| 370.15 | ARTICLE 8 |
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| 370.16 | COMMUNITY SUPPORTS AND BEHAVIORAL HEALTH POLICY |
| 370.17 370.18 | Section 1. Minnesota Statutes 2021 Supplement, section 62A.673, subdivision 2, is amended to read: |
| 370.19 370.20 | Subd. 2. Definitions. (a) For purposes of this section, the terms defined in this subdivision have the meanings given. |
| 370.21 370.22 | (b) "Distant site" means a site at which a health care provider is located while providing health care services or consultations by means of telehealth. |
| 370.25 370.26 370.27 370.28 370.29 370.30 | defined under section 245.462, subdivision 18, or 245.4871, subdivision 27 2451.04, |
| 371.1 | (d) "Health carrier" has the meaning given in section 62A.011, subdivision 2. |
| 371.2 371.3 371.4 371.5 | (e) "Health plan" has the meaning given in section 62A.011, subdivision 3. Health plan includes dental plans as defined in section 62Q.76, subdivision 3, but does not include dental plans that provide indemnity-based benefits, regardless of expenses incurred, and are designed to pay benefits directly to the policy holder. |
| 371.6 371.7 371.8 371.9 | (f) "Originating site" means a site at which a patient is located at the time health care services are provided to the patient by means of telehealth. For purposes of store-and-forward technology, the originating site also means the location at which a health care provider transfers or transmits information to the distant site. |
| | (g) "Store-and-forward technology" means the asynchronous electronic transfer or transmission of a patient's medical information or data from an originating site to a distant site for the purposes of diagnostic and therapeutic assistance in the care of a patient. |
| 371.15 371.16 371.17 371.18 371.19 | (h) "Telehealth" means the delivery of health care services or consultations through the use of real time two-way interactive audio and visual communications to provide or support health care delivery and facilitate the assessment, diagnosis, consultation, treatment, education, and care management of a patient's health care. Telehealth includes the application of secure video conferencing, store-and-forward technology, and synchronous interactions between a patient located at an originating site and a health care provider located at a distant site. Until July 1, 2023, telehealth also includes audio-only communication between a health care provider and a patient in accordance with subdivision 6, paragraph (b). Telehealth does |

| 169.23 | ARTICLE 8 |
|---|---|
| 169.24 | COMMUNITY SUPPORTS AND BEHAVIORAL HEALTH POLICY |
| 169.25 169.26 | Section 1. Minnesota Statutes 2021 Supplement, section 62A.673, subdivision 2, is amended to read: |
| 169.27 169.28 | Subd. 2. Definitions. (a) For purposes of this section, the terms defined in this subdivision have the meanings given. |
| 169.29 169.30 | (b) "Distant site" means a site at which a health care provider is located while providing health care services or consultations by means of telehealth. |
| 170.1 170.2 170.3 170.4 170.5 170.6 170.7 170.8 170.9 | (c) "Health care provider" means a health care professional who is licensed or registered by the state to perform health care services within the provider's scope of practice and in accordance with state law. A health care provider includes a mental health professional as defined under section 245.462, subdivision 18, or 245.4871, subdivision 27 2451.04, subdivision 2; a mental health practitioner as defined under section 245.462, subdivision 17, or 245.4871, subdivision 26 2451.04, subdivision 4; a clinical trainee under section 2451.04, subdivision 6; a treatment coordinator under section 245G.11, subdivision 7; an alcohol and drug counselor under section 245G.11, subdivision 5; and a recovery peer under section 245G.11, subdivision 8. |
| 170.10 | (d) "Health carrier" has the meaning given in section 62A.011, subdivision 2. |
| 170.13 | (e) "Health plan" has the meaning given in section 62A.011, subdivision 3. Health plan includes dental plans as defined in section 62Q.76, subdivision 3, but does not include dental plans that provide indemnity-based benefits, regardless of expenses incurred, and are designed to pay benefits directly to the policy holder. |
| 170.17 | (f) "Originating site" means a site at which a patient is located at the time health care services are provided to the patient by means of telehealth. For purposes of store-and-forward technology, the originating site also means the location at which a health care provider transfers or transmits information to the distant site. |
| 170.19 170.20 170.21 | (g) "Store-and-forward technology" means the asynchronous electronic transfer or transmission of a patient's medical information or data from an originating site to a distant site for the purposes of diagnostic and therapeutic assistance in the care of a patient. |
| 170.24 170.25 170.26 170.27 170.28 | (h) "Telehealth" means the delivery of health care services or consultations through the use of real time two-way interactive audio and visual communications to provide or support health care delivery and facilitate the assessment, diagnosis, consultation, treatment, education, and care management of a patient's health care. Telehealth includes the application of secure video conferencing, store-and-forward technology, and synchronous interactions between a patient located at an originating site and a health care provider located at a distant site. Until July 1, 2023, telehealth also includes audio-only communication between a health care provider and a patient in accordance with subdivision 6, paragraph (b). Telehealth does |

- 371.21 not include communication between health care providers that consists solely of a telephone
- 371.22 conversation, e-mail, or facsimile transmission. Telehealth does not include communication
- 371.23 between a health care provider and a patient that consists solely of an e-mail or facsimile
- 371.24 transmission. Telehealth does not include telemonitoring services as defined in paragraph
- 371.25 (i).
- 371.26 (i) "Telemonitoring services" means the remote monitoring of clinical data related to
- 371.27 the enrollee's vital signs or biometric data by a monitoring device or equipment that transmits
- 371.28 the data electronically to a health care provider for analysis. Telemonitoring is intended to
- 371.29 collect an enrollee's health-related data for the purpose of assisting a health care provider
- 371.30 in assessing and monitoring the enrollee's medical condition or status.
- **EFFECTIVE DATE.** This section is effective July 1, 2022, or upon federal approval,
- 371.32 whichever is later. The commissioner of human services shall notify the revisor of statutes
- when federal approval is obtained.
- 372.1 Sec. 2. Minnesota Statutes 2021 Supplement, section 148F.11, subdivision 1, is amended
- 372.2 to read:
- 372.3 Subdivision 1. Other professionals. (a) Nothing in this chapter prevents members of
- other professions or occupations from performing functions for which they are qualified or
- licensed. This exception includes, but is not limited to: licensed physicians; registered nurses;
- licensed practical nurses; licensed psychologists and licensed psychological practitioners;
- members of the clergy provided such services are provided within the scope of regular
- ministries; American Indian medicine men and women; licensed attorneys; probation officers;
- licensed marriage and family therapists; licensed social workers; social workers employed
- 372.10 by city, county, or state agencies; licensed professional counselors; licensed professional
- 372.11 clinical counselors; licensed school counselors; registered occupational therapists or
- 372.12 occupational therapy assistants; Upper Midwest Indian Council on Addictive Disorders
- 372.13 (UMICAD) certified counselors when providing services to Native American people; city,
- 372.14 county, or state employees when providing assessments or case management under Minnesota
- 372.15 Rules, chapter 9530; and individuals defined in section 256B.0623, subdivision 5, clauses
- 372.16 (1) to (6), staff persons providing co-occurring substance use disorder treatment in adult
- 372.17 mental health rehabilitative programs certified or licensed by the Department of Human
- 372.18 Services under section 245I.23, 256B.0622, or 256B.0623.
- (b) Nothing in this chapter prohibits technicians and resident managers in programs 372.20 licensed by the Department of Human Services from discharging their duties as provided
- 372.21 in Minnesota Rules, chapter 9530.
- (c) Any person who is exempt from licensure under this section must not use a title
- 372.23 incorporating the words "alcohol and drug counselor" or "licensed alcohol and drug
- 372.24 counselor" or otherwise hold himself or herself out to the public by any title or description
- 372.25 stating or implying that he or she is engaged in the practice of alcohol and drug counseling,
- 372.26 or that he or she is licensed to engage in the practice of alcohol and drug counseling, unless
- 372.27 that person is also licensed as an alcohol and drug counselor. Persons engaged in the practice

- 170.30 not include communication between health care providers that consists solely of a telephone
- 170.31 conversation, e-mail, or facsimile transmission. Telehealth does not include communication
- 170.32 between a health care provider and a patient that consists solely of an e-mail or facsimile
- 170.33 transmission. Telehealth does not include telemonitoring services as defined in paragraph
- 170.34 (i).
- 171.1 (i) "Telemonitoring services" means the remote monitoring of clinical data related to
- 171.2 the enrollee's vital signs or biometric data by a monitoring device or equipment that transmits
- the data electronically to a health care provider for analysis. Telemonitoring is intended to
- collect an enrollee's health-related data for the purpose of assisting a health care provider
- in assessing and monitoring the enrollee's medical condition or status.
- 171.6 **EFFECTIVE DATE.** This section is effective July 1, 2022, or upon federal approval,
- whichever is later. The commissioner of human services shall notify the revisor of statutes
- when federal approval is obtained.
- Sec. 2. Minnesota Statutes 2021 Supplement, section 148F.11, subdivision 1, is amended
- 171.10 to read:
- Subdivision 1. Other professionals. (a) Nothing in this chapter prevents members of
- 171.12 other professions or occupations from performing functions for which they are qualified or
- 171.13 licensed. This exception includes, but is not limited to: licensed physicians; registered nurses;
- 171.14 licensed practical nurses; licensed psychologists and licensed psychological practitioners;
- 171.15 members of the clergy provided such services are provided within the scope of regular
- 171.16 ministries; American Indian medicine men and women; licensed attorneys; probation officers;
- 171.17 licensed marriage and family therapists; licensed social workers; social workers employed
- 171.18 by city, county, or state agencies; licensed professional counselors; licensed professional
- 171.19 clinical counselors; licensed school counselors; registered occupational therapists or
- 171.20 occupational therapy assistants; Upper Midwest Indian Council on Addictive Disorders
- 171.21 (UMICAD) certified counselors when providing services to Native American people; city,
- 171.22 county, or state employees when providing assessments or case management under Minnesota 171.23 Rules, chapter 9530; and individuals defined in section 256B.0623, subdivision 5, clauses
- 171.24 (1) to (6), staff persons providing co-occurring substance use disorder treatment in adult
- 171.25 mental health rehabilitative programs certified or licensed by the Department of Human
- 171.26 Services under section 245I.23, 256B.0622, or 256B.0623.
- (b) Nothing in this chapter prohibits technicians and resident managers in programs
- 171.28 licensed by the Department of Human Services from discharging their duties as provided
- 171.29 in Minnesota Rules, chapter 9530.
- (c) Any person who is exempt from licensure under this section must not use a title
- 171.31 incorporating the words "alcohol and drug counselor" or "licensed alcohol and drug
- 171.32 counselor" or otherwise hold himself or herself out to the public by any title or description
- 171.33 stating or implying that he or she is engaged in the practice of alcohol and drug counseling,
- 171.34 or that he or she is licensed to engage in the practice of alcohol and drug counseling, unless
- 172.1 that person is also licensed as an alcohol and drug counselor. Persons engaged in the practice

| | of alcohol and drug counseling are not exempt from the board's jurisdiction solely by the use of one of the titles in paragraph (a). |
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| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 373.1 | Sec. 3. Minnesota Statutes 2020, section 245.462, subdivision 4, is amended to read: |
| 373.2 | Subd. 4. Case management service provider. (a) "Case management service provider" |
| 373.3 | means a case manager or case manager associate employed by the county or other entity |
| 373.4 | authorized by the county board to provide case management services specified in section |
| 373.5 | 245.4711. |
| 373.6 | (b) A case manager must: |
| 373.7 | (1) be skilled in the process of identifying and assessing a wide range of client needs; |
| 373.8 | (2) be knowledgeable about local community resources and how to use those resources |
| 373.9 | for the benefit of the client; |
| 373.10 | (3) be a mental health practitioner as defined in section 2451.04, subdivision 4, or have |
| 373.11 | a bachelor's degree in one of the behavioral sciences or related fields including, but not |
| 373.12 | <u> </u> |
| 373.13 | A case manager who is not a mental health practitioner and who does not have a bachelor's |
| 373.14 | E |
| 373.15 | paragraph (c); and |
| 373.16 | (4) meet the supervision and continuing education requirements described in paragraphs |
| 373.17 | |
| 272 10 | (a) Casa managana without a hashalan's dagnas must meet and of the magningments in |
| 373.18 373.19 | (c) Case managers without a bachelor's degree must meet one of the requirements in clauses (1) to (3): |
| 3/3.17 | |
| 373.20 | (1) have three or four years of experience as a case manager associate as defined in this |
| 373.21 | section; |
| 373.22 | (2) be a registered nurse without a bachelor's degree and have a combination of |
| 373.23 | specialized training in psychiatry and work experience consisting of community interaction |
| 373.24 | and involvement or community discharge planning in a mental health setting totaling three |
| 373.25 | years; or |
| 373.26 | (3) be a person who qualified as a case manager under the 1998 Department of Human |
| 373.27 | Service waiver provision and meet the continuing education and mentoring requirements |
| 373.28 | in this section. |
| 373.29 | (d) A case manager with at least 2,000 hours of supervised experience in the delivery |
| 373.30 | |
| 373.31 | supervision totaling 38 hours per year of which at least one hour per month must be clinical |

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| 172.2 | of alcohol and drug counseling are not exempt from the board's jurisdiction solely | by t | he |
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| 172.3 | use of one of the titles in paragraph (a). | | |

- 172.4 **EFFECTIVE DATE.** This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes
- when federal approval is obtained.

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| 373.32 374.1 | supervision regarding individual service delivery with a case management supervisor. The remaining 26 hours of supervision may be provided by a case manager with two years of |
|-----------------|--|
| 374.2 | experience. Group supervision may not constitute more than one-half of the required |
| 374.3 | supervision hours. Clinical supervision must be documented in the client record. |
| 374.4 | (e) A case manager without 2,000 hours of supervised experience in the delivery of |
| 374.5 | services to adults with mental illness must: |
| 374.6 | (1) receive clinical supervision regarding individual service delivery from a mental |
| 374.7 | health professional at least one hour per week until the requirement of 2,000 hours of |
| 374.8 | experience is met; and |
| 374.9 | (2) complete 40 hours of training approved by the commissioner in case management |
| 374.10 | skills and the characteristics and needs of adults with serious and persistent mental illness. |
| 374.11 | (f) A case manager who is not licensed, registered, or certified by a health-related |
| 374.12 | licensing board must receive 30 hours of continuing education and training in mental illness |
| 374.13 | and mental health services every two years. |
| 374.14 | (g) A case manager associate (CMA) must: |
| 374.15 | (1) work under the direction of a case manager or case management supervisor; |
| 374.16 | (2) be at least 21 years of age; |
| 374.17 | (3) have at least a high school diploma or its equivalent; and |
| 374.18 | (4) meet one of the following criteria: |
| 374.19 | (i) have an associate of arts degree in one of the behavioral sciences or human services; |
| 374.20 | (ii) be a certified peer specialist under section 256B.0615; |
| 374.21 | (iii) be a registered nurse without a bachelor's degree; |
| 374.22 | (iv) within the previous ten years, have three years of life experience with serious and |
| 374.23 | persistent mental illness as defined in subdivision 20; or as a child had severe emotional |
| 374.24 | disturbance as defined in section 245.4871, subdivision 6; or have three years life experience |
| 374.25 | as a primary caregiver to an adult with serious and persistent mental illness within the |
| 374.26 | previous ten years; |
| 374.27 | (v) have 6,000 hours work experience as a nondegreed state hospital technician; or |
| 374.28 | (vi) have at least 6,000 hours of supervised experience in the delivery of services to |
| 374.29 | persons with mental illness. |
| 374.30 | Individuals meeting one of the criteria in items (i) to (v) may qualify as a case manager |
| 374.31 | after four years of supervised work experience as a case manager associate. Individuals |
| 375.1 | meeting the criteria in item (vi) may qualify as a case manager after three years of supervised |
| 375.2 | experience as a case manager associate. |

| 75.3 75.4 | (h) A case management associate must meet the following supervision, mentoring, and continuing education requirements: |
|---|---|
| 75.5 | (1) have 40 hours of preservice training described under paragraph (e), clause (2); |
| 75.6 75.7 | (2) receive at least 40 hours of continuing education in mental illness and mental health services annually; and |
| 75.8 | (3) receive at least five hours of mentoring per week from a case management mentor. |
| 75.9 75.10 75.11 75.12 75.13 75.14 | A "case management mentor" means a qualified, practicing case manager or case management supervisor who teaches or advises and provides intensive training and clinical supervision to one or more case manager associates. Mentoring may occur while providing direct service to consumers in the office or in the field and may be provided to individuals or groups of case manager associates. At least two mentoring hours per week must be individual and face-to-face. |
| 75.15 75.16 | (i) A case management supervisor must meet the criteria for mental health professionals as specified in subdivision 18. |
| 75.17 75.18 75.19 | (j) An immigrant who does not have the qualifications specified in this subdivision may provide case management services to adult immigrants with serious and persistent mental illness who are members of the same ethnic group as the case manager if the person: |
| 75.20 75.21 75.22 | (1) is currently enrolled in and is actively pursuing credits toward the completion of a bachelor's degree in one of the behavioral sciences or a related field including, but not limited to, social work, psychology, or nursing from an accredited college or university; |
| 75.23 | (2) completes 40 hours of training as specified in this subdivision; and |
| 75.24 75.25 | (3) receives clinical supervision at least once a week until the requirements of this subdivision are met. |
| 75.26 75.27 | Sec. 4. Minnesota Statutes 2021 Supplement, section 245.467, subdivision 2, is amended to read: |
| 75.28 75.29 75.30 | Subd. 2. Diagnostic assessment. Providers A provider of services governed by this section must complete a diagnostic assessment of a client according to the standards of section 245I.10, subdivisions 4 to 6. |
| 76.1 76.2 76.3 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |

- Sec. 3. Minnesota Statutes 2021 Supplement, section 245.467, subdivision 2, is amended 172.8 to read:
- Subd. 2. **Diagnostic assessment.** Providers A provider of services governed by this section must complete a diagnostic assessment of a client according to the standards of
- 172.11 section 245I.10, subdivisions 4 to 6.
- 172.12 **EFFECTIVE DATE.** This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained.

| 376.4 376.5 | Sec. 5. Minnesota Statutes 2021 Supplement, section 245.467, subdivision 3, is amended to read: |
|----------------------------|--|
| 376.6 376.7 376.8 | Subd. 3. Individual treatment plans. Providers A provider of services governed by this section must complete an individual treatment plan for a client according to the standards of section 245I.10, subdivisions 7 and 8. |
| 376.9 376.10 376.11 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 376.12 376.13 | Sec. 6. Minnesota Statutes 2021 Supplement, section 245.4871, subdivision 21, is amended to read: |
| | Subd. 21. Individual treatment plan. (a) "Individual treatment plan" means the formulation of planned services that are responsive to the needs and goals of a client. An individual treatment plan must be completed according to section 2451.10, subdivisions 7 and 8. |
| 376.18 376.19 376.20 | (b) A children's residential facility licensed under Minnesota Rules, chapter 2960, is exempt from the requirements of section 2451.10, subdivisions 7 and 8. Instead, the individual treatment plan must: |
| | (1) include a written plan of intervention, treatment, and services for a child with an emotional disturbance that the service provider develops under the clinical supervision of a mental health professional on the basis of a diagnostic assessment; |
| 376.24 | (2) be developed in conjunction with the family unless clinically inappropriate; and |
| 376.25 376.26 376.27 | (3) identify goals and objectives of treatment, treatment strategy, a schedule for accomplishing treatment goals and objectives, and the individuals responsible for providing treatment to the child with an emotional disturbance. |
| 376.28 376.29 376.30 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 377.1 377.2 | Sec. 7. Minnesota Statutes 2021 Supplement, section 245.4876, subdivision 2, is amended to read: |
| 377.3 377.4 | Subd. 2. Diagnostic assessment. Providers A provider of services governed by this section shall must complete a diagnostic assessment of a client according to the standards |
| 377.5 377.6 | of section 245I.10 , subdivisions 4 to 6 . Notwithstanding the required timelines for completing a diagnostic assessment in section 245I.10, a children's residential facility licensed under |
| 377.7 | Minnesota Rules, chapter 2960, that provides mental health services to children must, within |
| 377.8 | ten days of the client's admission: (1) complete the client's diagnostic assessment; or (2) |
| 377.9 377.10 | review and update the client's diagnostic assessment with a summary of the child's current mental health status and service needs if a diagnostic assessment is available that was |

| 172. 172. | Sec. 4. Minnesota Statutes 2021 Supplement, section 245.467, subdivision 3, is amended to read: |
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| | Subd. 3. Individual treatment plans. Providers A provider of services governed by this section must complete an individual treatment plan for a client according to the standards of section 245I.10, subdivisions 7 and 8. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 172. 172. | Sec. 5. Minnesota Statutes 2021 Supplement, section 245.4871, subdivision 21, is amended to read: |
| 172. | Subd. 21. Individual treatment plan. (a) "Individual treatment plan" means the formulation of planned services that are responsive to the needs and goals of a client. An individual treatment plan must be completed according to section 245I.10, subdivisions 7 and 8. |
| 172. 172. 172. | exempt from the requirements of section 245I.10, subdivisions 7 and 8. Instead, the individual |
| 173. 173. 173. | emotional disturbance that the service provider develops under the clinical supervision of |
| 173. | (2) be developed in conjunction with the family unless clinically inappropriate; and |
| 173. 173. 173. | accomplishing treatment goals and objectives, and the individuals responsible for providing |
| 173. 173. 173. | |
| 173. 173. | Sec. 6. Minnesota Statutes 2021 Supplement, section 245.4876, subdivision 2, is amended to read: |
| 173. 173. 173. | section shall must complete a diagnostic assessment of a client according to the standards of section 245I.10, subdivisions 4 to 6. Notwithstanding the required timelines for completing a diagnostic assessment in section 245I.10, a children's residential facility licensed under Minnesota Rules, chapter 2960, that provides mental health services to children must, within |
| 173. | ten days of the client's admission: (1) complete the client's diagnostic assessment; or (2) review and update the client's diagnostic assessment with a summary of the child's current mental health status and service needs if a diagnostic assessment is available that was |

| 377.11 | completed within 180 days preceding admission and the client's mental health status has |
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| 377.12 | not changed markedly since the diagnostic assessment. |
| 377.13 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, |
| 377.14 | whichever is later. The commissioner of human services shall notify the revisor of statutes |
| 377.15 | when federal approval is obtained. |
| 377.16 | Sec. 8. Minnesota Statutes 2021 Supplement, section 245.4876, subdivision 3, is amended |
| 377.17 | to read: |
| 377.18 | Subd. 3. Individual treatment plans. Providers A provider of services governed by |
| 377.19 | |
| 377.20 | standards of section 245I.10, subdivisions 7 and 8. A children's residential facility licensed |
| 377.21 | according to Minnesota Rules, chapter 2960, is exempt from the requirements in section |
| 377.22 | 245I.10, subdivisions 7 and 8. Instead, the facility must involve the child and the child's |
| | family in all phases of developing and implementing the individual treatment plan to the |
| 377.24 | extent appropriate and must review the individual treatment plan every 90 days after intake. |
| 377.25 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, |
| | whichever is later. The commissioner of human services shall notify the revisor of statutes |
| 377.27 | when federal approval is obtained. |
| 377.28 | Sec. 9. Minnesota Statutes 2021 Supplement, section 245.735, subdivision 3, is amended |
| 377.29 | to read: |
| 377.30 | Subd. 3. Certified community behavioral health clinics. (a) The commissioner shall |
| 377.31 | establish a state certification process for certified community behavioral health clinics |
| 377.32 | (CCBHCs) that satisfy all federal requirements necessary for CCBHCs certified under this |
| 377.33 | section to be eligible for reimbursement under medical assistance, without service area |
| 378.1 | limits based on geographic area or region. The commissioner shall consult with CCBHC |
| 378.2 | stakeholders before establishing and implementing changes in the certification process and |
| 378.3 | requirements. Entities that choose to be CCBHCs must: |
| 378.4 | (1) comply with state licensing requirements and other requirements issued by the |
| 378.5 | commissioner; |
| 378.6 | (2) employ or contract for clinic staff who have backgrounds in diverse disciplines, |
| 378.7 | including licensed mental health professionals and licensed alcohol and drug counselors, |
| 378.8 | and staff who are culturally and linguistically trained to meet the needs of the population |
| 378.9 | the clinic serves; |
| 378.10 | (3) ensure that clinic services are available and accessible to individuals and families of |
| 250 11 | |
| 378.11 | all ages and genders and that crisis management services are available 24 hours per day; |

(4) establish fees for clinic services for individuals who are not enrolled in medical

378.13 assistance using a sliding fee scale that ensures that services to patients are not denied or

378.14 limited due to an individual's inability to pay for services;

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| | completed within 180 days preceding admission and the client's mental health status has |
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| 173.22 | not changed markedly since the diagnostic assessment. |
| 173.23 173.24 173.25 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 173.26 173.27 | Sec. 7. Minnesota Statutes 2021 Supplement, section 245.4876, subdivision 3, is amended to read: |
| 173.28 173.29 173.30 173.31 173.32 174.1 174.2 | Subd. 3. Individual treatment plans. Providers A provider of services governed by this section shall must complete an individual treatment plan for a client according to the standards of section 2451.10, subdivisions 7 and 8. A children's residential facility licensed according to Minnesota Rules, chapter 2960, is exempt from the requirements in section 2451.10, subdivisions 7 and 8. Instead, the facility must involve the child and the child's family in all phases of developing and implementing the individual treatment plan to the extent appropriate and must review the individual treatment plan every 90 days after intake. |
| 174.3 174.4 174.5 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 174.6 174.7 | Sec. 8. Minnesota Statutes 2021 Supplement, section 245.735, subdivision 3, is amended to read: |
| 174.13 | Subd. 3. Certified community behavioral health clinics. (a) The commissioner shall establish a state certification process for certified community behavioral health clinics (CCBHCs) that satisfy all federal requirements necessary for CCBHCs certified under this section to be eligible for reimbursement under medical assistance, without service area limits based on geographic area or region. The commissioner shall consult with CCBHC stakeholders before establishing and implementing changes in the certification process and requirements. Entities that choose to be CCBHCs must: |
| 174.15 174.16 | (1) comply with state licensing requirements and other requirements issued by the commissioner; |
| 174.17 174.18 174.19 174.20 | (2) employ or contract for clinic staff who have backgrounds in diverse disciplines, including licensed mental health professionals and licensed alcohol and drug counselors, and staff who are culturally and linguistically trained to meet the needs of the population the clinic serves; |
| 174.21 174.22 | (3) ensure that clinic services are available and accessible to individuals and families of all ages and genders and that crisis management services are available 24 hours per day; |
| 174.23 | (4) establish fees for clinic services for individuals who are not enrolled in medical |

174.24 assistance using a sliding fee scale that ensures that services to patients are not denied or

174.25 limited due to an individual's inability to pay for services;

| | (5) comply with quality assurance reporting requirements and other reporting requirements, including any required reporting of encounter data, clinical outcomes data, and quality data; |
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| 378.20 378.21 378.22 378.23 378.24 378.25 378.26 | (6) provide crisis mental health and substance use services, withdrawal management services, emergency crisis intervention services, and stabilization services through existing mobile crisis services; screening, assessment, and diagnosis services, including risk assessments and level of care determinations; person- and family-centered treatment planning; outpatient mental health and substance use services; targeted case management; psychiatric rehabilitation services; peer support and counselor services and family support services; and intensive community-based mental health services, including mental health services for members of the armed forces and veterans. CCBHCs must directly provide the majority of these services to enrollees, but may coordinate some services with another entity through a collaboration or agreement, pursuant to paragraph (b); |
| 378.30 | (7) provide coordination of care across settings and providers to ensure seamless transitions for individuals being served across the full spectrum of health services, including acute, chronic, and behavioral needs. Care coordination may be accomplished through partnerships or formal contracts with: |
| 379.1 379.2 379.3 | (i) counties, health plans, pharmacists, pharmacies, rural health clinics, federally qualified health centers, inpatient psychiatric facilities, substance use and detoxification facilities, or community-based mental health providers; and |
| 379.4 379.5 379.6 379.7 379.8 | (ii) other community services, supports, and providers, including schools, child welfare agencies, juvenile and criminal justice agencies, Indian health services clinics, tribally licensed health care and mental health facilities, urban Indian health clinics, Department of Veterans Affairs medical centers, outpatient clinics, drop-in centers, acute care hospitals, and hospital outpatient clinics; |
| 379.9 379.10 | (8) be certified as <u>a mental health elinies clinic</u> under section <u>245.69</u> , <u>subdivision 2</u> <u>2451.20</u> ; |
| 379.11 379.12 | (9) comply with standards established by the commissioner relating to CCBHC screenings, assessments, and evaluations; |
| 379.13 | (10) be licensed to provide substance use disorder treatment under chapter 245G; |
| 379.14 379.15 | (11) be certified to provide children's therapeutic services and supports under section 256B.0943; |
| 379.16 379.17 | (12) be certified to provide adult rehabilitative mental health services under section 256B.0623; |
| 379.18 379.19 | (13) be enrolled to provide mental health crisis response services under sections section 256B 0624 and 256B 0944. |

| | (5) comply with quality assurance reporting requirements and other reporting requirements, including any required reporting of encounter data, clinical outcomes data, and quality data; |
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| 174.29 174.30 174.31 174.32 174.33 175.1 175.2 175.3 175.4 175.5 | (6) provide crisis mental health and substance use services, withdrawal management services, emergency crisis intervention services, and stabilization services through existing mobile crisis services; screening, assessment, and diagnosis services, including risk assessments and level of care determinations; person- and family-centered treatment planning outpatient mental health and substance use services; targeted case management; psychiatric rehabilitation services; peer support and counselor services and family support services; and intensive community-based mental health services, including mental health services for members of the armed forces and veterans. CCBHCs must directly provide the majority of these services to enrollees, but may coordinate some services with another entity through a collaboration or agreement, pursuant to paragraph (b); |
| 175.6 175.7 175.8 175.9 | (7) provide coordination of care across settings and providers to ensure seamless transitions for individuals being served across the full spectrum of health services, including acute, chronic, and behavioral needs. Care coordination may be accomplished through partnerships or formal contracts with: |
| | (i) counties, health plans, pharmacists, pharmacies, rural health clinics, federally qualified health centers, inpatient psychiatric facilities, substance use and detoxification facilities, or community-based mental health providers; and |
| 175.15 175.16 | (ii) other community services, supports, and providers, including schools, child welfare agencies, juvenile and criminal justice agencies, Indian health services clinics, tribally licensed health care and mental health facilities, urban Indian health clinics, Department of Veterans Affairs medical centers, outpatient clinics, drop-in centers, acute care hospitals, and hospital outpatient clinics; |
| 175.18 175.19 | (8) be certified as <u>a mental health elinies clinic</u> under section <u>245.69</u> , <u>subdivision 2</u> <u>245I.20</u> ; |
| 175.20 175.21 | (9) comply with standards established by the commissioner relating to CCBHC screenings, assessments, and evaluations; |
| 175.22 | (10) be licensed to provide substance use disorder treatment under chapter 245G; |
| 175.23 175.24 | (11) be certified to provide children's therapeutic services and supports under section 256B.0943; |
| 175.25 175.26 | (12) be certified to provide adult rehabilitative mental health services under section 256B.0623; |
| 175.27 175.28 | (13) be enrolled to provide mental health crisis response services under sections section 256B.0624 and 256B.0944; |

| 379.20 379.21 | (14) be enrolled to provide mental health targeted case management under section 256B.0625, subdivision 20; |
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| 379.22 379.23 | (15) comply with standards relating to mental health case management in Minnesota Rules, parts 9520.0900 to 9520.0926; |
| 379.24 379.25 | (16) provide services that comply with the evidence-based practices described in paragraph (e); and |
| | (17) comply with standards relating to peer services under sections 256B.0615, 256B.0616, and 245G.07, subdivision 1, paragraph (a), clause (5), as applicable when peer services are provided. |
| 379.31 | (b) If a certified CCBHC is unable to provide one or more of the services listed in paragraph (a), clauses (6) to (17), the CCBHC may contract with another entity that has the required authority to provide that service and that meets the following criteria as a designated collaborating organization: |
| 380.1 380.2 | (1) the entity has a formal agreement with the CCBHC to furnish one or more of the services under paragraph (a), clause (6); |
| 380.3 380.4 | (2) the entity provides assurances that it will provide services according to CCBHC service standards and provider requirements; |
| 380.5 380.6 380.7 | (3) the entity agrees that the CCBHC is responsible for coordinating care and has clinical and financial responsibility for the services that the entity provides under the agreement; and |
| 380.8 | (4) the entity meets any additional requirements issued by the commissioner. |
| 380.11 380.12 380.13 380.14 380.15 | (c) Notwithstanding any other law that requires a county contract or other form of county approval for certain services listed in paragraph (a), clause (6), a clinic that otherwise meets CCBHC requirements may receive the prospective payment under section 256B.0625, subdivision 5m, for those services without a county contract or county approval. As part of the certification process in paragraph (a), the commissioner shall require a letter of support from the CCBHC's host county confirming that the CCBHC and the county or counties it serves have an ongoing relationship to facilitate access and continuity of care, especially for individuals who are uninsured or who may go on and off medical assistance. |
| 380.19 380.20 380.21 380.22 380.23 | (d) When the standards listed in paragraph (a) or other applicable standards conflict or address similar issues in duplicative or incompatible ways, the commissioner may grant variances to state requirements if the variances do not conflict with federal requirements for services reimbursed under medical assistance. If standards overlap, the commissioner may substitute all or a part of a licensure or certification that is substantially the same as another licensure or certification. The commissioner shall consult with stakeholders, as described in subdivision 4, before granting variances under this provision. For the CCBHC that is certified but not approved for prospective payment under section 256B.0625, |

