- 1 Pollution Control Agency
- 2 Adopted Permanent Rules Relating to Hazardous Waste Manifests
- 3 7045.0020 DEFINITIONS.
- 4 [For text of subps 1 to 14, see M.R.]
- 5 Subp. 15. Designated facility.
- 6 A. "Designated facility" means:
- 7 (1) a hazardous waste treatment, storage, or
- 8 disposal facility which has been designated on the manifest by
- 9 the generator pursuant to part 7045.0261 and:
- 10 (a) has received an agency permit or has
- 11 interim status;
- 12 (b) has received a permit or has interim
- 13 status from a state authorized by the United States
- 14 Environmental Protection Agency; or
- 15 (c) is subject to the requirements of part
- 16 7045.0125, subpart 5 or 6, or 9, item B, or part 7045.0675; and
- 17 (2) a generator site designated on the manifest
- 18 to receive its waste as a return shipment from a facility that
- 19 has rejected the waste according to part 7045.0476, subpart 6,
- 20 or 7045.0582, subpart 6.
- 21 B. If a designated facility is located in an
- 22 Environmental Protection Agency authorized state which has not
- 23 yet obtained authorization to regulate the hazardous waste it is
- 24 receiving as hazardous, the designated facility must be a
- 25 facility allowed by the receiving state to accept the waste.
- [For text of subps 15a to 52, see M.R.]
- 27 Subp. 53. Manifest. "Manifest" means the shipping

- l document EPA Form 8700-22 (including, if necessary, EPA Form
- 2 8700-22A) originated and signed by the generator or offeror in
- 3 accordance with the instructions in the appendix to Code of
- 4 Federal Regulations, title 40, part 262, and the applicable
- 5 requirements of this chapter.
- 6 Subp. 54. [See repealer.]
- 7 Subp. 54a. Manifest tracking number. "Manifest tracking
- 8 number" means the alphanumeric identification number (a unique
- 9 three-letter suffix preceded by nine numerical digits), which is
- 10 preprinted in item 4 of the manifest by a registered source.
- 11 [For text of subps 55 to 62, see M.R.]
- 12 Subp. 62a. Organization for Economic Cooperation and
- 13 Development or OECD. "Organization for Economic Cooperation and
- 14 Development" or "OECD" means the organization defined in Code of
- 15 Federal Regulations, title 40, section 262.58(a)(1).
- [For text of subps 63 to 109, see M.R.]
- 17 7045.0090 ADOPTION AND INCORPORATION BY REFERENCE.
- Subpart 1. Applicability. Except as specified in subparts
- 19 2 and 3, the terms and standards identified in subparts la to le
- 20 apply whenever federal regulations are adopted or incorporated
- 21 by reference in this chapter whether or not this part is
- 22 specifically referenced.
- Subp. la. General terms. Terms defined in Minnesota Rules
- 24 and Minnesota Statutes that are also defined in Code of Federal
- 25 Regulations, title 40, have the meaning given in part 7045.0020
- 26 and the applicable Minnesota statute.
- A. "EPA" and "agency" mean the Pollution Control

- 1 Agency and its commissioner.
- B. "Generator" has the meaning given in part
- 3 7045.0020.
- 4 C. "Hazardous waste" has the meaning given in part
- 5 7045.0020.
- 6 D. "Regional administrator" and "director" mean the
- 7 commissioner of the Pollution Control Agency.
- 8 E. "State," "authorized state," "approved state," or
- 9 "approved program" means Minnesota.
- 10 F. "Waste" has the meaning given in part 7045.0020.
- Subp. 1b. Hazardous waste management system general
- 12 standards; Code of Federal Regulations, title 40, part 260.
- References to "Code of Federal Regulations, title 40, part
- 14 260, subpart C," or "Code of Federal Regulations, title 40,
- 15 sections 260.20 to 260.41," mean the petition processes
- 16 established in part 7045.0075.
- 17 Subp. lc. Identification and listing standards; Code of
- 18 Federal Regulations, title 40, part 261.
- 19 A. References to "Code of Federal Regulations, title
- 20 40, part 261, subpart C," "Code of Federal Regulations, title
- 21 40, sections 261.20 to 261.24," or "characteristic hazardous
- 22 waste" mean the characteristics established in part 7045.0131.
- B. References to "Code of Federal Regulations, title
- 24 40, section 261.4," mean the exclusions listed in part 7045.0120.
- 25 C. References to "Code of Federal Regulations, title
- 26 40, section 261.6," mean the use, reuse, recycling, and
- 27 reclamation requirements of part 7045.0125.

- 1 Subp. ld. Permitted and interim status standards for
- 2 owners and operators of hazardous waste treatment, storage, and
- 3 disposal facilities; Code of Federal Regulations, title 40,
- 4 parts 264 and 265.
- 5 A. References to "Code of Federal Regulations, title
- 6 40, part 264, subpart F," "Code of Federal Regulations, title
- 7 40, sections 264.90 to 264.101," "Code of Federal Regulations,
- 8 title 40, part 265, subpart F," or "Code of Federal Regulations,
- 9 title 40, sections 265.90 to 265.94," mean the requirements of
- 10 parts 7045.0484, 7045.0485, 7045.0590, and 7045.0592 relating to
- 11 groundwater protection, monitoring, and corrective action for
- 12 releases.
- B. References to "Code of Federal Regulations, title
- 14 40, part 264, subpart H," "Code of Federal Regulations, title
- 15 40, sections 264.140 to 264.151," "Code of Federal Regulations,
- 16 title 40, part 265, subpart H," or "Code of Federal Regulations,
- 17 title 40, sections 265.140 to 265.150," mean the financial
- 18 assurance requirements of parts 7045.0498 to 7045.0524 and
- 19 7045.0608 to 7045.0624.
- 20 C. References to "Code of Federal Regulations, title
- 21 40, part 264, subpart O," "Code of Federal Regulations, title
- 22 40, sections 264.340 to 264.351," "Code of Federal Regulations,
- 23 title 40, part 265, subpart O," or "Code of Federal Regulations,
- 24 title 40, sections 265.340 to 265.352," mean the thermal
- 25 treatment standards of parts 7045.0542 and 7045.0640.
- D. References to "Code of Federal Regulations, title
- 27 40, part 264, subpart N," "Code of Federal Regulations, title

- 1 40, sections 264.300 to 264.317," "Code of Federal Regulations,
- 2 title 40, part 265, subpart N," or "Code of Federal Regulations,
- 3 title 40, sections 265.300 to 265.316," mean the landfill
- 4 standards of parts 7045.0538 and 7045.0638.
- 5 Subp. le. Permit requirements; Code of Federal
- 6 Regulations, title 40, part 270. References to "Code of Federal
- 7 Regulations, title 40, part 270, subparts A to H," "Code of
- 8 Federal Regulations, title 40, sections 270.1 to 270.230," or
- 9 any other reference to a hazardous waste facility permit mean
- 10 the hazardous waste facility permit requirements in parts
- 11 7001.0500 to 7001.0730.
- 12 Subp. 2. Exceptions to general terms. In the following
- 13 cases, the terms identified in subpart la do not apply and the
- 14 terms continue to have the meaning in accordance with applicable
- 15 EPA regulations.
- A. "EPA identification numbers," "EPA hazardous waste
- 17 numbers," "EPA test methods," "EPA publications," "EPA form(s),"
- 18 "EPA guidance," or "EPA acknowledgment of consent."
- B. "EPA," "administrator," or a synonymous term in
- 20 any section of EPA regulations for which the Resource
- 21 Conservation and Recovery Act, as amended, does not allow the
- 22 EPA to delegate its authority to the states.
- Subp. 3. Exceptions to adoption of standards; Code of
- 24 Federal Regulations, title 40. In the following cases, the
- 25 standards identified in subparts 1b to le do not apply and the
- 26 standards continue to have the meaning in accordance with
- 27 applicable EPA regulations.

