in the same manner as in the case of a regular and special meeting of the Board. The arguments may be heard at the next regularly-scheduled Board meeting provided there is sufficient time for notice.
- C. Within 60 days after the presentation of arguments or if there are no arguments within 60 days from the expiration of the 20 day period in A. above the Board shall issue a decision or order in the contested case. The decision or order shall be in writing or stated in the record and shall be accompanied by a statement of the reasons therefore. The statement of reasons shall consist of a concise statement of the conclusions upon each contested issue of fact necessary to the decision. Parties to the proceeding shall be notified of the decision and order in person or by mail. A copy of the decision and order and accompanying statement of reasons together with a certificate of service shall be delivered or mailed upon request of each party or to his attorney of record.

MCCB 77 Rehearing.

[(a)] A. [Commission] Board's right to hear. The [Commission] Board may, upon request or its own motion and for good cause shown, reopen, rehear and redetermine a contested case after a final decision adverse to a party to the contested case other than the [Commission] Board has been rendered. This right may be exercised until it is lost by

appeal or the granting of a writ of certiorari or until a reasonable time has run, but in no event shall the time exceed the time allowed by statute for appeal or six months, whichever is shorter.

[(b)] **B.** Obtaining a rehearing:

[(1)] 1. Parties other than the [Commission] Board. At any time prior to the [Commission's] Board's loss of the right to rehear a contested case, any party to that case may request a rehearing by filing a petition for rehearing. Such petition shall contain:

[(aa)] a. The name and address of the petitioner;

[(bb)] **b.** The [Commission] **Board** designation for the case;

[(cc)] c. The reasons for the petition.

- [(2)] 2. The [Commission] board. The [Commission] Board may, on its own motion, for good cause stated in the record, reopen, rehear and redetermine a contested case if the decision in that case was adverse to a party to that case other than the [Commission] Board.
- [(3)] 3. Default judgments. A party against whom a default has been adjudged pursuant to [MCCC 68] Office of the Hearing Examiners Rule 208 may obtain a rehearing upon a timely showing of good cause for his failure to appear or plead.
- shall grant or deny a petition for rehearing as a part of the record in the case. Such petition shall be granted if there appears on the face of the petition and the record irregularities in the proceedings, errors of law occurring during the proceedings, newly discovered material evidence, a lack of substantial evidence to support the decision or good cause for failure to appear or plead. Evidence and argument may be presented at the discretion of the [Commission] **Board** in written or oral form or both by any party to the contested case with respect to the petition.
- [(c) Notice of Rehearing. Notice of rehearing must be provided in the same manner prescribed for notice of hearing as provided in MCCC 74.]
- [(d)] C. Rehearing procedure. A rehearing in a contested case shall be conducted in the same manner prescribed [for a

hearing as provided in MCCC 75.] by the rules of the Office of the Hearing Examiners.

[(e)] **D.** Decision after rehearing. The decision after rehearing shall be made in the same manner prescribed for the decision after a hearing as provided in MCCB 76.

MCCB 80 is repealed.

Chapter Five

MCCB 91 Authority delegated to executive director. The Board's Executive Director is delegated authority upon application being made by any franchising authority to review applications, and determine eligibility for use of the alternative franchising procedures set forth in MCCB 113.

Chapter Six

MCCB 99 Necessity for a certificate of confirmation. Any cable communications company which is not authorized by law to receive a special or interim certificate of confirmation pursuant to MCCB 97 or MCCB 98 shall be required to secure a regular certificate of confirmation from the [Commission] Board before becoming operational. Such certificate may be [granted] issued only upon compliance with MCCB 111 or 113 and MCCB 121, after full [Commission] Board proceedings and shall be for a period of ten years[.] from the effective date of the municipal franchise ordinance.

MCCB 103 Renewal of a certificate of confirmation. Upon expiration of its certificate of confirmation or the renewal of its cable communications franchise, a cable communications company must obtain renewal of its certificate of confirmation. The renewal of any certificate of confirmation shall be issued only after compliance with MCCB 121. A certificate of confirmation being renewed because of the renewal of a cable communications franchise must also be in compliance with MCCB 112. The [A] renewal of a certificate of confirmation shall be issued only after full [Commission] Board proceedings and shall be valid for a period of [five] ten years [.] from the expiration date of the previously issued certificate, except when a certificate is renewed before its expiration date, the term of the renewed certificate shall begin on the date of its issue, and any remaining term of a previously issued certificate shall then be expired. [A certificate of confirmation is a renewal certificate if it is issued to the same cable communications company, or its successor in interest, for the same cable communications

system for which an interim, special or regular certificate of confirmation was previously issued by the Commission.] The procedure for obtaining the [a] renewal of a certificate of confirmation shall be the same as is herein provided for obtaining a regular certificate of confirmation. Nothing in this rule shall prohibit a cable communications company from renewing its certificate of confirmation prior to the expiration of any existing certificate of confirmation.

Chapter Seven

MCCB 111 Initial franchise.

- [(a)] A. The procedure described in [Rule] MCCB 111 of this Chapter shall be observed by all franchising authorities before and during the awarding of any cable communications franchise, except as provided in Minn. Stat. [1973 Supplement, Section 238.09, Subdivisions 3, 4 and 5 or in Laws 1974, Chapter 506, Section 10,] § 238.09, subd. 3, 4, 5 and 9 (1976), and Rule of this Chapter.
- [(b)] **B.** The proposed boundaries for all cable service territories must be approved by the [Commission] **Board** in accordance with Rules of the [Commission] pertaining to cable service territories before a franchising authority shall adopt an invitation for applications for a cable communications franchise as described in MCCB.111 [(c)] **C.** of this Rule.
- [(c)] C. Except as provided in MCCB [111 (a)] 111 A. of of this Chapter, no cable communications franchise may be awarded, nor may any application for any such franchise be invited, without the research and planning required by this Rule. The franchising authority shall appoint a group of persons residing within the boundaries of the franchising authority as an advisory body to make recommendations on cable communications to the franchising authority. Persons commercially involved in cable communications activities or other communications media shall not serve as member of the advisory body, but may offer information and advice to the advisory body. The advisory body shall inform itself about cable communications through at least a review of published information, state and federal statutes and rules and regulations, and the experience of other municipalities that have or have studied cable communications. The advisory body shall also assess the communications needs of the persons residing within the franchising authority, make a report to the franchising authority, and make publicly available the procedures and results of such study. The advisory body shall make recommendations to the franchising authority on the means to satisfy the communications needs of the persons residing within the franchising authority. After consideration of the recommendations of the advisory body, the franchising authority shall determine the advisability of continuing the franchising process. If the franchising authority determines that the

franchising process should continue, then the franchising authority shall officially adopt in a public hearing, affording reasonable notice and a reasonable opportunity to be heard, an invitation for applications for a cable communciations franchise which invitation shall include, but not necessarily be limited to, the following items:

- [(1)] 1. The desired system design and services for the franchising authority including statements with respect to at least the following items: channel capacity; requirement for access channels and related staff and facilities; construction requirements; and two-way capability[.];
- [(2)] 2. Critieria and priorities [against which the applicants for the cable franchise shall be evaluated.] which the municipality has developed to review franchise applications;
- [(3)] 3. Information regarding applications for the cable communications franchise including:
- [(aa)] **a.** A statement that the applications must be made on a form specified by and available from the franchising authority;
- [(bb)] **b.** The closing date for submission of applications;
- [(cc)] c. A statement of the application fee, if any, and the method for its submission;
- [(dd)] **d.** The name, address and telephone number of a municipal official who may be contacted for further information.

The franchising authority within 10 days after adoption of an invitation for applications for a cable communications franchise shall mail a copy of the invitation to the [Commission] **Board** and make a copy available for public inspection at the city offices during normal business hours.

The franchising authority shall consult with the [Commission] **Board** and may consult with the appropriate regional development commission. The franchising authority may assign to the advisory body such other duties as it deems appropriate.