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175.29

| 75.29 75.30 | (14) be enrolled to provide mental health targeted case management under section 256B.0625, subdivision 20; |
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| 75.31 75.32 | (15) comply with standards relating to mental health case management in Minnesota Rules, parts 9520.0900 to 9520.0926; |
| 76.1 76.2 | (16) provide services that comply with the evidence-based practices described in paragraph (e); and |
| 76.3 76.4 76.5 | (17) comply with standards relating to peer services under sections 256B.0615, 256B.0616, and 245G.07, subdivision 1, paragraph (a), clause (5), as applicable when peer services are provided. |
| 76.6 76.7 76.8 76.9 | (b) If a certified CCBHC is unable to provide one or more of the services listed in paragraph (a), clauses (6) to (17), the CCBHC may contract with another entity that has the required authority to provide that service and that meets the following criteria as a designated collaborating organization: |
| 76.10 76.11 | (1) the entity has a formal agreement with the CCBHC to furnish one or more of the services under paragraph (a), clause (6); |
| 76.12 76.13 | (2) the entity provides assurances that it will provide services according to CCBHC service standards and provider requirements; |
| 76.14 76.15 76.16 | (3) the entity agrees that the CCBHC is responsible for coordinating care and has clinical and financial responsibility for the services that the entity provides under the agreement; and |
| 76.17 | (4) the entity meets any additional requirements issued by the commissioner. |
| 76.21 76.22 76.23 76.24 | (c) Notwithstanding any other law that requires a county contract or other form of county approval for certain services listed in paragraph (a), clause (6), a clinic that otherwise meets CCBHC requirements may receive the prospective payment under section 256B.0625, subdivision 5m, for those services without a county contract or county approval. As part of the certification process in paragraph (a), the commissioner shall require a letter of support from the CCBHC's host county confirming that the CCBHC and the county or counties it serves have an ongoing relationship to facilitate access and continuity of care, especially for individuals who are uninsured or who may go on and off medical assistance. |
| 76.28 76.29 76.30 76.31 76.32 | (d) When the standards listed in paragraph (a) or other applicable standards conflict or address similar issues in duplicative or incompatible ways, the commissioner may grant variances to state requirements if the variances do not conflict with federal requirements for services reimbursed under medical assistance. If standards overlap, the commissioner may substitute all or a part of a licensure or certification that is substantially the same as another licensure or certification. The commissioner shall consult with stakeholders, as described in subdivision 4, before granting variances under this provision. For the CCBHC that is certified but not approved for prospective payment under section 256B.0625, |

380.25 subdivision 5m, the commissioner may grant a variance under this paragraph if the variance 380.26 does not increase the state share of costs.

- (e) The commissioner shall issue a list of required evidence-based practices to be delivered by CCBHCs, and may also provide a list of recommended evidence-based practices. The commissioner may update the list to reflect advances in outcomes research and medical services for persons living with mental illnesses or substance use disorders. The commissioner shall take into consideration the adequacy of evidence to support the efficacy of the practice, the quality of workforce available, and the current availability of the practice in the state.

 At least 30 days before issuing the initial list and any revisions, the commissioner shall provide stakeholders with an opportunity to comment.
- 381.1 (f) The commissioner shall recertify CCBHCs at least every three years. The
 381.2 commissioner shall establish a process for decertification and shall require corrective action,
 381.3 medical assistance repayment, or decertification of a CCBHC that no longer meets the
 381.4 requirements in this section or that fails to meet the standards provided by the commissioner
 381.5 in the application and certification process.
- 381.6 **EFFECTIVE DATE.** This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained.
- 381.9 Sec. 10. Minnesota Statutes 2021 Supplement, section 245A.03, subdivision 7, is amended 381.10 to read:
- Subd. 7. **Licensing moratorium.** (a) The commissioner shall not issue an initial license for child foster care licensed under Minnesota Rules, parts 2960.3000 to 2960.3340, or adult foster care licensed under Minnesota Rules, parts 9555.5105 to 9555.6265, under this chapter for a physical location that will not be the primary residence of the license holder for the entire period of licensure. If a family child foster care home or family adult foster care home license is issued during this moratorium, and the license holder changes the license holder's primary residence away from the physical location of the foster care license, the commissioner shall revoke the license according to section 245A.07. The commissioner shall not issue an initial license for a community residential setting licensed under chapter 381.20 245D. When approving an exception under this paragraph, the commissioner shall consider the resource need determination process in paragraph (h), the availability of foster care licensed beds in the geographic area in which the licensee seeks to operate, the results of a person's choices during their annual assessment and service plan review, and the recommendation of the local county board. The determination by the commissioner is final and not subject to appeal. Exceptions to the moratorium include:
- 381.26 (1) foster care settings where at least 80 percent of the residents are 55 years of age or 381.27 older;
- 381.28 (2) foster care licenses replacing foster care licenses in existence on May 15, 2009, or 381.29 community residential setting licenses replacing adult foster care licenses in existence on

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subdivision 5m, the commissioner may grant a variance under this paragraph if the variance does not increase the state share of costs.

- 177.3 (e) The commissioner shall issue a list of required evidence-based practices to be
 177.4 delivered by CCBHCs, and may also provide a list of recommended evidence-based practices.
 177.5 The commissioner may update the list to reflect advances in outcomes research and medical
 177.6 services for persons living with mental illnesses or substance use disorders. The commissioner
 177.7 shall take into consideration the adequacy of evidence to support the efficacy of the practice,
 177.8 the quality of workforce available, and the current availability of the practice in the state.
 177.9 At least 30 days before issuing the initial list and any revisions, the commissioner shall
 177.10 provide stakeholders with an opportunity to comment.
- 177.11 (f) The commissioner shall recertify CCBHCs at least every three years. The
 177.12 commissioner shall establish a process for decertification and shall require corrective action,
 177.13 medical assistance repayment, or decertification of a CCBHC that no longer meets the
 177.14 requirements in this section or that fails to meet the standards provided by the commissioner
 177.15 in the application and certification process.
- 177.16 **EFFECTIVE DATE.** This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained.
- 177.19 Sec. 9. Minnesota Statutes 2021 Supplement, section 245A.03, subdivision 7, is amended 177.20 to read:
- Subd. 7. **Licensing moratorium.** (a) The commissioner shall not issue an initial license for child foster care licensed under Minnesota Rules, parts 2960.3000 to 2960.3340, or adult foster care licensed under Minnesota Rules, parts 9555.5105 to 9555.6265, under this chapter for a physical location that will not be the primary residence of the license holder for the entire period of licensure. If a family child foster care home or family adult foster care home license is issued during this moratorium, and the license holder changes the license holder's primary residence away from the physical location of the foster care license, the commissioner shall revoke the license according to section 245A.07. The commissioner shall not issue an initial license for a community residential setting licensed under chapter 245D. When approving an exception under this paragraph, the commissioner shall consider the resource need determination process in paragraph (h), the availability of foster care licensed beds in the geographic area in which the licensee seeks to operate, the results of a person's choices during their annual assessment and service plan review, and the recommendation of the local county board. The determination by the commissioner is final and not subject to appeal. Exceptions to the moratorium include:
- 178.3 (1) foster care settings where at least 80 percent of the residents are 55 years of age or 178.4 older;
- 178.5 (2) foster care licenses replacing foster care licenses in existence on May 15, 2009, or 178.6 community residential setting licenses replacing adult foster care licenses in existence on

| 381.30 381.31 | December 31, 2013, and determined to be needed by the commissioner under paragraph (b); |
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| 381.32 | (3) new foster care licenses or community residential setting licenses determined to be |
| 381.33 | needed by the commissioner under paragraph (b) for the closure of a nursing facility, ICF/DD, |
| 381.34 | or regional treatment center; restructuring of state-operated services that limits the capacity |
| 382.1 | of state-operated facilities; or allowing movement to the community for people who no |
| 382.2 | longer require the level of care provided in state-operated facilities as provided under section |
| 382.3 | 256B.092, subdivision 13, or 256B.49, subdivision 24; |

382.4

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- (4) new foster care licenses or community residential setting licenses determined to be needed by the commissioner under paragraph (b) for persons requiring hospital level care; 382.6 or
- (5) new foster care licenses or community residential setting licenses for people receiving services under chapter 245D and residing in an unlicensed setting before May 1, 2017, and for which a license is required. This exception does not apply to people living in their own home. For purposes of this clause, there is a presumption that a foster care or community residential setting license is required for services provided to three or more people in a dwelling unit when the setting is controlled by the provider. A license holder subject to this exception may rebut the presumption that a license is required by seeking a reconsideration of the commissioner's determination. The commissioner's disposition of a request for reconsideration is final and not subject to appeal under chapter 14. The exception is available 382.16 until June 30, 2018. This exception is available when:
- (i) the person's case manager provided the person with information about the choice of 382.18 service, service provider, and location of service, including in the person's home, to help 382.19 the person make an informed choice; and
- 382.20 (ii) the person's services provided in the licensed foster care or community residential 382.21 setting are less than or equal to the cost of the person's services delivered in the unlicensed setting as determined by the lead agency; or
- 382.23 (6) (5) new foster care licenses or community residential setting licenses for people 382.24 receiving customized living or 24-hour customized living services under the brain injury 382.25 or community access for disability inclusion waiver plans under section 256B.49 and residing 382.26 in the customized living setting before July 1, 2022, for which a license is required. A customized living service provider subject to this exception may rebut the presumption that a license is required by seeking a reconsideration of the commissioner's determination. The 382.29 commissioner's disposition of a request for reconsideration is final and not subject to appeal 382.30 under chapter 14. The exception is available until June 30, 2023. This exception is available 382.31 when:
- (i) the person's customized living services are provided in a customized living service 382.32 setting serving four or fewer people under the brain injury or community access for disability

| 178.7 178.8 | December 31, 2013, and determined to be needed by the commissioner under paragraph (b); |
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| 178.11 178.12 178.13 | (3) new foster care licenses or community residential setting licenses determined to be needed by the commissioner under paragraph (b) for the closure of a nursing facility, ICF/DD, or regional treatment center; restructuring of state-operated services that limits the capacity of state-operated facilities; or allowing movement to the community for people who no longer require the level of care provided in state-operated facilities as provided under section 256B.092, subdivision 13, or 256B.49, subdivision 24; |
| 178.15 178.16 178.17 | (4) new foster care licenses or community residential setting licenses determined to be needed by the commissioner under paragraph (b) for persons requiring hospital level care; or |
| 178.20 178.21 178.22 178.23 178.24 178.25 178.26 | (5) new foster care licenses or community residential setting licenses for people receiving services under chapter 245D and residing in an unlicensed setting before May 1, 2017, and for which a license is required. This exception does not apply to people living in their own home. For purposes of this clause, there is a presumption that a foster care or community residential setting license is required for services provided to three or more people in a dwelling unit when the setting is controlled by the provider. A license holder subject to this exception may rebut the presumption that a license is required by seeking a reconsideration of the commissioner's determination. The commissioner's disposition of a request for reconsideration is final and not subject to appeal under chapter 14. The exception is available until June 30, 2018. This exception is available when: |
| | (i) the person's case manager provided the person with information about the choice of service, service provider, and location of service, including in the person's home, to help the person make an informed choice; and |
| 178.31 178.32 178.33 | (ii) the person's services provided in the licensed foster care or community residential setting are less than or equal to the cost of the person's services delivered in the unlicensed setting as determined by the lead agency; or |
| 179.1 179.2 179.3 179.4 179.5 179.6 179.7 179.8 179.9 | (6) (5) new foster care licenses or community residential setting licenses for people receiving customized living or 24-hour customized living services under the brain injury or community access for disability inclusion waiver plans under section 256B.49 and residing in the customized living setting before July 1, 2022, for which a license is required. A customized living service provider subject to this exception may rebut the presumption that a license is required by seeking a reconsideration of the commissioner's determination. The commissioner's disposition of a request for reconsideration is final and not subject to appeal under chapter 14. The exception is available until June 30, 2023. This exception is available when: |
| 179.10 179.11 | (i) the person's customized living services are provided in a customized living service setting serving four or fewer people under the brain injury or community access for disability |

inclusion waiver plans under section 256B.49 in a single-family home operational on or before June 30, 2021. Operational is defined in section 256B.49, subdivision 28;

- 383.3 (ii) the person's case manager provided the person with information about the choice of 383.4 service, service provider, and location of service, including in the person's home, to help 383.5 the person make an informed choice; and
 - (iii) the person's services provided in the licensed foster care or community residential setting are less than or equal to the cost of the person's services delivered in the customized living setting as determined by the lead agency.
- (b) The commissioner shall determine the need for newly licensed foster care homes or community residential settings as defined under this subdivision. As part of the determination, the commissioner shall consider the availability of foster care capacity in the area in which the licensee seeks to operate, and the recommendation of the local county board. The determination by the commissioner must be final. A determination of need is not required for a change in ownership at the same address.
- 383.15 (c) When an adult resident served by the program moves out of a foster home that is not 383.16 the primary residence of the license holder according to section 256B.49, subdivision 15, 383.17 paragraph (f), or the adult community residential setting, the county shall immediately 383.18 inform the Department of Human Services Licensing Division. The department may decrease 383.19 the statewide licensed capacity for adult foster care settings.
- 383.20 (d) Residential settings that would otherwise be subject to the decreased license capacity 383.21 established in paragraph (c) shall be exempt if the license holder's beds are occupied by 383.22 residents whose primary diagnosis is mental illness and the license holder is certified under 383.23 the requirements in subdivision 6a or section 245D.33.
- (e) A resource need determination process, managed at the state level, using the available reports required by section 144A.351, and other data and information shall be used to determine where the reduced capacity determined under section 256B.493 will be implemented. The commissioner shall consult with the stakeholders described in section 144A.351, and employ a variety of methods to improve the state's capacity to meet the informed decisions of those people who want to move out of corporate foster care or community residential settings, long-term service needs within budgetary limits, including seeking proposals from service providers or lead agencies to change service type, capacity, or location to improve services, increase the independence of residents, and better meet needs identified by the long-term services and supports reports and statewide data and information.

384.1

(f) At the time of application and reapplication for licensure, the applicant and the license holder that are subject to the moratorium or an exclusion established in paragraph (a) are required to inform the commissioner whether the physical location where the foster care will be provided is or will be the primary residence of the license holder for the entire period of licensure. If the primary residence of the applicant or license holder changes, the applicant

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179.12 inclusion waiver plans under section 256B.49 in a single-family home operational on or 179.13 before June 30, 2021. Operational is defined in section 256B.49, subdivision 28;

- 179.14 (ii) the person's case manager provided the person with information about the choice of 179.15 service, service provider, and location of service, including in the person's home, to help 179.16 the person make an informed choice; and
- 179.17 (iii) the person's services provided in the licensed foster care or community residential 179.18 setting are less than or equal to the cost of the person's services delivered in the customized 179.19 living setting as determined by the lead agency.
- (b) The commissioner shall determine the need for newly licensed foster care homes or community residential settings as defined under this subdivision. As part of the determination, the commissioner shall consider the availability of foster care capacity in the area in which the licensee seeks to operate, and the recommendation of the local county board. The determination by the commissioner must be final. A determination of need is not required for a change in ownership at the same address.
- (c) When an adult resident served by the program moves out of a foster home that is not the primary residence of the license holder according to section 256B.49, subdivision 15, paragraph (f), or the adult community residential setting, the county shall immediately inform the Department of Human Services Licensing Division. The department may decrease the statewide licensed capacity for adult foster care settings.
- 179.31 (d) Residential settings that would otherwise be subject to the decreased license capacity 179.32 established in paragraph (c) shall be exempt if the license holder's beds are occupied by 179.33 residents whose primary diagnosis is mental illness and the license holder is certified under 179.34 the requirements in subdivision 6a or section 245D.33.
- 180.1 (e) A resource need determination process, managed at the state level, using the available reports required by section 144A.351, and other data and information shall be used to determine where the reduced capacity determined under section 256B.493 will be implemented. The commissioner shall consult with the stakeholders described in section 144A.351, and employ a variety of methods to improve the state's capacity to meet the informed decisions of those people who want to move out of corporate foster care or community residential settings, long-term service needs within budgetary limits, including seeking proposals from service providers or lead agencies to change service type, capacity, or location to improve services, increase the independence of residents, and better meet needs identified by the long-term services and supports reports and statewide data and information.
- 180.12 (f) At the time of application and reapplication for licensure, the applicant and the license 180.13 holder that are subject to the moratorium or an exclusion established in paragraph (a) are 180.14 required to inform the commissioner whether the physical location where the foster care will be provided is or will be the primary residence of the license holder for the entire period of licensure. If the primary residence of the applicant or license holder changes, the applicant

or license holder must notify the commissioner immediately. The commissioner shall print on the foster care license certificate whether or not the physical location is the primary residence of the license holder.

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- (g) License holders of foster care homes identified under paragraph (f) that are not the 384.10 primary residence of the license holder and that also provide services in the foster care home 384.11 that are covered by a federally approved home and community-based services waiver, as 384.12 authorized under chapter 256S or section 256B.092 or 256B.49, must inform the human 384.13 services licensing division that the license holder provides or intends to provide these 384.14 waiver-funded services.
- (h) The commissioner may adjust capacity to address needs identified in section 384.15 384.16 144A.351. Under this authority, the commissioner may approve new licensed settings or 384.17 delicense existing settings. Delicensing of settings will be accomplished through a process 384.18 identified in section 256B.493. Annually, by August 1, the commissioner shall provide 384.19 information and data on capacity of licensed long-term services and supports, actions taken 384.20 under the subdivision to manage statewide long-term services and supports resources, and 384.21 any recommendations for change to the legislative committees with jurisdiction over the 384.22 health and human services budget.
- 384.23 (i) The commissioner must notify a license holder when its corporate foster care or 384.24 community residential setting licensed beds are reduced under this section. The notice of 384.25 reduction of licensed beds must be in writing and delivered to the license holder by certified 384.26 mail or personal service. The notice must state why the licensed beds are reduced and must 384.27 inform the license holder of its right to request reconsideration by the commissioner. The 384.28 license holder's request for reconsideration must be in writing. If mailed, the request for 384.29 reconsideration must be postmarked and sent to the commissioner within 20 calendar days 384.30 after the license holder's receipt of the notice of reduction of licensed beds. If a request for reconsideration is made by personal service, it must be received by the commissioner within 384.32 20 calendar days after the license holder's receipt of the notice of reduction of licensed beds.
- (j) The commissioner shall not issue an initial license for children's residential treatment 384.34 services licensed under Minnesota Rules, parts 2960.0580 to 2960.0700, under this chapter 384.35 for a program that Centers for Medicare and Medicaid Services would consider an institution for mental diseases. Facilities that serve only private pay clients are exempt from the moratorium described in this paragraph. The commissioner has the authority to manage existing statewide capacity for children's residential treatment services subject to the moratorium under this paragraph and may issue an initial license for such facilities if the initial license would not increase the statewide capacity for children's residential treatment services subject to the moratorium under this paragraph.

EFFECTIVE DATE. This section is effective the day following final enactment.

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180.17 or license holder must notify the commissioner immediately. The commissioner shall print 180.18 on the foster care license certificate whether or not the physical location is the primary 180.19 residence of the license holder.

- (g) License holders of foster care homes identified under paragraph (f) that are not the 180.20 180.21 primary residence of the license holder and that also provide services in the foster care home 180.22 that are covered by a federally approved home and community-based services waiver, as authorized under chapter 256S or section 256B.092 or 256B.49, must inform the human 180.24 services licensing division that the license holder provides or intends to provide these 180.25 waiver-funded services.
- (h) The commissioner may adjust capacity to address needs identified in section 180.26 180.27 144A.351. Under this authority, the commissioner may approve new licensed settings or 180.28 delicense existing settings. Delicensing of settings will be accomplished through a process 180.29 identified in section 256B.493. Annually, by August 1, the commissioner shall provide 180.30 information and data on capacity of licensed long-term services and supports, actions taken 180.31 under the subdivision to manage statewide long-term services and supports resources, and 180.32 any recommendations for change to the legislative committees with jurisdiction over the 180.33 health and human services budget.
- (i) The commissioner must notify a license holder when its corporate foster care or 180.35 community residential setting licensed beds are reduced under this section. The notice of reduction of licensed beds must be in writing and delivered to the license holder by certified mail or personal service. The notice must state why the licensed beds are reduced and must inform the license holder of its right to request reconsideration by the commissioner. The license holder's request for reconsideration must be in writing. If mailed, the request for reconsideration must be postmarked and sent to the commissioner within 20 calendar days after the license holder's receipt of the notice of reduction of licensed beds. If a request for reconsideration is made by personal service, it must be received by the commissioner within 20 calendar days after the license holder's receipt of the notice of reduction of licensed beds.
- (j) The commissioner shall not issue an initial license for children's residential treatment 181.9 181.10 services licensed under Minnesota Rules, parts 2960.0580 to 2960.0700, under this chapter 181.11 for a program that Centers for Medicare and Medicaid Services would consider an institution 181.12 for mental diseases. Facilities that serve only private pay clients are exempt from the 181.13 moratorium described in this paragraph. The commissioner has the authority to manage 181.14 existing statewide capacity for children's residential treatment services subject to the 181.15 moratorium under this paragraph and may issue an initial license for such facilities if the 181.16 initial license would not increase the statewide capacity for children's residential treatment 181.17 services subject to the moratorium under this paragraph.

EFFECTIVE DATE. This section is effective the day following final enactment. 181.18

Sec. 10. Minnesota Statutes 2020, section 245A.11, subdivision 2, is amended to read: 181.20 Subd. 2. **Permitted single-family residential use.** (a) Residential programs with a 181.21 licensed capacity of six or fewer persons shall be considered a permitted single-family 181.22 residential use of property for the purposes of zoning and other land use regulations, except 181.23 that a residential program whose primary purpose is to treat juveniles who have violated 181.24 criminal statutes relating to sex offenses or have been adjudicated delinquent on the basis 181.25 of conduct in violation of criminal statutes relating to sex offenses shall not be considered 181.26 a permitted use. This exception shall not apply to residential programs licensed before July 181.27 1, 1995. Programs otherwise allowed under this subdivision shall not be prohibited by 181.28 operation of restrictive covenants or similar restrictions, regardless of when entered into, 181.29 which cannot be met because of the nature of the licensed program, including provisions 181.30 which require the home's occupants be related, and that the home must be occupied by the 181.31 owner, or similar provisions. 181.32 (b) Unless otherwise provided in any town, municipal, or county zoning regulation, a 181.33 licensed residential program in an intermediate care facility for persons with developmental disabilities with a licensed capacity of seven to eight persons shall be considered a permitted single-family residential use of property for the purposes of zoning and other land use regulations. A town, municipal, or county zoning authority may require a conditional use or special use permit to assure proper maintenance and operation of the residential program. Conditions imposed on the residential program must not be more restrictive than those imposed on other conditional uses or special uses of residential property in the same zones, unless the additional conditions are necessary to protect the health and safety of the persons being served by the program. 182.8 **EFFECTIVE DATE.** This section is effective upon federal approval. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. 182.10 Sec. 11. Minnesota Statutes 2020, section 245A.11, subdivision 2a, is amended to read: Subd. 2a. Adult foster care and community residential setting license capacity. (a) 182.11 182.12 The commissioner shall issue adult foster care and community residential setting licenses 182.13 with a maximum licensed capacity of four beds, including nonstaff roomers and boarders, except that the commissioner may issue a license with a capacity of five up to six beds, 182.15 including roomers and boarders, according to paragraphs (b) to (g) (f). (b) The license holder may have a maximum license capacity of five six if all persons 182.17 in care are age 55 or over and do not have a serious and persistent mental illness or a 182.18 developmental disability. 182.19 (c) The commissioner may grant variances to paragraph (b) to allow a facility with a 182.20 licensed capacity of up to five six persons to admit an individual under the age of 55 if the 182.21 variance complies with section 245A.04, subdivision 9, and approval of the variance is 182.22 recommended by the county in which the licensed facility is located.