- A. Code of Federal Regulations, title 40, part 262,
- 2 subparts E and H, regarding exports and transfrontier shipments
- 3 of hazardous waste;
- B. Code of Federal Regulations, title 40, sections
- 5 268.5, 268.6, 268.42(b), and 268.44, regarding land disposal
- 6 restrictions;
- 7 C. Code of Federal Regulations, title 40, section
- 8 279.82(b) regarding state petitions to allow use of used oil as
- 9 a dust suppressant; and
- 10 D. Code of Federal Regulations, title 40, Appendix to
- 11 part 262, regarding the hazardous waste manifest.
- 12 7045.0125 MANAGEMENT OF WASTE BY USE, REUSE, RECYCLING, AND
- 13 RECLAMATION.
- [For text of subps 1 to 8, see M.R.]
- Subp. 9. Facility requirements. Unless exempted
- 16 specifically in this part or parts 7045.0692 and 7045.0790 to
- 17 7045.0990, owners or operators of facilities which recycle
- 18 hazardous waste are subject to the following requirements:
- 19 A. If the recyclable hazardous waste is stored before
- 20 it is recycled, the owners or operators are subject to the
- 21 requirements of parts 7023.9000 to 7023.9050, 7045.0450 to
- 22 7045.0534, 7045.0552 to 7045.0632, and 7045.1300 to 7045.1380,
- 23 and chapter 7001. The recycling process itself is exempt from
- 24 regulation except as provided in item C.
- B. If the recyclable hazardous waste is recycled
- 26 without storing before recycling, the owners or operators are
- 27 subject to the requirements of parts 7045.0482, subpart 2;

- 1 7045.0556, subpart 2; 7045.0580; and 7045.0582.
- C. Owners or operators of facilities subject to RCRA
- 3 permitting requirements with hazardous waste management units
- 4 that recycle hazardous wastes are subject to the process vent
- 5 and equipment leak standards in parts 7045.0549 and 7045.0551
- 6 and 7045.0647 and 7045.0648.
- 7 D. Owners and operators of fuel recycling facilities
- 8 that accept recyclable fuel must register with the agency by
- 9 submitting, on a form prescribed by the commissioner, a
- 10 notification of the owners' or operators' intent to accept
- ll recyclable fuel. The information submitted must include the
- 12 facility's name and address, a name and telephone number of a
- 13 designated contact person, and a description of the process and
- 14 equipment that will be used to manage the recyclable fuel. The
- 15 facility will be considered to be registered to accept
- 16 recyclable fuel upon receipt of written confirmation from the
- 17 commissioner that the agency is aware of waste recycling
- 18 activities at the facility.
- 19 [For text of subps 10 to 12, see M.R.]
- Subp. 13. Exports and imports. Hazardous waste that is
- 21 exported to or imported from designated member countries of the
- 22 Organization for Economic Cooperation and Development (OECD), as
- 23 defined in Code of Federal Regulations, title 40, section
- 24 262.58(a)(1), for purposes of recovery is subject to the
- 25 requirements of part 7045.0322 if it is subject to either the
- 26 manifesting requirements of parts 7045.0261 to 7045.0265 or the
- 27 universal waste management standards of part 7045.1400.

- 1 7045.0127 RESIDUES IN EMPTY CONTAINERS AND EMPTY INNER LINERS.
- 2 [For text of subpart 1, see M.R.]
- 3 Subp. 2. Empty containers or inner liners; definition. A
- 4 container or an inner liner removed from a container that has
- 5 held any hazardous waste, except a waste that is a compressed
- 6 gas or that is identified as an acute hazardous waste in part
- 7 7045.0135, subpart 2, 3, or 4, item E, is empty if:
- 8 [For text of items A and B, see M.R.]
- 9 C. no more than three percent by weight of the total
- 10 capacity of the container remains in the container or inner
- ll liner if the container or inner liner is less than or equal to
- 12 119 gallons in size; or
- D. no more than 0.3 percent by weight of the total
- 14 capacity of the container remains in the container or inner
- 15 liner if the container or inner liner is greater than 119
- 16 gallons in size.
- [For text of subps 3 and 4, see M.R.]
- 18 7045.0137 SMALL AMOUNTS OF UNRELATED CHEMICALS.
- 19 A collection of small amounts of unrelated but compatible
- 20 chemicals, including those for which the description of any
- 21 sample or set of samples is not representative of the total
- 22 waste, for example a lab pack, has the hazardous waste number of
- 23 MN02.
- 24 7045.0212 TRANSFRONTIER SHIPMENTS.
- A. Any person who exports or imports hazardous waste
- 26 subject to the manifesting requirements of parts 7045.0261 to

- 1 7045.0265 or the universal waste management standards of part
- 2 7045.1400 to or from countries listed in Code of Federal
- 3 Regulations, title 40, section 262.58(a)(1), for recovery must
- 4 comply with part 7045.0322.
- B. Any person who imports hazardous waste into the
- 6 state of Minnesota from a source outside the United States must
- 7 comply with the standards applicable to generators established
- 8 in parts 7045.0205 to 7045.0325.
- 9 7045.0261 MANIFEST DOCUMENT; GENERAL REQUIREMENTS.
- 10 Subpart 1. When required. Except as exempted in subpart
- 11 la, a generator who transports or offers for transportation
- 12 hazardous waste for off-site treatment, storage, or disposal or
- 13 the owner or operator of a treatment, storage, and disposal
- 14 facility who offers for transport a rejected hazardous waste
- 15 load, must prepare a manifest (OMB Control number 2050-0039) on
- 16 EPA Form 8700-22 and, if necessary, EPA Form 8700-22A, before
- 17 transporting the waste off-site. Generators shall complete the
- 18 manifest in accordance with subpart 6 and the instructions
- 19 included in the appendix to Code of Federal Regulations, title
- 20 40, part 262, as adopted by reference in part 7045.0325.
- 21 Subp. la. Exemptions. A generator may transport hazardous
- 22 waste without a manifest under the following conditions:
- [For text of items A and B, see M.R.]
- C. Feedstock and by-products being managed according
- 25 to part 7045.0125, subparts 5 and 6, may be transported without
- 26 a manifest.
- D. Universal waste being managed by a handler

- 1 according to part 7045.1400 may be transported without a
- 2 manifest.
- 3 E. Waste being transported on-site as described in
- 4 part 7045.0351, subpart 2, item A, may be transported without a
- 5 manifest.
- 6 F. Spent lead-acid batteries being managed according
- 7 to part 7045.0685 may be transported without a manifest.
- 8 Subp. 2. Designation of facility. A generator must
- 9 designate on the manifest one facility which is permitted to
- 10 handle the waste described on the manifest.
- [For text of subps 3 and 4, see M.R.]
- 12 Subp. 5. [See repealer.]
- Subp. 6. Specific Minnesota hazardous wastes.
- 14 A. If a generator located in the state of Minnesota
- 15 produces a waste classified as hazardous in Minnesota which is
- 16 not classified as hazardous in the state where the receiving
- 17 facility is located, the generator must ensure that the facility
- 18 is permitted to accept and manage the waste by the appropriate
- 19 state agency.
- B. If a waste is classified as hazardous in Minnesota
- 21 and is assigned a Minnesota waste code, the Minnesota waste
- 22 codes must be identified on the manifest in item 13.
- Subp. 7. Manifest information. Manifest information
- 24 requirements include those required by United States Department
- 25 of Transportation and United States Environmental Protection
- 26 Agency regulations and consist of the numbered items on the
- 27 manifest set forth in the Appendix to Code of Federal

- 1 Regulations, title 40, part 262, as amended, and adopted by
- 2 reference in part 7045.0325.
- 3 Subp. 8. Availability of manifests. Manifests are
- 4 available from any source that is registered with the United
- 5 States Environmental Protection Agency as a supplier of
- 6 manifests.
- 7 Subp. 9. [See repealer.]
- 8 Subp. 10. Continuation sheets. A generator shall use a
- 9 continuation sheet to the manifest if:
- 10 A. more than two transporters are to be used to
- 11 transport the waste; or
- B. more space is required for the United States
- 13 Department of Transportation description and related information
- 14 in item 9 of United States EPA form 8700-22. Only EPA Form
- 15 8700-22A may be used and it must be completed and copies
- 16 distributed in accordance with parts 7045.0265 and 7045.0325. A
- 17 generator using a continuation sheet shall attach the sheet to
- 18 the manifest.
- 19 7045.0262 WASTE MINIMIZATION CERTIFICATION.
- The requirements of Code of Federal Regulations, title 40,
- 21 section 262.27, as amended, are incorporated by reference to
- 22 apply to large and small quantity generators making a waste
- 23 minimization certification on their hazardous waste manifests.
- 24 7045.0265 USE OF MANIFEST.
- 25 Subpart 1. General requirements. The generator must:
- 26 [For text of items A to C, see M.R.]