[(d)] **D.** Not less than 45 days prior to the holding of the public meeting on the franchise as required by MCCB 111 [(f)] **F.** of this Rule, the franchising authority shall give public notice of the availability of the invitation for applications for a cable communications franchise. The notice shall be published at least once in a newspaper of general circulation within the boundaries of the franchising authority and at least once in at least two publications contained in a list approved by the [Commission] **Board** and on

file with the executive director of the [Commission.] Board. The published notice shall contain the following information: the name(s) of the municipalities within the franchising authority inviting the application; the date by which all applications must be submitted; the name, address and telephone number of the municipal official from whom the invitation for applications for a cable communications franchise may be obtained; the amount of any application fee; and a statement that the application for a cable communications franchise must be submitted taking into account the system design and services as outlined by the franchising authority in its invitation for a cable communications franchise. [and on a standard form to be supplied by the franchising authority.] In addition to the published notice, the franchising authority should mail copies of the invitation for applications for a cable communications franchise to any persons it has identified as being potential candidates for the franchise. A copy of the notice shall be provided to the [Commission] Board on the date of initial publication together with an affidavit of publication.

- [(e)] E. A franchising authority shall require that all applications for a cable communications franchise be notarized and contain [the applicant's proposed service and system design for the franchising authority's cable communications system submitted on a standard form supplied by the franchising authority, which form shall include,] but not necessarily be limited to, the following information:
- [(1)] 1. Plans for channel capacity, including both the total number of channels capable of being energized in the system and the number of channels to be energized immediately;
- [(2)] **2.** A statement of the television and radio broadcast signals for which permission to carry will be requested from the Federal Communications Commission;
- [(3)] **3.** Description of the proposed system design and planned operation, including at least the following items:
- [(aa)] a. General area for location of antenna(e) and headend(s);
- [(bb)] **b.** Schedule for activating two-way capability;
- [(cc)] **c.** Type of automated services to be provided;
- [(dd)] **d.** Number of channels and services to be made available for access cablecasting, and a schedule

of charges for facilities and staff assistance for access cablecasting.

- [(4)] **4.** The terms and conditions under which particular service is to be provided to educational and governmental entities;
- [(5)] **5.** A schedule of proposed rates in relation to the services to be provided, and a proposed policy regarding unusual or difficult connection of service;
- [(6)] **6.** A time schedule for construction of the entire system with the time sequence for wiring the various parts of the franchising authority;
- [(7)] 7. A statement indicating the applicant's qualifications and/or experience in the cable communications field, if any;
- [(8)] 8. An identification of the municipalities in which the applicant either owns or operates any cable communications system, directly or indirectly, or has outstanding franchises for which no system has been built;
- [(9)] 9. Plans for financing the proposed system, which shall indicate every significant anticipated source of capital and any significant limitations and/or conditions with respect to the availability of the indicated sources of capital;
- [(10)] 10. A statement of ownership detailing the corporate organization of the applicant, if any, including the names and addresses of officers and directors and the number of shares held by each officer or director; any intracompany relationship including a parent, subsidiary or affiliated company;
- [(11)] 11. A notation and explanation of any omissions or other variations with respect to the requirements of the proposal.
- [(f)] **F.** A public hearing before the franchising authority affording reasonable notice and a reasonable opportunity to be heard with respect to all applications for the franchise shall be completed at least 27 days prior to the introduction of the franchise ordinance in the proceedings of the franchising authority.
- [(g)] **G.** The franchise shall be granted by ordinance and within 10 days of the date on which the ordinance takes effect, the franchising authority shall forward a copy of the franchise ordinance to the [Commission] Board for approval in accordance with Minn. Stat. [1973 Supplement.] § 238.09, subd. 1 (1976).

- [(h)] **H.** Nothing in these Rules shall be construed to prohibit a franchising authority from recovering the reasonable and necessary costs of the entire process of awarding the cable communications franchise from the successful applicant.
- [(i)] I. Nothing contained in any rule of the [Commission] **Board** shall prohibit a franchising authority from franchising a nonprofit or municipally-operated system, provided that pursuant to Minn. Stat. [1973 Supplement,] (1976), [Section 238.01-238.16 and Laws 1974, Chapter 506,] the municipality or the nonprofit entity shall be considered an applicant for purposes of these Rules.

#### MCCB 112 [Renewal] Franchise renewal.

- [(a)] A. For purposes of these Rules a franchise is renewed whenever the franchising authority awards a subsequent franchise to the same cable communications company or its successor in interest [after the award of the initial franchise.] which extends the franchise term beyond its previous termination date.
- [(b) (1)] **B.1.** Three months prior to the expiration of a franchise and to the expiration of a certificate of confirmation, the advisory body created in MCCB 121[(bb)] B<sub>1</sub>, of these Rules shall submit a report to the franchising authority, to the cable communications system operator and to the [Commission,] Board, which report shall include a written appraisal of the performance of the franchisee during the franchise term with regard to the provisions of the franchise. The report shall also include recommendations for revised or additional provisions of the franchise, considering at least the following items: channel capacity; channels for access cablecasting; facilities and staff assistance available for access cablecasting; two-way capability; and the need for further service to be extended within the franchised area based upon a reassessment of the communications needs of the persons residing within the franchised area in relation to the services generally offered by the cable industry.
- [(b) (2)] **2.** At least six months prior to the expiration of the franchise, franchising authorities which are being served by cable communications systems which are operating under a franchise which expires before May 1, 1979, shall create an advisory body to submit the report to the franchising authority, required by MCCB 112 [(b) (1)] **B.1.** of this Rule.
- [(c)] C. The franchising authority shall commence renegotiation of the franchise at least 30 days prior to the expiration of the franchise in accordance with MCCB 121 [(b) (3)] B. 3. of these Rules, and may proceed with a renewal of the franchise unless the governing body determines not to reissue the franchise to the franchise or desires to consider additional applicants for a franchise.

- [(d)] **D.** The renewal shall be granted only after holding a public hearing thereon with reasonable notice and a reasonable opportunity to be heard. Notice of any such hearing shall be given not less than 30 days prior to the hearing by publishing notice thereof once each week for two successive weeks in a newspaper of general circulation within the boundaries of the franchising authority. The notice shall contain the date, time and place of the hearing and shall briefly state the substance of the action to be considered by the franchising authority.
- [(e)] E. If the franchising authority determines that additional applicants are to be sought, the franchising authority must follow the procedure prescribed in MCCB 111 of this Chapter.

#### MCCB 113 Alternative initial franchising procedures.

- A. The procedure described in this rule may be used by a franchising authority if it meets the eligibility requirements of subdivision E. of this rule.
- B. No franchising authority determined eligible for the use of this rule shall award a cable communications franchise, unless the procedures of this subdivision have been followed as required.
- 1. Before a franchising authority may use this procedure, it must submit to the Board a complete application containing such information as the Board may deem necessary, for determining eligibility to use the procedures of this rule. Within 10 days after receipt, the Board's Executive Director shall review each application and give a written determination of eligibility to the requesting franchising authority.
- 2. The proposed boundaries of all cable service territories must be approved by the Board in accordance with the Rules of the Board pertaining to cable service territories before a franchising authority shall publish notice of intent to franchise as described in this rule.
- 3. The franchising authority shall cause to be published once each week for two successive weeks in a newspaper of general circulation in each municipality within the cable service territory, a notice of intent to franchise, requesting applications for such franchise. Such notice shall include at least the following information:
  - a. Name of municipality making the request;
- b. The closing date for submission of applications;
- c. A statement of the application fee, if any, and the method for its submission;