| 182.23 | (d) The commissioner may grant variances to paragraph (a) to allow the use of an |
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| 182.24 | additional bed, up to five, for emergency crisis services for a person with serious and |
| 182.25 | persistent mental illness or a developmental disability, regardless of age, if the variance |
| 182.26 | complies with section 245A.04, subdivision 9, and approval of the variance is recommended |
| 182.27 | by the county in which the licensed facility is located. |
| 182.28 | (e) The commissioner may grant a variance to paragraph (b) to allow for the use of an |
| 182.29 | additional bed, up to five six, for respite services, as defined in section 245A.02, for persons |
| 182.30 | with disabilities, regardless of age, if the variance complies with sections 245A.03, |
| 182.31 | subdivision 7, and 245A.04, subdivision 9, and approval of the variance is recommended |
| 182.32 | by the county in which the licensed facility is located. Respite care may be provided under |
| 182.33 | the following conditions: |
| 183.1 | (1) staffing ratios cannot be reduced below the approved level for the individuals being |
| 183.2 | served in the home on a permanent basis; |
| 183.3 | (2) no more than two different individuals can be accepted for respite services in any |
| 183.4 | calendar month and the total respite days may not exceed 120 days per program in any |
| 183.5 | calendar year; |
| 183.6 | (3) the person receiving respite services must have his or her own bedroom, which could |
| 183.7 | be used for alternative purposes when not used as a respite bedroom, and cannot be the |
| 183.8 | room of another person who lives in the facility; and |
| | |
| 183.9 | (4) individuals living in the facility must be notified when the variance is approved. The |
| 183.9 183.10 | (4) individuals living in the facility must be notified when the variance is approved. The provider must give 60 days' notice in writing to the residents and their legal representatives |
| | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two |
| 183.10 183.11 183.12 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice |
| 183.10 183.11 183.12 183.13 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will |
| 183.10 183.11 183.12 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice |
| 183.10 183.11 183.12 183.13 183.14 183.15 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 183.18 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster care or community residential setting beds in homes that are not the primary residence of |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 183.18 183.19 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster care or community residential setting beds in homes that are not the primary residence of the license holder, as identified in a plan submitted to the commissioner by the county, when |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 183.18 183.19 183.20 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster care or community residential setting beds in homes that are not the primary residence of the license holder, as identified in a plan submitted to the commissioner by the county, when the capacity is recommended by the county licensing agency of the county in which the |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 183.18 183.19 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster care or community residential setting beds in homes that are not the primary residence of the license holder, as identified in a plan submitted to the commissioner by the county, when |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 183.18 183.19 183.20 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster care or community residential setting beds in homes that are not the primary residence of the license holder, as identified in a plan submitted to the commissioner by the county, when the capacity is recommended by the county licensing agency of the county in which the |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 183.18 183.19 183.20 183.21 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster care or community residential setting beds in homes that are not the primary residence of the license holder, as identified in a plan submitted to the commissioner by the county, when the capacity is recommended by the county licensing agency of the county in which the facility is located and if the recommendation verifies that: |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 183.18 183.19 183.20 183.21 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster care or community residential setting beds in homes that are not the primary residence of the license holder, as identified in a plan submitted to the commissioner by the county, when the capacity is recommended by the county licensing agency of the county in which the facility is located and if the recommendation verifies that: (1) the facility meets the physical environment requirements in the adult foster care |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 183.18 183.19 183.20 183.21 183.22 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster care or community residential setting beds in homes that are not the primary residence of the license holder, as identified in a plan submitted to the commissioner by the county, when the capacity is recommended by the county licensing agency of the county in which the facility is located and if the recommendation verifies that: (1) the facility meets the physical environment requirements in the adult foster care licensing rule or the community residential settings requirements in chapter 245D; |
| 183.10 183.11 183.12 183.13 183.14 183.15 183.16 183.17 183.18 183.19 183.20 183.21 183.22 183.23 | provider must give 60 days' notice in writing to the residents and their legal representatives prior to accepting the first respite placement. Notice must be given to residents at least two days prior to service initiation, or as soon as the license holder is able if they receive notice of the need for respite less than two days prior to initiation, each time a respite client will be served, unless the requirement for this notice is waived by the resident or legal guardian. (f) The commissioner may issue shall increase the licensed capacity of an adult foster care or community residential setting license with up to a capacity of five six adults if the fifth or sixth bed does not increase the overall statewide capacity of licensed adult foster care or community residential setting beds in homes that are not the primary residence of the license holder, as identified in a plan submitted to the commissioner by the county, when the capacity is recommended by the county licensing agency of the county in which the facility is located and if the recommendation verifies that: (1) the facility meets the physical environment requirements in the adult foster care licensing rule or the community residential settings requirements in chapter 245D; (2) the five-bed or six-bed living arrangement is specified for each resident in the |

| 183.28 183.29 | (iii) individual resident placement agreement under Minnesota Rules, part 9555.5105, subpart 19, if required; and |
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| 183.30 | (3) the license holder obtains written and signed informed consent from each resident |
| 183.31 | or resident's legal representative documenting the resident's informed choice to remain |
| 183.32 | living in the home and that the resident's refusal to consent would not have resulted in |
| 183.33 | service termination ; and |
| 184.1 | (4) the facility was licensed for adult foster care before March 1, 2016. |
| 184.2 | (g) The commissioner shall not issue a new adult foster care license under paragraph (f) |
| 184.3 | after December 31, 2020. The commissioner shall allow a facility with an adult foster care |
| 184.4 | license issued under paragraph (f) before December 31, 2020, to continue with a an increased |
| 184.5 | capacity of five adults if the license holder continues to comply with the requirements in |
| 184.6 | this paragraph (1). |
| 184.7 | EFFECTIVE DATE. This section is effective upon federal approval. The commissioner |
| 184.8 | of human services shall notify the revisor of statutes when federal approval is obtained. |
| 184.9 | Sec. 12. Minnesota Statutes 2020, section 245A.11, is amended by adding a subdivision |
| 184.10 | to read: |
| 184.11 | Subd. 2c. Residential programs in intermediate care facilities; license |
| 184.12 | capacity. Notwithstanding subdivision 4 and section 252.28, subdivision 3, for a licensed |
| 184.13 | residential program in an intermediate care facility for persons with developmental disabilities |
| 184.14 | located in a single-family home and in a town, municipal, or county zoning authority that |
| 184.15 | will permit a licensed capacity of seven or eight persons in a single-family home, the |
| 184.16 | commissioner may increase the licensed capacity of the program to seven or eight if the |
| 184.17 | seventh or eighth bed does not increase the overall statewide capacity in intermediate care |
| 184.18 | facilities for persons with developmental disabilities. If the licensed capacity of a residential |
| 184.19 | program in an intermediate care facility for persons with developmental disabilities is |
| 184.20 | increased under this subdivision, the capacity of the license may remain at the increased |
| 184.21 | number of persons. |
| 184.22 | EFFECTIVE DATE. This section is effective upon federal approval. The commissioner |
| 184.23 | of human services shall notify the revisor of statutes when federal approval is obtained. |
| 184.24 | Sec. 13. Minnesota Statutes 2020, section 245A.19, is amended to read: |
| 184.25 | 245A.19 HIV TRAINING IN CHEMICAL DEPENDENCY SUBSTANCE USE |
| 184.26 | DISORDER TREATMENT PROGRAM. |
| 184.27 | (a) Applicants and license holders for ehemical dependency substance use disorder |
| 184.28 | residential and nonresidential programs must demonstrate compliance with HIV minimum |
| 184.29 | standards prior to before their application being is complete. The HIV minimum standards |
| 184.30 | contained in the HIV-1 Guidelines for chemical dependency substance use disorder treatment |
| 184 31 | and care programs in Minnesota are not subject to rulemaking |

| 385.9 385.10 | 245D.12 INTEGRATED COMMUNITY SUPPORTS; SETTING CAPACITY REPORT. |
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| 385.13 | (a) The license holder providing integrated community support, as defined in section 245D.03, subdivision 1, paragraph (c), clause (8), must submit a setting capacity report to the commissioner to ensure the identified location of service delivery meets the criteria of the home and community-based service requirements as specified in section 256B.492. |
| 385.15 385.16 | (b) The license holder shall provide the setting capacity report on the forms and in the manner prescribed by the commissioner. The report must include: |
| | (1) the address of the multifamily housing building where the license holder delivers integrated community supports and owns, leases, or has a direct or indirect financial relationship with the property owner; |
| 385.20 385.21 | (2) the total number of living units in the multifamily housing building described in clause (1) where integrated community supports are delivered; |
| 385.22 385.23 | (3) the total number of living units in the multifamily housing building described in clause (1), including the living units identified in clause (2); and |

Sec. 11. Minnesota Statutes 2020, section 245D.12, is amended to read:

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| 185.1 | (b) Ninety days after April 29, 1992, The applicant or license holder shall orient all |
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| 185.2 | ehemical dependency substance use disorder treatment staff and clients to the HIV minimum |
| 185.3 | standards. Thereafter, Orientation shall be provided to all staff and clients; within 72 hours |
| 185.4 | of employment or admission to the program. In-service training shall be provided to all staff |
| 185.5 | on at least an annual basis and the license holder shall maintain records of training and |
| 185.6 | attendance. |
| 185.7 | (c) The license holder shall maintain a list of referral sources for the purpose of making |
| 185.8 | necessary referrals of clients to HIV-related services. The list of referral services shall be |
| 185.9 | updated at least annually. |
| 185.10 | (d) Written policies and precedures, consistent with UIV minimum standards, shall be |
| 185.10 | (d) Written policies and procedures, consistent with HIV minimum standards, shall be developed and followed by the license holder. All policies and procedures concerning HIV |
| 185.12 | minimum standards shall be approved by the commissioner. The commissioner shall provide |
| 185.12 | training on HIV minimum standards to applicants must outline the content required for the |
| 185.14 | annual staff training under paragraph (b). |
| 105.17 | amudi stan training under paragraph (0). |
| 185.15 | (e) The commissioner may permit variances from the requirements in this section. License |
| 185.16 | holders seeking variances must follow the procedures in section 245A.04, subdivision 9. |
| | SEC. 14. MINNESOTA STATUTES 2020, SECTION 245D.10, SUBDIVISION 3A, AMENDMENT MOVED TO MATCH UES4410-2, ARTICLE 9, SECTION 2. |
| 189.1 | Sec. 15. Minnesota Statutes 2020, section 245D.12, is amended to read: |
| 189.2 189.3 | 245D.12 INTEGRATED COMMUNITY SUPPORTS; SETTING CAPACITY REPORT. |
| 189.4 189.5 189.6 189.7 | (a) The license holder providing integrated community support, as defined in section 245D.03, subdivision 1, paragraph (c), clause (8), must submit a setting capacity report to the commissioner to ensure the identified location of service delivery meets the criteria of the home and community-based service requirements as specified in section 256B.492. |
| 189.8 189.9 | (b) The license holder shall provide the setting capacity report on the forms and in the manner prescribed by the commissioner. The report must include: |
| | (1) the address of the multifamily housing building where the license holder delivers |
| 189.12 | integrated community supports and owns, leases, or has a direct or indirect financial relationship with the property owner; |
| 189.13 | |

| 85.24 | (4) the total number of people who could reside in the living units in the multifamily |
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| 85.25 | housing building described in clause (2) and receive integrated community supports; and |
| 85.26 85.27 | (4) (5) the percentage of living units that are controlled by the license holder in the multifamily housing building by dividing clause (2) by clause (3). |
| 85.28 85.29 | (c) Only one license holder may deliver integrated community supports at the address of the multifamily housing building. |
| 85.30 | EFFECTIVE DATE. This section is effective the day following final enactment. |
| | |

| 189.17 189.18 | (4) the total number of people who could reside in the living units in the multifamily housing building described in clause (2) and receive integrated community supports; and |
|--|--|
| 189.19 189.20 | $\frac{(4)}{(5)}$ the percentage of living units that are controlled by the license holder in the multifamily housing building by dividing clause (2) by clause (3). |
| 189.21 189.22 | (c) Only one license holder may deliver integrated community supports at the address of the multifamily housing building. |
| 189.23 | EFFECTIVE DATE. This section is effective the day following final enactment. |
| 189.24 | Sec. 16. Minnesota Statutes 2020, section 245F.04, subdivision 1, is amended to read: |
| 189.25 189.26 189.27 189.28 189.29 189.30 189.31 189.32 | Subdivision 1. General application and license requirements. An applicant for licensure as a clinically managed withdrawal management program or medically monitored withdrawal management program must meet the following requirements, except where otherwise noted. All programs must comply with federal requirements and the general requirements in sections 626.557 and 626.5572 and chapters 245A, 245C, and 260E. A withdrawal management program must be located in a hospital licensed under sections 144.50 to 144.581, or must be a supervised living facility with a class <u>A or B</u> license from the Department of Health under Minnesota Rules, parts 4665.0100 to 4665.9900. Sec. 17. Minnesota Statutes 2020, section 245G.01, is amended by adding a subdivision to read: |
| 190.2 190.3 190.4 190.5 190.6 190.7 | Subd. 13b. Guest speaker. "Guest speaker" means an individual who works under the direct observation of the license holder to present to clients on topics in which the guest speaker has expertise and that the license holder has determined to be beneficial to a client's recovery. Tribally licensed programs have autonomy to identify the qualifications of their guest speakers. |
| 190.8 | Sec. 18. Minnesota Statutes 2020, section 245G.12, is amended to read: |
| 190.9 | 245G.12 PROVIDER POLICIES AND PROCEDURES. |
| 190.10 190.11 190.12 190.13 190.14 | A license holder must develop a written policies and procedures manual, indexed according to section 245A.04, subdivision 14, paragraph (c), that provides staff members immediate access to all policies and procedures and provides a client and other authorized parties access to all policies and procedures. The manual must contain the following materials: |
| 190.15 190.16 190.17 | (1) assessment and treatment planning policies, including screening for mental health concerns and treatment objectives related to the client's identified mental health concerns in the client's treatment plan; |
| 190.18 | (2) policies and procedures regarding HIV according to section 245A.19: |

| 300.2 | to read. |
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| 386.3 | Subd. 19. Level of care assessment. "Level of care assessment" means the level of care |
| 386.4 | decision support tool appropriate to the client's age. For a client five years of age or younger, |
| 386.5 | a level of care assessment is the Early Childhood Service Intensity Instrument (ESCII). For |
| 386.6 | a client six to 17 years of age, a level of care assessment is the Child and Adolescent Service |
| 386.7 | Intensity Instrument (CASII). For a client 18 years of age or older, a level of care assessment |
| 386.8 | is the Level of Care Utilization System for Psychiatric and Addiction Services (LOCUS) |
| 386.9 | or another tool authorized by the commissioner. |
| 386.10 | Sec. 13. Minnesota Statutes 2021 Supplement, section 2451.02, subdivision 36, is amended |
| 386.11 | to read: |
| 386.12 | Subd. 36. Staff person. "Staff person" means an individual who works under a license |
| | * * |
| 386.13 | holder's direction or under a contract with a license holder. Staff person includes an intern, |
| 386.14 | consultant, contractor, individual who works part-time, and an individual who does not |
| 386.15 | provide direct contact services to clients but does have physical access to clients. Staff |
| 386.16 | person includes a volunteer who provides treatment services to a client or a volunteer whom |

Sec. 12. Minnesota Statutes 2021 Supplement, section 245I.02, subdivision 19, is amended

| 90.19 90.20 90.21 | (3) the license holder's methods and resources to provide information on tuberculosis and tuberculosis screening to each client and to report a known tuberculosis infection according to section 144.4804; |
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| 90.21 | (4) personnel policies according to section 245G.13; |
| 90.23 | (5) policies and procedures that protect a client's rights according to section 245G.15; |
| 90.24 | (6) a medical services plan according to section 245G.08; |
| 90.25 | (7) emergency procedures according to section 245G.16; |
| 90.26 | (8) policies and procedures for maintaining client records according to section 245G.09; |
| 90.27 90.28 | (9) procedures for reporting the maltreatment of minors according to chapter 260E, and vulnerable adults according to sections 245A.65, 626.557, and 626.5572; |
| 90.29 90.30 90.31 91.1 91.2 | (10) a description of treatment services that: (i) includes the amount and type of services provided; (ii) identifies which services meet the definition of group counseling under section 245G.01, subdivision 13a; and (iii) identifies which groups and topics on which a guest speaker could provide services under the direct observation of a licensed alcohol and drug counselor; and (iv) defines the program's treatment week; |
| 91.3 | (11) the methods used to achieve desired client outcomes; |
| 91.4 | (12) the hours of operation; and |
| 91.5 | (13) the target population served. |
| 91.6 | Sec. 19. Minnesota Statutes 2021 Supplement, section 245I.02, subdivision 19, is amended |
| 91.7 | to read: |
| 91.8 91.9 91.10 91.11 91.12 91.13 | to read: Subd. 19. Level of care assessment. "Level of care assessment" means the level of care decision support tool appropriate to the client's age. For a client five years of age or younger, a level of care assessment is the Early Childhood Service Intensity Instrument (ESCII). For a client six to 17 years of age, a level of care assessment is the Child and Adolescent Service Intensity Instrument (CASII). For a client 18 years of age or older, a level of care assessment is the Level of Care Utilization System for Psychiatric and Addiction Services (LOCUS) or another tool authorized by the commissioner. |
| 91.8 91.9 91.10 91.11 91.12 91.13 91.14 | Subd. 19. Level of care assessment. "Level of care assessment" means the level of care decision support tool appropriate to the client's age. For a client five years of age or younger, a level of care assessment is the Early Childhood Service Intensity Instrument (ESCII). For a client six to 17 years of age, a level of care assessment is the Child and Adolescent Service Intensity Instrument (CASII). For a client 18 years of age or older, a level of care assessment is the Level of Care Utilization System for Psychiatric and Addiction Services (LOCUS) |

| | the license holder regards as a staff person for the purpose of meeting staffing or service delivery requirements. A staff person must be 18 years of age or older. |
|---------------------------|--|
| 386.19 386.20 | Sec. 14. Minnesota Statutes 2021 Supplement, section 245I.03, subdivision 9, is amended to read: |
| 386.23 | Subd. 9. Volunteers. A If a license holder uses volunteers, the license holder must have policies and procedures for using volunteers, including when a the license holder must submit a background study for a volunteer, and the specific tasks that a volunteer may perform. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 386.28 386.29 | Sec. 15. Minnesota Statutes 2021 Supplement, section 2451.04, subdivision 4, is amended to read: |
| | Subd. 4. Mental health practitioner qualifications. (a) An individual who is qualified in at least one of the ways described in paragraph (b) to (d) may serve as a mental health practitioner. |
| 387.1 387.2 387.3 | (b) An individual is qualified as a mental health practitioner through relevant coursewor if the individual completes at least 30 semester hours or 45 quarter hours in behavioral sciences or related fields and: |
| 387.4 | (1) has at least 2,000 hours of experience providing services to individuals with: |
| 387.5 | (i) a mental illness or a substance use disorder; or |
| 387.6 387.7 387.8 | (ii) a traumatic brain injury or a developmental disability, and completes the additional training described in section 2451.05, subdivision 3, paragraph (c), before providing direct contact services to a client; |
| 387.9 387.10 387.11 | (2) is fluent in the non-English language of the ethnic group to which at least 50 percent of the individual's clients belong, and completes the additional training described in section 245I.05, subdivision 3, paragraph (c), before providing direct contact services to a client; |
| 387.12 387.13 | (3) is working in a day treatment program under section 256B.0671, subdivision 3, or 256B.0943; $\frac{1}{9}$ |
| 387.14 387.15 | (4) has completed a practicum or internship that (i) required direct interaction with adult clients or child clients, and (ii) was focused on behavioral sciences or related fields; or |
| 387.16 387.17 | (5) is in the process of completing a practicum or internship as part of a formal undergraduate or graduate training program in social work, psychology, or counseling. |
| 387.18 387.19 | (c) An individual is qualified as a mental health practitioner through work experience if the individual: |

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| | the license holder regards as a staff person for the purpose of meeting staffing or service delivery requirements. A staff person must be 18 years of age or older. |
|-------------------------|--|
| 191.24 191.25 | Sec. 21. Minnesota Statutes 2021 Supplement, section 245I.03, subdivision 9, is amended to read: |
| 191.28 | Subd. 9. Volunteers. A If a license holder uses volunteers, the license holder must have policies and procedures for using volunteers, including when a the license holder must submit a background study for a volunteer, and the specific tasks that a volunteer may perform. |
| 192.1 192.2 192.3 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 192.4 192.5 | Sec. 22. Minnesota Statutes 2021 Supplement, section 245I.04, subdivision 4, is amended to read: |
| 192.6 192.7 192.8 | Subd. 4. Mental health practitioner qualifications. (a) An individual who is qualified in at least one of the ways described in paragraph (b) to (d) may serve as a mental health practitioner. |
| | (b) An individual is qualified as a mental health practitioner through relevant coursework if the individual completes at least 30 semester hours or 45 quarter hours in behavioral sciences or related fields and: |
| 192.12 | (1) has at least 2,000 hours of experience providing services to individuals with: |
| 192.13 | (i) a mental illness or a substance use disorder; or |
| | (ii) a traumatic brain injury or a developmental disability, and completes the additional training described in section 245I.05, subdivision 3, paragraph (c), before providing direct contact services to a client; |
| | (2) is fluent in the non-English language of the ethnic group to which at least 50 percent of the individual's clients belong, and completes the additional training described in section 245I.05, subdivision 3, paragraph (c), before providing direct contact services to a client; |
| 192.20 192.21 | (3) is working in a day treatment program under section 256B.0671, subdivision 3, or 256B.0943; $\frac{1}{9}$ |
| 192.22 192.23 | (4) has completed a practicum or internship that (i) required direct interaction with adult clients or child clients, and (ii) was focused on behavioral sciences or related fields: or |
| 192.24 192.25 | (5) is in the process of completing a practicum or internship as part of a formal undergraduate or graduate training program in social work, psychology, or counseling. |

(c) An individual is qualified as a mental health practitioner through work experience

192.26

192.27 if the individual:

| 387.20 | (1) has at least 4,000 hours of experience in the derivery of services to individuals with: |
|----------------------------|---|
| 387.21 | (i) a mental illness or a substance use disorder; or |
| 387.22 387.23 387.24 | (ii) a traumatic brain injury or a developmental disability, and completes the additional training described in section 245I.05, subdivision 3, paragraph (c), before providing direct contact services to clients; or |
| 387.25 387.26 387.27 | (2) receives treatment supervision at least once per week until meeting the requirement in clause (1) of 4,000 hours of experience and has at least 2,000 hours of experience providing services to individuals with: |
| 387.28 | (i) a mental illness or a substance use disorder; or |
| 387.29 387.30 387.31 | (ii) a traumatic brain injury or a developmental disability, and completes the additional training described in section 245I.05, subdivision 3, paragraph (c), before providing direct contact services to clients. |
| 388.1 388.2 | (d) An individual is qualified as a mental health practitioner if the individual has a master's or other graduate degree in behavioral sciences or related fields. |
| 388.3 388.4 388.5 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 388.6 388.7 | Sec. 16. Minnesota Statutes 2021 Supplement, section 245I.05, subdivision 3, is amended to read: |
| 388.8 | Subd. 3. Initial training. (a) A staff person must receive training about: |
| 388.9 | (1) vulnerable adult maltreatment under section 245A.65, subdivision 3; and |
| 388.10 388.11 | (2) the maltreatment of minor reporting requirements and definitions in chapter 260E within 72 hours of first providing direct contact services to a client. |
| 388.12 388.13 | (b) Before providing direct contact services to a client, a staff person must receive training about: |
| 388.14 | (1) client rights and protections under section 245I.12; |
| 388.15 388.16 | (2) the Minnesota Health Records Act, including client confidentiality, family engagement under section 144.294, and client privacy; |
| 388.17 388.18 | (3) emergency procedures that the staff person must follow when responding to a fire, inclement weather, a report of a missing person, and a behavioral or medical emergency; |
| 388.19 388.20 | (4) specific activities and job functions for which the staff person is responsible, including the license holder's program policies and procedures applicable to the staff person's position; |
| 388.21 | (5) professional boundaries that the staff person must maintain; and |

| 192.28 | (1) has at least 4,000 hours of experience in the delivery of services to individuals with: |
|--------------------------|---|
| 192.29 | (i) a mental illness or a substance use disorder; or |
| 193.1 193.2 193.3 | (ii) a traumatic brain injury or a developmental disability, and completes the additional training described in section 245I.05, subdivision 3, paragraph (c), before providing direct contact services to clients; or |
| 193.4 193.5 193.6 | (2) receives treatment supervision at least once per week until meeting the requirement in clause (1) of 4,000 hours of experience and has at least 2,000 hours of experience providing services to individuals with: |
| 193.7 | (i) a mental illness or a substance use disorder; or |
| 193.8 193.9 193.10 | (ii) a traumatic brain injury or a developmental disability, and completes the additional training described in section 245I.05, subdivision 3, paragraph (c), before providing direct contact services to clients. |
| 193.11 193.12 | (d) An individual is qualified as a mental health practitioner if the individual has a master's or other graduate degree in behavioral sciences or related fields. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 193.16 193.17 | Sec. 23. Minnesota Statutes 2021 Supplement, section 245I.05, subdivision 3, is amended to read: |
| 193.18 | Subd. 3. Initial training. (a) A staff person must receive training about: |
| 193.19 | (1) vulnerable adult maltreatment under section 245A.65, subdivision 3; and |
| 193.20 193.21 | (2) the maltreatment of minor reporting requirements and definitions in chapter 260E within 72 hours of first providing direct contact services to a client. |
| 193.22 193.23 | (b) Before providing direct contact services to a client, a staff person must receive training about: |
| 193.24 | (1) client rights and protections under section 245I.12; |
| 193.25 193.26 | (2) the Minnesota Health Records Act, including client confidentiality, family engagemen under section 144.294, and client privacy; |
| 193.27 193.28 | (3) emergency procedures that the staff person must follow when responding to a fire, inclement weather, a report of a missing person, and a behavioral or medical emergency; |
| 193.29 193.30 | (4) specific activities and job functions for which the staff person is responsible, including the license holder's program policies and procedures applicable to the staff person's position; |
| 193.31 | (5) professional boundaries that the staff person must maintain; and |

| | (6) specific needs of each client to whom the staff person will be providing direct contact services, including each client's developmental status, cognitive functioning, and physical and mental abilities. |
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| 388.27 | (c) Before providing direct contact services to a client, a mental health rehabilitation worker, mental health behavioral aide, or mental health practitioner qualified under required to receive the training according to section 245I.04, subdivision 4, must receive 30 hours of training about: |
| 388.29 | (1) mental illnesses; |
| 388.30 | (2) client recovery and resiliency; |
| 389.1 | (3) mental health de-escalation techniques; |
| 389.2 | (4) co-occurring mental illness and substance use disorders; and |
| 389.3 | (5) psychotropic medications and medication side effects. |
| 389.4 389.5 389.6 | (d) Within 90 days of first providing direct contact services to an adult client, a clinical trainee, mental health practitioner, mental health certified peer specialist, or mental health rehabilitation worker must receive training about: |
| 389.7 | (1) trauma-informed care and secondary trauma; |
| 389.8 389.9 | (2) person-centered individual treatment plans, including seeking partnerships with family and other natural supports; |
| 389.10 | (3) co-occurring substance use disorders; and |
| 389.11 | (4) culturally responsive treatment practices. |
| 389.14 389.15 389.16 | (e) Within 90 days of first providing direct contact services to a child client, a clinical trainee, mental health practitioner, mental health certified family peer specialist, mental health certified peer specialist, or mental health behavioral aide must receive training about the topics in clauses (1) to (5). This training must address the developmental characteristics of each child served by the license holder and address the needs of each child in the context of the child's family, support system, and culture. Training topics must include: |
| 389.18 389.19 | (1) trauma-informed care and secondary trauma, including adverse childhood experiences (ACEs); |
| 389.20 389.21 | (2) family-centered treatment plan development, including seeking partnership with a child client's family and other natural supports; |
| 389.22 | (3) mental illness and co-occurring substance use disorders in family systems; |
| 389.23 | (4) culturally responsive treatment practices; and |
| 389.24 | (5) child development, including cognitive functioning, and physical and mental abilities. |

| 194.1 194.2 194.3 | (6) specific needs of each client to whom the staff person will be providing direct contact services, including each client's developmental status, cognitive functioning, and physical and mental abilities. |
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| 194.4 194.5 194.6 194.7 | (c) Before providing direct contact services to a client, a mental health rehabilitation worker, mental health behavioral aide, or mental health practitioner qualified under required to receive the training according to section 245I.04, subdivision 4, must receive 30 hours of training about: |
| 194.8 | (1) mental illnesses; |
| 194.9 | (2) client recovery and resiliency; |
| 194.10 | (3) mental health de-escalation techniques; |
| 194.11 | (4) co-occurring mental illness and substance use disorders; and |
| 194.12 | (5) psychotropic medications and medication side effects. |
| | (d) Within 90 days of first providing direct contact services to an adult client, a clinical trainee, mental health practitioner, mental health certified peer specialist, or mental health rehabilitation worker must receive training about: |
| 194.16 | (1) trauma-informed care and secondary trauma; |
| 194.17 194.18 | (2) person-centered individual treatment plans, including seeking partnerships with family and other natural supports; |
| 194.19 | (3) co-occurring substance use disorders; and |
| 194.20 | (4) culturally responsive treatment practices. |
| 194.23 194.24 194.25 | (e) Within 90 days of first providing direct contact services to a child client, a clinical trainee, mental health practitioner, mental health certified family peer specialist, mental health certified peer specialist, or mental health behavioral aide must receive training about the topics in clauses (1) to (5). This training must address the developmental characteristics of each child served by the license holder and address the needs of each child in the context of the child's family, support system, and culture. Training topics must include: |
| 194.27 194.28 | (1) trauma-informed care and secondary trauma, including adverse childhood experiences (ACEs); |
| 194.29 194.30 | (2) family-centered treatment plan development, including seeking partnership with a child client's family and other natural supports; |
| 194.31 | (3) mental illness and co-occurring substance use disorders in family systems; |
| 195.1 | (4) culturally responsive treatment practices; and |
| 195.2 | (5) child development, including cognitive functioning, and physical and mental abilities. |

| 389.25 389.26 | (f) For a mental health behavioral aide, the training under paragraph (e) must include parent team training using a curriculum approved by the commissioner. |
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| 389.27 389.28 389.29 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 390.1 390.2 | Sec. 17. Minnesota Statutes 2021 Supplement, section 245I.08, subdivision 4, is amended to read: |
| 390.3 390.4 390.5 | Subd. 4. Progress notes. A license holder must use a progress note to document each occurrence of a mental health service that a staff person provides to a client. A progress note must include the following: |
| 390.6 | (1) the type of service; |
| 390.7 | (2) the date of service; |
| 390.8 390.9 | (3) the start and stop time of the service unless the license holder is licensed as a residential program; |
| 390.10 | (4) the location of the service; |
| 390.13 390.14 | (5) the scope of the service, including: (i) the targeted goal and objective; (ii) the intervention that the staff person provided to the client and the methods that the staff person used; (iii) the client's response to the intervention; (iv) the staff person's plan to take future actions, including changes in treatment that the staff person will implement if the intervention was ineffective; and (v) the service modality; |
| 390.16 390.17 | (6) the signature , printed name, and credentials of the staff person who provided the service to the client; |
| 390.18 390.19 | (7) the mental health provider travel documentation required by section 256B.0625, if applicable; and |
| 390.22 | (8) significant observations by the staff person, if applicable, including: (i) the client's current risk factors; (ii) emergency interventions by staff persons; (iii) consultations with or referrals to other professionals, family, or significant others; and (iv) changes in the client's mental or physical symptoms. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 390.27 390.28 | Sec. 18. Minnesota Statutes 2021 Supplement, section 245I.09, subdivision 2, is amended to read: |
| 390.29 | Subd. 2. Record retention. A license holder must retain client records of a discharged |