- D. send one copy of the manifest with the signatures
- 2 of the generator and transporter and the date of waste
- 3 acceptance to the commissioner within five working days of the
- 4 initial transporter's acceptance of the hazardous waste shipment.
- 5 Copies to be sent to the commissioner must be sent to the
- 6 Minnesota Pollution Control Agency, 520 Lafayette Road, Saint
- 7 Paul, Minnesota 55155-4194, Attention: HWIMS. A very small
- 8 quantity generator who ships waste from a site in Anoka, Carver,
- 9 Dakota, Hennepin, Ramsey, Scott, or Washington County must, in
- 10 lieu of sending a copy of the manifest to the commissioner, send
- 11 a copy of the manifest, with the signatures of the generator and
- 12 the transporter and the date of waste acceptance, to the address
- 13 provided in the conditions for the generator's hazardous waste
- 14 license issued by the county. The copy of the manifest must be
- 15 sent within five working days of the initial transporter's
- 16 acceptance of the hazardous waste shipment; and
- 17 E. give the transporter the remaining copies of the
- 18 manifest except as provided in subparts 2 and 3.
- 19 Subp. 2. Shipments by water. For bulk shipments of
- 20 hazardous waste within the United States solely by water the
- 21 generator must:
- [For text of item A, see M.R.]
- B. send one copy of the manifest with the signatures
- 24 of the generator and transporter and the date of waste
- 25 acceptance to the commissioner within five working days of the
- 26 initial transporter's acceptance of the hazardous waste shipment.
- 27 Copies to be sent to the commissioner must be sent to the

- 1 Minnesota Pollution Control Agency, 520 Lafayette Road, Saint
- 2 Paul, Minnesota 55155-4194, Attention: HWIMS. A very small
- 3 quantity generator who ships waste from a site in Anoka, Carver,
- 4 Dakota, Hennepin, Ramsey, Scott, or Washington County must, in
- 5 lieu of sending a copy of the manifest to the commissioner, send
- 6 a copy of the manifest, with the signatures of the generator and
- 7 the transporter and the date of waste acceptance, to the address
- 8 provided in the conditions for the generator's hazardous waste
- 9 license issued by the county. The copy of the manifest must be
- 10 sent within five working days of the initial transporter's
- 11 acceptance of the hazardous waste shipment.
- Subp. 3. Shipments by rail. For a rail shipment of
- 13 hazardous waste within the United States which originates at the
- 14 site of generation, the generator must:
- A. send at least three copies of the manifest dated
- 16 and signed in accordance with subpart 1 to:
- [For text of subitems (1) to (3), see M.R.]
- B. send one copy of the manifest with the signatures
- 19 of the generator and transporter and the date of waste
- 20 acceptance to the commissioner within five working days of the
- 21 initial transporter's acceptance of the hazardous waste shipment.
- 22 Copies to be sent to the commissioner must be sent to the
- 23 Minnesota Pollution Control Agency, 520 Lafayette Road, Saint
- 24 Paul, Minnesota 55155-4194, Attention: HWIMS. A very small
- 25 quantity generator who ships waste from a site in Anoka, Carver,
- 26 Dakota, Hennepin, Ramsey, Scott, or Washington County must, in
- 27 lieu of sending a copy of the manifest to the commissioner, send

- 1 a copy of the manifest, with the signatures of the generator and
- 2 the transporter and the date of waste acceptance, to the address
- 3 provided in the conditions for the generator's hazardous waste
- 4 license issued by the county. The copy of the manifest must be
- 5 sent within five working days of the initial transporter's
- 6 acceptance of the hazardous waste shipment.
- 7 Subp. 4. Notification of facility receipt. When a
- 8 shipment of hazardous waste is delivered to a hazardous waste
- 9 facility, the generator must ensure that:
- 10 A. the copy of the hazardous waste manifest signed by
- 11 the facility operator and sent to the generator under part
- 12 7045.0474 or 7045.0580, is also sent to the commissioner within
- 13 40 days of the acceptance of the hazardous waste by the
- 14 hazardous waste facility. Copies to be sent to the commissioner
- 15 must be sent to the Minnesota Pollution Control Agency, 520
- 16 Lafayette Road, Saint Paul, Minnesota 55155-4194, Attention:
- 17 HWIMS. A very small quantity generator who ships from a site in
- 18 Anoka, Carver, Dakota, Hennepin, Ramsey, Scott, or Washington
- 19 County must, in lieu of ensuring that a copy of the manifest
- 20 signed by the facility operator is sent to the commissioner,
- 21 ensure that a copy of the manifest signed by the facility
- 22 operator is sent to the address provided in the conditions for
- 23 the generator's hazardous waste license issued by the county.
- 24 The generator must send a copy of the manifest signed by the
- 25 facility operator within 40 days of the acceptance of the
- 26 hazardous waste by the hazardous waste facility; and
- B. for shipments of a hazardous waste to a designated

- l facility in a United States Environmental Protection Agency
- 2 authorized state which has not yet obtained authorization to
- 3 regulate that particular waste as hazardous, the designated
- 4 facility agrees to sign the manifest and return the manifest to
- 5 the generator, and that any out-of-state transporter signs and
- 6 forwards the manifest to the designated facility.
- 7 [For text of subp 5, see M.R.]
- 8 7045.0270 PRETRANSPORT REQUIREMENTS.
- 9 Subpart 1. Marking. Before transporting or offering
- 10 hazardous waste for transportation off-site, a generator must:
- 11 [For text of item A, see M.R.]
- B. mark each container of 119 gallons or less used in
- 13 such transportation with the following words and information
- 14 according to the Code of Federal Regulations, title 49, section
- 15 172.304:
- 16 (1) HAZARDOUS WASTE Federal Law Prohibits
- 17 Improper Disposal. If found, contact the nearest police or
- 18 public safety authority or the U.S. Environmental Protection
- 19 Agency.
- 20 (2) Generator's Name and Address
- 21 _____
- 22 (3) Manifest Tracking Number
- 23
- 24 (4) Generator's Identification Number
- 25
- 26 [For text of subps 3 to 7, see M.R.]