- d. A statement by the franchising authority of the desired system design and services to be offered;
- e. A statement by the franchising authority of criteria and priorities against which the applicants for the franchise shall be evaluated;
- f. A statement that all applications for the franchise must contain at least the information required by Rule MCCB 111 E.;
- g. Date, time, and place for the public hearing to hear proposals from franchise applicants;
- h. The name, address and telephone number of a municipal official who may be contacted for further information.
- C. In addition to the published notice, the franchising authority should mail copies of the notice of intent to franchise to any person it has identified as being potential candidates for the franchise. A copy of the notice shall be provided to the Board on the date of initial publication together with an affidavit of publication.
- 1. The franchising authority shall allow at least 20 days from the first date of published notice to the closing date for submitting applications.
- 2. A public hearing before the franchising authority affording reasonable notice and a reasonable opportunity to be heard with respect to all applications for the franchise shall be completed at least 7 days prior to the introduction of the franchise ordinance in the proceedings of the franchising authority.
- D. The franchise shall be granted by ordinance and within 10 days of the date on which the ordinance takes effect, the franchising authority shall forward a copy of the franchise ordinance to the Board for approval in accordance with Minn. Stat. § 238.09, subd. 1 (1976).
- E. Nothing in these Rules shal be construed to prohibit a franchising authority from recovering the reasonable and necessary costs of the entire process of awarding the cable communications franchise from the successful applicant.
- F. In order to be eligible for the use of the procedures described in this rule,
  - 1. At least one municipality within the Cable Serv-

ice Territory shall meet one of the following requirements:

- a. Be adjacent to an already approved Cable Service Territory having a Cable Communications System from which the extension of Cable Communications service has been offered or is desired, or;
- b. Be adjacent to pre-existing or proposed cable communications facilities such as: microwave relay stations, satellite earth terminals, or trunk cable used to connect one or more operating cable communications systems to a headend located in another municipality, or:
  - c. Have a population of less than 1200, and
- 2. The proposed cable service territory does not exceed the following:
- a. No one municipality within the Cable Service Territory may have a population over 1200, except in the expansion of an already approved cable service territory.
- b. The total aggregate population of all municipalities within the cable service territory may not exceed 2,500 except in the expansion of an already approved cable service territory.
- c. No municipality within the cable service territory may be located within the Twin Cities metropolitan
- G. The Board may also allow the use of the procedures of this rule, in cases which it determines that, the requiring of a franchising authority to comply with the procedures of MCCB 111, would not be in the public interest or would bring undue hardship to any of the parties involved.
- H. Nothing contained in any rule of the Board shall prohibit a franchising authority from franchising a non-profit or municipally owned system. The municipality or non-profit entity shall be considered an applicant for purposes of these rules.

Chapter Eight

MCCB 121 Required contents of franchises. Where a cable communications franchise is awarded or renewed after April 1, 1973, except as provided in Minn. Stat. [1973 Supple-

ment,] § 238.09, subd. 3, 4, [and] 5, and 9, (1976) [or in Laws 1974, Chapter 506, Section 10,] a regular or renewal of a certificate of confirmation will be issued only if the franchise ordinance contains recitations and provisions consistent with the following requirements:

#### Note: No changes in MCCC 121 A. through F.

- [(g)] G. A provision establishing the minimum systemwide channel capacity that the franchisee shall [provide:] make available.
- [(1) For municipalities located within a major television market as defined by the Federal Communications Commission in Section 76.51 of its rules relating to cable television, and as the same may be modified from time to time, the provision shall require the franchisee to construct the cable system with a minimum of 120 MHZ of bandwidth (the equivalent of 20 television broadcast channels) available for immediate or potential use;]
- 1. For each system served by a single headend that: is located in the Twin Cities metropolitan area; or is located in a franchise territory having a population of 15,000 or more persons; or serves 3,500 or more subscribers,
- a. the provision shall require the construction of a cable system with a channel capacity, available for immediate or potential use, equal to a minimum of 120 MHZ of bandwidth (the equivalent of 20 television broadcast channels).
- b. Systems that are already constructed pursuant to a pre-existing franchise requiring fewer than 120 MHZ of bandwidth (the equivalent of fewer than 20 television broadcast channels) shall have until June 21, 1986 to increase the system's channel capacity to a minimum of 120 MHZ of bandwidth. However, nothing in this rule shall be construed so as to preclude the parties to a franchise from negotiating an agreement calling for an increase to a minimum of 120 MHZ of bandwidth prior to June 21, 1986.
- c. For the purposes of this rule, a cable system with a channel capacity, available for immediate or potential use, equal to a minimum of 120 MHZ of bandwidth shall mean: the provision of a distribution system designed and constructed so that a minimum of 120 MHZ of bandwidth (the equivalent of 20 television broadcast channels) can be put into use with only the addition of the appropriate headend and subscriber terminal equipment.
- [(2)(aa) For municipalities which are not within a major television market as defined by the Federal Communications Commission in Section 76.51 of its rules and

- regulations relating to cable television, and as the same may be modified from time to time, and will be served by a cable communications system that will not have been constructed at the time the franchise is to be granted, the provision shall require the franchisee to construct the cable system with a minimum of 72 MHZ of bandwidth (the equivalent of 12 television broadcast channels) available for immediate or potential use;]
- [(2)(bb) For municipalities which are not within a major television market as defined by the Federal Communications Commission in Section 76.51 of its rules and regulations relating to cable television, and as the same may be modified from time to time, and are served by a cable system already constructed pursuant to a pre-existing franchise having less than 72 MHZ of bandwidth (the equivalent of less than 12 television broadcast channels), the municipality shall determine when an increase in MHZ of bandwidth is needed after consultation with the appropriate regional development commission and the Minnesota Commission on Cable Communications and appropriate public proceedings at the municipal level giving all interested persons an opportunity to be heard.]
- 2. For each system served by a single headend that: is located outside of the Twin Cities metropolitan area; and is located in a franchise territory having a population of fewer than 15,000 persons, and serves fewer than 3,500 subscribers,
- a. the provision shall require the construction of a cable system with a channel capacity, available for immediate or potential use, equal to a minimum of 72 MHZ of bandwidth (the equivalent of 12 television broadcast channels).
- b. For the purposes of this rule, a cable system with a channel capacity, available for immediate or potential use, equal to a minimum of 72 MHZ of bandwidth shall mean: the provision of a distribution system designed and constructed so that a minimum of 72 MHZ of bandwidth (the equivalent of 12 television broadcast channels) can be put into use with only the addition of the appropriate headend equipment.
- [(h)] **H.** A provision establishing the minimum number of public, educational, governmental and leased access channels that the franchisee shall [provide for each system served by a single headend:] **make available.**
- [(1)] 1. For each system served by a single headend [which is within a major television market as defined by the Federal Communications Commission in Section 76.51 of its rules and regulations relating to cable television, and as the same may be modified from time to time,] that: is located in the Twin Cities metropolitan area; or is located in a franchise territory having a population of

15,000 or more persons, or serves 3,500 or more subscribers,

- a. The provision shall require that the franchisee shall, to the extent of the system's available channel capacity, [maintain] provide to each of its subscribers who receive all, or any part of, the total services offered on the system, reception on at least one specially designated noncommercial public access channel available for use by the general public on a first come, nondiscriminatory basis; at least one specially designated access channel for use by local educational authorities; at least one specially designated access channel for local government use: and at least one specially designated access channel available for lease on a first come, nondiscriminatory basis by commercial and noncommercial users. The VHF spectrum shall be used for at least one of the specially designated noncommercial public access channels required in this subdivision. The provision shall require that [facilities] no charges shall be made for channel time or playback of prerecorded programming [, personnel and time for use of] on at least one of the specially designated noncommercial public access channels required by this subdivision [shall be provided without charge,] provided, however, that personnel, equipment, and production costs may be assessed for live studio presentations exceeding five minutes in length. Charges for such production costs and any fees for use of other public access channels shall be consistent with the goal of affording the public a low-cost means of television access.
- b. Those systems which offer subscribers the option of receiving programs on one or more special service channels without also receiving the regular subscriber services may comply with this rule by providing the subscribers who receive the special service only at least one specially designated composite access channel composed of the programming on the specially designated noncommercial public access channel, the specially designated educational access channel, and the specially designated local government access channel required in this rule.
- c. On those systems without sufficient available channel capacity to allow for activation of all the specially designated access channels required in this subdivision, or where demand for use of the channels does not warrant activation of all the specially designated access channels required in this subdivision, public, educational, governmental, and leased access channel programming may be combined on one or more cable channels. To the extent time is available therefor, access