390.30 client for a minimum of five years from the date of the client's discharge. A license holder

| 195.3 | parent team training using a curriculum approved by the commissioner. |
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| 195.5 195.6 195.7 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 195.8 195.9 | Sec. 24. Minnesota Statutes 2021 Supplement, section 245I.08, subdivision 4, is amended to read: |
| | Subd. 4. Progress notes. A license holder must use a progress note to document each occurrence of a mental health service that a staff person provides to a client. A progress note must include the following: |
| 195.13 | (1) the type of service; |
| 195.14 | (2) the date of service; |
| 195.15 195.16 | (3) the start and stop time of the service unless the license holder is licensed as a residential program; |
| 195.17 | (4) the location of the service; |
| 195.20 195.21 | (5) the scope of the service, including: (i) the targeted goal and objective; (ii) the intervention that the staff person provided to the client and the methods that the staff person used; (iii) the client's response to the intervention; (iv) the staff person's plan to take future actions, including changes in treatment that the staff person will implement if the intervention was ineffective; and (v) the service modality; |
| 195.23 195.24 | (6) the signature , printed name, and credentials of the staff person who provided the service to the client; |
| 195.25 195.26 | (7) the mental health provider travel documentation required by section 256B.0625, if applicable; and |
| 195.29 | (8) significant observations by the staff person, if applicable, including: (i) the client's current risk factors; (ii) emergency interventions by staff persons; (iii) consultations with or referrals to other professionals, family, or significant others; and (iv) changes in the client's mental or physical symptoms. |
| 196.1 196.2 196.3 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 196.4 196.5 | Sec. 25. Minnesota Statutes 2021 Supplement, section 245I.09, subdivision 2, is amended to read: |
| 196.6 196.7 | Subd. 2. Record retention. A license holder must retain client records of a discharged client for a minimum of five years from the date of the client's discharge. A license holder |

| | who ecases to provide treatment services to a client closes a program must retain the a client's records for a minimum of five years from the date that the license holder stopped providing services to the client and must notify the commissioner of the location of the client records and the name of the individual responsible for storing and maintaining the client records. |
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| 391.4 391.5 391.6 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 391.7 391.8 | Sec. 19. Minnesota Statutes 2021 Supplement, section 245I.10, subdivision 2, is amended to read: |
| | Subd. 2. Generally. (a) A license holder must use a client's diagnostic assessment or crisis assessment to determine a client's eligibility for mental health services, except as provided in this section. |
| 391.12 391.13 | (b) Prior to completing a client's initial diagnostic assessment, a license holder may provide a client with the following services: |
| 391.14 | (1) an explanation of findings; |
| 391.15 391.16 | (2) neuropsychological testing, neuropsychological assessment, and psychological testing; |
| 391.17 391.18 | (3) any combination of psychotherapy sessions, family psychotherapy sessions, and family psychoeducation sessions not to exceed three sessions; |
| 391.19 | (4) crisis assessment services according to section 256B.0624; and |
| 391.20 391.21 | (5) ten days of intensive residential treatment services according to the assessment and treatment planning standards in section $\frac{245.23}{2451.23}$, subdivision 7. |
| 391.22 391.23 | (c) Based on the client's needs that a crisis assessment identifies under section 256B.0624, a license holder may provide a client with the following services: |
| 391.24 391.25 | (1) crisis intervention and stabilization services under section $245I.23$ or $256B.0624$; and |
| | (2) any combination of psychotherapy sessions, group psychotherapy sessions, family psychotherapy sessions, and family psychoeducation sessions not to exceed ten sessions within a 12-month period without prior authorization. |
| 391.29 391.30 391.31 392.1 392.2 392.3 | (d) Based on the client's needs in the client's brief diagnostic assessment, a license holder may provide a client with any combination of psychotherapy sessions, group psychotherapy sessions, family psychotherapy sessions, and family psychoeducation sessions not to exceed ten sessions within a 12-month period without prior authorization for any new client or for an existing client who the license holder projects will need fewer than ten sessions during the next 12 months. |

| 196.11 | who ecases to provide treatment services to a client closes a program must retain the a client's records for a minimum of five years from the date that the license holder stopped providing services to the client and must notify the commissioner of the location of the client records and the name of the individual responsible for storing and maintaining the client records. |
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| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 196.16 196.17 | Sec. 26. Minnesota Statutes 2021 Supplement, section 245I.10, subdivision 2, is amended to read: |
| | Subd. 2. Generally. (a) A license holder must use a client's diagnostic assessment or crisis assessment to determine a client's eligibility for mental health services, except as provided in this section. |
| 196.21 196.22 | (b) Prior to completing a client's initial diagnostic assessment, a license holder may provide a client with the following services: |
| 196.23 | (1) an explanation of findings; |
| 196.24 196.25 | (2) neuropsychological testing, neuropsychological assessment, and psychological testing; |
| 196.26 196.27 | (3) any combination of psychotherapy sessions, family psychotherapy sessions, and family psychoeducation sessions not to exceed three sessions; |
| 196.28 | (4) crisis assessment services according to section 256B.0624; and |
| 196.29 196.30 | (5) ten days of intensive residential treatment services according to the assessment and treatment planning standards in section 245.23 245I.23, subdivision 7. |
| 197.1 197.2 | (c) Based on the client's needs that a crisis assessment identifies under section 256B.0624 a license holder may provide a client with the following services: |
| 197.3 197.4 | $(1)\ crisis\ intervention\ and\ stabilization\ services\ under\ section\ 245I.23\ or\ 256B.0624;$ and |
| 197.5 197.6 197.7 | (2) any combination of psychotherapy sessions, group psychotherapy sessions, family psychotherapy sessions, and family psychoeducation sessions not to exceed ten sessions within a 12-month period without prior authorization. |
| 197.12 | (d) Based on the client's needs in the client's brief diagnostic assessment, a license holder may provide a client with any combination of psychotherapy sessions, group psychotherapy sessions, family psychotherapy sessions, and family psychoeducation sessions not to exceed ten sessions within a 12-month period without prior authorization for any new client or for an existing client who the license holder projects will need fewer than ten sessions during the next 12 months. |

| 392.4 392.5 | (e) Based on the client's needs that a hospital's medical history and presentation examination identifies, a license holder may provide a client with: |
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| 392.6 392.7 392.8 392.9 392.10 | (1) any combination of psychotherapy sessions, group psychotherapy sessions, family psychotherapy sessions, and family psychoeducation sessions not to exceed ten sessions within a 12-month period without prior authorization for any new client or for an existing client who the license holder projects will need fewer than ten sessions during the next 12 months; and |
| 392.11 | (2) up to five days of day treatment services or partial hospitalization. |
| 392.12 | (f) A license holder must complete a new standard diagnostic assessment of a client: |
| 392.13 392.14 | (1) when the client requires services of a greater number or intensity than the services that paragraphs (b) to (e) describe; |
| | (2) at least annually following the client's initial diagnostic assessment if the client needs additional mental health services and the client does not meet the criteria for a brief assessment; |
| 392.18 392.19 | (3) when the client's mental health condition has changed markedly since the client's most recent diagnostic assessment; or |
| 392.20 392.21 | (4) when the client's current mental health condition does not meet the criteria of the client's current diagnosis. |
| 392.24 392.25 392.26 | (g) For an existing client, the license holder must ensure that a new standard diagnostic assessment includes a written update containing all significant new or changed information about the client, and an update regarding what information has not significantly changed, including a discussion with the client about changes in the client's life situation, functioning, presenting problems, and progress with achieving treatment goals since the client's last diagnostic assessment was completed. |
| 392.28 392.29 392.30 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 393.1 393.2 | Sec. 20. Minnesota Statutes 2021 Supplement, section 245I.10, subdivision 6, is amended to read: |
| 393.3 393.4 393.5 393.6 393.7 | Subd. 6. Standard diagnostic assessment; required elements. (a) Only a mental health professional or a clinical trainee may complete a standard diagnostic assessment of a client. A standard diagnostic assessment of a client must include a face-to-face interview with a client and a written evaluation of the client. The assessor must complete a client's standard diagnostic assessment within the client's cultural context. |

| 197.14 197.15 | (e) Based on the client's needs that a hospital's medical history and presentation examination identifies, a license holder may provide a client with: |
|--|--|
| 197.18 197.19 | (1) any combination of psychotherapy sessions, group psychotherapy sessions, family psychotherapy sessions, and family psychoeducation sessions not to exceed ten sessions within a 12-month period without prior authorization for any new client or for an existing client who the license holder projects will need fewer than ten sessions during the next 12 months; and |
| 197.21 | (2) up to five days of day treatment services or partial hospitalization. |
| 197.22 | (f) A license holder must complete a new standard diagnostic assessment of a client: |
| 197.23 197.24 | (1) when the client requires services of a greater number or intensity than the services that paragraphs (b) to (e) describe; |
| | (2) at least annually following the client's initial diagnostic assessment if the client needs additional mental health services and the client does not meet the criteria for a brief assessment; |
| 197.28 197.29 | (3) when the client's mental health condition has changed markedly since the client's most recent diagnostic assessment; or |
| 197.30 197.31 | (4) when the client's current mental health condition does not meet the criteria of the client's current diagnosis. |
| 198.1 198.2 198.3 198.4 198.5 198.6 | (g) For an existing client, the license holder must ensure that a new standard diagnostic assessment includes a written update containing all significant new or changed information about the client, and an update regarding what information has not significantly changed, including a discussion with the client about changes in the client's life situation, functioning, presenting problems, and progress with achieving treatment goals since the client's last diagnostic assessment was completed. |
| 198.7 198.8 198.9 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 198.10 198.11 | Sec. 27. Minnesota Statutes 2021 Supplement, section 245I.10, subdivision 6, is amended to read: |
| 198.14 198.15 | Subd. 6. Standard diagnostic assessment; required elements. (a) Only a mental health professional or a clinical trainee may complete a standard diagnostic assessment of a client. A standard diagnostic assessment of a client must include a face-to-face interview with a client and a written evaluation of the client. The assessor must complete a client's standard diagnostic assessment within the client's cultural context. |

| 393.8 393.9 393.10 | (b) When completing a standard diagnostic assessment of a client, the assessor must gather and document information about the client's current life situation, including the following information: |
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| 393.11 | (1) the client's age; |
| 393.12 393.13 | (2) the client's current living situation, including the client's housing status and household members; |
| 393.14 | (3) the status of the client's basic needs; |
| 393.15 | (4) the client's education level and employment status; |
| 393.16 | (5) the client's current medications; |
| 393.17 | (6) any immediate risks to the client's health and safety; |
| 393.18 | (7) the client's perceptions of the client's condition; |
| 393.19 393.20 | (8) the client's description of the client's symptoms, including the reason for the client's referral; |
| 393.21 | (9) the client's history of mental health treatment; and |
| 393.22 | (10) cultural influences on the client. |
| 393.25 393.26 | (c) If the assessor cannot obtain the information that this <u>subdivision paragraph</u> requires without retraumatizing the client or harming the client's willingness to engage in treatment, the assessor must identify which topics will require further assessment during the course of the client's treatment. The assessor must gather and document information related to the following topics: |
| 393.28 393.29 | (1) the client's relationship with the client's family and other significant personal relationships, including the client's evaluation of the quality of each relationship; |
| 393.30 393.31 | (2) the client's strengths and resources, including the extent and quality of the client's social networks; |
| 394.1 | (3) important developmental incidents in the client's life; |
| 394.2 | (4) maltreatment, trauma, potential brain injuries, and abuse that the client has suffered; |
| 394.3 | (5) the client's history of or exposure to alcohol and drug usage and treatment; and |
| 394.4 394.5 | (6) the client's health history and the client's family health history, including the client's physical, chemical, and mental health history. |
| 394.6 394.7 | (d) When completing a standard diagnostic assessment of a client, an assessor must use a recognized diagnostic framework. |

| | (b) When completing a standard diagnostic assessment of a client, the assessor must gather and document information about the client's current life situation, including the following information: |
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| 198.20 | (1) the client's age; |
| 198.21 198.22 | (2) the client's current living situation, including the client's housing status and household members; |
| 198.23 | (3) the status of the client's basic needs; |
| 198.24 | (4) the client's education level and employment status; |
| 198.25 | (5) the client's current medications; |
| 198.26 | (6) any immediate risks to the client's health and safety; |
| 198.27 | (7) the client's perceptions of the client's condition; |
| 198.28 198.29 | (8) the client's description of the client's symptoms, including the reason for the client's referral; |
| 198.30 | (9) the client's history of mental health treatment; and |
| 198.31 | (10) cultural influences on the client. |
| 199.1 199.2 199.3 199.4 199.5 | (c) If the assessor cannot obtain the information that this <u>subdivision paragraph</u> requires without retraumatizing the client or harming the client's willingness to engage in treatment, the assessor must identify which topics will require further assessment during the course of the client's treatment. The assessor must gather and document information related to the following topics: |
| 199.6 199.7 | (1) the client's relationship with the client's family and other significant personal relationships, including the client's evaluation of the quality of each relationship; |
| 199.8 199.9 | (2) the client's strengths and resources, including the extent and quality of the client's social networks; |
| 199.10 | (3) important developmental incidents in the client's life; |
| 199.11 | (4) maltreatment, trauma, potential brain injuries, and abuse that the client has suffered; |
| 199.12 | (5) the client's history of or exposure to alcohol and drug usage and treatment; and |
| 199.13 199.14 | (6) the client's health history and the client's family health history, including the client's physical, chemical, and mental health history. |
| 199.15 199.16 | (d) When completing a standard diagnostic assessment of a client, an assessor must use a recognized diagnostic framework. |

| | 12 (2) When completing a standard diagnostic assessment of a client who is six years of 13 age or older, the assessor must use the current edition of the Diagnostic and Statistical 14 Manual of Mental Disorders published by the American Psychiatric Association. |
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| | 15 (3) When completing a standard diagnostic assessment of a client who is five years of 16 age or younger, an assessor must administer the Early Childhood Service Intensity Instrument 17 (ECSII) to the client and include the results in the client's assessment. |
| | (4) When completing a standard diagnostic assessment of a client who is six to 17 years of age, an assessor must administer the Child and Adolescent Service Intensity Instrument (CASII) to the client and include the results in the client's assessment. |
| 394 394 | (5) When completing a standard diagnostic assessment of a client who is 18 years of age or older, an assessor must use either (i) the CAGE-AID Questionnaire or (ii) the criteria in the most recent edition of the Diagnostic and Statistical Manual of Mental Disorders published by the American Psychiatric Association to screen and assess the client for a substance use disorder. |
| 394 394 | (e) When completing a standard diagnostic assessment of a client, the assessor must include and document the following components of the assessment: |
| 394 | 28 (1) the client's mental status examination; |
| 394 | 30 vulnerabilities; safety needs, including client information that supports the assessor's findings |
| 395 395 395 395 | from the client's interview, assessment, psychological testing, and collateral information about the client; (ii) the client's needs; (iii) the client's risk factors; (iv) the client's strengths; |
| 395 395 395 395 | consult the client and the client's family about which services that the client and the family prefer to treat the client. The assessor must make referrals for the client as to services required |
| 395 395 395 | 10 whichever is later. The commissioner of human services shall notify the revisor of statutes |

| | (1) When completing a standard diagnostic assessment of a client who is five years of age or younger, the assessor must use the current edition of the DC: 0-5 Diagnostic Classification of Mental Health and Development Disorders of Infancy and Early Childhood |
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| | published by Zero to Three. |
| | (2) When completing a standard diagnostic assessment of a client who is six years of age or older, the assessor must use the current edition of the Diagnostic and Statistical Manual of Mental Disorders published by the American Psychiatric Association. |
| 199.24 199.25 199.26 | age or younger, an assessor must administer the Early Childhood Service Intensity Instrument |
| | (4) When completing a standard diagnostic assessment of a client who is six to 17 years of age, an assessor must administer the Child and Adolescent Service Intensity Instrument (CASII) to the client and include the results in the client's assessment. |
| 199.30 199.31 199.32 200.1 200.2 | age or older, an assessor must use either (i) the CAGE-AID Questionnaire or (ii) the criteria |
| 200.3 200.4 | (e) When completing a standard diagnostic assessment of a client, the assessor must include and document the following components of the assessment: |
| 200.5 | (1) the client's mental status examination; |
| 200.6 200.7 200.8 200.9 | (2) the client's baseline measurements; symptoms; behavior; skills; abilities; resources; vulnerabilities; safety needs, including client information that supports the assessor's findings after applying a recognized diagnostic framework from paragraph (d); and any differential diagnosis of the client; |
| 200.12 | (3) an explanation of: (i) how the assessor diagnosed the client using the information from the client's interview, assessment, psychological testing, and collateral information about the client; (ii) the client's needs; (iii) the client's risk factors; (iv) the client's strengths; and (v) the client's responsivity factors. |
| 200.16 | (f) When completing a standard diagnostic assessment of a client, the assessor must consult the client and the client's family about which services that the client and the family prefer to treat the client. The assessor must make referrals for the client as to services required by law. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |

| 395.13 | to read: |
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| 395.16 395.17 395.18 395.19 | Subd. 5. Treatment supervision specified. (a) A mental health professional must remain responsible for each client's case. The certification holder must document the name of the mental health professional responsible for each case and the dates that the mental health professional is responsible for the client's case from beginning date to end date. The certification holder must assign each client's case for assessment, diagnosis, and treatment services to a treatment team member who is competent in the assigned clinical service, the recommended treatment strategy, and in treating the client's characteristics. |
| 395.23 395.24 395.25 395.26 395.27 395.28 | (b) Treatment supervision of mental health practitioners and clinical trainees required by section 2451.06 must include case reviews as described in this paragraph. Every two months, a mental health professional must complete and document a case review of each client assigned to the mental health professional when the client is receiving clinical services from a mental health practitioner or clinical trainee. The case review must include a consultation process that thoroughly examines the client's condition and treatment, including: (1) a review of the client's reason for seeking treatment, diagnoses and assessments, and the individual treatment plan; (2) a review of the appropriateness, duration, and outcome of treatment provided to the client; and (3) treatment recommendations. |
| 396.1 396.2 | Sec. 22. Minnesota Statutes 2021 Supplement, section 245I.23, subdivision 22, is amended to read: |
| 396.3 396.4 396.5 | Subd. 22. Additional policy and procedure requirements. (a) In addition to the policies and procedures in section 245I.03, the license holder must establish, enforce, and maintain the policies and procedures in this subdivision. |
| 396.6 396.7 396.8 | (b) The license holder must have policies and procedures for receiving referrals and making admissions determinations about referred persons under subdivisions $\frac{14 \text{ to } 16}{15}$ to $\frac{17}{15}$. |
| 396.9 396.10 396.11 | (c) The license holder must have policies and procedures for discharging clients under subdivision 47 18. In the policies and procedures, the license holder must identify the staff persons who are authorized to discharge clients from the program. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |

Sec. 21. Minnesota Statutes 2021 Supplement, section 245I.20, subdivision 5, is amended

| 200.21 200.22 | Sec. 28. Minnesota Statutes 2021 Supplement, section 245I.20, subdivision 5, is amended to read: |
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| 200.25 200.26 200.27 200.28 | Subd. 5. Treatment supervision specified. (a) A mental health professional must remain responsible for each client's case. The certification holder must document the name of the mental health professional responsible for each case and the dates that the mental health professional is responsible for the client's case from beginning date to end date. The certification holder must assign each client's case for assessment, diagnosis, and treatment services to a treatment team member who is competent in the assigned clinical service, the recommended treatment strategy, and in treating the client's characteristics. |
| 200.30 200.31 200.32 200.33 201.1 201.2 201.3 201.4 201.5 | (b) Treatment supervision of mental health practitioners and clinical trainees required by section 2451.06 must include case reviews as described in this paragraph. Every two months, a mental health professional must complete and document a case review of each client assigned to the mental health professional when the client is receiving clinical services from a mental health practitioner or clinical trainee. The case review must include a consultation process that thoroughly examines the client's condition and treatment, including: (1) a review of the client's reason for seeking treatment, diagnoses and assessments, and the individual treatment plan; (2) a review of the appropriateness, duration, and outcome of treatment provided to the client; and (3) treatment recommendations. |
| 201.6 201.7 | Sec. 29. Minnesota Statutes 2021 Supplement, section 245I.23, subdivision 22, is amended to read: |
| 201.8 201.9 201.10 | Subd. 22. Additional policy and procedure requirements. (a) In addition to the policies and procedures in section 245I.03, the license holder must establish, enforce, and maintain the policies and procedures in this subdivision. |
| 201.11 201.12 201.13 | (b) The license holder must have policies and procedures for receiving referrals and making admissions determinations about referred persons under subdivisions 14 to 16 to 17. |
| | (c) The license holder must have policies and procedures for discharging clients under subdivision 17 18. In the policies and procedures, the license holder must identify the staff persons who are authorized to discharge clients from the program. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 201.20 201.21 | Sec. 30. Minnesota Statutes 2020, section 254B.01, is amended by adding a subdivision to read: |
| 201.22 201.23 | Subd. 6a. Minnesota Certification Board. "Minnesota Certification Board" means the nonprofit agency member board of the International Certification and Reciprocity Consortium |

| 201.24 | |
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| 201.25 | in Minnesota, including peer recovery specialists. |
| 201.26 | EFFECTIVE DATE. This section is effective the day following final enactment. |
| 201.27 | Sec. 31. Minnesota Statutes 2020, section 254B.05, subdivision 1, is amended to read: |
| 201.28 | Subdivision 1. Licensure required. (a) Programs licensed by the commissioner are |
| 201.29 | eligible vendors. Hospitals may apply for and receive licenses to be eligible vendors, |
| 201.30 | notwithstanding the provisions of section 245A.03. American Indian programs that provide |
| 202.1 | substance use disorder treatment, extended care, transitional residence, or outpatient treatment |
| 202.2 | services, and are licensed by tribal government are eligible vendors. |
| 202.3 | (b) A licensed professional in private practice as defined in section 245G.01, subdivision |
| 202.4 | 17, who meets the requirements of section 245G.11, subdivisions 1 and 4, is an eligible |
| 202.5 | vendor of a comprehensive assessment and assessment summary provided according to |
| 202.6 | section 245G.05, and treatment services provided according to sections 245G.06 and |
| 202.7 | 245G.07, subdivision 1, paragraphs (a), clauses (1) to (5), and (b); and subdivision 2, clauses |
| 202.8 | (1) to (6). |
| 202.9 | (c) A county is an eligible vendor for a comprehensive assessment and assessment |
| 202.10 | summary when provided by an individual who meets the staffing credentials of section |
| 202.11 | 245G.11, subdivisions 1 and 5, and completed according to the requirements of section |
| 202.12 | 245G.05. A county is an eligible vendor of care coordination services when provided by an |
| 202.13 | individual who meets the staffing credentials of section 245G.11, subdivisions 1 and 7, and |
| 202.14 | provided according to the requirements of section 245G.07, subdivision 1, paragraph (a), |
| 202.15 | clause (5). |
| 202.16 | (d) A recovery community organization that meets ecrtification requirements identified |
| 202.17 | by the commissioner the definition in section 254B.01, subdivision 8, and one of the |
| 202.18 | following certification requirements, is an eligible vendor of peer recovery support services |
| 202.19 | under section 254B.05, subdivision 5, paragraph (b), clause (4): |
| 202.20 | (1) the recovery community organization is certified by the Minnesota Certification |
| 202.21 | Board as defined in section 254B.01, subdivision 6a; |
| 202.22 | (2) the recovery community organization was certified as of July 1, 2022, by an |
| 202.23 | organization previously authorized by the commissioner to certify recovery community |
| 202.24 | organizations; or |
| 202.25 | (3) the recovery community organization is certified by an organization authorized by |
| 202.26 | the commissioner, provided that organization does not require additional certification |
| 202.27 | requirements beyond the recovery community organization meeting the definition under |
| 202.28 | section 254B.01, subdivision 8. |
| 202.29 | (e) Detoxification programs licensed under Minnesota Rules, parts 9530.6510 to |
| 202.30 | |
| 202 21 | nonresidential substance use disorder treatment or withdrawal management program by the |

| 396.15 | Sec. 23. Minnesota Statutes 2021 Supplement, section 254B.05, subdivision 5, is amended |
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| 396.16 | to read: |
| 396.17 | Subd. 5. Rate requirements. (a) The commissioner shall establish rates for substance |
| 396.18 | use disorder services and service enhancements funded under this chapter. |
| 396.19 | (b) Eligible substance use disorder treatment services include: |
| 396.20 | (1) outpatient treatment services that are licensed according to sections 245G.01 to |
| 396.21 | 245G.17, or applicable tribal license; |
| 396.22 | (2) comprehensive assessments provided according to sections 245.4863, paragraph (a), |
| 396.23 | and 245G.05; |
| 396.24 | (3) care coordination services provided according to section 245G.07, subdivision 1, |
| 396.25 | paragraph (a), clause (5); |
| 396.26 | (4) peer recovery support services provided according to section 245G.07, subdivision |
| 396.27 | 2, clause (8); |
| 396.28 | (5) on July 1, 2019, or upon federal approval, whichever is later, withdrawal management |
| 396.29 | services provided according to chapter 245F; |
| 396.30 | (6) medication-assisted therapy services that are licensed according to sections 245G.01 |
| 396.31 | to 245G.17 and 245G.22, or applicable tribal license; |
| 397.1 | (7) medication-assisted therapy plus enhanced treatment services that meet the |
| 397.2 | requirements of clause (6) and provide nine hours of clinical services each week; |
| 397.3 | (8) high, medium, and low intensity residential treatment services that are licensed |
| 397.4 | according to sections 245G.01 to 245G.17 and 245G.21 or applicable tribal license which |
| 397.5 | provide, respectively, 30, 15, and five hours of clinical services each week; |
| 397.6 | (9) hospital-based treatment services that are licensed according to sections 245G.01 to |
| 397.7 | 245G.17 or applicable tribal license and licensed as a hospital under sections 144.50 to |
| 397.8 | 144.56; |
| 397.9 | (10) adolescent treatment programs that are licensed as outpatient treatment programs |
| 397.10 397.11 | according to sections 245G.01 to 245G.18 or as residential treatment programs according to Minnesota Rules, parts 2960.0010 to 2960.0220, and 2960.0430 to 2960.0490, or |
| 397.11 | applicable tribal license; |
| | |
| 397.13 397.14 | (11) high-intensity residential treatment services that are licensed according to sections 245G.01 to 245G.17 and 245G.21 or applicable tribal license, which provide 30 hours of |
| 397.15 | clinical services each week provided by a state-operated vendor or to clients who have been |

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- 202.32 commissioner or by tribal government or do not meet the requirements of subdivisions 1a 202.33 and 1b are not eligible vendors.
- 203.1 **EFFECTIVE DATE.** This section is effective the day following final enactment.

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| and are a potential threat to the community; and |
|---|
| (12) room and board facilities that meet the requirements of subdivision 1a. |
| (c) The commissioner shall establish higher rates for programs that meet the requirements of paragraph (b) and one of the following additional requirements: |
| (1) programs that serve parents with their children if the program: |
| (i) provides on-site child care during the hours of treatment activity that: |
| (A) is licensed under chapter $245A$ as a child care center under Minnesota Rules, chapter 9503 ; or |
| (B) meets the licensure exclusion criteria of section 245A.03, subdivision 2, paragraph (a), clause (6), and meets the requirements under section 245G.19, subdivision 4; or |
| (ii) arranges for off-site child care during hours of treatment activity at a facility that is licensed under chapter 245A as: |
| (A) a child care center under Minnesota Rules, chapter 9503; or |
| (B) a family child care home under Minnesota Rules, chapter 9502; |
| (2) culturally specific or culturally responsive programs as defined in section 254B.01, subdivision 4a; |
| (3) disability responsive programs as defined in section 254B.01, subdivision 4b; |
| (4) programs that offer medical services delivered by appropriately credentialed health care staff in an amount equal to two hours per client per week if the medical needs of the client and the nature and provision of any medical services provided are documented in the client file; or |
| (5) programs that offer services to individuals with co-occurring mental health and chemical dependency problems if: |
| (i) the program meets the co-occurring requirements in section 245G.20; |
| (ii) 25 percent of the counseling staff are licensed mental health professionals, as defined in section 245.462, subdivision 18, clauses (1) to (6) under section 2451.04, subdivision 2, |
| or are students or licensing candidates under the supervision of a licensed alcohol and drug counselor supervisor and licensed mental health professional under section 2451.04, |
| subdivision 2, except that no more than 50 percent of the mental health staff may be students |
| or licensing candidates with time documented to be directly related to provisions of co-occurring services; |
| |
| (iii) clients scoring positive on a standardized mental health screen receive a mental health diagnostic assessment within ten days of admission; |
| |

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| 398.20 | (iv) the program has standards for multidisciplinary case review that include a monthly |
|--------|---|
| 398.21 | review for each client that, at a minimum, includes a licensed mental health professional |
| 398.22 | and licensed alcohol and drug counselor, and their involvement in the review is documented; |
| 398.23 | (v) family education is offered that addresses mental health and substance abuse disorders |
| 398.24 | and the interaction between the two; and |
| 398.25 | (vi) co-occurring counseling staff shall receive eight hours of co-occurring disorder |
| 398.26 | training annually. |
| 398.27 | (d) In order to be eligible for a higher rate under paragraph (c), clause (1), a program |
| 398.28 | that provides arrangements for off-site child care must maintain current documentation at |
| 398.29 | the chemical dependency facility of the child care provider's current licensure to provide |
| 398.30 | child care services. Programs that provide child care according to paragraph (c), clause (1), |
| 398.31 | must be deemed in compliance with the licensing requirements in section 245G.19. |
| 399.1 | (e) Adolescent residential programs that meet the requirements of Minnesota Rules, |
| 399.2 | parts 2960.0430 to 2960.0490 and 2960.0580 to 2960.0690, are exempt from the requirements |
| 399.3 | in paragraph (c), clause (4), items (i) to (iv). |
| 399.4 | (f) Subject to federal approval, substance use disorder services that are otherwise covered |
| 399.5 | as direct face-to-face services may be provided via telehealth as defined in section 256B.0625, |
| 399.6 | subdivision 3b. The use of telehealth to deliver services must be medically appropriate to |
| 399.7 | the condition and needs of the person being served. Reimbursement shall be at the same |
| 399.8 | rates and under the same conditions that would otherwise apply to direct face-to-face services. |
| 399.9 | (g) For the purpose of reimbursement under this section, substance use disorder treatment |
| 399.10 | services provided in a group setting without a group participant maximum or maximum |
| 399.11 | client to staff ratio under chapter 245G shall not exceed a client to staff ratio of 48 to one. |
| 399.12 | At least one of the attending staff must meet the qualifications as established under this |
| 399.13 | chapter for the type of treatment service provided. A recovery peer may not be included as |
| 399.14 | part of the staff ratio. |
| 399.15 | (h) Payment for outpatient substance use disorder services that are licensed according |
| 399.16 | to sections 245G.01 to 245G.17 is limited to six hours per day or 30 hours per week unless |
| 399.17 | prior authorization of a greater number of hours is obtained from the commissioner. |
| 399.18 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, |
| 399.19 | whichever is later. The commissioner of human services shall notify the revisor of statutes |
| 399.20 | when federal approval is obtained. |
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SEC. 32. MINNESOTA STATUTES 2020, SECTION 256.01, AMENDMENT MOVED TO MATCH UES4410-2, ARTICLE 9, SECTION 3.

SEC. 33. MINNESOTA STATUTES 2020, SECTION 256.045, SUBDIVISION 3, AMENDMENT MOVED TO MATCH UES4410-2, ARTICLE 9, SECTION 4.

| 399.21 399.22 | Sec. 24. Minnesota Statutes 2021 Supplement, section 256B.0622, subdivision 2, is amended to read: |
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| 399.23 399.24 | Subd. 2. Definitions. (a) For purposes of this section, the following terms have the meanings given them. |
| 399.25 399.26 | (b) "ACT team" means the group of interdisciplinary mental health staff who work as a team to provide assertive community treatment. |
| 399.29 399.30 | (c) "Assertive community treatment" means intensive nonresidential treatment and rehabilitative mental health services provided according to the assertive community treatment model. Assertive community treatment provides a single, fixed point of responsibility for treatment, rehabilitation, and support needs for clients. Services are offered 24 hours per day, seven days per week, in a community-based setting. |
| 399.32 399.33 | (d) "Individual treatment plan" means a plan described by section 245I.10, subdivisions 7 and 8. |
| 400.1 400.2 | (e) "Crisis assessment and intervention" means mental health mobile crisis response services as defined in under section 256B.0624, subdivision 2. |
| 400.3 400.4 400.5 | (f) "Individual treatment team" means a minimum of three members of the ACT team who are responsible for consistently carrying out most of a client's assertive community treatment services. |
| 400.6 400.7 400.8 400.9 | (g) "Primary team member" means the person who leads and coordinates the activities of the individual treatment team and is the individual treatment team member who has primary responsibility for establishing and maintaining a therapeutic relationship with the client on a continuing basis. |
| 400.10 400.11 | (h) "Certified rehabilitation specialist" means a staff person who is qualified according to section 2451.04, subdivision 8. |
| 400.12 400.13 | (i) "Clinical trainee" means a staff person who is qualified according to section 2451.04, subdivision 6. |
| 400.14 400.15 | (j) "Mental health certified peer specialist" means a staff person who is qualified according to section 245I.04, subdivision 10. |
| 400.16 400.17 | (k) "Mental health practitioner" means a staff person who is qualified according to section $245I.04$, subdivision 4 . |
| 400.18 400.19 | (l) "Mental health professional" means a staff person who is qualified according to section 245L04, subdivision 2. |

(m) "Mental health rehabilitation worker" means a staff person who is qualified according to section 245I.04, subdivision 14.