- 1 7045.0292 ACCUMULATION OF HAZARDOUS WASTE.
- 2 [For text of subps 1 to 11, see M.R.]
- 3 Subp. 12. Rejected shipments. A generator who sends a
- 4 shipment of hazardous waste to a designated facility with the
- 5 understanding that the designated facility can accept and manage
- 6 the waste and later receives that shipment back as a rejected
- 7 load or residue according to the manifest discrepancy provisions
- 8 of part 7045.0476 or 7045.0582 may accumulate the returned waste
- 9 on-site according to subparts 1 to 7 and 9 and 10, depending on
- 10 the amount of hazardous waste on-site in that calendar month.
- 11 Upon receipt of the returned shipment, the generator must:
- 12 A. sign item 18c of the manifest, if the transporter
- 13 returned the shipment using the original manifest; or
- B. sign item 20 of the manifest, if the transporter
- 15 returned the shipment using a new manifest.
- 16 7045.0302 INTERNATIONAL SHIPMENTS; SPECIAL CONDITIONS.
- [For text of subpart 1, see M.R.]
- 18 Subp. la. International agreements. Any person who
- 19 exports or imports hazardous waste subject to the federal
- 20 manifest requirements of Code of Federal Regulations, title 40,
- 21 part 262, or subject to parts 7045.0261 and 7045.0265 or part
- 22 7045.1400, to or from designated member countries of the OECD as
- 23 defined in Code of Federal Regulations, title 40, section
- 24 262.58(a)(1) for purposes of recovery, is subject to part
- 25 7045.0322 and the requirements of this part do not apply.
- Subp. 2. Notification. When shipping hazardous waste
- 27 outside the state of Minnesota to a foreign country the primary

- l exporter must notify the commissioner and the EPA of an intended
- 2 export before the waste is scheduled to leave the United
- 3 States. A complete notification should be submitted 60 days
- 4 before the initial shipment is intended to be shipped off site.
- 5 This notification may cover export activities extending over a
- 6 12-month or lesser period.
- 7 The notification must be sent to the commissioner at 520
- 8 Lafayette Road, Saint Paul, Minnesota 55155-4194, and to the
- 9 Office of Enforcement and Compliance Assurance, Office of
- 10 Federal Activities, International Compliance Assurance Division
- 11 (2254A), Environmental Protection Agency, 1200 Pennsylvania
- 12 Avenue N.W., Washington, DC 20460. Hand-delivered notifications
- 13 must be sent to the Office of Enforcement and Compliance
- 14 Assurance, Office of Federal Activities, International
- 15 Compliance Assurance Division (2254A), Environmental Protection
- 16 Agency, Ariel Rios Building, 12th Street and Pennsylvania Avenue
- 17 N.W., Washington, DC 20460. In both cases, the following must
- 18 be prominently displayed on the front of the envelope:
- 19 "Attention: Notification of Intent to Export."
- The primary exporter must provide the commissioner and the
- 21 EPA with written renotification of any changes to the
- 22 notification, except for changes to the telephone number,
- 23 decreases in the quantity indicated in item B, subitem (3), and
- 24 changes in the means of transport in item B, subitem (5). The
- 25 waste shall not be shipped until the primary exporter receives
- 26 an EPA Acknowledgment of Consent reflecting the receiving
- 27 country's consent to the changes.

- 1 The notification must be in writing, signed by the primary
- 2 exporter, and include the following information:
- [For text of item A, see M.R.]
- B. by consignee, for each hazardous waste type:
- 5 [For text of subitems (1) to (9), see M.R.]
- 6 [For text of subp 3, see M.R.]
- 7 Subp. 4. Importers manifest requirements. When importing
- 8 hazardous waste, a person may obtain the manifest form from any
- 9 source that is registered with the United States Environmental
- 10 Protection Agency as a supplier of manifests and must meet all
- 11 requirements of parts 7045.0261 and 7045.0265 for the manifest
- 12 except that:
- A. in place of the generator's name, address, and
- 14 identification number, the name and address of the foreign
- 15 generator and the importer's name, address, and identification
- 16 number must be used;
- B. in place of the generator's signature on the
- 18 certification statement, the United States importer or the
- 19 importer's agent must sign and date the certification and obtain
- 20 the signature of the initial transporter;
- 21 C. in the international shipments block, the importer
- 22 must check the import box and enter the point of entry (city and
- 23 state) into the United States; and
- D. the importer must provide the transporter with an
- 25 additional copy of the manifest to be submitted by the receiving
- 26 facility to the United States Environmental Protection Agency
- 27 according to part 7045.0474, subpart 2, item B, or 7045.0580,

- l subpart 2, item B.
- Subp. 5. Exporters manifest requirements. When exporting
- 3 hazardous waste, a primary exporter must comply with parts
- 4 7045.0351 to 7045.0397, except that:
- 5 [For text of items A and B, see M.R.]
- 6 C. In the international shipments block, the primary
- 7 exporter must check the export box and enter the point of exit
- 8 (city and state) from the United States.
- 9 [For text of items D to H, see M.R.]
- 10 Subp. 6. Annual reports. Primary exporters of hazardous
- ll waste identified or listed under this chapter shall file with
- 12 the commissioner and the EPA no later than March 1 of each year,
- 13 a report summarizing the types, quantities, frequency, and
- 14 ultimate destination of all hazardous waste exported during the
- 15 previous calendar year. The reports shall include the following:
- [For text of items A to E, see M.R.]
- 17 F. a certification signed by the primary exporter
- 18 which states:
- "I certify under penalty of law that I have personally
- 20 examined and am familiar with the information
- 21 submitted in this and all attached documents, and that
- based on my inquiry of those individuals immediately
- responsible for obtaining the information, I believe
- that the submitted information is true, accurate, and
- 25 complete. I am aware that there are significant
- 26 penalties for submitting false information including
- 27 the possibility of fine and imprisonment."

- 1 Reports shall be sent to the commissioner at 520 Lafayette
- 2 Road, Saint Paul, Minnesota 55155, and to the Office of
- 3 Enforcement and Compliance Assurance, Office of Federal
- 4 Activities, International Compliance Assurance Division (2254A),
- 5 Environmental Protection Agency, 1200 Pennsylvania Avenue N.W.,
- 6 Washington, DC 20460. Hand-delivered reports to the
- 7 Environmental Protection Agency should be sent to the Office of
- 8 Enforcement and Compliance Assurance, Office of Federal
- 9 Activities, International Compliance Assurance Division (2254A),
- 10 Environmental Protection Agency, Ariel Rios Building, 12th
- 11 Street and Pennsylvania Avenue N.W., Washington, DC 20460.
- [For text of subp 7, see M.R.]
- 13 7045.0322 TRANSFRONTIER SHIPMENTS OF HAZARDOUS WASTE FOR
- 14 RECOVERY WITHIN THE OECD.
- The requirements of Code of Federal Regulations, title 40,
- 16 sections 262.80 to 262.89, as amended, are incorporated by
- 17 reference to apply to transfrontier shipments of hazardous waste.
- 18 7045.0325 APPENDIX TO GENERATOR STANDARDS; MANIFEST AND
- 19 INSTRUCTIONS.
- 20 Subpart 1. Incorporation. The appendix found in Code of
- 21 Federal Regulations, title 40, part 262, regarding the hazardous
- 22 waste manifest and instructions, as amended, is incorporated by
- 23 reference, subject to subpart 2.
- Subp. 2. Additions, modifications, and exceptions to
- 25 incorporation.
- A. Where the instructions for the use of the manifest

- l use the term "offeror," it shall only mean either the generator
- 2 of the waste or the owner or operator of the designated facility.
- B. In item 18 of the instructions for the use of the
- 4 manifest, references to discrepancies shall include all
- 5 discrepancies and responses to discrepancies identified in parts
- 6 7045.0476 and 7045.0582.
- 7 7045.0375 THE MANIFEST SYSTEM; GENERAL REQUIREMENTS.
- 8 Subpart 1. Acceptance of shipment. A transporter may not
- 9 accept hazardous waste from a generator unless it is accompanied
- 10 by a manifest signed by the generator according to part
- 11 7045.0265.
- 12 Subp. la. Exports.
- 13 A. In the case of exports, other than those subject
- 14 to the requirements of Code of Federal Regulations, title 40,
- 15 part 262, subpart H, Transfrontier Shipments of Hazardous Waste
- 16 For Recovery Within the OECD, a transporter may not accept waste
- 17 from a primary exporter or other person:
- 18 (1) if the transporter knows the shipment does
- 19 not conform to the EPA Acknowledgment of Consent; and
- 20 (2) unless, in addition to a manifest signed by
- 21 the generator, the waste is also accompanied by an EPA
- 22 Acknowledgment of Consent that, except for shipment by rail, is
- 23 attached to the manifest or, for bulk shipment exports by water,
- 24 attached to the shipping paper.
- B. For exports of hazardous waste subject to the
- 26 requirements of Code of Federal Regulations, title 40, part 262,
- 27 subpart H, Transfrontier Shipments of Hazardous Waste For