- channels may also be used for other broadcast and nonbroadcast services, provided that such services are subject to immediate displacement if there is demand to use the channel for its specially designated purpose. Each such system shall, in any case, provide at least one full channel on the VHF spectrum for shared access programming.
- [(2)] 2. For each system served by a single headend [which is not within a major television market as defined by the Federal Communications Commission in Section 76.51 of its rules and regulations relating to cable television, and as the same may be modified from time to time,] that: is located outside of the Twin Cities metropolitan area; and is located in a franchise territory having a population of fewer than 15,000 persons; and serves fewer than 3,500 subscribers.
- a. The provision shall require that the franchisee shall provide to each of its subscribers who receive all, or any part of, the total services offered on the system, reception on at least one specially designated access channel available for use by the general public on a first come, nondiscriminatory basis. Channel time [for use] and playback of prerecorded programming on this specially designated access channel shall be provided without charge to the general public[.], provided, however, that personnel, equipment, and production costs may be assessed for live studio presentations exceeding five minutes in length. Charges for such production costs shall be consistent with the goal of affording the public a low-cost means of television access. The specially designated access channel may be [utilized] used by local educational authorities and local government on a first come, nondiscriminatory basis during those hours when the channel is not in use by the general public. During those hours that the specially designated access channel is not being used by the general public, local educational authorities, or local government, the franchisee shall lease time [on this specially designated access channel] to commercial or noncommercial users on a first come, nondiscriminatory basis if the demand for such time arises. [and the channel is not being utilized by the general public, local educational authorities and local government users.] The franchisee may also [utilize] use this specially designated access channel for local origination during those hours when the channel is not in use by [either] the general public, local educational [users] authorities, [and] local government, or commercial or noncommercial users who have leased time on this specially designated access channel. The VHF spectrum shall be used for the specially designated access channel required in this subdivision.

- 3. The provision shall require that whenever the specially designated noncommercial public access channel, the specially designated educational access channel, [or] the specially designated local government[al] access channel, or the specially designated leased access channel required in MCCB 121 [(h)(1)] H. 1. a., b., and c. of this rule or the specially designated access channel required in MCCB 121 [(h) (2)] H.2.a., of this Rule [are] is in use during 80 percent of the weekdays (Monday-Friday), for 80 percent of the time during any consecutive 3 hour period for six weeks running, and there is demand for use of an additional channel for the same purpose, the system [will] shall then have six months in which to [make] provide a [the appropriate] new specially designated access channel [available.] for the same purpose, provided that provision of such additional channel or channels shall not require the cable system to install converters. However, nothing in this rule shall be construed so as to preclude the installation of converters by the system on a voluntary basis, or as a result of an agreement arrived at through negotiation between the parties to a franchise, or by a potential access user who wishes to install converters in order to make use of an additional channel or channels.
- 4. The provision shall also require that [for the public access, educational access, the specially designated channel and leased access channels,] the franchisee shall establish rules pertaining to the administration of [those channels.] the specially designated noncommercial public access channel, the specially designated educational access channel, and the specially designated leased access channel required in MCCB 121 H. 1. a., b., and c. of this Rule or the specially designated access channel required in MCCB 121 H.2.a. of this Rule. The rules shall be consistent with the requirements of [Section 76.251 of] the Federal Communications Commission rules and regulations relating to operating rules for access channels. The operating rules established by the franchisee governing the specially designated noncommercial public access channel, the specially designated educational access channel, and the specially designated leased access channel required in MCCB 121 H. 1. a., b., and c. of this Rule or the specially designated access channel required in MCCB 121 H. 2. a., of this Rule shall be filed with the Minnesota Cable Communications Board within 90 days after any such channels are put into use.
- [(i)] I. A provision establishing the minimum equipment that the franchisee shall [provide] make available for public use[:].
- [(1)] 1. For each system served by a single headend [which is within a major television market as defined by the Federal Communications Commission in Section 76.51 of its rules, and as the same may be modified from time to time,] that: is located in the Twin Cities metropolitan area; or is located in a franchise territory having a popu-

lation of 15,000 or more persons; or serves 3,500 or more subscribers.

- a. The provision shall require that the franchisee shall [operate and] make readily available for public use at least the minimal equipment necessary for the production of programming and playback of prerecorded programs for the specially designated noncommercial public access channel required by MCCB 121 [(h) (1)] H. 1.a. of this Rule. The franchisee shall also make readily available, upon need being shown, the minimum equipment necessary to make it possible to record programs at remote locations with battery operated portable equipment. Need within the meaning of this Rule shall be determined by subscriber petition. [A successful petition must meet at least one of the following conditions:
- (1) Signatures of 500 subscribers of the system to a petition attesting to the need; or
- (2) Signatures of at least 10% of the subscribers of the system to a petition attesting to the need with a minimum of 100 signatures.] The petition must contain the signatures of at least 10 percent of the subscribers of the system, but in no case more than 500 nor fewer than 100 signatures.
- [(2)] 2. For each system served by a single headend [which is not within a major television market as defined by the Federal Communications Commission in Section 76.51 of its rules and regulations relating to cable television, and as the same may be modified from time to time,] that: is located outside of the Twin Cities metropolitan area; and is located in a franchise territory having a population of fewer than 15,000 persons; and serves fewer than 3,500 subscribers,
- a. The provision shall require that the franchisee shall [operate and] make readily available for public use, upon need being shown, at least the minimal equipment necessary to perform good quality playback of prerecorded programming, and to make it possible to record programs at remote locations with battery operated portable equipment. Need within the meaning of this Rule shall be determined by subscriber petition. [A successful petition must meet at least one of the following conditions:
- (1) Signatures of 500 subscribers of the system to a petition attesting to the need; or
- (2) Signatures of at least 10% of the subscribers of the system to a petition attesting to the need with a minimum of 100 subscribers.] The petition must contain the signatures of at least 10 percent of the subscribers of the system, but in no case more than 350 nor fewer than 100 signatures.

Note: No changes in MCCB 121 J. through E<sub>1</sub>. are proposed.

[zz6]  $\mathbf{F}_1$ . A provision that the franchise shall cease to be of any force and effect if the franchisee fails to obtain either a regular **certificate of confirmation** or renewal **of a** certificate of confirmation from the [Commission,] Board, provided, however, that the franchisee may operate his cable communications system while the [Commission] Board is considering the application for [a] **the renewal of his** certificate of confirmation.

[zz7]  $G_1$ . A provision establishing how the franchising authority and the cable communications company shall determine who is to bear the cost of any required special testing.

#### Chapter Nine

MCCB 134 [Renewal.] Franchise renewal. When any franchise is renewed, the franchisee shall agree to abide by all rules and rgulations of the [Commission.] Board. No franchise shall be renewed for a period longer than ten years. A franchise is renewed for purposes of these Rules whenever the [municipality] franchising authority awards a subsequent franchise [to a cable communications company after the award of the initial franchise] to the same company or its successor in interest [.] which extends the franchise term beyond its previous termination date.

MCCB 136 Approval of franchise amendments. Any amendment of a provision of a franchise which is within the required content of a franchise prescribed by Chapter Eight of these Rules, except amendments which change monthly subscriber service charges, shall not be effective unless approved by the [Commission.] Board. Such approval shall be given if consonant with the public interest and shall not be unreasonably withheld by the [Commission.] Board.