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| 208.18 | amended to read: |
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| 208.19 208.20 | Subd. 2. Definitions. (a) For purposes of this section, the following terms have the meanings given them. |
| 208.21 208.22 | (b) "ACT team" means the group of interdisciplinary mental health staff who work as a team to provide assertive community treatment. |
| 208.25 208.26 | (c) "Assertive community treatment" means intensive nonresidential treatment and rehabilitative mental health services provided according to the assertive community treatment model. Assertive community treatment provides a single, fixed point of responsibility for treatment, rehabilitation, and support needs for clients. Services are offered 24 hours per day, seven days per week, in a community-based setting. |
| 208.28 208.29 | (d) "Individual treatment plan" means a plan described by section 245I.10, subdivisions 7 and 8. |
| 208.30 208.31 | (e) "Crisis assessment and intervention" means mental health mobile crisis response services as defined in under section 256B.0624, subdivision 2. |
| 209.1 209.2 209.3 | (f) "Individual treatment team" means a minimum of three members of the ACT team who are responsible for consistently carrying out most of a client's assertive community treatment services. |
| 209.4 209.5 209.6 209.7 | (g) "Primary team member" means the person who leads and coordinates the activities of the individual treatment team and is the individual treatment team member who has primary responsibility for establishing and maintaining a therapeutic relationship with the client on a continuing basis. |
| 209.8 209.9 | (h) "Certified rehabilitation specialist" means a staff person who is qualified according to section 245I.04, subdivision 8. |
| 209.10 209.11 | (i) "Clinical trainee" means a staff person who is qualified according to section 2451.04 , subdivision 6 . |
| 209.12 209.13 | (j) "Mental health certified peer specialist" means a staff person who is qualified according to section 2451.04, subdivision 10. |
| 209.14 209.15 | (k) "Mental health practitioner" means a staff person who is qualified according to section 245I.04, subdivision 4. |
| 209.16 209.17 | (l) "Mental health professional" means a staff person who is qualified according to section 2451.04, subdivision 2. |
| 209.18 209.19 | (m) "Mental health rehabilitation worker" means a staff person who is qualified according to section 245I.04, subdivision 14. |
| | |

Sec. 34. Minnesota Statutes 2021 Supplement, section 256B.0622, subdivision 2, is

| | whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
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| | NOTE: SECTION 256B.0625, SUBDIVISION 3B, IS ALSO AMENDED BY UES4410-2, ARTICLE 4, SECTION 7. |
| 400.25 400.26 | Sec. 25. Minnesota Statutes 2021 Supplement, section 256B.0625, subdivision 3b, is amended to read: |
| 400.29 | Subd. 3b. Telehealth services. (a) Medical assistance covers medically necessary services and consultations delivered by a health care provider through telehealth in the same manner as if the service or consultation was delivered through in-person contact. Services or consultations delivered through telehealth shall be paid at the full allowable rate. |
| 401.1 401.2 401.3 | (b) The commissioner may establish criteria that a health care provider must attest to in order to demonstrate the safety or efficacy of delivering a particular service through telehealth. The attestation may include that the health care provider: |
| 401.4 401.5 | (1) has identified the categories or types of services the health care provider will provide through telehealth; |
| 401.6 401.7 | (2) has written policies and procedures specific to services delivered through telehealth that are regularly reviewed and updated; |
| 401.8 401.9 | (3) has policies and procedures that adequately address patient safety before, during, and after the service is delivered through telehealth; |
| 401.10 401.11 | (4) has established protocols addressing how and when to discontinue telehealth services; and |
| 401.12 401.13 | (5) has an established quality assurance process related to delivering services through telehealth. |
| 401.16 401.17 | (c) As a condition of payment, a licensed health care provider must document each occurrence of a health service delivered through telehealth to a medical assistance enrollee. Health care service records for services delivered through telehealth must meet the requirements set forth in Minnesota Rules, part 9505.2175, subparts 1 and 2, and must document: |
| 401.19 | (1) the type of service delivered through telehealth; |
| 401.20 401.21 | (2) the time the service began and the time the service ended, including an a.m. and p.m. designation; |
| 401.22 401.23 | (3) the health care provider's basis for determining that telehealth is an appropriate and effective means for delivering the service to the enrollee; |

EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval,

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| 209.20 209.21 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes |
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| 209.22 | when federal approval is obtained. |
| 209.23 209.24 | Sec. 35. Minnesota Statutes 2021 Supplement, section 256B.0625, subdivision 3b, is amended to read: |
| 209.27 | Subd. 3b. Telehealth services. (a) Medical assistance covers medically necessary services and consultations delivered by a health care provider through telehealth in the same manner as if the service or consultation was delivered through in-person contact. Services or consultations delivered through telehealth shall be paid at the full allowable rate. |
| | (b) The commissioner may establish criteria that a health care provider must attest to in order to demonstrate the safety or efficacy of delivering a particular service through telehealth. The attestation may include that the health care provider: |
| 210.1 210.2 | (1) has identified the categories or types of services the health care provider will provide through telehealth; |
| 210.3 210.4 | (2) has written policies and procedures specific to services delivered through telehealth that are regularly reviewed and updated; |
| 210.5 210.6 | (3) has policies and procedures that adequately address patient safety before, during, and after the service is delivered through telehealth; |
| 210.7 210.8 | (4) has established protocols addressing how and when to discontinue telehealth services; and |
| 210.9 210.10 | (5) has an established quality assurance process related to delivering services through telehealth. |
| 210.13 210.14 | (c) As a condition of payment, a licensed health care provider must document each occurrence of a health service delivered through telehealth to a medical assistance enrollee. Health care service records for services delivered through telehealth must meet the requirements set forth in Minnesota Rules, part 9505.2175, subparts 1 and 2, and must document: |
| 210.16 | (1) the type of service delivered through telehealth; |
| 210.17 210.18 | (2) the time the service began and the time the service ended, including an a.m. and p.m. designation; |
| 210.19 210.20 | (3) the health care provider's basis for determining that telehealth is an appropriate and effective means for delivering the service to the enrollee; |

| 401.24 401.25 | (4) the mode of transmission used to deliver the service through telehealth and records evidencing that a particular mode of transmission was utilized; |
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| 401.26 | (5) the location of the originating site and the distant site; |
| 401.27 401.28 401.29 | (6) if the claim for payment is based on a physician's consultation with another physician through telehealth, the written opinion from the consulting physician providing the telehealth consultation; and |
| 401.30 401.31 | (7) compliance with the criteria attested to by the health care provider in accordance with paragraph (b). |
| 402.1 402.2 402.3 402.4 402.5 402.6 402.7 402.8 402.9 | (d) Telehealth visits, as described in this subdivision provided through audio and visual communication, or accessible video-based platforms may be used to satisfy the face-to-face requirement for reimbursement under the payment methods that apply to a federally qualified health center, rural health clinic, Indian health service, 638 tribal clinic, and certified community behavioral health clinic, if the service would have otherwise qualified for payment if performed in person. Beginning July 1, 2021, visits provided through telephone may satisfy the face-to-face requirement for reimbursement under these payment methods if the service would have otherwise qualified for payment if performed in person until the COVID-19 federal public health emergency ends or July 1, 2023, whichever is earlier. |
| | |
| 402.12 | (e) For mental health services or assessments delivered through telehealth that are based on an individual treatment plan, the provider may document the client's verbal approval or electronic written approval of the treatment plan or change in the treatment plan in lieu of the client's signature in accordance with Minnesota Rules, part 9505.0371. |
| 402.11 402.12 | on an individual treatment plan, the provider may document the client's verbal approval or electronic written approval of the treatment plan or change in the treatment plan in lieu of |
| 402.11 402.12 402.13 402.14 402.15 402.16 402.17 402.18 402.19 402.20 402.21 | on an individual treatment plan, the provider may document the client's verbal approval or electronic written approval of the treatment plan or change in the treatment plan in lieu of the client's signature in accordance with Minnesota Rules, part 9505.0371. |

402.28 mental health certified family peer specialist under section 256B.0616, subdivision 5 2451.04, 402.29 subdivision 12, a mental health rehabilitation worker under section 256B.0623, subdivision

402.30 5, paragraph (a), clause (4), and paragraph (b) 245I.04, subdivision 14, a mental health 402.31 behavioral aide under section 256B.0943, subdivision 7, paragraph (b), clause (3) 245I.04,

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210.21

| 210.22 | evidencing that a particular mode of transmission was utilized; |
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| 210.23 | (5) the location of the originating site and the distant site; |
| | (6) if the claim for payment is based on a physician's consultation with another physician through telehealth, the written opinion from the consulting physician providing the telehealth consultation; and |
| 210.27 210.28 | (7) compliance with the criteria attested to by the health care provider in accordance with paragraph (b). |
| 210.31 | (d) Telehealth visits, as described in this subdivision provided through audio and visual communication, or accessible video-based platforms may be used to satisfy the face-to-face requirement for reimbursement under the payment methods that apply to a federally qualified health center, rural health clinic, Indian health service, 638 tribal clinic, and certified community behavioral health clinic, if the service would have otherwise qualified for payment if performed in person. |
| 211.3 211.4 211.5 211.6 | (e) For mental health services or assessments delivered through telehealth that are based on an individual treatment plan, the provider may document the client's verbal approval or electronic written approval of the treatment plan or change in the treatment plan in lieu of the client's signature in accordance with Minnesota Rules, part 9505.0371. |
| 211.7 | (f) (e) For purposes of this subdivision, unless otherwise covered under this chapter: |
| 211.11 211.12 211.13 211.14 211.15 | (1) "telehealth" means the delivery of health care services or consultations through the use of real-time two-way interactive audio and visual communication to provide or support health care delivery and facilitate the assessment, diagnosis, consultation, treatment, education, and care management of a patient's health care. Telehealth includes the application of secure video conferencing, store-and-forward technology, and synchronous interactions between a patient located at an originating site and a health care provider located at a distant site. Telehealth does not include communication between health care providers, or between a health care provider and a patient that consists solely of an audio-only communication, e-mail, or facsimile transmission or as specified by law; |
| 211.19 211.20 211.21 211.22 211.23 | (2) "health care provider" means a health care provider as defined under section 62A.673, a community paramedic as defined under section 144E.001, subdivision 5f, a community health worker who meets the criteria under subdivision 49, paragraph (a), a mental health certified peer specialist under section 256B.0615, subdivision 5 245I.04, subdivision 10, a mental health certified family peer specialist under section 256B.0616, subdivision 5 245I.04, subdivision 12, a mental health rehabilitation worker under section 256B.0623, subdivision 5, paragraph (a), elause (4), and paragraph (b) 245I.04, subdivision 14, a mental health behavioral aide under section 256B.0943, subdivision 7, paragraph (b), elause (3) 245I.04, |

(4) the mode of transmission used to deliver the service through telehealth and records

| 402.33 | <u>subdivision 16</u> , a treatment coordinator under section 245G.11, subdivision 7, an alcohol and drug counselor under section 245G.11, subdivision 5, <u>or</u> a recovery peer under section 245G.11, subdivision 8; and |
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| 403.1 403.2 | (3) "originating site," "distant site," and "store-and-forward technology" have the meanings given in section 62A.673, subdivision 2. |
| 403.3 403.4 403.5 403.6 403.7 403.8 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later, except that the amendment to paragraph (d) is effective retroactively from July 1, 2021, and expires when the COVID-19 federal public health emergency ends or July 1, 2023, whichever is earlier. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained and when the amendments to paragraph (d) expire. |
| 403.9 | Sec. 26. Minnesota Statutes 2020, section 256B.0659, subdivision 19, is amended to read: |
| 403.10 403.11 | Subd. 19. Personal care assistance choice option; qualifications; duties. (a) Under personal care assistance choice, the recipient or responsible party shall: |
| 403.12 403.13 | (1) recruit, hire, schedule, and terminate personal care assistants according to the terms of the written agreement required under subdivision 20, paragraph (a); |
| | (2) develop a personal care assistance care plan based on the assessed needs and addressing the health and safety of the recipient with the assistance of a qualified professional as needed; |
| 403.17 403.18 | (3) orient and train the personal care assistant with assistance as needed from the qualified professional; |
| 403.19 403.20 | (4) effective January 1, 2010, supervise and evaluate the personal care assistant with the qualified professional, who is required to visit the recipient at least every 180 days; |
| 403.21 403.22 | (5) monitor and verify in writing and report to the personal care assistance choice agency the number of hours worked by the personal care assistant and the qualified professional; |
| 403.23 403.24 | (6) engage in an annual face-to-face reassessment as required in subdivision 3a to determine continuing eligibility and service authorization; and |
| 403.25 403.26 | (7) use the same personal care assistance choice provider agency if shared personal assistance care is being used. |
| 403.27 | (b) The personal care assistance choice provider agency shall: |
| 403.28 | (1) meet all personal care assistance provider agency standards; |
| 403.29 403.30 | (2) enter into a written agreement with the recipient, responsible party, and personal care assistants; |
| 404.1 | (3) not be related as a parent, child, sibling, or spouse to the recipient or the personal |

404.2

care assistant; and

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| 211.26 | subdivision 16, a treatment coordinator under section 245G.11, subdivision 7, an alcohol and drug counselor under section 245G.11, subdivision 5, a recovery peer under section 245G.11, subdivision 8; and |
|-------------------------|--|
| 211.28 211.29 | (3) "originating site," "distant site," and "store-and-forward technology" have the meanings given in section 62A.673, subdivision 2. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 212.1 | Sec. 36. Minnesota Statutes 2020, section 256B.0659, subdivision 19, is amended to read: |
| 212.2 212.3 | Subd. 19. Personal care assistance choice option; qualifications; duties. (a) Under personal care assistance choice, the recipient or responsible party shall: |
| 212.4 212.5 | (1) recruit, hire, schedule, and terminate personal care assistants according to the terms of the written agreement required under subdivision 20, paragraph (a); |
| 212.6 212.7 212.8 | (2) develop a personal care assistance care plan based on the assessed needs and addressing the health and safety of the recipient with the assistance of a qualified professional as needed; |
| 212.9 212.10 | (3) orient and train the personal care assistant with assistance as needed from the qualified professional; |
| 212.11 212.12 | (4) effective January 1, 2010, supervise and evaluate the personal care assistant with the qualified professional, who is required to visit the recipient at least every 180 days; |
| 212.13 212.14 | (5) monitor and verify in writing and report to the personal care assistance choice agency the number of hours worked by the personal care assistant and the qualified professional; |
| 212.15 212.16 | (6) engage in an annual face-to-face reassessment <u>as required in subdivision 3a</u> to determine continuing eligibility and service authorization; and |
| 212.17 212.18 | (7) use the same personal care assistance choice provider agency if shared personal assistance care is being used. |
| 212.19 | (b) The personal care assistance choice provider agency shall: |
| 212.20 | (1) meet all personal care assistance provider agency standards; |
| 212.21 212.22 | (2) enter into a written agreement with the recipient, responsible party, and personal care assistants; |
| 212.23 212.24 | (3) not be related as a parent, child, sibling, or spouse to the recipient or the personal care assistant; and |

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| 404.4 | and personal care assistant. |
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| 404.5 | (c) The duties of the personal care assistance choice provider agency are to: |
| | (1) be the employer of the personal care assistant and the qualified professional for employment law and related regulations including; but not limited to; purchasing and maintaining workers' compensation, unemployment insurance, surety and fidelity bonds, and liability insurance, and submit any or all necessary documentation including; but not limited to; workers' compensation, unemployment insurance, and labor market data required under section 256B.4912, subdivision 1a; |
| 404.12 404.13 | (2) bill the medical assistance program for personal care assistance services and qualified professional services; |
| 404.14 404.15 | (3) request and complete background studies that comply with the requirements for personal care assistants and qualified professionals; |
| 404.16 404.17 | (4) pay the personal care assistant and qualified professional based on actual hours of services provided; |
| 404.18 | (5) withhold and pay all applicable federal and state taxes; |
| 404.19 404.20 | (6) verify and keep records of hours worked by the personal care assistant and qualified professional; |
| 404.21 404.22 | (7) make the arrangements and pay taxes and other benefits, if any, and comply with any legal requirements for a Minnesota employer; |
| 404.23 404.24 | (8) enroll in the medical assistance program as a personal care assistance choice agency; and |
| 404.25 404.26 | (9) enter into a written agreement as specified in subdivision 20 before services are provided. |
| 404.27 404.28 | Sec. 27. Minnesota Statutes 2021 Supplement, section 256B.0671, subdivision 6, is amended to read: |
| | Subd. 6. Dialectical behavior therapy. (a) Subject to federal approval, medical assistance covers intensive mental health outpatient treatment for dialectical behavior therapy for adults. A dialectical behavior therapy provider must make reasonable and good faith efforts to report individual client outcomes to the commissioner using instruments and protocols that are approved by the commissioner. |
| 405.3 405.4 405.5 405.6 | (b) "Dialectical behavior therapy" means an evidence-based treatment approach that a mental health professional or clinical trainee provides to a client or a group of clients in an intensive outpatient treatment program using a combination of individualized rehabilitative and psychotherapeutic interventions. A dialectical behavior therapy program involves: |

(4) ensure arm's-length transactions without undue influence or coercion with the recipient

404.3

| 212.25 212.26 | (4) ensure arm's-length transactions without undue influence or coercion with the recipient and personal care assistant. |
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| 212.27 | (c) The duties of the personal care assistance choice provider agency are to: |
| | (1) be the employer of the personal care assistant and the qualified professional for employment law and related regulations including, but not limited to, purchasing and maintaining workers' compensation, unemployment insurance, surety and fidelity bonds, and liability insurance, and submit any or all necessary documentation including, but not limited to, workers' compensation, unemployment insurance, and labor market data required under section 256B.4912, subdivision 1a; |
| 213.3 213.4 | (2) bill the medical assistance program for personal care assistance services and qualified professional services; |
| 213.5 213.6 | (3) request and complete background studies that comply with the requirements for personal care assistants and qualified professionals; |
| 213.7 213.8 | (4) pay the personal care assistant and qualified professional based on actual hours of services provided; |
| 213.9 | (5) withhold and pay all applicable federal and state taxes; |
| 213.10 213.11 | (6) verify and keep records of hours worked by the personal care assistant and qualified professional; |
| 213.12 213.13 | (7) make the arrangements and pay taxes and other benefits, if any, and comply with any legal requirements for a Minnesota employer; |
| 213.14 213.15 | (8) enroll in the medical assistance program as a personal care assistance choice agency; and |
| 213.16 213.17 | (9) enter into a written agreement as specified in subdivision 20 before services are provided. |
| 213.18 213.19 | Sec. 37. Minnesota Statutes 2021 Supplement, section 256B.0671, subdivision 6, is amended to read: |
| 213.22 213.23 | Subd. 6. Dialectical behavior therapy. (a) Subject to federal approval, medical assistance covers intensive mental health outpatient treatment for dialectical behavior therapy for adults. A dialectical behavior therapy provider must make reasonable and good faith efforts to report individual client outcomes to the commissioner using instruments and protocols that are approved by the commissioner. |
| 213.27 | (b) "Dialectical behavior therapy" means an evidence-based treatment approach that a mental health professional or clinical trainee provides to a client or a group of clients in an intensive outpatient treatment program using a combination of individualized rehabilitative and psychotherapeutic interventions. A dialectical behavior therapy program involves: |

| 405.7 405.8 | individual dialectical behavior therapy, group skills training, telephone coaching, and team consultation meetings. |
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| 405.9 | (c) To be eligible for dialectical behavior therapy, a client must: |
| 405.10 | (1) be 18 years of age or older; |
| 405.11 405.12 | $\frac{(2)}{(1)}$ have mental health needs that available community-based services cannot meet or that the client must receive concurrently with other community-based services; |
| 405.13 | (3) (2) have either: |
| 405.14 | (i) a diagnosis of borderline personality disorder; or |
| | (ii) multiple mental health diagnoses, exhibit behaviors characterized by impulsivity or intentional self-harm, and be at significant risk of death, morbidity, disability, or severe dysfunction in multiple areas of the client's life; |
| | $\frac{(4)(3)}{(3)}$ be cognitively capable of participating in dialectical behavior therapy as an intensive therapy program and be able and willing to follow program policies and rules to ensure the safety of the client and others; and |
| 405.21 405.22 | $\frac{(5)}{(4)}$ be at significant risk of one or more of the following if the client does not receive dialectical behavior therapy: |
| 405.23 | (i) having a mental health crisis; |
| 405.24 | (ii) requiring a more restrictive setting such as hospitalization; |
| 405.25 | (iii) decompensating; or |
| 405.26 | (iv) engaging in intentional self-harm behavior. |
| 405.29 405.30 | (d) Individual dialectical behavior therapy combines individualized rehabilitative and psychotherapeutic interventions to treat a client's suicidal and other dysfunctional behaviors and to reinforce a client's use of adaptive skillful behaviors. A mental health professional or clinical trainee must provide individual dialectical behavior therapy to a client. A mental health professional or clinical trainee providing dialectical behavior therapy to a client must: |
| 406.1 | (1) identify, prioritize, and sequence the client's behavioral targets; |
| 406.2 | (2) treat the client's behavioral targets; |
| 406.3 406.4 | (3) assist the client in applying dialectical behavior therapy skills to the client's natural environment through telephone coaching outside of treatment sessions; |
| 406.5 | (4) measure the client's progress toward dialectical behavior therapy targets; |
| 406.6 | (5) help the client manage mental health crises and life-threatening behaviors; and |

| | individual dialectical behavior therapy, group skills training, telephone coaching, and team consultation meetings. |
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| 213.31 | (c) To be eligible for dialectical behavior therapy, a client must: |
| 214.1 | (1) be 18 years of age or older; |
| 214.2 214.3 | $\frac{(2)}{(1)}$ have mental health needs that available community-based services cannot meet or that the client must receive concurrently with other community-based services; |
| 214.4 | (3) (2) have either: |
| 214.5 | (i) a diagnosis of borderline personality disorder; or |
| 214.6 214.7 214.8 | (ii) multiple mental health diagnoses, exhibit behaviors characterized by impulsivity or intentional self-harm, and be at significant risk of death, morbidity, disability, or severe dysfunction in multiple areas of the client's life; |
| | (4) (3) be cognitively capable of participating in dialectical behavior therapy as an intensive therapy program and be able and willing to follow program policies and rules to ensure the safety of the client and others; and |
| 214.12 214.13 | $\frac{(5)(4)}{(5)(4)}$ be at significant risk of one or more of the following if the client does not receive dialectical behavior therapy: |
| 214.14 | (i) having a mental health crisis; |
| 214.15 | (ii) requiring a more restrictive setting such as hospitalization; |
| 214.16 | (iii) decompensating; or |
| 214.17 | (iv) engaging in intentional self-harm behavior. |
| 214.20 214.21 | (d) Individual dialectical behavior therapy combines individualized rehabilitative and psychotherapeutic interventions to treat a client's suicidal and other dysfunctional behaviors and to reinforce a client's use of adaptive skillful behaviors. A mental health professional or clinical trainee must provide individual dialectical behavior therapy to a client. A mental health professional or clinical trainee providing dialectical behavior therapy to a client must: |
| 214.23 | (1) identify, prioritize, and sequence the client's behavioral targets; |
| 214.24 | (2) treat the client's behavioral targets; |
| 214.25 214.26 | (3) assist the client in applying dialectical behavior therapy skills to the client's natural environment through telephone coaching outside of treatment sessions; |
| 214.27 | (4) measure the client's progress toward dialectical behavior therapy targets; |
| 214.28 | (5) help the client manage mental health crises and life-threatening behaviors; and |

| 406.7 406.8 | (6) help the client learn and apply effective behaviors when working with other treatment providers. |
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| 406.9 | (e) Group skills training combines individualized psychotherapeutic and psychiatric |
| 406.10 | rehabilitative interventions conducted in a group setting to reduce the client's suicidal and |
| 406.11 | other dysfunctional coping behaviors and restore function. Group skills training must teach |
| 406.12 | the client adaptive skills in the following areas: (1) mindfulness; (2) interpersonal |
| 406.13 | effectiveness; (3) emotional regulation; and (4) distress tolerance. |
| 406.14 | (f) Group skills training must be provided by two mental health professionals or by a |
| 406.15 | mental health professional co-facilitating with a clinical trainee or a mental health practitioner. |
| 406.16 | Individual skills training must be provided by a mental health professional, a clinical trainee, |
| | or a mental health practitioner. |
| 406.18 | (g) Before a program provides dialectical behavior therapy to a client, the commissioner |
| 406.19 | must certify the program as a dialectical behavior therapy provider. To qualify for |
| 406.20 | certification as a dialectical behavior therapy provider, a provider must: |
| 406.21 | (1) allow the commissioner to inspect the provider's program; |
| 406.22 | (2) provide evidence to the commissioner that the program's policies, procedures, and |
| 406.23 | practices meet the requirements of this subdivision and chapter 245I; |
| 406.24 | (3) be enrolled as a MHCP provider; and |
| 406.25 | (4) have a manual that outlines the program's policies, procedures, and practices that |
| 406.26 | meet the requirements of this subdivision. |
| 406.27 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, |
| 406.28 | |
| 406.29 | when federal approval is obtained. |

| 214.29 214.30 | (6) help the client learn and apply effective behaviors when working with other treatment providers. |
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| 215.1 215.2 215.3 215.4 215.5 | (e) Group skills training combines individualized psychotherapeutic and psychiatric rehabilitative interventions conducted in a group setting to reduce the client's suicidal and other dysfunctional coping behaviors and restore function. Group skills training must teach the client adaptive skills in the following areas: (1) mindfulness; (2) interpersonal effectiveness; (3) emotional regulation; and (4) distress tolerance. |
| 215.6 215.7 215.8 215.9 | (f) Group skills training must be provided by two mental health professionals or by a mental health professional co-facilitating with a clinical trainee or a mental health practitioner. Individual skills training must be provided by a mental health professional, a clinical trainee, or a mental health practitioner. |
| | (g) Before a program provides dialectical behavior therapy to a client, the commissioner must certify the program as a dialectical behavior therapy provider. To qualify for certification as a dialectical behavior therapy provider, a provider must: |
| 215.13 | (1) allow the commissioner to inspect the provider's program; |
| 215.14 215.15 | (2) provide evidence to the commissioner that the program's policies, procedures, and practices meet the requirements of this subdivision and chapter 245I; |
| 215.16 | (3) be enrolled as a MHCP provider; and |
| 215.17 215.18 | (4) have a manual that outlines the program's policies, procedures, and practices that meet the requirements of this subdivision. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 215.22 | Sec. 38. Minnesota Statutes 2020, section 256B.0757, subdivision 1, is amended to read: |
| 215.23 215.24 215.25 | Subdivision 1. Provision of coverage. (a) The commissioner shall provide medical assistance coverage of <u>behavioral</u> health home services for eligible individuals with chronic conditions who select a designated provider as the individual's <u>behavioral</u> health home. |
| 215.26 | (b) The commissioner shall implement this section in compliance with the requirements |
| 215.27 215.28 | of the state option to provide <u>behavioral</u> health homes for enrollees with chronic conditions, as provided under the Patient Protection and Affordable Care Act, Public Law 111-148, |
| 215.28 | sections 2703 and 3502. Terms used in this section have the meaning provided in that act. |
| 215.30 | (c) The commissioner shall establish behavioral health homes to serve populations with |
| 215.30 | serious mental illness who meet the eligibility requirements described under subdivision 2. |
| 216.1 | The behavioral health home services provided by behavioral health homes shall focus on |
| 216.2 | both the behavioral and the physical health of these populations. |

| 216.3 | Sec. 39. Minnesota Statutes 2020, section 256B.0757, subdivision 2, is amended to read: |
|-----------------|---|
| 216.4 216.5 | Subd. 2. Eligible individual. (a) The commissioner may elect to develop behavioral health home models in accordance with United States Code, title 42, section 1396w-4. |
| 216.6 216.7 | (b) An individual is eligible for <u>behavioral</u> health home services under this section if the individual is eligible for medical <u>assistance</u> under this chapter and has a condition that |
| 216.8 | meets the definition of mental illness as described in section 245.462, subdivision 20, |
| 216.9 216.10 | paragraph (a), or emotional disturbance as defined in section 245.4871, subdivision 15, clause (2). The commissioner shall establish criteria for determining continued eligibility. |
| 216.11 | Sec. 40. Minnesota Statutes 2020, section 256B.0757, subdivision 3, is amended to read: |
| 216.12 | Subd. 3. Behavioral health home services. (a) Behavioral health home services means |
| 216.13 | comprehensive and timely high-quality services that are provided by a behavioral health |
| 216.14 | home. These services include: |
| 216.15 | (1) comprehensive care management; |
| 216.16 | (2) care coordination and health promotion; |
| 216.17 | (3) comprehensive transitional care, including appropriate follow-up, from inpatient to |
| 216.18 | other settings; |
| 216.19 | (4) patient and family support, including authorized representatives; |
| 216.20 | (5) referral to community and social support services, if relevant; and |
| 216.21 | (6) use of health information technology to link services, as feasible and appropriate. |
| 216.22 | (b) The commissioner shall maximize the number and type of services included in this |
| 216.23 | subdivision to the extent permissible under federal law, including physician, outpatient, |
| 216.24 | mental health treatment, and rehabilitation services necessary for comprehensive transitional |
| 216.25 | care following hospitalization. |
| 216.26 | Sec. 41. Minnesota Statutes 2020, section 256B.0757, subdivision 4, is amended to read: |
| 216.27 | Subd. 4. Designated provider. Behavioral health home services are voluntary and an |
| 216.28 | eligible individual may choose any designated provider. The commissioner shall establish |
| 216.29 | designated providers to serve as behavioral health homes and provide the services described |
| 216.30 | in subdivision 3 to individuals eligible under subdivision 2. The commissioner shall apply |
| 217.1 | for grants as provided under section 3502 of the Patient Protection and Affordable Care Act |
| 217.2 | to establish behavioral health homes and provide capitated payments to designated providers. |
| 217.3 | For purposes of this section, "designated provider" means a provider, clinical practice or |
| 217.4 | clinical group practice, rural clinic, community health center, community mental health |
| 217.5 | center, or any other entity that is determined by the commissioner to be qualified to be a |
| 217.6 | behavioral health home for eligible individuals. This determination must be based on |
| 217.7 | documentation evidencing that the designated provider has the systems and infrastructure |
| 217 8 | in place to provide behavioral health home services and satisfies the qualification standards |

- 407.1 Sec. 28. Minnesota Statutes 2021 Supplement, section 256B.0911, subdivision 3a, is 407.2 amended to read:
- Subd. 3a. **Assessment and support planning.** (a) Persons requesting assessment, services planning, or other assistance intended to support community-based living, including persons who need assessment in order to determine waiver or alternative care program eligibility, must be visited by a long-term care consultation team within 20 calendar days after the date on which an assessment was requested or recommended. Upon statewide implementation of subdivisions 2b, 2c, and 5, this requirement also applies to an assessment of a person requesting personal care assistance services. The commissioner shall provide at least a 407.10 90-day notice to lead agencies prior to the effective date of this requirement. Assessments must be conducted according to paragraphs (b) to (r).
- 407.12 (b) Upon implementation of subdivisions 2b, 2c, and 5, lead agencies shall use certified 407.13 assessors to conduct the assessment. For a person with complex health care needs, a public 407.14 health or registered nurse from the team must be consulted.
- 407.15 (c) The MnCHOICES assessment provided by the commissioner to lead agencies must 407.16 be used to complete a comprehensive, conversation-based, person-centered assessment. 407.17 The assessment must include the health, psychological, functional, environmental, and 407.18 social needs of the individual necessary to develop a person-centered community support 407.19 plan that meets the individual's needs and preferences.
- (d) Except as provided in paragraph (r), the assessment must be conducted by a certified assessor in a face-to-face conversational interview with the person being assessed. The person's legal representative must provide input during the assessment process and may do so remotely if requested. At the request of the person, other individuals may participate in the assessment to provide information on the needs, strengths, and preferences of the person necessary to develop a community support plan that ensures the person's health and safety. Except for legal representatives or family members invited by the person, persons

| 17.9 17.10 | established by the commissioner in consultation with stakeholders and approved by the Centers for Medicare and Medicaid Services. |
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| 17.11 | Sec. 42. Minnesota Statutes 2020, section 256B.0757, subdivision 8, is amended to read: |
| 17.12 17.13 17.14 17.15 | Subd. 8. Evaluation and continued development. (a) For continued certification under this section, <u>behavioral</u> health homes must meet process, outcome, and quality standards developed and specified by the commissioner. The commissioner shall collect data from <u>behavioral</u> health homes as necessary to monitor compliance with certification standards. |
| 17.16 17.17 | (b) The commissioner may contract with a private entity to evaluate patient and family experiences, health care utilization, and costs. |
| 17.18 17.19 17.20 | (c) The commissioner shall utilize findings from the implementation of behavioral health homes to determine populations to serve under subsequent health home models for individuals with chronic conditions. |
| 17.21 17.22 | Sec. 43. Minnesota Statutes 2021 Supplement, section 256B.0911, subdivision 3a, is amended to read: |
| 17.23 17.24 17.25 17.26 17.27 17.28 17.29 17.30 17.31 | on which an assessment was requested or recommended. Upon statewide implementation of subdivisions 2b, 2c, and 5, this requirement also applies to an assessment of a person requesting personal care assistance services. The commissioner shall provide at least a 90-day notice to lead agencies prior to the effective date of this requirement. Assessments |
| 18.1 18.2 18.3 | (b) Upon implementation of subdivisions 2b, 2c, and 5, lead agencies shall use certified assessors to conduct the assessment. For a person with complex health care needs, a public health or registered nurse from the team must be consulted. |
| 18.4 18.5 18.6 18.7 18.8 | (c) The MnCHOICES assessment provided by the commissioner to lead agencies must be used to complete a comprehensive, conversation-based, person-centered assessment. The assessment must include the health, psychological, functional, environmental, and social needs of the individual necessary to develop a person-centered community support plan that meets the individual's needs and preferences. |
| 18.9 18.10 18.11 18.12 18.13 18.14 18.15 | (d) Except as provided in paragraph (r), the assessment must be conducted by a certified assessor in a face-to-face conversational interview with the person being assessed. The person's legal representative must provide input during the assessment process and may do so remotely if requested. At the request of the person, other individuals may participate in the assessment to provide information on the needs, strengths, and preferences of the person necessary to develop a community support plan that ensures the person's health and safety. Except for legal representatives or family members invited by the person, persons |

407.27 participating in the assessment may not be a provider of service or have any financial interest 407.28 in the provision of services. For persons who are to be assessed for elderly waiver customized 407.29 living or adult day services under chapter 256S, with the permission of the person being 407.30 assessed or the person's designated or legal representative, the client's current or proposed 407.31 provider of services may submit a copy of the provider's nursing assessment or written 407.32 report outlining its recommendations regarding the client's care needs. The person conducting 407.33 the assessment must notify the provider of the date by which this information is to be 407.34 submitted. This information shall be provided to the person conducting the assessment prior 407.35 to the assessment. For a person who is to be assessed for waiver services under section 256B.092 or 256B.49, with the permission of the person being assessed or the person's designated legal representative, the person's current provider of services may submit a written report outlining recommendations regarding the person's care needs the person completed in consultation with someone who is known to the person and has interaction with the person on a regular basis. The provider must submit the report at least 60 days before the end of the person's current service agreement. The certified assessor must consider the content of the submitted report prior to finalizing the person's assessment or reassessment.