- 1 Recovery Within the OECD, a transporter may not accept hazardous
- 2 waste without a tracking document that includes all information
- 3 required by Code of Federal Regulations, title 40, section
- 4 262.84.
- 5 [For text of subps 2 and 3, see M.R.]
- 6 7045.0385 COMPLIANCE WITH THE MANIFEST.
- 7 [For text of subpart 1, see M.R.]
- 8 Subp. 2. Return of shipment to generator.
- 9 A. If the hazardous waste cannot be delivered
- 10 according to subpart 1, because of an emergency condition other
- 11 than the rejection of the waste by the designated facility, the
- 12 transporter must immediately contact the generator for further
- 13 instructions and must revise the manifest according to the
- 14 generator's instructions.
- B. If hazardous waste is rejected by the designated
- 16 facility while the transporter is on the facility's premises,
- 17 the transporter must obtain the following:
- 18 (1) for a partial load rejection or for regulated
- 19 quantities of container residues, a copy of the original
- 20 manifest that includes the facility's date and signature, the
- 21 manifest tracking number of the new manifest that will accompany
- 22 the shipment, and a description of the partial rejection or
- 23 container residue in the discrepancy block of the original
- 24 manifest. The transporter must retain a copy of this manifest
- 25 according to part 7045.0391 and give the remaining copies of the
- 26 original manifest to the rejecting designated facility. If the
- 27 transporter is forwarding the rejected part of the shipment or a

- l regulated container residue to an alternate facility or
- 2 returning it to the generator, the transporter must obtain a new
- 3 manifest to accompany the shipment and the new manifest must
- 4 include all of the information required in parts 7045.0476,
- 5 subparts 5 and 6, and 7045.0582, subparts 5 and 6; and
- 6 (2) for a full load rejection that will be taken
- 7 back by the transporter, a copy of the original manifest that
- 8 includes the rejecting facility's signature and date attesting
- 9 to the rejection, the description of the rejection in the
- 10 discrepancy block of the manifest, and the name, address,
- 11 telephone number, and identification number for the alternate
- 12 facility or generator to whom the shipment must be delivered.
- 13 The transporter must retain a copy of the manifest according to
- 14 part 7045.0391 and give a copy of the manifest containing this
- 15 information to the rejecting designated facility. If the
- 16 original manifest is not used, the transporter must obtain a new
- 17 manifest for the shipment and comply with parts 7045.0476,
- 18 subparts 5 and 6, and 7045.0582, subparts 5 and 6.
- 19 7045.0452 GENERAL FACILITY STANDARDS.
- [For text of subps 1 and 2, see M.R.]
- 21 Subp. 3. Required notices. The owner or operator of a
- 22 facility shall give notice in the following situations:
- [For text of items A to D, see M.R.]
- E. The owner or operator of a recovery facility that
- 25 has arranged to receive hazardous waste subject to part
- 26 7045.0322 must provide a copy of the tracking document bearing
- 27 all required signatures to the notifier, to the Office of

- 1 Enforcement and Compliance Assurance, Office of Federal
- 2 Activities, International Compliance Assurance Division (2254A),
- 3 Environmental Protection Agency, 1200 Pennsylvania Avenue N.W.,
- 4 Washington, DC 20460, and to the competent authorities of all
- 5 other concerned countries within three working days of receipt
- 6 of the shipment. The original of the signed tracking document
- 7 must be maintained at the facility for at least three years.
- 8 [For text of subps 4 and 5, see M.R.]
- 9 7045.0474 MANIFEST SYSTEM.
- [For text of subpart 1, see M.R.]
- 11 Subp. 2. General manifest requirements.
- 12 A. If a facility receives hazardous waste accompanied
- 13 by a manifest, the owner or operator, or this person's agent,
- 14 shall:
- 15 (1) sign and date, by hand, each copy of the
- 16 manifest to certify that the hazardous waste covered by the
- 17 manifest was received, except as noted in the discrepancy space
- 18 of the manifest, or rejected as noted in the manifest
- 19 discrepancy space;
- 20 (2) note any discrepancies in the manifest on
- 21 each copy of the manifest. The owner or operator of a facility
- 22 whose procedures under part 7045.0458, subpart 2, item G,
- 23 include waste analysis need not perform that analysis before
- 24 signing the manifest and giving it to the transporter. However,
- 25 part 7045.0476 requires reporting any discrepancy discovered
- 26 during later analysis;
- 27 (3) immediately give the transporter at least one

- 1 copy of the signed manifest;
- 2 (4) within 30 days after the delivery, send a
- 3 copy of the manifest to the generator; and
- 4 (5) retain at the facility a copy of each
- 5 manifest for at least three years from the date of delivery.
- B. If a facility receives hazardous waste imported
- 7 from a foreign source, the receiving facility must mail a copy
- 8 of the manifest to the following address within 30 days of
- 9 delivery: International Compliance Assurance Division, OFA/OECA
- 10 (2254A), United States Environmental Protection Agency, Ariel
- 11 Rios Building, 1200 Pennsylvania Avenue N.W., Washington, DC
- 12 20460.
- 13 Subp. 3. Rail and water shipment requirements. If a
- 14 facility receives hazardous waste from a rail or water bulk
- 15 shipment transporter and the waste is accompanied by a shipping
- 16 paper containing all the information required on the manifest,
- 17 excluding the identification numbers, generator's certification,
- 18 and signatures, the owner or operator, or this person's agent,
- 19 shall do all of the following:
- [For text of items A to C, see M.R.]
- D. Within 30 days after the delivery, send a copy of
- 22 the signed and dated manifest, or a signed and dated copy of the
- 23 shipping paper if the manifest has not been received within 30
- 24 days of delivery, to the generator. The generator is required
- 25 under part 7045.0265 to send three copies of the manifest to the
- 26 facility when hazardous waste is sent by rail or water bulk
- 27 shipment.

- E. Retain at the facility a copy of the manifest and
- 2 shipping paper, if signed in lieu of the manifest at the time of
- 3 delivery, for at least three years from the date of delivery.
- 4 Subp. 4. Tracking document. Within three working days of
- 5 the receipt of a shipment subject to part 7045.0322, the owner
- 6 or operator of the facility must provide a copy of the tracking
- 7 document bearing all required signatures to the notifier, to the
- 8 Office of Enforcement and Compliance Assurance, Office of
- 9 Federal Activities, International Compliance Assurance Division
- 10 (2254A), Environmental Protection Agency, 1200 Pennsylvania
- 11 Avenue N.W., Washington, DC 20460, and to competent authorities
- 12 of all other concerned countries. The original copy of the
- 13 tracking document must be maintained at the facility for at
- 14 least three years from the date of signature.
- Subp. 5. State-only wastes. The owner or operator of a
- 16 facility must determine whether the consignment state for a
- 17 shipment regulates any additional wastes, beyond those regulated
- 18 federally, as hazardous wastes under its state hazardous waste
- 19 program. The owner or operator of a facility must also
- 20 determine whether the consignment state or generator state
- 21 requires the facility to submit any copies of the manifest to
- 22 these states.
- 23 7045.0476 MANIFEST DISCREPANCIES.
- [For text of subpart 1, see M.R.]
- Subp. 2. Definition of discrepancy. Manifest
- 26 discrepancies are defined as major or minor as follows:
- 27 A. Major manifest discrepancies are:

- 1 (1) significant differences, as described in
- 2 subpart 2a, between the quantity or type of hazardous waste
- 3 designated on the manifest or shipping paper and the quantity or
- 4 type of hazardous waste a facility actually receives;
- 5 (2) rejected wastes, which may be full or partial
- 6 shipment of hazardous waste the facility cannot accept; and
- 7 (3) container residues, which are residues that
- 8 exceed the quantity limits for empty containers in part
- 9 7045.0127.
- 10 B. Minor manifest discrepancies are all other
- 11 discrepancies such as use of manifests other than the manifest
- 12 specified in part 7045.0325, incomplete manifests or shipping
- 13 papers, manifests or shipping papers which are inconsistent, and
- 14 a container or portable tank containing hazardous waste which is
- 15 not properly labeled.
- 16 Subp. 2a. Significant differences.
- 17 A. Significant differences are differences in
- 18 quantity or type as described in items B and C.
- B. Significant differences in quantity are:
- 20 (1) for bulk waste, variations greater than ten
- 21 percent in weight; and
- 22 (2) for batch waste, any variation in piece count
- 23 such as a discrepancy of one drum in a truckload.
- 24 C. Significant differences in type are obvious
- 25 differences that can be discovered by inspection or waste
- 26 analysis, such as waste solvent substituted for waste acid or
- 27 toxic constituents not reported on the manifest or shipping

- l paper.
- 2 Subp. 3. Handling of discrepancies. Upon discovering a
- 3 discrepancy, the owner or operator of a facility shall take
- 4 action as described in item A, B, or C, as applicable:
- 5 A. Upon discovering a major discrepancy, the owner or
- 6 operator shall attempt to reconcile the discrepancy with the
- 7 waste generator and the transporter. If the discrepancy is not
- 8 resolved within 15 days after receiving the waste, the owner or
- 9 operator shall immediately submit to the commissioner a letter
- 10 describing the discrepancy, attempts made to reconcile it, and a
- 11 copy of the manifest or shipping paper at issue.
- [For text of item B, see M.R.]
- C. Upon rejecting a waste or identifying a container
- 14 residue that exceeds the quantity limits for empty containers in
- 15 part 7045.0127, the owner or operator of a facility must:
- 16 (1) consult with the generator prior to
- 17 forwarding the waste to another facility that can manage the
- 18 waste. If it is impossible to locate an alternative facility
- 19 that can receive the waste, the facility owner or operator may
- 20 return the rejected waste or residue to the generator. The
- 21 facility owner or operator must send the waste to the
- 22 alternative facility or to the generator within 60 days of the
- 23 rejection or the container residue identification;
- 24 (2) while making arrangements for forwarding
- 25 rejected wastes or residues to another facility under this part,
- 26 either ensure that the delivering transporter retains custody of
- 27 the waste or provide for secure, temporary custody of the waste,

- 1 pending delivery of the waste to the first transporter
- 2 designated on the manifest prepared under subpart 4 or 5; and
- 3 (3) notify the commissioner immediately if a
- 4 movement of hazardous waste is delivered to a facility not
- 5 allowed to manage the waste under the facility's hazardous waste
- 6 permit.
- 7 Subp. 4. Rejections sent to alternate facility.
- A. Except as provided in item B, for full or partial
- 9 load rejections and residues that are to be sent off-site to an
- 10 alternate facility, the facility must prepare a new manifest
- 11 according to part 7045.0261, subpart 1, and:
- 12 (1) write the generator's United States EPA
- 13 identification number in item 1 of the new manifest. Write the
- 14 generator's name and mailing address in item 5 of the new
- 15 manifest. If the mailing address is different from the
- 16 generator's site address, then write the generator's site
- 17 address in the designated space for item 5;
- 18 (2) write the name of the alternate designated
- 19 facility and the facility's United States EPA identification
- 20 number in the designated facility block (item 8) of the new
- 21 manifest;
- 22 (3) copy the manifest tracking number found in
- 23 item 4 of the old manifest to the special handling and
- 24 additional information block of the new manifest and indicate
- 25 that the shipment is a residue or rejected waste from the
- 26 previous shipment;
- 27 (4) copy the manifest tracking number found in

- 1 item 4 of the new manifest to the manifest reference number line
- 2 in the discrepancy block of the old manifest (item 18a);
- 3 (5) write the United States Department of
- 4 Transportation description for the rejected load or the residue
- 5 in item 9 (United States Department of Transportation
- 6 description) of the new manifest and write the container types,
- 7 quantity, and volumes of the waste; and
- 8 (6) sign the generator's/offeror's certification
- 9 to certify, as the offeror of the shipment, that the waste has
- 10 been properly packaged, marked, and labeled and is in proper
- 11 condition for transportation.
- B. For full load rejections that are made while the
- 13 transporter remains present at the facility, the facility may
- 14 forward the rejected shipment to the alternate facility by
- 15 completing item 18b of the original manifest and supplying the
- 16 information on the next destination facility in the alternate
- 17 facility space. The facility must retain a copy of this
- 18 manifest for its records and then give the remaining copies of
- 19 the manifest to the transporter to accompany the shipment. If
- 20 the original manifest is not used, the facility must use a new
- 21 manifest and comply with item A.
- Subp. 5. Rejections returned to generator.
- A. Except as provided in item B, for rejected wastes
- 24 and residues that must be sent back to the generator, the
- 25 facility is required to prepare a new manifest according to part
- 26 7045.0261, subpart 1, and:
- 27 (1) write the facility's United States EPA

- 1 identification number in item 1 of the new manifest. Write the
- 2 generator's name and mailing address in item 5 of the new
- 3 manifest. If the mailing address is different from the
- 4 generator's site address, then write the generator's site
- 5 address in the designated space for item 5;
- 6 (2) write the name of the initial generator and
- 7 the generator's United States EPA identification number in the
- 8 designated facility block (item 8) of the new manifest;
- 9 (3) copy the manifest tracking number found in
- 10 item 4 of the old manifest to the special handling and
- 11 additional information block of the new manifest and indicate
- 12 that the shipment is a residue or rejected waste from the
- 13 previous shipment;
- 14 (4) copy the manifest tracking number found in
- 15 item 4 of the new manifest to the manifest reference number line
- 16 in the discrepancy block of the old manifest (item 18a);
- 17 (5) write the United States Department of
- 18 Transportation description for the rejected load or the residue
- 19 in item 9 (United States Department of Transportation
- 20 description) of the new manifest and write the container types,
- 21 quantity, and volumes of the waste; and
- 22 (6) sign the generator's/offeror's certification
- 23 to certify, as the offeror of the shipment, that the waste has
- 24 been properly packaged, marked, and labeled, and is in proper
- 25 condition for transportation.
- B. For full load rejections that are made while the
- 27 transporter remains present at the facility, the facility may

- 1 return the shipment to the generator with the original manifest
- 2 by completing items 18a and 18b of the manifest and supplying
- 3 the generator's information in the alternate facility space.
- 4 The facility must retain a copy of this manifest for its records
- 5 and then give the remaining copies of the manifest to the
- 6 transporter to accompany the shipment. If the original manifest
- 7 is not used, the facility must use a new manifest and comply
- 8 with item A.
- 9 Subp. 6. Rejections after delivery. If a facility rejects
- 10 a waste or identifies a container residue that exceeds the
- 11 quantity limits for empty containers in part 7045.0127 after it
- 12 has signed, dated, and returned a copy of the manifest to the
- 13 delivering transporter or to the generator, the facility must
- 14 amend its copy of the manifest to indicate the rejected wastes
- 15 or residues in the discrepancy space of the amended manifest.
- 16 The facility must also copy the manifest tracking number from
- 17 item 4 of the new manifest to the discrepancy space of the
- 18 amended manifest and must re-sign and date the manifest to
- 19 certify to the information as amended. The facility must retain
- 20 the amended manifest for at least three years from the date of
- 21 the amendment and must, within 30 days, send a copy of the
- 22 amended manifest to the transporter and generator that received
- 23 copies prior to their being amended.
- 24 7045.0482 REQUIRED REPORTS.
- 25 [For text of subps 1 and 2, see M.R.]
- Subp. 3. Unmanifested waste report. If a facility accepts
- 27 for treatment, storage, or disposal any hazardous waste from an