#### Chapter Twelve

MCCB 166 Interconnection. These rules shall be liberally construed to effectuate the purposes and provisions of Minn. Stat. [1973 Supplement,]§ 238.05, subd. **2(c)**, 2(d), and 12 (1976) [, and Laws 1974, Chapter 506].

MCCB 167. Definitions. As used in this Chapter, the following words and phrases shall have the meanings given them herein unless a different meaning clearly appears in the text.

- [(a)] A. "Interconnection" is the provision of broadband electronic linkage between cable communications systems as defined in Minn. Stat. [1973 Supplement,] § 238.02, subd. 3 (1976), by means of coaxial cable, microwave or other means whereby the electrical impulses of television, radio and other intelligences, either analog or digital, may be interchanged, provided that the term "interconnection" does not include the relaying by coaxial cable, microwave or other means of television broadcast signals intended for redistribution by the cable communications system or systems receiving such signals.
- [(b)] **B.** "Interconnection entity" is an entity involved in the construction and operation of an interconnection system, either cable or microwave, providing interconnection services to cable communications systems as defined by Minn. Stat. [1973 Supplement,] § 238.02, subd. 3 (1976).
- [(c)] C. "Interim interconnection" is the provision of temporary interconnection between two or more existing cable communications systems brought about through the mutual participation of those systems and without the intervention of a separate interconnection entity, as defined in paragraph [(b)] B. of [his] this Rule.
- [(d)] **D.** "Regional channel" is a segment of the electromagnetic spectrum provided by cable communications systems or an interconnection entity operating within the Twin Cities metropolitan area for programming on the standard VHF Channel 6 [reserved for public use].
- [(e)] E. "Regional channel entity" is an entity [recognized] designated by the [Commission] Board for purposes of scheduling the programming and facilitating the use of the regional channel.

MCCB 168 Interim interconnection.

- [(a)] A. In accordance with the provisions of Minn. Stat. [1973 Supplement,] § 238.05, subd. 2 (c) and § 238.06, subd. 5, (1976) [and Laws 1974, Chapter 506] the Board upon suitable showing of [interest and] need, [the Commission will vigorously encourage] may order the interim interconnection between cable communications systems. Before an interim interconnection occurs, the parties designated herein shall submit to the [Commission] Board the information specified herein.
- [(1)] 1. The cable companies involved shall submit the following information:

ing costs;

[(aa)] a. A full schedule of capital costs anticipated for such interconnection;

[(bb)] **b.** A projection of expected operat-

[(cc)] **c.** An identification of the economic effect of such proposed interconnection upon existing cable service.

[(2)] 2. The parties seeking to arrange the interim interconnection shall submit the following information:

[(aa)] **a.** A description of available sources of capital for construction and operating, including programming, of the interconnection system;

[(bb)] **b.** An identification of the uses, with a description of the attendant benefits, of such interconnection.

- [(b)] B. The [Commission] Board may hold a meeting to receive testimony from interested persons concerning the proposed interim interconnection. At least 30 days notice shall be provided to all interested persons. Any cable communications system potentially involved in the interconnection shall carry an appropriate notice of the hearing on its system for at least five consecutive days immediately preceding the hearing. The [Commission] Board may [recognize a request] order interim interconnection incorporating the interconnection plan if it is satisfied from all available evidence that such plan is in the public interest, will be fair both to participating systems and the public and will not impair the ability of any system to deliver other services to subscribers and users. In determining whether to [grant recognition to] order an interim interconnection, [plan,] the [Commission] Board may also consider the extent to which the interim interconnection plan is compatible with the applicable operational objectives contained in MCCB 172. [Such recognition shall be conditioned upon the receipt of all licenses and permits from appropriate agencies necessary for the construction and operation of interim interconnection systems.]
- [(c)] C. In the event that interim interconnection occurs, the [Commission] Board may assume jurisdiction over the provision of such interim interconnection. The [Commission] Board shall have the following responsibilities and duties:
- [(1)] 1. Assisting in the resolution of complaints, disputes or disagreements between subscribers and participating cable communications systems and franchising authorities should the parties not first be able to resolve such disagreements;
  - [(2)] 2. Requiring and reviewing reports regarding

the operation of such interim interconnection as may be deemed appropriate;

- [(3)] 3. Assuring that all tariffs and rules pertinent to the operation of the interim interconnection have been filed with the [Commission] Board.
- [(d)] D. The [Commission] Board shall require interim interconnections within the Twin Cities metropolitan area to provide capacity for two-way transmission on a regional channel. In addition, as usage of the regional channel expands to such point as it is in use during 80 percent of the time between 8:00 a.m. and 10:00 p.m. during any consecutive six-week period, the persons providing interim interconnection shall have two months in which to make an additional channel available for regional channel entity use [.] provided that provision of such additional channel or channels shall not require the cable system to install converters. However, nothing in this rule shall be construed so as to preclude the installation of converters by the system on a voluntary basis, or as a result of an agreement arrived at through negotiation between the parties to a franchise, or by a potential access user who wishes to install converters in order to make use of an additional channel or channels.
- [(e)] E. Nothing contained in this rule shall be applicable to an interim interconnection operational on or before January 1, 1975 for a period of five years beginning January 1, 1975; provided, however, that the [Commission] Board may require substantiation of the date on which an interim interconnection became operational.

MCCB 170 Regional Channel Entity.

- [(a)] A. The [Commission] Board [hereby reserves the prerogative, upon a suitable showing of interest and need, to recognize] upon the activation of the regional channel as defined in MCCB 167, shall designate a regional channel entity for the Twin Cities metropolitan area.
- [(b)] **B.** [Specific recognition of the Twin Cities metropolitan area] **The Board may designate the** regional channel entity [may be extended by the Commission] after the [Commission] **Board** has reviewed and [recognized] **approved** an applicant's qualifications in accordance with the procedures provided hereinafter:
- [(1)] 1. The [Commission] Board may, [at any time,] upon the activation of the regional channel, entertain requests for consideration of the [recognition] designation of a regional channel entity. In the event the [Commission] Board determines [that it desires] to [recognize] designate a regional channel entity, the [Commission] Board shall give public notice of that intention.
  - [(2)] 2. The [Commission] Board shall require

- that all requests for [recognition] designation for the regional channel entity contain a description of the applicant's proposed operation along with such other supporting information as the [Commission] Board may require.
- [(3)] **3.** The [Commission] **Board** shall, in its [recognition] **designation** of an applicant for programming and facilitation of use of the regional channel, consider the following criteria:
- [(aa)] a. the plans for programming including identification of sources, users, and revenues;
- [(bb)] **b.** plans for fostering extended regional participation in existing and expanded regional channel uses;
- [(cc)] c. terms and conditions under which regional channel usage is made available to participants [;] insuring that priority is given to public use of the channel;
- [(dd)] **d.** participatory representation of users in the entity operational structure and the demonstrated identification of such applicants with the regional public interest.
- [(c)] C. The [Commission] **Board** shall consider such applications at a public meeting providing reasonable opportunity for all interested parties to be heard.
- [(d)] **D.** The [Commission] **Board** shall confer [recognition] **designation** on such regional channel entity for a period of three years.
- [(e)] E. Renewal of such [recognition] designation shall be issued only after full [Commission] Board proceedings and shall be for a period specified by the [Commission.] Board. The procedure for obtaining a renewal of such [recognition] designation shall be the same as is herein provided for obtaining the initial [recognition.] designation.
- MCCB 171 Inerconnect entity.
- [(a)] A. No person shall, without prior notification to the [Commission,] Board, construct, install, maintain or operate within the State of Minnesota any equipment or facilities for an interconnection entity and unless such activity complies fully with all standards provided in MCCB 172 and the provisions of this Rule.