- 408.8 (e) The certified assessor and the individual responsible for developing the coordinated service and support plan must complete the community support plan and the coordinated service and support plan no more than 60 calendar days from the assessment visit. The person or the person's legal representative must be provided with a written community support plan within the timelines established by the commissioner, regardless of whether the person is eligible for Minnesota health care programs.
- 408.14 (f) For a person being assessed for elderly waiver services under chapter 256S, a provider 408.15 who submitted information under paragraph (d) shall receive the final written community 408.16 support plan when available and the Residential Services Workbook.
- 408.17 (g) The written community support plan must include:
- 408.18 (1) a summary of assessed needs as defined in paragraphs (c) and (d);
- 408.19 (2) the individual's options and choices to meet identified needs, including:
- 408.20 (i) all available options for case management services and providers;
- 408.21 (ii) all available options for employment services, settings, and providers;
- 408.22 (iii) all available options for living arrangements;
- 408.23 (iv) all available options for self-directed services and supports, including self-directed
- 408.24 budget options; and
- 408.25 (v) service provided in a non-disability-specific setting;

| 218.17 218.18 218.19 218.20 218.21 218.22 218.23 218.24 218.25 218.26 218.27 218.28 | participating in the assessment may not be a provider of service or have any financial interest in the provision of services. For persons who are to be assessed for elderly waiver customized living services under chapter 256S or section 256B.49 or adult day services under chapter 256S, with the permission of the person being assessed or the person's designated or legal representative, the client's current or proposed provider of services may submit a copy of the provider's nursing assessment or written report outlining its recommendations regarding the client's care needs. The person conducting the assessment must notify the provider of the date by which this information is to be submitted. This information shall be provided to the person conducting the assessment prior to the assessment. The certified assessor must consider the content of the submitted nursing assessment or report prior to finalizing the person's assessment or reassessment. For a person who is to be assessed for waiver services under section 256B.092 or 256B.49, with the permission of the person being assessed or the person's designated legal representative, the person's current provider of services may |
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| | submit a written report outlining recommendations regarding the person's care needs the |
| | person completed in consultation with someone who is known to the person and has |
| | interaction with the person on a regular basis. The provider must submit the report at least 60 days before the end of the person's current service agreement. The certified assessor |
| | must consider the content of the submitted report prior to finalizing the person's assessment |
| 218.34 | or reassessment. |
| 219.1 219.2 219.3 219.4 219.5 219.6 | (e) The certified assessor and the individual responsible for developing the coordinated service and support plan must complete the community support plan and the coordinated service and support plan no more than 60 calendar days from the assessment visit. The person or the person's legal representative must be provided with a written community support plan within the timelines established by the commissioner, regardless of whether the person is eligible for Minnesota health care programs. |
| 219.7 219.8 219.9 219.10 | (f) For a person being assessed for elderly waiver services under chapter 256S or customized living services under section 256B.49, a provider who submitted information under paragraph (d) shall receive the final written community support plan when available and the Residential Services Workbook or customized living tool. |
| 219.11 | (g) The written community support plan must include: |
| 219.12 | (1) a summary of assessed needs as defined in paragraphs (c) and (d); |
| 219.13 | (2) the individual's options and choices to meet identified needs, including: |
| 219.14 | (i) all available options for case management services and providers; |
| 219.15 | (ii) all available options for employment services, settings, and providers; |
| 219.16 | (iii) all available options for living arrangements; |
| 219.17 219.18 | (iv) all available options for self-directed services and supports, including self-directed budget options; and |
| 219.19 | (v) service provided in a non-disability-specific setting; |
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| 408.26 408.27 | (3) identification of health and safety risks and how those risks will be addressed, including personal risk management strategies; |
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| 408.28 | (4) referral information; and |
| 408.29 | (5) informal caregiver supports, if applicable. |
| 409.1 409.2 409.3 | For a person determined eligible for state plan home care under subdivision 1a, paragraph (b), clause (1), the person or person's representative must also receive a copy of the home care service plan developed by the certified assessor. |
| 409.4 409.5 409.6 409.7 409.8 | (h) A person may request assistance in identifying community supports without participating in a complete assessment. Upon a request for assistance identifying community support, the person must be transferred or referred to long-term care options counseling services available under sections 256.975, subdivision 7, and 256.01, subdivision 24, for telephone assistance and follow up. |
| 409.9 | (i) The person has the right to make the final decision: |
| 409.10 409.11 | (1) between institutional placement and community placement after the recommendations have been provided, except as provided in section 256.975, subdivision 7a, paragraph (d); |
| 409.12 409.13 | (2) between community placement in a setting controlled by a provider and living independently in a setting not controlled by a provider; |
| 409.14 | (3) between day services and employment services; and |
| 409.15 409.16 | (4) regarding available options for self-directed services and supports, including self-directed funding options. |
| | (j) The lead agency must give the person receiving long-term care consultation services or the person's legal representative, materials, and forms supplied by the commissioner containing the following information: |
| 409.20 409.21 | (1) written recommendations for community-based services and consumer-directed options; |
| 409.24 409.25 409.26 | (2) documentation that the most cost-effective alternatives available were offered to the individual. For purposes of this clause, "cost-effective" means community services and living arrangements that cost the same as or less than institutional care. For an individual found to meet eligibility criteria for home and community-based service programs under chapter 256S or section 256B.49, "cost-effectiveness" has the meaning found in the federally approved waiver plan for each program; |
| 409.28 409.29 409.30 409.31 | (3) the need for and purpose of preadmission screening conducted by long-term care options counselors according to section 256.975, subdivisions 7a to 7c, if the person selects nursing facility placement. If the individual selects nursing facility placement, the lead agency shall forward information needed to complete the level of care determinations and |

| 219.20 | including personal risk management strategies; |
|----------------------------|--|
| 219.22 | (4) referral information; and |
| 219.23 | (5) informal caregiver supports, if applicable. |
| 219.25 | For a person determined eligible for state plan home care under subdivision 1a, paragraph (b), clause (1), the person or person's representative must also receive a copy of the home care service plan developed by the certified assessor. |
| 219.29 219.30 | (h) A person may request assistance in identifying community supports without participating in a complete assessment. Upon a request for assistance identifying community support, the person must be transferred or referred to long-term care options counseling services available under sections 256.975, subdivision 7, and 256.01, subdivision 24, for telephone assistance and follow up. |
| 220.1 | (i) The person has the right to make the final decision: |
| 220.2 220.3 | (1) between institutional placement and community placement after the recommendation have been provided, except as provided in section 256.975, subdivision 7a, paragraph (d); |
| 220.4 220.5 | (2) between community placement in a setting controlled by a provider and living independently in a setting not controlled by a provider; |
| 220.6 | (3) between day services and employment services; and |
| 220.7 220.8 | (4) regarding available options for self-directed services and supports, including self-directed funding options. |
| 220.9 220.10 220.11 | (j) The lead agency must give the person receiving long-term care consultation services or the person's legal representative, materials, and forms supplied by the commissioner containing the following information: |
| 220.12 220.13 | (1) written recommendations for community-based services and consumer-directed options; |
| 220.16 220.17 220.18 | (2) documentation that the most cost-effective alternatives available were offered to the individual. For purposes of this clause, "cost-effective" means community services and living arrangements that cost the same as or less than institutional care. For an individual found to meet eligibility criteria for home and community-based service programs under chapter 256S or section 256B.49, "cost-effectiveness" has the meaning found in the federally approved waiver plan for each program; |
| | (3) the need for and purpose of preadmission screening conducted by long-term care options counselors according to section 256.975, subdivisions 7a to 7c, if the person selects nursing facility placement. If the individual selects nursing facility placement, the lead agency shall forward information needed to complete the level of care determinations and |

409.32 screening for developmental disability and mental illness collected during the assessment 409.33 to the long-term care options counselor using forms provided by the commissioner;

- 410.1 (4) the role of long-term care consultation assessment and support planning in eligibility determination for waiver and alternative care programs, and state plan home care, case management, and other services as defined in subdivision 1a, paragraphs (a), clause (6), and (b): 410.4
 - (5) information about Minnesota health care programs;

410.5

- 410.6 (6) the person's freedom to accept or reject the recommendations of the team;
- (7) the person's right to confidentiality under the Minnesota Government Data Practices 410.7 410.8 Act, chapter 13;
- (8) the certified assessor's decision regarding the person's need for institutional level of 410.9 410.10 care as determined under criteria established in subdivision 4e and the certified assessor's 410.11 decision regarding eligibility for all services and programs as defined in subdivision 1a, 410.12 paragraphs (a), clause (6), and (b);
- 410.13 (9) the person's right to appeal the certified assessor's decision regarding eligibility for 410.14 all services and programs as defined in subdivision 1a, paragraphs (a), clauses (6), (7), and 410.15 (8), and (b), and incorporating the decision regarding the need for institutional level of care 410.16 or the lead agency's final decisions regarding public programs eligibility according to section 410.17 256.045, subdivision 3. The certified assessor must verbally communicate this appeal right 410.18 to the person and must visually point out where in the document the right to appeal is stated; 410.19 and
- 410.20 (10) documentation that available options for employment services, independent living, and self-directed services and supports were described to the individual.
- (k) An assessment that is completed as part of an eligibility determination for multiple 410.23 programs for the alternative care, elderly waiver, developmental disabilities, community 410.24 access for disability inclusion, community alternative care, and brain injury waiver programs 410.25 under chapter 256S and sections 256B.0913, 256B.092, and 256B.49 is valid to establish 410.26 service eligibility for no more than 60 calendar days after the date of the assessment.
- (l) The effective eligibility start date for programs in paragraph (k) can never be prior 410.28 to the date of assessment. If an assessment was completed more than 60 days before the 410.29 effective waiver or alternative care program eligibility start date, assessment and support plan information must be updated and documented in the department's Medicaid Management 410.31 Information System (MMIS). Notwithstanding retroactive medical assistance coverage of 410.32 state plan services, the effective date of eligibility for programs included in paragraph (k) 410.33 cannot be prior to the date the most recent updated assessment is completed.
- (m) If an eligibility update is completed within 90 days of the previous assessment and 411.1 documented in the department's Medicaid Management Information System (MMIS), the 411.2

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220.24 screening for developmental disability and mental illness collected during the assessment 220.25 to the long-term care options counselor using forms provided by the commissioner; (4) the role of long-term care consultation assessment and support planning in eligibility 220.27 determination for waiver and alternative care programs, and state plan home care, case 220.28 management, and other services as defined in subdivision 1a, paragraphs (a), clause (6), 220.29 and (b): 220.30 (5) information about Minnesota health care programs; 220.31 (6) the person's freedom to accept or reject the recommendations of the team; (7) the person's right to confidentiality under the Minnesota Government Data Practices 221.1 221.2 Act, chapter 13; (8) the certified assessor's decision regarding the person's need for institutional level of 221.3 221.4 care as determined under criteria established in subdivision 4e and the certified assessor's decision regarding eligibility for all services and programs as defined in subdivision 1a, paragraphs (a), clause (6), and (b); 221.7 (9) the person's right to appeal the certified assessor's decision regarding eligibility for 221.8 all services and programs as defined in subdivision 1a, paragraphs (a), clauses (6), (7), and 221.9 (8), and (b), and incorporating the decision regarding the need for institutional level of care 221.10 or the lead agency's final decisions regarding public programs eligibility according to section 221.11 256.045, subdivision 3. The certified assessor must verbally communicate this appeal right 221.12 to the person and must visually point out where in the document the right to appeal is stated; 221.13 and 221.14 (10) documentation that available options for employment services, independent living, 221.15 and self-directed services and supports were described to the individual. (k) An assessment that is completed as part of an eligibility determination for multiple 221.17 programs for the alternative care, elderly waiver, developmental disabilities, community 221.18 access for disability inclusion, community alternative care, and brain injury waiver programs 221.19 under chapter 256S and sections 256B.0913, 256B.092, and 256B.49 is valid to establish 221.20 service eligibility for no more than 60 calendar days after the date of the assessment. (l) The effective eligibility start date for programs in paragraph (k) can never be prior 221.22 to the date of assessment. If an assessment was completed more than 60 days before the 221.23 effective waiver or alternative care program eligibility start date, assessment and support 221.24 plan information must be updated and documented in the department's Medicaid Management 221.25 Information System (MMIS). Notwithstanding retroactive medical assistance coverage of 221.26 state plan services, the effective date of eligibility for programs included in paragraph (k) 221.27 cannot be prior to the date the most recent updated assessment is completed. (m) If an eligibility update is completed within 90 days of the previous assessment and

221.29 documented in the department's Medicaid Management Information System (MMIS), the

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effective date of eligibility for programs included in paragraph (k) is the date of the previous face-to-face assessment when all other eligibility requirements are met.

- (n) If a person who receives home and community-based waiver services under section 256B.0913, 256B.092, or 256B.49 or chapter 256S temporarily enters for 121 days or fewer a hospital, institution of mental disease, nursing facility, intensive residential treatment services program, transitional care unit, or inpatient substance use disorder treatment setting, the person may return to the community with home and community-based waiver services under the same waiver, without requiring an assessment or reassessment under this section, unless the person's annual reassessment is otherwise due. Nothing in this paragraph shall change annual long-term care consultation reassessment requirements, payment for institutional or treatment services, medical assistance financial eligibility, or any other law.
- 411.14 (o) At the time of reassessment, the certified assessor shall assess each person receiving
 411.15 waiver residential supports and services currently residing in a community residential setting,
 411.16 licensed adult foster care home that is either not the primary residence of the license holder
 411.17 or in which the license holder is not the primary caregiver, family adult foster care residence,
 411.18 customized living setting, or supervised living facility to determine if that person would
 411.19 prefer to be served in a community-living setting as defined in section 256B.49, subdivision
 411.20 23, in a setting not controlled by a provider, or to receive integrated community supports
 411.21 as described in section 245D.03, subdivision 1, paragraph (c), clause (8). The certified
 411.22 assessor shall offer the person, through a person-centered planning process, the option to
 411.23 receive alternative housing and service options.
- 411.24 (p) At the time of reassessment, the certified assessor shall assess each person receiving 411.25 waiver day services to determine if that person would prefer to receive employment services 411.26 as described in section 245D.03, subdivision 1, paragraph (c), clauses (5) to (7). The certified 411.27 assessor shall describe to the person through a person-centered planning process the option 411.28 to receive employment services.
- 411.29 (q) At the time of reassessment, the certified assessor shall assess each person receiving
 411.30 non-self-directed waiver services to determine if that person would prefer an available
 411.31 service and setting option that would permit self-directed services and supports. The certified
 411.32 assessor shall describe to the person through a person-centered planning process the option
 411.33 to receive self-directed services and supports.
- (r) All assessments performed according to this subdivision must be face-to-face unless the assessment is a reassessment meeting the requirements of this paragraph. Remote reassessments conducted by interactive video or telephone may substitute for face-to-face reassessments. For services provided by the developmental disabilities waiver under section 256B.092, and the community access for disability inclusion, community alternative care, and brain injury waiver programs under section 256B.49, remote reassessments may be substituted for two consecutive reassessments if followed by a face-to-face reassessment. For services provided by alternative care under section 256B.0913, essential community supports under section 256B.0922, and the elderly waiver under chapter 256S, remote reassessments may be substituted for one reassessment if followed by a face-to-face

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221.30 effective date of eligibility for programs included in paragraph (k) is the date of the previous 221.31 face-to-face assessment when all other eligibility requirements are met.

- (n) If a person who receives home and community-based waiver services under section 256B.0913, 256B.092, or 256B.49 or chapter 256S temporarily enters for 121 days or fewer a hospital, institution of mental disease, nursing facility, intensive residential treatment services program, transitional care unit, or inpatient substance use disorder treatment setting, the person may return to the community with home and community-based waiver services under the same waiver, without requiring an assessment or reassessment under this section, unless the person's annual reassessment is otherwise due. Nothing in this paragraph shall change annual long-term care consultation reassessment requirements, payment for institutional or treatment services, medical assistance financial eligibility, or any other law.
- (o) At the time of reassessment, the certified assessor shall assess each person receiving waiver residential supports and services currently residing in a community residential setting, licensed adult foster care home that is either not the primary residence of the license holder or in which the license holder is not the primary caregiver, family adult foster care residence, customized living setting, or supervised living facility to determine if that person would prefer to be served in a community-living setting as defined in section 256B.49, subdivision 23, in a setting not controlled by a provider, or to receive integrated community supports as described in section 245D.03, subdivision 1, paragraph (c), clause (8). The certified assessor shall offer the person, through a person-centered planning process, the option to receive alternative housing and service options.
- 222.17 (p) At the time of reassessment, the certified assessor shall assess each person receiving 222.18 waiver day services to determine if that person would prefer to receive employment services 222.19 as described in section 245D.03, subdivision 1, paragraph (c), clauses (5) to (7). The certified 222.20 assessor shall describe to the person through a person-centered planning process the option 222.21 to receive employment services.
- 222.22 (q) At the time of reassessment, the certified assessor shall assess each person receiving non-self-directed waiver services to determine if that person would prefer an available service and setting option that would permit self-directed services and supports. The certified assessor shall describe to the person through a person-centered planning process the option to receive self-directed services and supports.
- (r) All assessments performed according to this subdivision must be face-to-face unless the assessment is a reassessment meeting the requirements of this paragraph. Remote reassessments conducted by interactive video or telephone may substitute for face-to-face reassessments. For services provided by the developmental disabilities waiver under section 256B.092, and the community access for disability inclusion, community alternative care, and brain injury waiver programs under section 256B.49, remote reassessments may be substituted for two consecutive reassessments if followed by a face-to-face reassessment. For services provided by alternative care under section 256B.0913, essential community supports under section 256B.0922, and the elderly waiver under chapter 256S, remote reassessments may be substituted for one reassessment if followed by a face-to-face

412.11 reassessment. A remote reassessment is permitted only if the person being reassessed, or the person's legal representative, and the lead agency case manager both agree that there is no change in the person's condition, there is no need for a change in service, and that a remote reassessment is appropriate or the person's legal representative provide informed choice for a remote assessment. The person being reassessed, or the person's legal representative, has the right to refuse a remote reassessment at any time. During a remote reassessment, if the certified assessor determines a face-to-face reassessment is necessary in order to complete the assessment, the lead agency shall schedule a face-to-face reassessment. All other requirements of a face-to-face reassessment shall apply to a remote reassessment, including updates to a person's support plan.

| 23.2 | reassessment. A remote reassessment is permitted only if the person being reassessed; or |
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| 23.3 | the person's legal representative, and the lead agency case manager both agree that there is |
| 23.4 | no change in the person's condition, there is no need for a change in service, and that a |
| 23.5 | remote reassessment is appropriate makes an informed choice for a remote assessment. The |
| 23.6 | person being reassessed, or the person's legal representative, has the right to refuse a remote |
| 23.7 | reassessment at any time. During a remote reassessment, if the certified assessor determines |
| 23.8 | a face-to-face reassessment is necessary in order to complete the assessment, the lead agency |
| 23.9 | shall schedule a face-to-face reassessment. All other requirements of a face-to-face |
| 23.10 | reassessment shall apply to a remote reassessment, including updates to a person's support |
| 23.11 | plan. |
| 23.12 | Sec. 44. Minnesota Statutes 2021 Supplement, section 256B.0911, subdivision 3f, is |
| 23.13 | amended to read: |
| 23.14 | Subd. 3f. Long-term care reassessments and community support plan updates. (a) |
| 23.15 | Prior to a reassessment, the certified assessor must review the person's most recent |
| 23.16 | assessment. Reassessments must be tailored using the professional judgment of the assessor |
| 23.17 | to the person's known needs, strengths, preferences, and circumstances. Reassessments |
| 23.18 | provide information to support the person's informed choice and opportunities to express |
| 23.19 | choice regarding activities that contribute to quality of life, as well as information and |
| 23.20 | opportunity to identify goals related to desired employment, community activities, and |
| 23.21 | preferred living environment. Reassessments require a review of the most recent assessment, |
| 23.22 | review of the current coordinated service and support plan's effectiveness, monitoring of |
| 23.23 | services, and the development of an updated person-centered community support plan. |
| 23.24 | Reassessments must verify continued eligibility, offer alternatives as warranted, and provide |
| 23.25 | an opportunity for quality assurance of service delivery. Reassessments must be conducted |
| 23.26 | annually or as required by federal and state laws and rules. For reassessments, the certified |
| 23.27 | assessor and the individual responsible for developing the coordinated service and support |
| 23.28 | plan must ensure the continuity of care for the person receiving services and complete the |
| 23.29 | updated community support plan and the updated coordinated service and support plan no |
| 23.30 | more than 60 days from the reassessment visit. |
| 23.31 | (b) The commissioner shall develop mechanisms for providers and case managers to |
| 23.32 | share information with the assessor to facilitate a reassessment and support planning process |
| 23.33 | tailored to the person's current needs and preferences. |
| 24.1 | (c) Concurrently with a reassessment, a lead agency must at its expense provide each |
| 24.2 | individual an opportunity to provide a confidential performance assessment of the person's |
| 24.3 | case manager if the person is receiving case management services from an agency under a |
| 24.4 | contract with the lead agency. |

| 412.22 | amended to read: |
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| 412.25 412.26 412.27 | Subdivision 1. Required covered service components. (a) Subject to federal approval, medical assistance covers medically necessary intensive treatment services when the services are provided by a provider entity certified under and meeting the standards in this section. The provider entity must make reasonable and good faith efforts to report individual client outcomes to the commissioner, using instruments and protocols approved by the commissioner. |
| | (b) Intensive treatment services to children with mental illness residing in foster family settings that comprise specific required service components provided in clauses (1) to (6) are reimbursed by medical assistance when they meet the following standards: |
| 412.32 | (1) psychotherapy provided by a mental health professional or a clinical trainee; |
| 412.33 | (2) crisis planning; |
| 413.1 413.2 | (3) individual, family, and group psychoeducation services provided by a mental health professional or a clinical trainee; |
| 413.3 413.4 | (4) clinical care consultation provided by a mental health professional or a clinical trainee; |
| 413.5 413.6 | (5) individual treatment plan development as defined in Minnesota Rules, part 9505.0371, subpart $\frac{7}{2}$ section 245I.10, subdivisions 7 and 8; and |
| 413.7 | (6) service delivery payment requirements as provided under subdivision 4. |
| 413.8 413.9 413.10 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 413.11 413.12 | Sec. 30. Minnesota Statutes 2021 Supplement, section 256B.0947, subdivision 2, is amended to read: |
| 413.13 413.14 | Subd. 2. Definitions. For purposes of this section, the following terms have the meanings given them. |
| | given them. |

412.21 Sec. 29. Minnesota Statutes 2021 Supplement, section 256B.0946, subdivision 1, is

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NOTE: SEC. 50. MINNESOTA STATUTES 2021 SUPPLEMENT, SECTION 256B.0946, SUBDIVISION 1, AMENDMENT MOVED FROM S4410-3, ARTICLE 4, SECTION 50, TO MATCH UES4410-3, ARTICLE 8, SECTION 29.