- l off-site source without an accompanying manifest, or without an
- 2 accompanying shipping paper as described in part 7045.0381,
- 3 subpart 2, and if the waste is not excluded from the manifest
- 4 requirement, the owner or operator must prepare and submit an
- 5 unmanifested waste report to the commissioner within 15 days
- 6 after receiving the waste. The unmanifested waste report must
- 7 contain the following information:
- 8 [For text of items A to H, see M.R.]
- 9 [For text of subp 4, see M.R.]
- 10 7045.0556 GENERAL FACILITY STANDARDS.
- [For text of subps 1 and 2, see M.R.]
- Subp. 3. Required notices. Notices are required in the
- 13 following situations:
- [For text of items A to C, see M.R.]
- D. The owner or operator of a recovery facility that
- 16 has arranged to receive hazardous waste subject to the
- 17 transfrontier requirements of part 7045.0322 must provide a copy
- 18 of the tracking document bearing all required signatures to the
- 19 notifier, to the Office of Enforcement and Compliance Assurance,
- 20 Office of Federal Activities, International Compliance Assurance
- 21 Division (2254A), Environmental Protection Agency, 1200
- 22 Pennsylvania Avenue N.W., Washington, DC 20460, and to the
- 23 competent authorities of all other concerned countries within
- 24 three working days of receipt of the shipment. The original of
- 25 the signed tracking document must be maintained at the facility
- 26 for at least three years.
- [For text of subps 4 to 9, see M.R.]

- 1 7045.0580 MANIFEST SYSTEM.
- 2 [For text of subpart 1, see M.R.]
- 3 Subp. 2. General manifest requirements.
- 4 A. If a facility receives hazardous waste accompanied
- 5 by a manifest, the owner or operator, or this person's agent,
- 6 shall:
- 7 (1) sign and date, by hand, each copy of the
- 8 manifest to certify that the hazardous waste covered by the
- 9 manifest was received, except as noted in the discrepancy space
- 10 of the manifest, or rejected as noted in the manifest
- 11 discrepancy space;
- 12 (2) note any discrepancies in the manifest on
- 13 each copy of the manifest. The owner or operator of a facility
- 14 whose procedures under part 7045.0564, subpart 2, item G,
- 15 include waste analysis need not perform that analysis before
- 16 signing the manifest and giving it to the transporter. However,
- 17 part 7045.0582, subpart 3, requires reporting any discrepancy
- 18 discovered during later analysis;
- 19 (3) immediately give the transporter at least one
- 20 copy of the signed manifest;
- 21 (4) within 30 days after the delivery, send a
- 22 copy of the manifest to the generator; and
- 23 (5) retain at the facility a copy of each
- 24 manifest for at least three years from date of delivery.
- B. If a facility receives hazardous waste imported
- 26 from a foreign source, the receiving facility must mail a copy
- 27 of the manifest to the following address within 30 days of

- 1 delivery: International Compliance Assurance Division, OFA/OECA
- 2 (2254A), United States Environmental Protection Agency, Ariel
- 3 Rios Building, 1200 Pennsylvania Avenue N.W., Washington, DC
- 4 20460.
- 5 Subp. 3. Rail and water shipment requirements. If a
- 6 facility receives from a rail or water bulk shipment transporter
- 7 hazardous waste which is accompanied by a shipping paper
- 8 containing all the information required on the manifest, the
- 9 owner or operator, or agent shall:
- 10 A. Sign and date each copy of the manifest, or
- 11 shipping paper if the manifest has not been received, to certify
- 12 that the hazardous waste covered by the manifest or shipping
- 13 paper was received.
- [For text of items B and C, see M.R.]
- D. Within 30 days after the delivery, send a copy of
- 16 the signed and dated manifest, or a signed and dated copy of the
- 17 shipping paper if the manifest has not been received within 30
- 18 days of delivery, to the generator. The generator is required
- 19 under part 7045.0265 to send three copies of the manifest to the
- 20 facility when hazardous waste is sent by rail or water bulk
- 21 shipment.
- [For text of item E, see M.R.]
- Subp. 4. Tracking document. Within three working days of
- 24 the receipt of a shipment subject to part 7045.0322, the owner
- 25 or operator of the facility must provide a copy of the tracking
- 26 document bearing all required signatures to the notifier, to the
- 27 Office of Enforcement and Compliance Assurance, Office of

- l Federal Activities, International Compliance Assurance Division
- 2 (2254A), Environmental Protection Agency, 1200 Pennsylvania
- 3 Avenue N.W., Washington, DC 20460, and to competent authorities
- 4 of all other concerned countries. The original copy of the
- 5 tracking document must be maintained at the facility for at
- 6 least three years from the date of signature.
- 7 Subp. 5. State-only wastes. The owner or operator of a
- 8 facility must determine whether the consignment state for a
- 9 shipment regulates any additional wastes, beyond those regulated
- 10 federally, as hazardous wastes under its state hazardous waste
- ll program. The owners or operators of facilities must also
- 12 determine whether the consignment state or generator state
- 13 requires the facility to submit any copies of the manifest to
- 14 these states.
- 15 7045.0582 MANIFEST DISCREPANCIES.
- [For text of subpart 1, see M.R.]
- 17 Subp. 2. Definition of discrepancy. Manifest
- 18 discrepancies are defined as major or minor as follows:
- 19 A. Major manifest discrepancies are:
- 20 (1) significant differences, as described in
- 21 subpart 2a, between the quantity or type of hazardous waste
- 22 designated on the manifest or shipping paper and the quantity or
- 23 type of hazardous waste a facility actually receives;
- 24 (2) rejected wastes, which may be full or partial
- 25 shipment of hazardous waste the facility cannot accept; and
- 26 (3) container residues, which are residues that
- 27 exceed the quantity limits for empty containers in part

- 1 7045.0127.
- B. Minor discrepancies are all other discrepancies
- 3 such as manifests other than the manifest specified in part
- 4 7045.0325, incomplete manifests or shipping papers, manifests or
- 5 shipping papers which are inconsistent, and a container or
- 6 portable tank containing hazardous waste which is not properly
- 7 labeled.
- 8 Subp. 2a. Significant differences.
- 9 A. Significant differences are differences in
- 10 quantity or type as described in items B and C.
- 11 B. Significant differences in quantity are:
- 12 (1) for bulk waste, variations greater than ten
- 13 percent in weight; and
- 14 (2) for batch waste, any variation in piece count
- 15 such as a discrepancy of one drum in a truckload.
- 16 C. Significant differences in type are obvious
- 17 differences that can be discovered by inspection or waste
- 18 analysis, such as waste solvent substituted for waste acid or
- 19 toxic constituents not reported on the manifest or shipping
- 20 paper.
- 21 Subp. 3. Handling of discrepancies. Upon discovering a
- 22 discrepancy, the owner or operator of a facility shall take
- 23 action as described in item A, B, or C, as applicable:
- A. Upon discovering a significant discrepancy, the
- 25 owner or operator shall attempt to reconcile the discrepancy
- 26 with the waste generator and transporter. If the discrepancy is
- 27 not resolved within 15 days after receiving the waste, the owner