- [(1)] 1. The interconnection entities shall be responsible for the establishment and maintenance of facilities and personnel necessary to the provision of interconnection services between cable communications companies and interconnection entities within the State and the provisions of interconnection with interstate telecommunications network as they may develop.
- [(2)] 2. The interconnection entities shall be responsible for the provision of service of such interim interconnection and the acquisition of such interim interconnection equipment as may be of demonstrable benefit to the entities in the provision of their operation, provided the owners of such interim interconnection equipment desire such purchase by the interconnection entities.
- [(3)] 3. An interconnection entity operating in the Twin Cities metropolitan area shall, in addition to other requirements as may be deemed necessary by the [Commission,] Board, assume the responsibility from cable communications companies for providing two-way transmission of a regional channel.
- [(b)] **B.** Before an interconnection entity commences operation, it shall submit to the [Commission] **Board** the following information:
- [(1)] 1. Plans for channel capacity including both immediate and eventual capacity;
- [(2)] **2.** Plans for the interconnection system layout design operation and service area;
- [(3)] **3.** The terms and conditions, including tariffs, under which services are to be provided;
- [(4)] **4.** The time schedule for construction of the entire system including a timetable for acquisition of existing interim interconnection systems;
- [(5)] 5. The entity's qualifications and/or experience in the broadband telecommunications field;
- [(6)] **6.** The operation's pro forma identifying anticipated expenditures and revenues associated with the construction and operation of the proposed system;
- [(7)] **7.** The plans for financing the proposed system;
- [(8)] 8. Descriptions of the equipment used in providing interconnection;
- **KEY:** New rules and material proposed to be added to an existing rule are printed in **boldface**. Material proposed to be deleted from an existing rule is printed in [single brackets]. <u>Underlining</u> indicates additions to proposed rules, while [[double brackets]] indicate matter stricken from proposed rules. Existing material is printed in standard type face.

[(9)] **9.** Such other information as the [Commission] **Board** may deem relevant.

[(c)] C. The [Commission] Board shall hold a meeting to receive testimony from interested persons concerning the operation of any proposed interconnection entity. At least 30 days notice shall be provided to all interested persons by publication at least once in a newspaper of general circulation in each municipality involved in the interconnection. The [Commission] Board may [recognize] approve a request incorporating the plan of operation of any interconnection entity if it is satisfied from all available evidence that [recognition] approval of such plan is in the public interest, will be fair to participating systems and the public and will not impair the ability of any system to deliver services to subscribers and users. The [Commission] **Board** shall issue written findings based on its enunciated standards in determining whether to [recognize] approve an interconnection entity. Such [recognition] approval shall be conditioned upon the receipt of all licenses and permits from appropriate agencies necessary for the construction and operation of any interconnection entity.

#### Chapter Fourteen

MCCB 201 Operator Required to File Reports with [Commission] Board. A copy of the form entitled "Annual Report of Cable Television Systems" (FCC Form 325) and a copy of the form entitled "Cable Television Annual Financial Report" (FCC Form 326) shall be filed annually with the [Commission,] Board, by every cable communications company for each cable communications system operating in the State of Minnesota, substantially at the same time as the reports are filed with the Federal Communications Commission. The [Minnesota State Commission] Board requires an annual report of cable system data from each system operator which is due on the first of May of each year and the Board may require such additional information and supporting documentation to be filed at such time and in such form as the [Commission] Board [shall by resolution determine.] may deem appropriate. ate.

#### Chapter Sixteen

MCCB 225 [Commission] Board procedures.

[(a)] A. Pursuant to the requirements of MCCB 111[(b)] B., a cable service territory may be proposed to the [Commission] Board by a municipality, a group of municipalities in a joint powers agreement, or a cable communications operator applicant. At least 30 days prior to submitting a cable service territory proposal to the [Commission] Board for approval, the party or parties proposing the cable service territory shall deliver written notice of its intentions to propose a cable service territory to the govern-

ing body of each adjacent municipality and may discuss with such officials the feasibility and advisability of developing a cable service territory and the adoption of a procedure to pursue this objective. At substantially the same time as written notice is delivered to the governing bodies of each adjacent municipality, the party(ies) proposing the cable service territory shall publish or cause to be published at least once in a newspaper of general circulation in the proposed territory, a statement indicating the intention of submitting a cable service territory proposal to the [Minnesota Cable Communications Commission] Board.

- [(b)] **B.** All proposals must be in the form of a written application containing the following information: a map showing the boundaries of the cable service territory to be franchised and areas within this territory for which cable communications service is planned, provided that if a cable service territory is proposed which encompasses less than 100% of the area within a municipality or group of municipalities, the [Commission] Board shall require and consider the plans for franchising the remaining unserved area; detailed demographic data; resolutions from the governing bodies of all municipalities contacted by the party or parties proposing the cable service territory stating their approval or disapproval of such a proposal; a statement describing the efforts the party or parties proposing the cable service territory made to contact all adjacent municipalities and the results of such contacts; and any other information which the [Commission] Board may deem necessary.
- [(c)] C. A copy of the proposal shall be submitted simultaneously to the Metropolitan Council or the appropriate regional development commission, the [Commission] **Board** and the governing body of each adjacent municipality.
- [(d)] **D.** The [Metropolitan Council or] appropriate regional development commission shall have 30 days to submit written comment on the proposal to the [Commission,] **Board**, which period of time may be extended by the [Commission] **Board** for another 30 day period for good cause shown. If the proposed boundaries, in whole or part, are within the seven county metropolitan area, the Metropolitan Council shall be allowed 90 days to review and comment on the proposed boundaries. The [Commission] **Board** shall also accept written comment from any other interested persons during [this period.] these periods.
- [(e)] E. The [Commission] Board shall take initial action within sixty days after the thirty day period set forth in [(d)] D. Before taking final action, the [Commission] Board shall hold a public meeting upon reasonable notice and accept oral and written comments.
- [(f)] F. If the [Commission] Board determines not to approve a proposal, it shall specify its reasons for rejec-

tion in a written statement within sixty days of such rejection.

[(g)] G. A proposal rejected by the [Commission] Board

may be introduced with appropriate modifications at any time after such rejection. All reintroduced proposals shall be subject to the same procedures of this Chapter as the original proposal.

## Department of Commerce Banking Division

## Mortgage Interest Rate for the Month of June, 1977

Notice is hereby given that the Banking Division, Department of Commerce, State of Minnesota, pursuant to the Conventional Home Loan Assistance and Protection Act, Laws of 1976, ch. 300, hereby determines that the maximum lawful rate of interest for home mortgages for the month of June, 1977, is nine and one-quarter (9.25) percent.

Robert A. Mampel Commissioner of Banks

#### **Insurance Division**

#### Notice of Intent to Solicit Outside Information on Proposed Rules Governing Uniform Health Insurance Claim Forms

Notice is hereby given that the Department of Commerce, Insurance Division has begun consideration of proposed rules governing uniform health claim forms mandated by Minn. Stat. § 62A.025 (1976). In order to adequately determine the nature and utility of such rules, the Commerce Department, Insurance Division hereby request information and comments from all interested individuals or groups concerning the subject matter of the proposed rules.

All interested or affected persons/or groups are requested to participate. Statements of information and comment may be made orally or in writing. Written statements of information and comment may be addressed to:

Mr. John T. Ingrassia Supervisor, Life and Health Section Insurance Division Department of Commerce 500 Metro Square Building Saint Paul, Minnesota 55101

Oral statements of information and comment will be received during regular business hours over the phone at (612) 296-2202 and in person at the above address.

All statements of information and comment must be received within thirty (30) days of the above date.

The proposed rules, if adopted, would require insurers issuing accident and sickness insurance policies or service

plan corporation subscriber contracts, or state agencies that require health insurance claims for their records to use uniform claim forms.

Berton W. Heaton Commissioner of Insurance

## Department of Labor and Industry Prevailing Wage Division

#### Notice of Prevailing Wage Rates for Highway Construction

Minn. Stat. § 177.44 requires the Commissioner of Labor and Industry to certify at least once a year, the prevailing wage rates for highway construction under contracts based on bids as provided for in Minn. Stat. § 161.32, Title 8, Minnesota Code of Agency Rules, Section 1.8010 (8 MCAR § 1.8010) requires notice of those certifications to be published in the State Register.