| 137.8 137.9 | Sec. 50. Minnesota Statutes 2021 Supplement, section 256B.0946, subdivision 1, is amended to read: |
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| 137.12 137.13 137.14 | Subdivision 1. Required covered service components. (a) Subject to federal approval, medical assistance covers medically necessary intensive treatment services when the services are provided by a provider entity certified under and meeting the standards in this section. The provider entity must make reasonable and good faith efforts to report individual client outcomes to the commissioner, using instruments and protocols approved by the commissioner. |
| | (b) Intensive treatment services to children with mental illness residing in foster family settings that comprise specific required service components provided in clauses (1) to (6) are reimbursed by medical assistance when they meet the following standards: |
| 137.19 | (1) psychotherapy provided by a mental health professional or a clinical trainee; |
| 137.20 | (2) crisis planning; |
| 137.21 137.22 | (3) individual, family, and group psychoeducation services provided by a mental health professional or a clinical trainee; |
| 137.23 137.24 | (4) clinical care consultation provided by a mental health professional or a clinical trainee; |
| 137.25 137.26 | (5) individual treatment plan development as defined in Minnesota Rules, part 9505.0371, subpart 7 section 2451.10, subdivisions 7 and 8; and |
| 137.27 | (6) service delivery payment requirements as provided under subdivision 4. |
| | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 224.5 224.6 | Sec. 45. Minnesota Statutes 2021 Supplement, section 256B.0947, subdivision 2, is amended to read: |
| 224.7 224.8 | Subd. 2. Definitions. For purposes of this section, the following terms have the meanings given them. |
| 224.11 224.12 224.13 | (a) "Intensive nonresidential rehabilitative mental health services" means child rehabilitative mental health services as defined in section 256B.0943, except that these services are provided by a multidisciplinary staff using a total team approach consistent with assertive community treatment, as adapted for youth, and are directed to recipients who are eight years of age or older and under 26 years of age who require intensive services to prevent admission to an inpatient psychiatric hospital or placement in a residential |

| | treatment facility or who require intensive services to step down from inpatient or residential care to community-based care. |
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| | (b) "Co-occurring mental illness and substance use disorder" means a dual diagnosis of at least one form of mental illness and at least one substance use disorder. Substance use disorders include alcohol or drug abuse or dependence, excluding nicotine use. |
| 413.26 413.27 | (c) "Standard diagnostic assessment" means the assessment described in section 245I.10, subdivision 6 . |
| 413.28 413.29 | (d) "Medication education services" means services provided individually or in groups, which focus on: |
| 413.30 413.31 | (1) educating the client and client's family or significant nonfamilial supporters about mental illness and symptoms; |
| 413.32 | (2) the role and effects of medications in treating symptoms of mental illness; and |
| 414.1 | (3) the side effects of medications. |
| 414.2 414.3 414.4 | Medication education is coordinated with medication management services and does not duplicate it. Medication education services are provided by physicians, pharmacists, or registered nurses with certification in psychiatric and mental health care. |
| 414.5 414.6 | (e) "Mental health professional" means a staff person who is qualified according to section 245I.04, subdivision 2. |
| 414.7 414.8 | (f) "Provider agency" means a for-profit or nonprofit organization established to administer an assertive community treatment for youth team. |
| 414.9 414.10 | (g) "Substance use disorders" means one or more of the disorders defined in the diagnostic and statistical manual of mental disorders, current edition. |
| 414.11 | (h) "Transition services" means: |
| 414.14 | (1) activities, materials, consultation, and coordination that ensures continuity of the client's care in advance of and in preparation for the client's move from one stage of care or life to another by maintaining contact with the client and assisting the client to establish provider relationships; |
| 414.16 | (2) providing the client with knowledge and skills needed posttransition; |
| 414.17 | (3) establishing communication between sending and receiving entities; |
| 414.18 | (4) supporting a client's request for service authorization and enrollment; and |
| 414.19 | (5) establishing and enforcing procedures and schedules. |
| 414.20 414.21 | A youth's transition from the children's mental health system and services to the adult mental health system and services and return to the client's home and entry or re-entry into |

| | treatment facility or who require intensive services to step down from inpatient or residential care to community-based care. |
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| | (b) "Co-occurring mental illness and substance use disorder" means a dual diagnosis of at least one form of mental illness and at least one substance use disorder. Substance use disorders include alcohol or drug abuse or dependence, excluding nicotine use. |
| 224.20 224.21 | (c) "Standard diagnostic assessment" means the assessment described in section 245I.10, subdivision 6 . |
| 224.22 224.23 | (d) "Medication education services" means services provided individually or in groups, which focus on: |
| 224.24 224.25 | (1) educating the client and client's family or significant nonfamilial supporters about mental illness and symptoms; |
| 224.26 | (2) the role and effects of medications in treating symptoms of mental illness; and |
| 224.27 | (3) the side effects of medications. |
| 224.29 | Medication education is coordinated with medication management services and does not duplicate it. Medication education services are provided by physicians, pharmacists, or registered nurses with certification in psychiatric and mental health care. |
| 224.31 224.32 | (e) "Mental health professional" means a staff person who is qualified according to section 245I.04, subdivision 2. |
| 225.1 225.2 | (f) "Provider agency" means a for-profit or nonprofit organization established to administer an assertive community treatment for youth team. |
| 225.3 225.4 | (g) "Substance use disorders" means one or more of the disorders defined in the diagnostic and statistical manual of mental disorders, current edition. |
| 225.5 | (h) "Transition services" means: |
| 225.6 225.7 225.8 225.9 | (1) activities, materials, consultation, and coordination that ensures continuity of the client's care in advance of and in preparation for the client's move from one stage of care or life to another by maintaining contact with the client and assisting the client to establish provider relationships; |
| 225.10 | (2) providing the client with knowledge and skills needed posttransition; |
| 225.11 | (3) establishing communication between sending and receiving entities; |
| 225.12 | (4) supporting a client's request for service authorization and enrollment; and |
| 225.13 | (5) establishing and enforcing procedures and schedules. |
| 225.14 225.15 | A youth's transition from the children's mental health system and services to the adult mental health system and services and return to the client's home and entry or re-entry into |

| | $\frac{\text{community-based mental health services following discharge from an out-of-home placement}}{\text{or inpatient hospital stay.}}$ |
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| 414.24 | (i) "Treatment team" means all staff who provide services to recipients under this section. |
| 414.25 414.26 | (j) "Family peer specialist" means a staff person who is qualified under section 256B.0616. |
| 414.27 414.28 | Sec. 31. Minnesota Statutes 2021 Supplement, section 256B.0947, subdivision 6, is amended to read: |
| 414.29 414.30 | Subd. 6. Service standards. The standards in this subdivision apply to intensive nonresidential rehabilitative mental health services. |
| 415.1 | (a) The treatment team must use team treatment, not an individual treatment model. |
| 415.2 | (b) Services must be available at times that meet client needs. |
| 415.3 | (c) Services must be age-appropriate and meet the specific needs of the client. |
| 415.4 415.5 415.6 415.7 | (d) The level of care assessment as defined in section 245I.02, subdivision 19, and functional assessment as defined in section 245I.02, subdivision 17, must be updated at least every 90 days six months or prior to discharge from the service, whichever comes first. |
| 415.8 415.9 | (e) The treatment team must complete an individual treatment plan for each client, according to section 245I.10, subdivisions 7 and 8, and the individual treatment plan must: |
| | continuity and to facilitate the client's return to the community. For clients under the age of 18, the treatment team must consult with parents and guardians in developing the treatment |
| 415.15 | (2) if a need for substance use disorder treatment is indicated by validated assessment: |
| 415.16 | (i) identify goals, objectives, and strategies of substance use disorder treatment; |
| 415.17 415.18 | (ii) develop a schedule for accomplishing substance use disorder treatment goals and objectives; and |
| 415.19 415.20 | (iii) identify the individuals responsible for providing substance use disorder treatment services and supports; $\underline{\text{and}}$ |
| | (3) provide for the client's transition out of intensive nonresidential rehabilitative mental health services by defining the team's actions to assist the client and subsequent providers in the transition to less intensive or "stepped down" services; and. |

| | community-based mental health services following discharge from an out-of-home placement or inpatient hospital stay. |
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| 25.18 | (i) "Treatment team" means all staff who provide services to recipients under this section |
| 25.19 | (j) "Family peer specialist" means a staff person who is qualified under section 256B.0616. |
| 25.21 | Sec. 46. Minnesota Statutes 2021 Supplement, section 256B.0947, subdivision 6, is amended to read: |
| 25.23 | Subd. 6. Service standards. The standards in this subdivision apply to intensive nonresidential rehabilitative mental health services. |
| 25.25 | (a) The treatment team must use team treatment, not an individual treatment model. |
| 25.26 | (b) Services must be available at times that meet client needs. |
| 25.27 | (c) Services must be age-appropriate and meet the specific needs of the client. |
| 225.28 225.29 226.1 226.2 | (d) The level of care assessment as defined in section 245I.02, subdivision 19, and functional assessment as defined in section 245I.02, subdivision 17, must be updated at least every 90 days six months or prior to discharge from the service, whichever comes first. |
| 226.3 226.4 | (e) The treatment team must complete an individual treatment plan for each client, according to section 245I.10, subdivisions 7 and 8, and the individual treatment plan must: |
| 226.5 226.6 226.7 226.8 226.9 | (1) be completed in consultation with the client's current therapist and key providers and provide for ongoing consultation with the client's current therapist to ensure therapeutic continuity and to facilitate the client's return to the community. For clients under the age of 18, the treatment team must consult with parents and guardians in developing the treatment plan; |
| 26.10 | (2) if a need for substance use disorder treatment is indicated by validated assessment: |
| 26.11 | (i) identify goals, objectives, and strategies of substance use disorder treatment; |
| 226.12 | (ii) develop a schedule for accomplishing substance use disorder treatment goals and objectives; and |
| 226.14 | (iii) identify the individuals responsible for providing substance use disorder treatment services and supports; $\underline{\text{and}}$ |
| | (3) provide for the client's transition out of intensive nonresidential rehabilitative mental health services by defining the team's actions to assist the client and subsequent providers in the transition to less intensive or "stepped down" services and |

| 415.24 | (4) notwithstanding section 245I.10, subdivision 8, be reviewed at least every 90 days |
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| 415.25 | and revised to document treatment progress or, if progress is not documented, to document |
| 415.26 | changes in treatment. |

- 415.27 (f) The treatment team shall actively and assertively engage the client's family members 415.28 and significant others by establishing communication and collaboration with the family and 415.29 significant others and educating the family and significant others about the client's mental 415.30 illness, symptom management, and the family's role in treatment, unless the team knows or 415.31 has reason to suspect that the client has suffered or faces a threat of suffering any physical 415.32 or mental injury, abuse, or neglect from a family member or significant other.
- (g) For a client age 18 or older, the treatment team may disclose to a family member, other relative, or a close personal friend of the client, or other person identified by the client, the protected health information directly relevant to such person's involvement with the client's care, as provided in Code of Federal Regulations, title 45, part 164.502(b). If the client is present, the treatment team shall obtain the client's agreement, provide the client with an opportunity to object, or reasonably infer from the circumstances, based on the exercise of professional judgment, that the client does not object. If the client is not present or is unable, by incapacity or emergency circumstances, to agree or object, the treatment team may, in the exercise of professional judgment, determine whether the disclosure is in the best interests of the client and, if so, disclose only the protected health information that is directly relevant to the family member's, relative's, friend's, or client-identified person's involvement with the client's health care. The client may orally agree or object to the disclosure and may prohibit or restrict disclosure to specific individuals.
- 416.14 (h) The treatment team shall provide interventions to promote positive interpersonal 416.15 relationships.
- 416.16 EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval,
 416.17 whichever is later. The commissioner of human services shall notify the revisor of statutes
 416.18 when federal approval is obtained.
- 416.19 Sec. 32. Minnesota Statutes 2021 Supplement, section 256B.0949, subdivision 2, is 416.20 amended to read:
- 416.21 Subd. 2. **Definitions.** (a) The terms used in this section have the meanings given in this 416.22 subdivision.
- 416.23 (b) "Agency" means the legal entity that is enrolled with Minnesota health care programs
 416.24 as a medical assistance provider according to Minnesota Rules, part 9505.0195, to provide
 416.25 EIDBI services and that has the legal responsibility to ensure that its employees or contractors
 416.26 carry out the responsibilities defined in this section. Agency includes a licensed individual
 416.27 professional who practices independently and acts as an agency.
- 416.28 (c) "Autism spectrum disorder or a related condition" or "ASD or a related condition" 416.29 means either autism spectrum disorder (ASD) as defined in the current version of the 416.30 Diagnostic and Statistical Manual of Mental Disorders (DSM) or a condition that is found

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| 26.19 26.20 26.21 | (4) notwithstanding section 245I.10, subdivision 8, be reviewed at least every 90 days and revised to document treatment progress or, if progress is not documented, to document changes in treatment. |
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| 26.26 | (f) The treatment team shall actively and assertively engage the client's family members and significant others by establishing communication and collaboration with the family and significant others and educating the family and significant others about the client's mental illness, symptom management, and the family's role in treatment, unless the team knows or has reason to suspect that the client has suffered or faces a threat of suffering any physical or mental injury, abuse, or neglect from a family member or significant other. |
| 26.28 26.29 26.30 26.31 26.32 26.33 27.1 27.2 27.3 27.4 27.5 27.6 27.7 | the protected health information directly relevant to such person's involvement with the client's care, as provided in Code of Federal Regulations, title 45, part 164.502(b). If the |
| 27.8 27.9 | (h) The treatment team shall provide interventions to promote positive interpersonal relationships. |
| 27.10 27.11 27.12 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 27.13 27.14 | Sec. 47. Minnesota Statutes 2021 Supplement, section 256B.0949, subdivision 2, is amended to read: |
| 27.15 27.16 | Subd. 2. Definitions. (a) The terms used in this section have the meanings given in this subdivision. |
| 27.19 27.20 27.21 27.22 27.23 | (b) (c) "Agency" means the legal entity that is enrolled with Minnesota health care programs as a medical assistance provider according to Minnesota Rules, part 9505.0195, to provide EIDBI services and that has the legal responsibility to ensure that its employees or contractors carry out the responsibilities defined in this section. Agency includes a licensed individual professional who practices independently and acts as an agency. |
| 27.24 | (e) (d) "Autism spectrum disorder or a related condition" or "ASD or a related condition" |

227.25 means either autism spectrum disorder (ASD) as defined in the current version of the

227.26 Diagnostic and Statistical Manual of Mental Disorders (DSM) or a condition that is found

| to be closely related to ASD, as identified under the current version of the DSM, and meets all of the following criteria: |
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| (1) is severe and chronic; |
| (2) results in impairment of adaptive behavior and function similar to that of a person with ASD; |
| (3) requires treatment or services similar to those required for a person with ASD; and |
| (4) results in substantial functional limitations in three core developmental deficits of ASD: social or interpersonal interaction; functional communication, including nonverbal or social communication; and restrictive or repetitive behaviors or hyperreactivity or hyporeactivity to sensory input; and may include deficits or a high level of support in one or more of the following domains: |
| (i) behavioral challenges and self-regulation; |
| (ii) cognition; |
| (iii) learning and play; |
| (iv) self-care; or |
| (v) safety. |
| (d) "Person" means a person under 21 years of age. |
| (e) "Clinical supervision" means the overall responsibility for the control and direction of EIDBI service delivery, including individual treatment planning, staff supervision, individual treatment plan progress monitoring, and treatment review for each person. Clinical supervision is provided by a qualified supervising professional (QSP) who takes full professional responsibility for the service provided by each supervisee. |
| (f) "Commissioner" means the commissioner of human services, unless otherwise specified. |
| (g) "Comprehensive multidisciplinary evaluation" or "CMDE" means a comprehensive evaluation of a person to determine medical necessity for EIDBI services based on the requirements in subdivision 5. |
| (h) "Department" means the Department of Human Services, unless otherwise specified. |
| (i) "Early intensive developmental and behavioral intervention benefit" or "EIDBI benefit" means a variety of individualized, intensive treatment modalities approved and published by the commissioner that are based in behavioral and developmental science consistent with best practices on effectiveness. |
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| | to be closely related to ASD, as identified under the current version of the DSM, and meets all of the following criteria: |
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| 227.29 | (1) is severe and chronic; |
| 227.30 227.31 | (2) results in impairment of adaptive behavior and function similar to that of a person with ASD; |
| 227.32 | (3) requires treatment or services similar to those required for a person with ASD; and |
| 228.1 228.2 228.3 228.4 228.5 | (4) results in substantial functional limitations in three core developmental deficits of ASD: social or interpersonal interaction; functional communication, including nonverbal or social communication; and restrictive or repetitive behaviors or hyperreactivity or hyporeactivity to sensory input; and may include deficits or a high level of support in one or more of the following domains: |
| 228.6 | (i) behavioral challenges and self-regulation; |
| 228.7 | (ii) cognition; |
| 228.8 | (iii) learning and play; |
| 228.9 | (iv) self-care; or |
| 228.10 | (v) safety. |
| 228.11 | (d) (e) "Person" means a person under 21 years of age. |
| 228.14 228.15 | (e) (f) "Clinical supervision" means the overall responsibility for the control and direction of EIDBI service delivery, including individual treatment planning, staff supervision, individual treatment plan progress monitoring, and treatment review for each person. Clinical supervision is provided by a qualified supervising professional (QSP) who takes full professional responsibility for the service provided by each supervisee. |
| 228.17 228.18 | (f) (g) "Commissioner" means the commissioner of human services, unless otherwise specified. |
| | (g) (h) "Comprehensive multidisciplinary evaluation" or "CMDE" means a comprehensive evaluation of a person to determine medical necessity for EIDBI services based on the requirements in subdivision 5. |
| 228.22 228.23 | (h) (i) "Department" means the Department of Human Services, unless otherwise specified. |
| 228.26 | (i) (j) "Early intensive developmental and behavioral intervention benefit" or "EIDBI benefit" means a variety of individualized, intensive treatment modalities approved and published by the commissioner that are based in behavioral and developmental science consistent with best practices on effectiveness |

| 417.30 417.31 418.1 418.2 | |
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| 418.3 | (k) "Incident" means when any of the following occur: |
| 418.4 | (1) an illness, accident, or injury that requires first aid treatment; |
| 418.5 | (2) a bump or blow to the head; or |
| 418.6 418.7 | (3) an unusual or unexpected event that jeopardizes the safety of a person or staff, including a person leaving the agency unattended. |
| | (1) "Individual treatment plan" or "ITP" means the person-centered, individualized written plan of care that integrates and coordinates person and family information from the CMDE for a person who meets medical necessity for the EIDBI benefit. An individual treatment plan must meet the standards in subdivision 6. |
| 418.14 418.15 | (m) "Legal representative" means the parent of a child who is under 18 years of age, a court-appointed guardian, or other representative with legal authority to make decisions about service for a person. For the purpose of this subdivision, "other representative with legal authority to make decisions" includes a health care agent or an attorney-in-fact authorized through a health care directive or power of attorney. |
| 418.17 418.18 | (n) "Mental health professional" means a staff person who is qualified according to section 245I.04, subdivision 2. |
| 418.21 | (o) "Person-centered" means a service that both responds to the identified needs, interests, values, preferences, and desired outcomes of the person or the person's legal representative and respects the person's history, dignity, and cultural background and allows inclusion and participation in the person's community. |
| 418.23 418.24 | (p) "Qualified EIDBI provider" means a person who is a QSP or a level II, level II, or level III treatment provider. |
| 418.25 418.26 | (q) "Advanced certification" means a person who has completed advanced certification in an approved modality under subdivision 13, paragraph (b). |

| 228.28 228.29 228.30 228.31 | (**) (k) "Generalizable goals" means results or gains that are observed during a variety of activities over time with different people, such as providers, family members, other adults, and people, and in different environments including, but not limited to, clinics, homes, schools, and the community. |
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| 28.32 | (k) (1) "Incident" means when any of the following occur: |
| 29.1 | (1) an illness, accident, or injury that requires first aid treatment; |
| 29.2 | (2) a bump or blow to the head; or |
| 229.3 229.4 | (3) an unusual or unexpected event that jeopardizes the safety of a person or staff, including a person leaving the agency unattended. |
| 229.5 229.6 229.7 229.8 | (h) (m) "Individual treatment plan" or "ITP" means the person-centered, individualized written plan of care that integrates and coordinates person and family information from the CMDE for a person who meets medical necessity for the EIDBI benefit. An individual treatment plan must meet the standards in subdivision 6. |
| 229.9 229.10 229.11 229.12 229.13 | (m) (n) "Legal representative" means the parent of a child who is under 18 years of age, a court-appointed guardian, or other representative with legal authority to make decisions about service for a person. For the purpose of this subdivision, "other representative with legal authority to make decisions" includes a health care agent or an attorney-in-fact authorized through a health care directive or power of attorney. |
| 29.14 | $\frac{\text{(n)}}{\text{(o)}}$ "Mental health professional" means a staff person who is qualified according to section 2451.04, subdivision 2. |
| 229.16 229.17 229.18 229.19 | (o) (p) "Person-centered" means a service that both responds to the identified needs, interests, values, preferences, and desired outcomes of the person or the person's legal representative and respects the person's history, dignity, and cultural background and allows inclusion and participation in the person's community. |
| 29.20 29.21 | (p) (q) "Qualified EIDBI provider" means a person who is a QSP or a level I, level II, or level III treatment provider. |
| 27.17 | (b) "Advanced certification" means a person who has completed advanced certification in an approved modality under subdivision 13, paragraph (b). |
| 29.22 | Sec. 48. Minnesota Statutes 2020, section 256B.0949, subdivision 8, is amended to read: |
| 29.23 29.24 | Subd. 8. Refining the benefit with stakeholders. Before making revisions to the EIDBI benefit or proposing statutory changes to this section, the commissioner must refine the |
| 29.25 | details of the benefit in consultation consult with stakeholders and consider recommendations |
| 29.26 29.27 | from the Department of Human Services Early Intensive Developmental and Behavioral Intervention Advisory Council, the early intensive developmental and behavioral intervention |
| 29.28 | learning collaborative, and the Departments of Health, Education, Employment and Economic |

| 418.27 | Sec. 33. Minnesota | Statutes 2021 | Supplement, | section | 256B.0949, | subdivision | 13, i |
|--------|--------------------|---------------|-------------|---------|------------|-------------|-------|
| 418.28 | amended to read: | | | | | | |

Subd. 13. **Covered services.** (a) The services described in paragraphs (b) to (l) are eligible for reimbursement by medical assistance under this section. Services must be provided by a qualified EIDBI provider and supervised by a QSP. An EIDBI service must address the person's medically necessary treatment goals and must be targeted to develop, enhance, or maintain the individual developmental skills of a person with ASD or a related condition to improve functional communication, including nonverbal or social communication, social or interpersonal interaction, restrictive or repetitive behaviors,

229.29 Development, and Human Services. The details must Revisions and proposed statutory 229.30 changes subject to this subdivision include, but are not limited to, the following components: (1) a definition of the qualifications, standards, and roles of the treatment team, including 229.32 recommendations after stakeholder consultation on whether board-certified behavior analysts and other professionals certified in other treatment approaches recognized by the department or trained in ASD or a related condition and child development should be added as professionals qualified to provide EIDBI clinical supervision or other functions under medical assistance; 230.5 (2) refinement of uniform parameters for CMDE and ongoing ITP progress monitoring 230.6 standards: 230.7 (3) the design of an effective and consistent process for assessing the person's and the person's legal representative's and the person's caregiver's preferences and options to participate in the person's early intervention treatment and efficacy of methods to involve 230.10 and educate the person's legal representative and caregiver in the treatment of the person; (4) formulation of a collaborative process in which professionals have opportunities to 230.11 230.12 collectively inform provider standards and qualifications; standards for CMDE; medical 230.13 necessity determination; efficacy of treatment apparatus, including modality, intensity, 230.14 frequency, and duration; and ITP progress monitoring processes to support quality 230.15 improvement of EIDBI services; (5) coordination of this benefit and its interaction with other services provided by the 230.17 Departments of Human Services, Health, Employment and Economic Development, and 230.18 Education; (6) evaluation, on an ongoing basis, of EIDBI services outcomes and efficacy of treatment 230.20 modalities provided to people under this benefit; and (7) as provided under subdivision 17, determination of the availability of qualified EIDBI 230.22 providers with necessary expertise and training in ASD or a related condition throughout 230.23 the state to assess whether there are sufficient professionals to provide timely access and 230.24 prevent delay in the CMDE and treatment of a person with ASD or a related condition. Sec. 49. Minnesota Statutes 2021 Supplement, section 256B.0949, subdivision 13, is 230.26 amended to read: 230.27 Subd. 13. Covered services. (a) The services described in paragraphs (b) to (l) are 230.28 eligible for reimbursement by medical assistance under this section. Services must be 230.29 provided by a qualified EIDBI provider and supervised by a OSP. An EIDBI service must 230.30 address the person's medically necessary treatment goals and must be targeted to develop, 230.31 enhance, or maintain the individual developmental skills of a person with ASD or a related 230.32 condition to improve functional communication, including nonverbal or social

230.33 communication, social or interpersonal interaction, restrictive or repetitive behaviors,

| 419.4 419.5 | hyperreactivity or hyporeactivity to sensory input, behavioral challenges and self-regulation, cognition, learning and play, self-care, and safety. |
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| 419.6 419.7 | (b) EIDBI treatment must be delivered consistent with the standards of an approved modality, as published by the commissioner. EIDBI modalities include: |
| 419.8 | (1) applied behavior analysis (ABA); |
| 419.9 | (2) developmental individual-difference relationship-based model (DIR/Floortime); |
| 419.10 | (3) early start Denver model (ESDM); |
| 419.11 | (4) PLAY project; |
| 419.12 | (5) relationship development intervention (RDI); or |
| 419.13 419.14 | (6) additional modalities not listed in clauses (1) to (5) upon approval by the commissioner. |
| 419.17 419.18 419.19 419.20 | (c) An EIDBI provider may use one or more of the EIDBI modalities in paragraph (b), clauses (1) to (5), as the primary modality for treatment as a covered service, or several EIDBI modalities in combination as the primary modality of treatment, as approved by the commissioner. An EIDBI provider that identifies and provides assurance of qualifications for a single specific treatment modality, including an EIDBI provider with advanced certification overseeing implementation, must document the required qualifications to meet fidelity to the specific model in a manner determined by the commissioner. |
| 419.24 | (d) Each qualified EIDBI provider must identify and provide assurance of qualifications for professional licensure certification, or training in evidence-based treatment methods, and must document the required qualifications outlined in subdivision 15 in a manner determined by the commissioner. |
| | (e) CMDE is a comprehensive evaluation of the person's developmental status to determine medical necessity for EIDBI services and meets the requirements of subdivision 5. The services must be provided by a qualified CMDE provider. |
| 419.31 | (f) EIDBI intervention observation and direction is the clinical direction and oversight of EIDBI services by the QSP, level I treatment provider, or level II treatment provider, including developmental and behavioral techniques, progress measurement, data collection, function of behaviors, and generalization of acquired skills for the direct benefit of a person. EIDBI intervention observation and direction informs any modification of the current treatment protocol to support the outcomes outlined in the ITP. |
| 420.3 420.4 420.5 420.6 420.7 | (g) Intervention is medically necessary direct treatment provided to a person with ASD or a related condition as outlined in their ITP. All intervention services must be provided under the direction of a QSP. Intervention may take place across multiple settings. The frequency and intensity of intervention services are provided based on the number of treatment goals, person and family or caregiver preferences, and other factors. Intervention |

| 31.1 31.2 | hyperreactivity or hyporeactivity to sensory input, behavioral challenges and self-regulation, cognition, learning and play, self-care, and safety. |
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| 31.3 31.4 | (b) EIDBI treatment must be delivered consistent with the standards of an approved modality, as published by the commissioner. EIDBI modalities include: |
| 31.5 | (1) applied behavior analysis (ABA); |
| 31.6 | (2) developmental individual-difference relationship-based model (DIR/Floortime); |
| 31.7 | (3) early start Denver model (ESDM); |
| 31.8 | (4) PLAY project; |
| 31.9 | (5) relationship development intervention (RDI); or |
| 31.10 31.11 | (6) additional modalities not listed in clauses (1) to (5) upon approval by the commissioner. |
| 31.14 31.15 31.16 31.17 | (c) An EIDBI provider may use one or more of the EIDBI modalities in paragraph (b), clauses (1) to (5), as the primary modality for treatment as a covered service, or several EIDBI modalities in combination as the primary modality of treatment, as approved by the commissioner. An EIDBI provider that identifies and provides assurance of qualifications for a single specific treatment modality, including an EIDBI provider with advanced certification overseeing implementation, must document the required qualifications to meet fidelity to the specific model in a manner determined by the commissioner. |
| 31.19 31.20 31.21 31.22 | , 8 |
| 31.23 31.24 31.25 | (e) CMDE is a comprehensive evaluation of the person's developmental status to determine medical necessity for EIDBI services and meets the requirements of subdivision 5. The services must be provided by a qualified CMDE provider. |
| 31.26 31.27 31.28 31.29 31.30 31.31 | including developmental and behavioral techniques, progress measurement, data collection, function of behaviors, and generalization of acquired skills for the direct benefit of a person. EIDBI intervention observation and direction informs any modification of the current |
| 32.1 32.2 32.3 32.4 32.5 | (g) Intervention is medically necessary direct treatment provided to a person with ASD or a related condition as outlined in their ITP. All intervention services must be provided under the direction of a QSP. Intervention may take place across multiple settings. The frequency and intensity of intervention services are provided based on the number of treatment goals, person and family or caregiver preferences, and other factors. Intervention |

- 420.8 services may be provided individually or in a group. Intervention with a higher provider 420.9 ratio may occur when deemed medically necessary through the person's ITP.
- 420.10 (1) Individual intervention is treatment by protocol administered by a single qualified 420.11 EIDBI provider delivered to one person.
- 420.12 (2) Group intervention is treatment by protocol provided by one or more qualified EIDBI 420.13 providers, delivered to at least two people who receive EIDBI services.
- 420.14 (3) Higher provider ratio intervention is treatment with protocol modification provided 420.15 by two or more qualified EIDBI providers delivered to one person in an environment that 420.16 meets the person's needs and under the direction of the QSP or level I provider.
- 420.17 (h) ITP development and ITP progress monitoring is development of the initial, annual,
 420.18 and progress monitoring of an ITP. ITP development and ITP progress monitoring documents
 420.19 provide oversight and ongoing evaluation of a person's treatment and progress on targeted
 420.20 goals and objectives and integrate and coordinate the person's and the person's legal
 420.21 representative's information from the CMDE and ITP progress monitoring. This service
 420.22 must be reviewed and completed by the QSP, and may include input from a level I provider
 420.23 or a level II provider.
- 420.24 (i) Family caregiver training and counseling is specialized training and education for a 420.25 family or primary caregiver to understand the person's developmental status and help with 420.26 the person's needs and development. This service must be provided by the QSP, level I 420.27 provider, or level II provider.
- 420.28 (j) A coordinated care conference is a voluntary meeting with the person and the person's 420.29 family to review the CMDE or ITP progress monitoring and to integrate and coordinate 420.30 services across providers and service-delivery systems to develop the ITP. This service 420.31 must be provided by the QSP and may include the CMDE provider or, QSP, a level I 420.32 provider, or a level II provider.
- 421.1 (k) Travel time is allowable billing for traveling to and from the person's home, school,
 421.2 a community setting, or place of service outside of an EIDBI center, clinic, or office from
 421.3 a specified location to provide in-person EIDBI intervention, observation and direction, or
 421.4 family caregiver training and counseling. The person's ITP must specify the reasons the
 421.5 provider must travel to the person.
- 421.6 (I) Medical assistance covers medically necessary EIDBI services and consultations
 421.7 delivered by a licensed health care provider via telehealth, as defined under section
 421.8 256B.0625, subdivision 3b, in the same manner as if the service or consultation was delivered
 421.9 in person.