- 1 or operator shall immediately submit to the commissioner a
- 2 letter describing the discrepancy, attempts made to reconcile
- 3 it, and a copy of the manifest or shipping paper at issue.
- 4 [For text of item B, see M.R.]
- 5 C. Upon rejecting a waste or identifying a container
- 6 residue that exceeds the quantity limits for empty containers in
- 7 part 7045.0127, the owner or operator of a facility must:
- 8 (1) consult with the generator prior to
- 9 forwarding the waste to another facility that can manage the
- 10 waste. If it is impossible to locate an alternative facility
- 11 that can receive the waste, the facility owner or operator may
- 12 return the rejected waste or residue to the generator. The
- 13 facility owner or operator must send the waste to the
- 14 alternative facility or to the generator within 60 days of the
- 15 rejection or the container residue identification;
- 16 (2) while making arrangements for forwarding
- 17 rejected wastes or residues to another facility under this part,
- 18 either ensure that the delivering transporter retains custody of
- 19 the waste or provide for secure, temporary custody of the waste,
- 20 pending delivery of the waste to the first transporter
- 21 designated on the manifest prepared under subpart 4 or 5; and
- 22 (3) notify the commissioner immediately if a
- 23 movement of hazardous waste is delivered to a facility not
- 24 allowed to manage the waste under the facility's hazardous waste
- 25 permit.
- 26 Subp. 4. Rejections sent to alternate facility.
- A. Except as provided in item B, for full or partial

- l load rejections and residues that are to be sent off-site to an
- 2 alternate facility, the facility must prepare a new manifest
- 3 according to part 7045.0261, subpart 1, and:
- 4 (1) write the generator's United States EPA
- 5 identification number in item 1 of the new manifest. Write the
- 6 generator's name and mailing address in item 5 of the new
- 7 manifest. If the mailing address is different from the
- 8 generator's site address, then write the generator's site
- 9 address in the designated space for item 5;
- 10 (2) write the name of the alternate designated
- 11 facility and the facility's United States EPA identification
- 12 number in the designated facility block (item 8) of the new
- 13 manifest;
- 14 (3) copy the manifest tracking number found in
- 15 item 4 of the old manifest to the special handling and
- 16 additional information block of the new manifest and indicate
- 17 that the shipment is a residue or rejected waste from the
- 18 previous shipment;
- 19 (4) copy the manifest tracking number found in
- 20 item 4 of the new manifest to the manifest reference number line
- 21 in the discrepancy block of the old manifest (item 18a);
- 22 (5) write the United States Department of
- 23 Transportation description for the rejected load or the residue
- 24 in item 9 (United States Department of Transportation
- 25 description) of the new manifest and write the container types,
- 26 quantity, and volumes of the waste; and
- 27 (6) sign the generator's/offeror's certification

- 1 to certify, as the offeror of the shipment, that the waste has
- 2 been properly packaged, marked, and labeled and is in proper
- 3 condition for transportation.
- B. For full load rejections that are made while the
- 5 transporter remains present at the facility, the facility may
- 6 forward the rejected shipment to the alternate facility by
- 7 completing item 18b of the original manifest and supplying the
- 8 information on the next destination facility in the alternate
- 9 facility space. The facility must retain a copy of the manifest
- 10 for its records and give the remaining copies of the manifest to
- 11 the transporter to accompany the shipment. If the original
- 12 manifest is not used, the facility must use a new manifest and
- 13 comply with item A.
- Subp. 5. Rejections returned to generator.
- A. Except as provided in item B, for rejected wastes
- 16 and residues that must be sent back to the generator, the
- 17 facility must prepare a new manifest according to part
- 18 7045.0261, subpart 1, and:
- 19 (1) write the facility's United States EPA
- 20 identification number in item 1 of the new manifest. Write the
- 21 generator's name and mailing address in item 5 of the new
- 22 manifest. If the mailing address is different from the
- 23 generator's site address, then write the generator's site
- 24 address in the designated space for item 5;
- 25 (2) write the name of the initial generator and
- 26 the generator's United States EPA identification number in the
- 27 designated facility block (item 8) of the new manifest;

- 1 (3) copy the manifest tracking number found in
- 2 item 4 of the old manifest to the special handling and
- 3 additional information block of the new manifest and indicate
- 4 that the shipment is a residue or rejected waste from the
- 5 previous shipment;
- 6 (4) copy the manifest tracking number found in
- 7 item 4 of the new manifest to the manifest reference number line
- 8 in the discrepancy block of the old manifest (item 18a);
- 9 (5) write the United States Department of
- 10 Transportation description for the rejected load or the residue
- 11 in item 9 (United States Department of Transportation
- 12 description) of the new manifest and write the container types,
- 13 quantity, and volumes of the waste; and
- 14 (6) sign the generator's/offeror's certification
- 15 to certify, as the offeror of the shipment, that the waste has
- 16 been properly packaged, marked, and labeled and is in proper
- 17 condition for transportation.
- B. For full load rejections that are made while the
- 19 transporter remains present at the facility, the facility may
- 20 return the shipment to the generator with the original manifest
- 21 by completing items 18a and 18b of the manifest and supplying
- 22 the generator's information in the alternate facility space.
- 23 The facility must retain a copy of the manifest for its records
- 24 and give the remaining copies of the manifest to the transporter
- 25 to accompany the shipment. If the original manifest is not
- 26 used, the facility must use a new manifest and comply with item
- 27 A.

- 1 Subp. 6. Rejections after delivery. If a facility rejects
- 2 a waste or identifies a container residue that exceeds the
- 3 quantity limits for empty containers in part 7045.0127 after it
- 4 has signed, dated, and returned a copy of the manifest to the
- 5 delivering transporter or to the generator, the facility must
- 6 amend its copy of the manifest to indicate the rejected wastes
- 7 or residues in the discrepancy space of the amended manifest.
- 8 The facility must also copy the manifest tracking number from
- 9 item 4 of the new manifest to the discrepancy space of the
- 10 amended manifest and must re-sign and date the manifest to
- ll certify to the information as amended. The facility must retain
- 12 the amended manifest for at least three years from the date of
- 13 the amendment and must, within 30 days, send a copy of the
- 14 amended manifest to the transporter and generator that received
- 15 copies prior to their being amended.
- 16 7045.0588 REQUIRED REPORTS.
- [For text of subps 1 and 2, see M.R.]
- Subp. 3. Unmanifested waste report. If a facility accepts
- 19 for treatment, storage, or disposal any hazardous waste from an
- 20 off-site source without an accompanying manifest, or without an
- 21 accompanying shipping paper as described in part 7045.0381,
- 22 subpart 2, and if the waste is not excluded from the manifest
- 23 requirement, the owner or operator must prepare and submit an
- 24 unmanifested waste report to the commissioner within 15 days
- 25 after receiving the waste. The unmanifested waste report must
- 26 contain the following information:
- 27 [For text of items A to H, see M.R.]

- [For text of subp 4, see M.R.]
- 2 7045.0675 RECYCLABLE HAZARDOUS WASTE USED FOR PRECIOUS METAL
- 3 RECOVERY.
- 4 [For text of subps 1 to 4, see M.R.]
- 5 Subp. 5. Transfrontier requirements for persons who
- 6 generate, transport, or store precious metals for recovery. The
- 7 requirements of parts 7045.0322 and 7045.0556, subpart 3, item
- 8 D, apply to precious metals exported to or imported from
- 9 designated OECD member countries for recovery. The requirements
- 10 of part 7045.0302 apply to precious metals exported to or
- 11 imported from non-OECD countries for recovery.

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- 13 RANGE REFERENCE RENUMBERING. The range reference "parts
- 14 7045.0205 to 7045.0320" is changed to "parts 7045.0205 to
- 15 7045.0325" wherever it appears in Minnesota Rules, chapter 7045.
- 16 REPEALER. Minnesota Rules, parts 7045.0020, subpart 54; and
- 17 7045.0261, subparts 5 and 9, are repealed.