On April 29, 1977, the Commissioner certified wage rates for highway construction for all 87 counties in Minnesota.

A copy of the determined wage rates may be obtained by writing to the Department of Labor and Industry, Prevailing Wage Division, 444 Lafayette Road, St. Paul, Minnesota 55101.

A check or money order for \$15.00, payable to the Department of Labor and Industry, must accompany each request to cover the cost of copying and mailing.

## Department of Transportation

#### Notice of Contested Case Hearing Regarding Safety and Traffic Conditions at Duluth, Missabe and Iron Range Railway Company Grade Crossing

It is hereby ordered, and notice is hereby given that a contested case hearing concerning the above-entitled matter will be held on June 6, 1977, at 1:30 P.M. in the Civil Service Conference Room, 5th Floor, St. Louis County Court House, 5th Avenue West at 1st Street, Duluth, Minnesota.

The hearing will be held before Mr. Bernard Singer, a Hearing Examiner appointed by the Chief Hearing Examiner of the State of Minnesota. All parties have the right to be represented by legal counsel or any other representative of their choice throughout the contested case proceeding. The hearing will be conducted pursuant to the contested case procedures set out in Minn. Stat. §§ 15.0411 through 15.052 and Minnesota Code of Agency Rules HE 201 through 222. Questions concerning the issues raised in this Order or concerning informal disposition or discovery may be directed to Special Assistant Attorney General Frederick S. Suhler, Jr., 5th Floor Transportation Building, Saint Paul, Minnesota 55155, (Telephone: 612-296-3257).

The purpose of the hearing is that the County Board of St. Louis County, Minnesota has requested that a public hearing be held to investigate the public safety conditions surrounding two grade crossings of the trackage of the Duluth, Missabe and Iron Range Railway Company and County Road 310 located just west of County State Aid Highway 7 in Section 35, Township 57, Range 18, pursuant to Minn. Stat. §§ 219.84 and 219.39.

The resolution recites that the Town of Clinton has requested the hearing, through the St. Louis County Board, to determine the need for the placement of automatic flashing light signals at said locations.

The resolution maintains that increased rail traffic caused by the expansion of the Eveleth Taconite Company and the construction of large rail yards by the Duluth, Missabe and Iron Range Railway Company will have an adverse effect upon the public's safety.

All parties are advised that if a party intends to appear at the hearing scheduled for June 6, 1977 at 1:30 P.M., the Notice of Appearance form enclosed with this order must be completed and returned to the Hearing Examiner at least 10 days before the hearing date. Should a party fail to appear at the hearing, the allegations made in the resolution may be taken as true.

The above cited procedural rules are available at the Office of Hearing Examiners or may be purchased from the Documents Section of the Department of Administration, 140 Centennial Building, Saint Paul, Minnesota 55155 (Telephone: 612-296-2874). They provide generally for the procedural rights of the parties including: rights to advance notice of witnesses and evidence, right to a pre-hearing conference, rights to present evidence and cross-examine witnesses, and right to purchase a record or transcript. Parties are entitled to issuance of subpoenas to compel witnesses to attend and produce documents and other evidence.

Persons attending the hearing should bring all evidence bearing on the case including any records or other documents. If persons have good reason for requesting a delay of the hearing, the request must be made in writing to the Hearing Examiner at least 5 days prior to the hearing. A copy of the request must be served on the agency and any other parties.

Jim Harrington Commissioner of Transportation

In the matter of the Resolution of the Board of County Commissioners of St. Louis County, Minnesota requesting a hearing regarding the public safety and rail traffic conditions at two Duluth, Missabe and Iron Range Railway Co. grade crossings in Section 35, Township 57, Range 18 and Crossing County Road 310

#### **Notice of Appearance**

Date of Hearing: June 6, 1977

Name and Telephone Number of Hearing Examiner: Bernard Singer 1745 University Avenue Saint Paul, Minnesota 55104 296-8110

To the Hearing Examiner:

You are ac	dvised that t	he party	named	below w	ill appear at
the above he		- •			• •

Name of Party:	_
Address:	_
Telephone Number:	_
Party's Attorney or Other Representative:	_
Signature of Party or Attorney:	_
Date:	

#### Notice of Contested Case Hearing Regarding Termination of Rail Service at Ironton, Minnesota

It is hereby ordered, and notice is hereby given that a contested case hearing concerning the above-entitled matter will be held on June 8, 1977, at 10:00 A.M. in Meeting Room #1, at the Crow Wing County Service Building, 4th Street at Laurel Street, Brainerd, Minnesota.

The hearing will be held before Mr. Bernard Singer, 1745

University Avenue, Saint Paul, Minnesota 55104, (Telephone: 612-296-8113), a Hearing Examiner appointed by the Chief Hearing Examiner of the State of Minnesota. All parties have the right to be represented by legal counsel or any other representative of their choice throughout the contested case proceeding. The hearing will be conducted pursuant to the contested case procedures set out in Minn. Stat. §§ 15.0411 through 15.052 and Minnesota Code of Agency Rules HE 201 through 222. Questions concerning the issues raised in this Order or concerning informal disposition or discovery may be directed to Special Assistant Attorney General Frederick S. Suhler, Jr., 5th Floor Transportation Building, Saint Paul, Minnesota 55155, (Telephone: 612-296-2639).

The purpose of the hearing is to ensure that under the provisions of Minn. Stat. § 219.85 all parties and potential parties of interest are given an opportunity to be heard on the proposed discontinuance of agency service at Ironton, Minnesota.

The petition recites among other matters that the petitioners maintain a joint full-time base agent at Ironton to serve the patrons of both railroads thereat and at the joint blind sidings of Cuyuna, Trommald and Riverton, plus the Burlington Northern blind siding of Deerwood and the Soo Line blind siding of Crosby. Petitioners maintain a freight depot at Ironton for such railroad and public use.

The petition also recites that the petitioners propose to terminate base agency service at Ironton and to relocate the agency to freight depot and headquarter facilities at Deerwood. Thereafter, it is proposed, joint, full-time base agency service will be maintained at Deerwood; and Ironton, Crosby, Cuyuna, Trommald, and Riverton will become blind sidings for the agent at Deerwood.

Further, the petition recites that the freight depot at Ironton will be retained and maintained by Burlington Northern, Inc. for internal railroad use only; that there is no passenger service at either Deerwood or Ironton and no known intention that such will commence in the foreseeable future.

Finally, the petition recites that the quality of rail service to affected patrons will not be diminished and full and adequate freight service to the public will be maintained in satisfaction of the public convenience and necessity.

All parties are advised that if a party intends to appear at the hearing scheduled for June 8, 1977 at 10:00 A.M., the Notice of Appearance form enclosed with this order must be completed and returned to the Hearing Examiner at least 10 days before the hearing date. Should a party fail to appear at the hearing, the allegations made in the petition may be taken as true.

The above cited procedural rules are available at the

Office of Hearing Examiners or may be purchased from the Documents Section of the Department of Administration, 140 Centennial Building, Saint Paul, Minnesota 55155 (Telephone: 612-296-2874). They provide generally for the procedural rights of the parties including: rights to advance notice of witnesses and evidence, right to a prehearing conference, rights to present evidence and cross-examine witnesses, and right to purchase a record or transcript. Parties are entitled to issuance of subpoenas to compel witnesses to attend and produce documents and other evidence.

Persons attending the hearing should bring all evidence bearing on the case including any records or other documents.

If persons have good reason for requesting a delay of the hearing, the request must be made in writing to the Hearing Examiner at least 5 days prior to the hearing. A copy of the request must be served on the agency and any other parties.