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| 32.6 32.7 | services may be provided individually or in a group. Intervention with a higher provider ratio may occur when deemed medically necessary through the person's ITP. |
|---|---|
| 32.8 32.9 | (1) Individual intervention is treatment by protocol administered by a single qualified EIDBI provider delivered to one person. |
| 32.10 32.11 | (2) Group intervention is treatment by protocol provided by one or more qualified EIDB providers, delivered to at least two people who receive EIDBI services. |
| 32.12 32.13 32.14 | (3) Higher provider ratio intervention is treatment with protocol modification provided by two or more qualified EIDBI providers delivered to one person in an environment that meets the person's needs and under the direction of the QSP or level I provider. |
| | (h) ITP development and ITP progress monitoring is development of the initial, annual, and progress monitoring of an ITP. ITP development and ITP progress monitoring documents provide oversight and ongoing evaluation of a person's treatment and progress on targeted goals and objectives and integrate and coordinate the person's and the person's legal representative's information from the CMDE and ITP progress monitoring. This service must be reviewed and completed by the QSP, and may include input from a level I provider or a level II provider. |
| 32.22 32.23 32.24 32.25 | (i) Family caregiver training and counseling is specialized training and education for a family or primary caregiver to understand the person's developmental status and help with the person's needs and development. This service must be provided by the QSP, level I provider, or level II provider. |
| 32.26 32.27 32.28 32.29 32.30 | (j) A coordinated care conference is a voluntary meeting with the person and the person's family to review the CMDE or ITP progress monitoring and to integrate and coordinate services across providers and service-delivery systems to develop the ITP. This service must be provided by the QSP and may include the CMDE provider or, QSP, a level I provider, or a level II provider. |
| 32.31 32.32 32.33 33.1 33.2 | (k) Travel time is allowable billing for traveling to and from the person's home, school, a community setting, or place of service outside of an EIDBI center, clinic, or office from a specified location to provide in-person EIDBI intervention, observation and direction, or family caregiver training and counseling. The person's ITP must specify the reasons the provider must travel to the person. |
| 33.3 33.4 33.5 33.6 | (l) Medical assistance covers medically necessary EIDBI services and consultations delivered by a licensed health care provider via telehealth, as defined under section 256B.0625, subdivision 3b, in the same manner as if the service or consultation was delivered in person. |
| 33.7 | Sec. 50. Minnesota Statutes 2020, section 256B.49, subdivision 23, is amended to read: |
| 33.8 33.9 | Subd. 23. Community-living settings. (a) For the purposes of this chapter, "community-living settings" means a single-family home or multifamily dwelling unit where |

233.10 a service recipient or a service recipient's family owns or rents, and maintains control over

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| 233.12 | 8 1 11 |
| 233.13 | in which the service provider has a direct or indirect financial interest. |
| 233.14 | (b) To ensure a service recipient or the service recipient's family maintains control over |
| 233.15 | the home or dwelling unit, community-living settings are subject to the following |
| 233.16 | requirements: |
| 233.17 | (1) service recipients must not be required to receive services or share services; |
| 233.18 | (2) service recipients must not be required to have a disability or specific diagnosis to |
| 233.19 | · / I · · · · · · · · · · · · · · · · · |
| 233.20 | (3) service recipients may hire service providers of their choice; |
| 233.20 | |
| 233.21 | (4) service recipients may choose whether to share their household and with whom; |
| 233.22 | (5) the home or multifamily dwelling unit must include living, sleeping, bathing, and |
| 233.23 | cooking areas; |
| 233.24 | (6) service recipients must have lockable access and egress; |
| 233.25 | (7) service recipients must be free to receive visitors and leave the settings at times and |
| 233.26 | for durations of their own choosing; |
| | |
| 233.27 | (8) leases must comply with chapter 504B; |
| 233.27 233.28 | (8) leases must comply with chapter 504B;(9) landlords must not charge different rents to tenants who are receiving home and |
| | (9) landlords must not charge different rents to tenants who are receiving home and |
| 233.28 233.29 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and |
| 233.28 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and(10) access to the greater community must be easily facilitated based on the service |
| 233.28 233.29 233.30 233.31 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. |
| 233.28 233.29 233.30 233.31 234.1 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or |
| 233.28 233.29 233.30 233.31 234.1 234.2 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits |
| 233.28 233.29 233.30 233.31 234.1 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service |
| 233.28 233.29 233.30 233.31 234.1 234.2 234.3 234.4 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from modifying services with an existing cosigning service provider and, |
| 233.28 233.29 233.30 233.31 234.1 234.2 234.3 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from modifying services with an existing cosigning service provider and, subject to the approval of the landlord, maintaining a lease cosigned by the service provider. |
| 233.28 233.29 233.30 233.31 234.1 234.2 234.3 234.4 234.5 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from modifying services with an existing cosigning service provider and, |
| 233.28 233.29 233.30 233.31 234.1 234.2 234.3 234.4 234.5 234.6 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from modifying services with an existing cosigning service provider and, subject to the approval of the landlord, maintaining a lease cosigned by the service provider. Nothing in this section prohibits a service recipient, during any period in which a service |
| 233.28 233.29 233.30 233.31 234.1 234.2 234.3 234.4 234.5 234.6 234.7 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from modifying services with an existing cosigning service provider and, subject to the approval of the landlord, maintaining a lease cosigned by the service provider. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from terminating services with the |
| 233.28 233.29 233.30 233.31 234.1 234.2 234.3 234.4 234.5 234.6 234.7 234.8 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from modifying services with an existing cosigning service provider and, subject to the approval of the landlord, maintaining a lease cosigned by the service provider. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from terminating services with the cosigning service provider, receiving services from a new service provider, and, subject to |
| 233.28 233.29 233.30 233.31 234.1 234.2 234.3 234.4 234.5 234.6 234.7 234.8 234.9 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from modifying services with an existing cosigning service provider and, subject to the approval of the landlord, maintaining a lease cosigned by the service provider. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from terminating services with the cosigning service provider, receiving services from a new service provider, and, subject to the approval of the landlord, maintaining a lease cosigned by the new service provider. |
| 233.28 233.29 233.30 233.31 234.1 234.2 234.3 234.4 234.5 234.6 234.7 234.8 234.9 | (9) landlords must not charge different rents to tenants who are receiving home and community-based services; and (10) access to the greater community must be easily facilitated based on the service recipient's needs and preferences. (c) Nothing in this section prohibits a service recipient from having another person or entity not affiliated with the service provider cosign a lease. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from modifying services with an existing cosigning service provider and, subject to the approval of the landlord, maintaining a lease cosigned by the service provider. Nothing in this section prohibits a service recipient, during any period in which a service provider has cosigned the service recipient's lease, from terminating services with the cosigning service provider, receiving services from a new service provider, and, subject to the approval of the landlord, maintaining a lease cosigned by the new service provider. (d) A lease cosigned by a service provider meets the requirements of paragraph (a) if the service recipient and service provider develop and implement a transition plan which |

| 234.14 | (e) In the event the landlord has not approved the transfer of the lease within two years |
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| 234.15 | 1 8 8 |
| 234.16 | 1 |
| 234.17 | cosigned lease arrangement. The extension request must include: |
| 234.18 | (1) the reason the landlord denied the transfer; |
| 234.19 | (2) the plan to overcome the denial to transfer the lease; |
| 234.20 | (3) the length of time needed to successfully transfer the lease, not to exceed an additional |
| 234.21 | two years; |
| 22422 | |
| 234.22 | (4) a description of the information provided to the person to help the person make an |
| 234.23 | informed choice about entering into a time-limited cosigned lease extension with the service |
| 234.24 | provider; |
| 234.25 | (4) (5) a description of how the transition plan was followed, what occurred that led to |
| 234.26 | · / <u>· · ·</u> |
| | |
| 234.27 | the service recipient experienced; and |
| 234.28 | (5) (6) a revised transition plan to transfer the cosigned lease between the service provider |
| 234.29 | and the service recipient to the service recipient. |
| | • |
| 234.30 | The commissioner must approve an extension within sufficient time to ensure the continued |
| 234.31 | occupancy by the service recipient. |
| 234.32 | (f) In the event the landlord has not approved the transfer of the lease within the timelines |
| | |
| 234.33 | of an approved time-limited extension request, the service provider must submit another |
| 235.1 | time-limited extension request to the commissioner of human services to continue the |
| 235.2 | cosigned lease arrangement. A time-limited extension request submitted under this paragraph |
| 235.3 | must include the same information required for an initial time-limited extension request |
| 235.4 | under paragraph (e). The commissioner must approve or deny an extension within 60 days. |
| 235.5 | (g) The commissioner may grant a service recipient no more than three additional |
| 235.6 | time-limited extensions under paragraph (f). |
| 233.0 | |
| 235.7 | EFFECTIVE DATE. This section is effective January 1, 2023, or upon federal approval, |
| 235.8 | whichever is later. The commissioner of human services shall notify the revisor of statutes |
| 235.9 | when federal approval is obtained. |
| 225.10 | C 51 Minness Charles 2021 Complement 25/D 40 1 Jinini 28 in 1 - 1 |
| 235.10 | Sec. 51. Minnesota Statutes 2021 Supplement, section 256B.49, subdivision 28, is amended |
| 235.11 | to read: |
| 235.12 | Subd. 28. Customized living moratorium for brain injury and community access |
| 235.13 | for disability inclusion waivers. (a) Notwithstanding section 245A.03, subdivision 2, |
| 235.14 | • |
| 235.15 | |
| | the commissioner shall not enroll new customized living settings serving four or fewer |
| 433.10 | the commissioner shall not emon new customized hving settings serving four of fewer |

| 235.17 | people in a single-family home to deliver customized living services as defined under the |
|---|---|
| 235.18 | brain injury or community access for disability inclusion waiver plans under this section. |
| 235.19 | (b) The commissioner may approve an exception to paragraph (a) when: |
| 235.20 | (1) an existing customized living setting changes ownership at the same address; or |
| 235.21 | (2) an existing customized living setting relocates under the same ownership to a different |
| 235.22 | address, provided the setting to which the customized services are relocated complies with |
| 235.23 | the home and community-based services rule requirements. The exception under this clause |
| 235.24 | is available until March 16, 2023, unless federal approval is obtained to permanently allow |
| 235.25 | this exception. |
| 235.26 | (c) Customized living settings operational on or before June 30, 2021, are considered |
| 235.27 | existing customized living settings. |
| 235.28 | (d) For any new customized living settings serving four or fewer people in a single-family |
| 235.29 | home to deliver customized living services as defined in paragraph (a) and that was not |
| 235.30 | operational on or before June 30, 2021, or that was operational on or before June 30, 2021, |
| 235.31 | but relocated under the same ownership to a different address without receiving an exception |
| 235.32 | under paragraph (b), clause (2), the authorizing lead agency is financially responsible for |
| 235.33 | all home and community-based service payments in the setting. |
| | |
| 236.1 | (e) For purposes of this subdivision, "operational" means customized living services are |
| 236.2 | authorized and delivered to a person in the customized living setting. |
| 236.3 | EFFECTIVE DATE. This section is effective the day following final enactment. |
| | |
| 236.4 | Sec. 52. Minnesota Statutes 2020, section 256G.02, subdivision 6, is amended to read: |
| 236.4 236.5 | Sec. 52. Minnesota Statutes 2020, section 256G.02, subdivision 6, is amended to read: Subd. 6. Excluded time. "Excluded time" means: |
| | |
| 236.5 | Subd. 6. Excluded time. "Excluded time" means: |
| 236.5 236.6 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other |
| 236.5 236.6 236.7 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting |
| 236.5 236.6 236.7 236.8 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting licensed under chapter 245D, semi-independent living domicile or services program, residential facility offering care, board and lodging facility or other institution for the hospitalization or care of human beings, as defined in section 144.50, 144A.01, or 245A.02, |
| 236.5 236.6 236.7 236.8 236.9 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting licensed under chapter 245D, semi-independent living domicile or services program, residential facility offering care, board and lodging facility or other institution for the |
| 236.5 236.6 236.7 236.8 236.9 236.10 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting licensed under chapter 245D, semi-independent living domicile or services program, residential facility offering care, board and lodging facility or other institution for the hospitalization or care of human beings, as defined in section 144.50, 144A.01, or 245A.02, subdivision 14; maternity home, battered women's shelter, or correctional facility; or any |
| 236.5 236.6 236.7 236.8 236.9 236.10 236.11 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting licensed under chapter 245D, semi-independent living domicile or services program, residential facility offering care, board and lodging facility or other institution for the hospitalization or care of human beings, as defined in section 144.50, 144A.01, or 245A.02, subdivision 14; maternity home, battered women's shelter, or correctional facility; or any |
| 236.5 236.6 236.7 236.8 236.9 236.10 236.11 236.12 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting licensed under chapter 245D, semi-independent living domicile or services program, residential facility offering care, board and lodging facility or other institution for the hospitalization or care of human beings, as defined in section 144.50, 144A.01, or 245A.02, subdivision 14; maternity home, battered women's shelter, or correctional facility; or any facility based on an emergency hold under section 253B.05, subdivisions 1 and 2; |
| 236.5 236.6 236.7 236.8 236.9 236.10 236.11 236.12 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting licensed under chapter 245D, semi-independent living domicile or services program, residential facility offering care, board and lodging facility or other institution for the hospitalization or care of human beings, as defined in section 144.50, 144A.01, or 245A.02, subdivision 14; maternity home, battered women's shelter, or correctional facility; or any facility based on an emergency hold under section 253B.05, subdivisions 1 and 2; (2) any period an applicant spends on a placement basis in a training and habilitation |
| 236.5 236.6 236.7 236.8 236.9 236.10 236.11 236.12 236.13 236.14 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting licensed under chapter 245D, semi-independent living domicile or services program, residential facility offering care, board and lodging facility or other institution for the hospitalization or care of human beings, as defined in section 144.50, 144A.01, or 245A.02, subdivision 14; maternity home, battered women's shelter, or correctional facility; or any facility based on an emergency hold under section 253B.05, subdivisions 1 and 2; (2) any period an applicant spends on a placement basis in a training and habilitation program, including: a rehabilitation facility or work or employment program as defined in |
| 236.5 236.6 236.7 236.8 236.9 236.10 236.11 236.12 236.13 236.14 236.15 236.16 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting licensed under chapter 245D, semi-independent living domicile or services program, residential facility offering care, board and lodging facility or other institution for the hospitalization or care of human beings, as defined in section 144.50, 144A.01, or 245A.02, subdivision 14; maternity home, battered women's shelter, or correctional facility; or any facility based on an emergency hold under section 253B.05, subdivisions 1 and 2; (2) any period an applicant spends on a placement basis in a training and habilitation program, including: a rehabilitation facility or work or employment program as defined in section 268A.01; semi-independent living services provided under section 252.275, and chapter 245D; or day training and habilitation programs and; |
| 236.5 236.6 236.7 236.8 236.9 236.10 236.11 236.12 236.13 236.14 236.15 | Subd. 6. Excluded time. "Excluded time" means: (1) any period an applicant spends in a hospital, sanitarium, nursing home, shelter other than an emergency shelter, halfway house, foster home, community residential setting licensed under chapter 245D, semi-independent living domicile or services program, residential facility offering care, board and lodging facility or other institution for the hospitalization or care of human beings, as defined in section 144.50, 144A.01, or 245A.02, subdivision 14; maternity home, battered women's shelter, or correctional facility; or any facility based on an emergency hold under section 253B.05, subdivisions 1 and 2; (2) any period an applicant spends on a placement basis in a training and habilitation program, including: a rehabilitation facility or work or employment program as defined in section 268A.01; semi-independent living services provided under section 252.275, and |

- 421.10 Sec. 34. Minnesota Statutes 2020, section 256K.26, subdivision 2, is amended to read:
- 421.11 Subd. 2. **Implementation.** The commissioner, in consultation with the commissioners
- 421.12 of the Department of Corrections and the Minnesota Housing Finance Agency, counties,
- 421.13 Tribes, providers, and funders of supportive housing and services, shall develop application
- 421.14 requirements and make funds available according to this section, with the goal of providing
- 421.15 maximum flexibility in program design.
- 421.16 Sec. 35. Minnesota Statutes 2020, section 256K.26, subdivision 6, is amended to read:
- 421.17 Subd. 6. **Outcomes.** Projects will be selected to further the following outcomes:
- 421.18 (1) reduce the number of Minnesota individuals and families that experience long-term
- 421.19 homelessness;
- 421.20 (2) increase the number of housing opportunities with supportive services;
- 421.21 (3) develop integrated, cost-effective service models that address the multiple barriers
- 421.22 to obtaining housing stability faced by people experiencing long-term homelessness,
- 421.23 including abuse, neglect, chemical dependency, disability, chronic health problems, or other
- 421.24 factors including ethnicity and race that may result in poor outcomes or service disparities;
- 421.25 (4) encourage partnerships among counties, Tribes, community agencies, schools, and
- 421.26 other providers so that the service delivery system is seamless for people experiencing
- 421.27 long-term homelessness;
- 421.28 (5) increase employability, self-sufficiency, and other social outcomes for individuals
- 421.29 and families experiencing long-term homelessness; and
- 422.1 (6) reduce inappropriate use of emergency health care, shelter, ehemical dependency
- substance use disorder treatment, foster care, child protection, corrections, and similar
- services used by people experiencing long-term homelessness.
- 422.4 Sec. 36. Minnesota Statutes 2020, section 256K.26, subdivision 7, is amended to read:
- 422.5 Subd. 7. **Eligible services.** Services eligible for funding under this section are all services
- 422.6 needed to maintain households in permanent supportive housing, as determined by the
- 422.7 <u>county or counties or Tribes</u> administering the project or projects.
- 422.8 Sec. 37. Minnesota Statutes 2021 Supplement, section 256P.01, subdivision 6a, is amended
- 422.9 to read:
- Subd. 6a. **Qualified professional.** (a) For illness, injury, or incapacity, a "qualified
- 422.11 professional" means a licensed physician, physician assistant, advanced practice registered
- 422.12 nurse, physical therapist, occupational therapist, or licensed chiropractor, according to their
- 422.13 scope of practice.

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| 236.19 236.20 | (3) (4) any placement for a person with an indeterminate commitment, including independent living. |
|----------------------------------|--|
| 236.21 | Sec. 53. Minnesota Statutes 2020, section 256K.26, subdivision 2, is amended to read: |
| 236.24 236.25 | Subd. 2. Implementation. The commissioner, in consultation with the commissioners of the Department of Corrections and the Minnesota Housing Finance Agency, counties, <u>Tribes</u> , providers and funders of supportive housing and services, shall develop application requirements and make funds available according to this section, with the goal of providing maximum flexibility in program design. |
| 236.27 | Sec. 54. Minnesota Statutes 2020, section 256K.26, subdivision 6, is amended to read: |
| 236.28 | Subd. 6. Outcomes. Projects will be selected to further the following outcomes: |
| 236.29 236.30 | (1) reduce the number of Minnesota individuals and families that experience long-term homelessness; |
| 237.1 | (2) increase the number of housing opportunities with supportive services; |
| 237.2 237.3 237.4 237.5 | (3) develop integrated, cost-effective service models that address the multiple barriers to obtaining housing stability faced by people experiencing long-term homelessness, including abuse, neglect, chemical dependency, disability, chronic health problems, or other factors including ethnicity and race that may result in poor outcomes or service disparities; |
| 237.6 237.7 237.8 | (4) encourage partnerships among counties, <u>Tribes</u> , community agencies, schools, and other providers so that the service delivery system is seamless for people experiencing long-term homelessness; |
| 237.9 237.10 | (5) increase employability, self-sufficiency, and other social outcomes for individuals and families experiencing long-term homelessness; and |
| | (6) reduce inappropriate use of emergency health care, shelter, ehemical dependency substance use disorder treatment, foster care, child protection, corrections, and similar services used by people experiencing long-term homelessness. |
| 237.14 | Sec. 55. Minnesota Statutes 2020, section 256K.26, subdivision 7, is amended to read: |
| | Subd. 7. Eligible services. Services eligible for funding under this section are all services needed to maintain households in permanent supportive housing, as determined by the <u>country or counties or Tribes</u> administering the project or projects. |
| 237.18 237.19 | Sec. 56. Minnesota Statutes 2021 Supplement, section 256P.01, subdivision $6a$, is amended to read: |
| | Subd. 6a. Qualified professional. (a) For illness, injury, or incapacity, a "qualified professional" means a licensed physician, physician assistant, advanced practice registered nurse, physical therapist, occupational therapist, or licensed chiropractor, according to their |

237.23 scope of practice.

| 422.16 422.17 | (b) For developmental disability, learning disability, and intelligence testing, a "qualifie professional" means a licensed physician, physician assistant, advanced practice registered nurse, licensed independent clinical social worker, licensed psychologist, certified school psychologist, or certified psychometrist working under the supervision of a licensed psychologist. |
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| 422.19 422.20 422.21 | (c) For mental health, a "qualified professional" means a licensed physician, advanced practice registered nurse, or qualified mental health professional under section 245I.04, subdivision 2. |
| | (d) For substance use disorder, a "qualified professional" means a licensed physician, a qualified mental health professional under section $\frac{245.462}{2451.04}$, subdivision 2, or an individual as defined in section 245G.11, subdivision 3, 4, or 5. |
| 422.26 422.27 422.28 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 423.1 423.2 | Sec. 38. Minnesota Statutes 2020, section 256Q.06, is amended by adding a subdivision to read: |
| 423.3 423.4 423.5 423.6 423.7 423.8 | Subd. 6. Account creation. If an eligible individual is unable to establish the eligible individual's own ABLE account, an ABLE account may be established on behalf of the eligible individual by the eligible individual's agent under a power of attorney or, if none, by the eligible individual's conservator or legal guardian, spouse, parent, sibling, or grandparent or a representative payee appointed for the eligible individual by the Social Security Administration, in that order. |
| 423.9 | EFFECTIVE DATE. This section is effective the day following final enactment. |
| 423.10 423.11 | Sec. 39. Laws 2020, First Special Session chapter 7, section 1, subdivision 1, as amended by Laws 2021, First Special Session chapter 7, article 2, section 71, is amended to read: |
| 423.14 423.15 423.16 423.17 423.18 423.19 | modifications to human services programs issued by the commissioner of human services pursuant to Executive Orders 20-11 and 20-12 that are required to comply with federal law may remain in effect for the time period set out in applicable federal law or for the time period set out in any applicable federally approved waiver or state plan amendment, whichever is later: |
| 423.20 | (1) CV15: allowing telephone or video visits for waiver programs; |

(2) CV17: preserving health care coverage for Medical Assistance and MinnesotaCare;

423.21

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| 237.26 237.27 | (b) For developmental disability, learning disability, and intelligence testing, a "qualified professional" means a licensed physician, physician assistant, advanced practice registered nurse, licensed independent clinical social worker, licensed psychologist, certified school psychologist, or certified psychometrist working under the supervision of a licensed psychologist. |
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| 237.29 237.30 237.31 | (c) For mental health, a "qualified professional" means a licensed physician, advanced practice registered nurse, or qualified mental health professional under section 245I.04, subdivision 2. |
| 238.1 238.2 238.3 238.4 | (d) For substance use disorder, a "qualified professional" means a licensed physician, a qualified mental health professional under section $\frac{245.462}{2451.04}$, subdivision 2, or an individual as defined in section 245G.11, subdivision 3, 4, or 5. |
| 238.5 238.6 238.7 | EFFECTIVE DATE. This section is effective July 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained. |
| 238.8 238.9 | Sec. 57. Minnesota Statutes 2020, section 256Q.06, is amended by adding a subdivision to read: |
| 238.10 238.11 238.12 238.13 238.14 238.15 | Subd. 6. Account creation. If an eligible individual is unable to establish the eligible individual's own ABLE account, an ABLE account may be established on behalf of the eligible individual by the eligible individual's agent under a power of attorney or, if none, by the eligible individual's conservator or legal guardian, spouse, parent, sibling, or grandparent or a representative payee appointed for the eligible individual by the Social Security Administration, in that order. |
| 238.16 | EFFECTIVE DATE. This section is effective the day following final enactment. |
| 238.17 238.18 | Sec. 58. Laws 2020, First Special Session chapter 7, section 1, subdivision 1, as amended by Laws 2021, First Special Session chapter 7, article 2, section 71, is amended to read: |
| | expires, is terminated, or is rescinded by the proper authority, the following waivers and modifications to human services programs issued by the commissioner of human services pursuant to Executive Orders 20-11 and 20-12 that are required to comply with federal law may remain in effect for the time period set out in applicable federal law or for the time period set out in any applicable federally approved waiver or state plan amendment, whichever is later: |
| 238.27 | (1) CV15: allowing telephone or video visits for waiver programs; |

(2) CV17: preserving health care coverage for Medical Assistance and MinnesotaCare;

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238.28

| 423.22 423.23 | (3) CV18: implementation of federal changes to the Supplemental Nutrition Assistance Program; |
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| 423.24 | (4) CV20: eliminating cost-sharing for COVID-19 diagnosis and treatment; |
| 423.25 | (5) CV24: allowing telephone or video use for targeted case management visits; |
| 423.26 423.27 | (6) CV30: expanding telemedicine in health care, mental health, and substance use disorder settings; |
| 423.28 423.29 | (7) CV37: implementation of federal changes to the Supplemental Nutrition Assistance Program; |
| 423.30 423.31 | (8) CV39: implementation of federal changes to the Supplemental Nutrition Assistance Program; |
| 424.1 424.2 | (9) CV42: implementation of federal changes to the Supplemental Nutrition Assistance Program; |
| 424.3 | (10) CV43: expanding remote home and community-based waiver services; |
| 424.4 | (11) CV44: allowing remote delivery of adult day services; |
| 424.5 424.6 | (12) CV59: modifying eligibility period for the federally funded Refugee Cash Assistance Program; |
| 424.7 424.8 | (13) CV60: modifying eligibility period for the federally funded Refugee Social Services Program; and |
| 424.9 424.10 | (14) CV109: providing 15 percent increase for Minnesota Food Assistance Program and Minnesota Family Investment Program maximum food benefits. |
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| 238.29 238.30 | (3) CV18: implementation of federal changes to the Supplemental Nutrition Assistance Program; |
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| 238.31 | (4) CV20: eliminating cost-sharing for COVID-19 diagnosis and treatment; |
| 239.1 | (5) CV24: allowing telephone or video use for targeted case management visits; |
| 239.2 239.3 | (6) CV30: expanding telemedicine in health care, mental health, and substance use disorder settings; |
| 239.4 239.5 | (7) CV37: implementation of federal changes to the Supplemental Nutrition Assistance Program; |
| 239.6 239.7 | (8) CV39: implementation of federal changes to the Supplemental Nutrition Assistance Program; |
| 239.8 239.9 | (9) CV42: implementation of federal changes to the Supplemental Nutrition Assistance Program; |
| 239.10 | (10) CV43: expanding remote home and community-based waiver services; |
| 239.11 | (11) CV44: allowing remote delivery of adult day services; |
| 239.12 239.13 | (12) CV59: modifying eligibility period for the federally funded Refugee Cash Assistance Program; |
| 239.14 239.15 | (13) CV60: modifying eligibility period for the federally funded Refugee Social Services Program; and |
| 239.16 239.17 | (14) CV109: providing 15 percent increase for Minnesota Food Assistance Program and Minnesota Family Investment Program maximum food benefits. |
| 239.18 239.19 | Sec. 59. Laws 2021, First Special Session chapter 7, article 11, section 38, is amended to read: |
| 239.20 | Sec. 38. DIRECTION TO THE COMMISSIONER; SUBSTANCE USE DISORDER |
| 239.21 | TREATMENT PAPERWORK REDUCTION. |
| 239.22 | (a) The commissioner of human services, in consultation with counties, tribes, managed |
| 239.23 | 1 , |
| | relevant stakeholders, shall develop, assess, and recommend systems improvements to |
| 239.25 | |
| | licensed under Minnesota Statutes, chapter 245A, and regulated under Minnesota Statutes, |
| | chapters 245F and 245G, and Minnesota Rules, chapters 2960 and 9530. The commissioner |
| 239.28 | <i>y</i> |
| 239.29 | the department to implement systems improvements. |
| 239.30 | (b) The commissioner of health shall make available needed information and resources |
| 239.31 | from the Division of Health Policy. |

| 240.1 | (c) The Office of MN.IT Services shall provide advance consultation and implementation |
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| 240.2 | of the changes needed in data systems. |
| 240.3 | (d) The commissioner of human services shall contract with a vendor that has experience |
| 240.4 | with developing statewide system changes for multiple states at the payer and provider |
| 240.5 | levels. If the commissioner, after exercising reasonable diligence, is unable to secure a |
| 240.6 | vendor with the requisite qualifications, the commissioner may select the best qualified |
| 240.7 | vendor available. When developing recommendations, the commissioner shall consider |
| 240.8 | input from all stakeholders. The commissioner's recommendations shall maximize benefits |
| 240.9 | for clients and utility for providers, regulatory agencies, and payers. |
| 240.10 | (e) The commissioner of human services and the contracted vendor shall follow the |
| 240.11 | recommendations from the report issued in response to Laws 2019, First Special Session |
| 240.12 | chapter 9, article 6, section 76. |
| | |
| 240.13 | (f) By December 15, 2022 Within two years of contracting with a qualified vendor |
| 240.14 | according to paragraph (d), the commissioner of human services shall take steps to implement |
| 240.15 | paperwork reductions and systems improvements within the commissioner's authority and |
| 240.16 | submit to the chairs and ranking minority members of the legislative committees with |
| 240.17 | jurisdiction over health and human services a report that includes recommendations for |
| 240.18 | changes in statutes that would further enhance systems improvements to reduce paperwork. |
| 240.19 | The report shall include a summary of the approaches developed and assessed by the |
| 240.20 | commissioner of human services and stakeholders and the results of any assessments conducted. |
| 40.21 | conducted. |
| 40.22 | Sec. 60. DIRECTION TO THE COMMISSIONER OF HUMAN SERVICES; |
| 240.23 | INFORMED CHOICE UPON CLOSURE. |
| 240.24 | The commissioner of human services shall direct department staff, lead agency staff, |
| 40.25 | and lead agency partners to ensure that solutions to workforce shortages in licensed home |
| 40.26 | and community-based disability settings are consistent with the state's policy priority of |
| 40.27 | informed choice and the integration mandate under the state's Olmstead Plan. Specifically, |
| 40.28 | the commissioner shall direct department staff, lead agency staff, and lead agency partners |
| 40.29 | to ensure that when a licensed setting cannot continue providing services as a result of |
| 40.30 | staffing shortages, a person who had been receiving services in that setting is not discharged |
| 240.31 | to a more restrictive setting than the person was in previously and the person receives an |
| 40.32 | informed choice process about how and where the person will receive services following |
| 240.33 | the suspension or closure of the program or setting in which the person had previously been |
| 240.34 | receiving services. |
| 241.1 | EFFECTIVE DATE. This section is effective the day following final enactment. |
| 241.2 | Sec. 61. DIRECTION TO THE COMMISSIONER OF HUMAN SERVICES; HOME |
| 241.3 | AND COMMUNITY-BASED SERVICES RULE STATEWIDE TRANSITION PLAN. |
| 241.4 | By September 1, 2022, the commissioner of human services shall submit for approval |
| 241.4 | an amendment to Minnesota's home and community-based services rule statewide transition |

| 424.12 | In Minnesota Statutes and Minnesota Rules, the revisor of statutes shall change the ter |
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| 424.13 | "chemical dependency" or similar terms to "substance use disorder." The revisor may make |
| 424.14 | grammatical changes related to the term change. |
| | |
| | |
| 424.15 | Sec. 41. REPEALER. |
| 424.16 | (a) Minnesota Statutes 2020, sections 254A.04; and 254B.14, subdivisions 1, 2, 3, 4, |
| 424.17 | and 6, are repealed. |
| 424.18 | (b) Minnesota Statutes 2021 Supplement, section 254B.14, subdivision 5, is repealed. |

424.11 Sec. 40. **REVISOR INSTRUCTION.**

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| 241.6 | plan to modify the residential tiered standards for BI, CAC, CADI, and DD waivers to |
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| 241.7 | specify that an existing customized living setting that relocates under the same ownership |
| 241.8 | to a different address must be treated as a Tier 1 customized living setting, provided the |
| 241.9 | setting to which the customized services are relocated complies with the home and |
| 241.10 | community-based services rule requirements. The commissioner shall inform the revisor |
| 241.11 | of statutes when federal approval is obtained. |
| 241.12 | EFFECTIVE DATE. This section is effective the day following final enactment. |
| 241.13 | Sec. 62. REVISOR INSTRUCTION. |
| 241.14 | The revisor of statutes shall change the term "chemical dependency" or similar terms to |
| 241.15 | "substance use disorder" wherever the term appears in Minnesota Statutes. The revisor may |
| 241.16 | make grammatical changes related to the term change. |
| 241.17 | EFFECTIVE DATE. This section is effective July 1, 2022. |
| 241.18 | Sec. 63. REPEALER. |
| 241.19 | (a) Minnesota Statutes 2020, sections 254A.04; and 254B.14, subdivisions 1, 2, 3, 4, |
| 241.20 | and 6, are repealed. |
| 241.21 | (b) Minnesota Statutes 2021 Supplement, section 254B.14, subdivision 5, is repealed. |