Jim Harrington Commissioner of Transportation

#### **Notice of Appearance**

Date of Hearing: June 8, 1977

Name and Telephone Number of Hearing Examiner: Mr. Bernard Singer 1745 University Avenue Saint Paul, Minnesota 55104 296-8110

To the Hearing Examiner:

You are advised that the party named below will appear at the above hearing.

Name of Party:
Address:
Telephone Number:
Party's Attorney or Other Representative:
Signature of Party or Attorney:
Date:

Notice of Contested Case Hearing Regarding the Petition of Burlington Northern, Inc. to Close the Station at Cold Spring, Minnesota

It is hereby ordered, and notice is hereby given that a contested case hearing concerning the above-entitled matter will be held on June 9, 1977, at 10:00 A.M. in the City Council Chambers, City of Cold Spring, 27 Red River Street, Cold Spring, Minnesota 56320.

The hearing will be held before Mr. Bernard Singer, 1745 University Avenue, Saint Paul, Minnesota 55104, (Telephone: 612-296-8119), a Hearing Examiner appointed by the Chief Hearing Examiner of the State of Minnesota. All parties have the right to be represented by legal counsel or any other representative of their choice throughout the contested case proceeding. The hearing will be conducted pursuant to the contested case procedures set out in Minn. Stat. §§ 15.0411 through 15.052 and Minnesota Code of Agency Rules HE 201 through 222. Questions concerning the issues raised in this Order or concerning informal disposition or discovery may be directed to Special Assistant Attorney General Frederick S. Suhler, Jr., 5th Floor, Transportation Building, Saint Paul, Minnesota 55155 (Telephone: 612-296-3257).

The purpose of the hearing is to ensure that under the provisions of Minn. Stat. § 219.85 all parties and potential parties of interest are given an opportunity to be heard on the proposed discontinuance of freight agency service at Cold Spring, Minnesota, and to assign to the Benson "Direct Service Agency" freight service for the cities of Cold Spring, Hawick, Paynesville, Roscoe, Richmond and Rockville, the "blind siding" of Hawick; and to assign to the base agent at St. Cloud the "blind sidings" of Cold Spring, Roscoe, Richmond, Rockville and Paynesville.

The petition recites, among other matters, that the petitioner maintains full-time agency and station service at St. Cloud, which includes therein the "blind sidings" of Rice, Sartell, Sauk Rapids, Reformatory, Clear Lake, Becker, and Clearwater; and that the petitioner presently maintains a Direct Service Agent headquartered at Benson, representing the cities of DeGraff, Murdock, Pennock, Kerkhoven, New London and Spicer.

Further, the petition recites that the depot building at Cold Spring will be retained and maintained for internal railroad use; and that the quality of rail service to affected patrons will not be diminished and adequate freight service to the public will be maintained in satisfaction of the public convenience and necessity.

All parties are advised that if a party intends to appear at the hearing scheduled for June 9, 1977 at 10:00 A.M., the Notice of Appearance form enclosed with this order must be completed and returned to the Hearing Examiner at least 10 days before the hearing date. Should a party fail to appear at the hearing, the allegations made in the petition may be taken as true.

The above cited procedural rules are available at the Office of Hearing Examiners or may be purchased from the Documents Section of the Department of Administration, 140 Centennial Building, Saint Paul, Minnesota 55155 (Telephone: 612-296-2874). They provide generally for the procedural rights of the parties including: rights to advance notice of witnesses and evidence, right to a prehearing conference, rights to present evidence and cross-examine witnesses, and right to purchase a record or transcript. Parties are entitled to issuance of subpoenas to compel witnesses to attend and produce documents and other evidence.

Persons attending the hearing should bring all evidence bearing on the case including any records or other documents.

If persons have good reason for requesting a delay of the hearing, the request must be made in writing to the Hearing Examiner at least 5 days prior to the hearing. A copy of the request must be served on the agency and any other parties.

Jim Harrington Commissioner of Transportation

#### **Notice of Appearance**

Date of Hearing: June 9, 1977

Name and Telephone Number of Hearing Examiner: Bernard Singer 1745 University Avenue Saint Paul, Minnesota 55104 296-8110

To the hearing examiner:

You are advised that the party named below will appear at the above hearing.

Name of Party:	
Address:	
Telephone Number:	
Party's Attorney or Other Representative:	
Signature of Party or Attorney:	

### **EQC MONITOR**=

Minnesota Environmental Quality Council, 550 Cedar Street, St. Paul, MN., (612) 296-2723

#### **Negative Declarations (No EIS)**

The Environmental Assessment Worksheets (EAWs) listed below have been filed with the EQC. These EAWs determined that EISs are not needed on these projects because they are not major actions and do not have the potential for significant environmental effects. No EIS's will be required on these projects unless objections are filed with the EQC by June 15, 1977. MEQC Rule 28B indicates the procedures for filing objections to a Negative Declaration.

#### **Bluffs East/West, Hennepin County**

**Proposer:** Hustad Development Corporation

Responsible Agency: City of Eden Prairie

**Project Description:** 400 acre residential development of approximately 850 dwelling units.

**Project Location:** Eden Prairie, Hennepin County, Parts of Sections 25, 26, 35 and 36, R22W, Twp. 116N.

Copies of the EAW and supporting documentation are on file for public review from 8:00 a.m. to 4:30 p.m. at:

8950 Eden Prairie Rd. Eden Prairie, MN 55343 (612) 941-2262

For further information on this EAW contact Richard Putnam, City of Eden Prairie at the above address and telephone number.

## Woodlane Hills Planned Unit Development, Washington County

Proposer: Woodlane Hills, Inc.

Responsible Agency: City of Woodbury

**Project Description:** Planned unit development of 326 single family lots and approximately 406 multiple units consisting of townhouses/condominiums and duplexes.

**Project Location:** Woodbury, Washington County, Sections 17 and 20, R21W, Twp. 28N.

Copies of the EAW and supporting documentation are on file for public review from 8:00 a.m. to 4:30 p.m. at:

City of Woodbury Municipal Bldg. 2100 Radio Drive Woodbury, MN 55042 (612) 739-5972 For further information on this EAW contact Dwight Picha at the above address and telephone number.

## EIS Preparation Notice Department of Transportation I-35, Duluth

The Minnesota Department of Transportation, District 1, intends to issue a Draft Environmental Impact Statement (EIS) on the proposed construction of a section of a 4-lane controlled access freeway, Interstate 35.

The proposed project constitutes a 1.8 mile section with project limits from Mesaba Avenue to 10th Street East, Duluth, St. Louis County.

Completion of the Draft EIS is anticipated for June, 1977. For further information on this project contact:

Don Olson, Project Manager
Mn. Dept. of Transportation, District 1
1123 Mesaba Avenue
P.O. Box 39
Duluth, Minnesota 55811
(218) 723-4861

Comments on this project should be directed to John Pawlak, DOT, District 1 at the above address, telephone (218) 723-4803.

Final EIS Completion
Northern Pipeline Company's
Proposed Crude Oil Pipeline from
Potoka, Illinois to Pine Bend, Minnesota

The EQC has received the Final Environmental Impact Statement (EIS) on Northern Pipeline Company's (formerly Minnesota Pipeline Company) proposed Potoka, Illinois to Pine Bend, Minnesota crude oil pipeline from the Minnesota Department of Natural Resources (DNR).

The proposed large diameter pipeline would extend 99 miles in Minnesota from the southeast corner of Sec. 33, York Township, Fillmore County to Minnesota Pipeline Company's Pine Bend Terminal, Sec. 34, Twp. 115N, R19W, Rosemount, Dakota County.

For further information on this Final EIS contact:

David Brostrom
Minnesota DNR
Division of Minerals
345 Centennial Office Building
St. Paul, MN 55155
(612) 296-4807

## STATE OF MINNESOTA OFFICE OF THE STATE REGISTER

95 Sherburne, Suite 203 St. Paul, Minnesota 55103 (612) 296-8239

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