1 Department of Human Services

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- 3 Adopted Permanent Rules Governing Administration of Community
- 4 Social Services

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- 6 Rules as Adopted
- 7 9550.0010 DEFINITIONS.
- 8 Subpart 1. Scope. For the purposes of parts 9550.0010 to
- 9 9550.0093, the following terms have the meanings given them.
- 10 [For text of subp 2, see M.R.]
- 11 Subp. 2a. Authorized representative. Authorized
- 12 representative" means a parent of a minor child, a guardian, a
- 13 person who is authorized by power of attorney under Minnesota
- 14 Statutes, section 523.01, a person who is appointed by the
- 15 applicant or client, or a person who is authorized by a court of
- 16 law to act on the applicant's or client's behalf in matters
- 17 involving community social services. The case record must
- 18 document the name of the person or names of the persons
- 19 appointed and the reason or reasons for the appointment.
- 20 Subp. 3. Commissioner. "Commissioner" means the
- 21 commissioner of the Minnesota Department of Human Services or
- 22 the commissioner's designee.
- 23 Subp. 4. Community social services. "Community social
- 24 services" means the services included in a county's community
- 25 social services plan in order to fulfill the county
- 26 responsibility, as prescribed in Minnesota Statutes, section
- 27 256E.08, subdivision 1, to groups or subgroups specified in
- 28 Minnesota Statutes, section 256E.03, subdivision 2, paragraph
- 29 (a). These services are administered by county boards and
- 30 provided or arranged for the groups or subgroups according to
- 31 the county board's community social services plan.
- [For text of subps 5 and 6, see M.R.]
- 33 Subp. 7. County of financial responsibility. "County of
- 34 financial responsibility" means the county responsible for the
- 35 payment of individual client social services as specified in

- 1 Minnesota Statutes, section 256G.02, subdivision 4.
- 2 Subp. 8. County of service. "County of service" means the
- 3 county arranging for or providing community social services to
- 4 individual clients at the request of the client, the client's
- 5 authorized representative, or the county of financial
- 6 responsibility.
- 7 [For text of subp 9, see M.R.]
- 8 Subp. 10. [See repealer.]
- 9 Subp. 11. [See repealer.]
- 10 Subp. 12. Goal. "Goal" means the intended outcome for a
- 11 client.
- 12 Subp. 13. [See repealer.]
- 13 Subp. 13a. Indicator. "Indicator" means the measure to be
- 14 used to determine outcome attainment.
- 15 Subp. 14. Individual service plan. "Individual service
- 16 plan" means the plan agreed upon between the local agency and
- 17 the client or the client's authorized representative for the
- 18 provision of social services to the client by the local agency.
- 19 Subp. 14a. Lead county. "Lead county" means a county that
- 20 negotiates and administers a contract with an approved vendor on
- 21 behalf of itself and other local agencies requesting to purchase
- 22 social services from that vendor.
- [For text of subp 15, see M.R.]
- 24 Subp. 16. [See repealer.]
- 25 Subp. 17. Outcome. "Outcome" means the change or
- 26 difference for a client in terms of the client's condition,
- 27 behavior, knowledge, or skills and abilities during the
- 28 provision of services.
- 29 [For text of subps 18 and 19, see M.R.]
- 30 Subp. 20. [See repealer.]
- 31 Subp. 21. [See repealer.]
- 32 9550.0020 COUNTY RESPONSIBILITY FOR COMMUNITY SOCIAL SERVICES.
- [For text of subpart 1, see M.R.]
- 34 Subp. 2. Social services clients. A county board must
- 35 provide community social services in accordance with Minnesota

- 1 Statutes, section 256E.08, subdivision 1, to groups of persons
- 2 identified in Minnesota Statutes, section 256E.03, subdivision
- 3 2, paragraph (a).
- 4 Subp. 3. Methods of providing services. A county board
- 5 must provide community social services directly through the
- 6 local agency, by contracting with or making grants to approved
- 7 vendors, or by arranging for the voluntary provision of services
- 8 at no cost to the county board.
- 9 Subp. 4. Eligibility policy and criteria. The county
- 10 board must establish eligibility policies and criteria for
- 11 community social services and describe them in the county's
- 12 biennial community social services plan.
- 13 Subp. 5. Annual effectiveness report. The county board
- 14 must submit an annual effectiveness report to the commissioner
- 15 pursuant to Minnesota Statutes, section 256E.10, subdivision 1.
- 16 The report must include an evaluation of the outcomes as stated
- 17 in the county's community social services plan.
- 18 9550.0030 COMMUNITY SOCIAL SERVICES PLAN.
- 19 Subpart 1. County board responsibility. A county board
- 20 must submit biennially a proposed and a final community social
- 21 services plan for the next two calendar years to the
- 22 commissioner for certification.
- 23 If the commissioner does not approve a proposed plan or if
- 24 a county wishes to change its proposed plan, the county must
- 25 submit a revised plan. If the commissioner approves a proposed
- 26 plan and the county does not wish to change the plan, the county
- 27 must notify the commissioner that it will adopt the proposed
- 28 plan as a final plan.
- 29 A county's revised plan or notice of intent to adopt a
- 30 proposed plan as a final plan must be received by the
- 31 commissioner no later than 30 calendar days after final adoption
- 32 of the county's budget by the county board. If the final plan
- 33 submitted by a county is not approved, the commissioner must
- 34 notify the county in writing of the reasons for not approving
- 35 the plan. The county has 30 days from receiving the

- 1 commissioner's notice to submit a plan that will comply with the
- 2 requirements cited by the commissioner. If the county fails
- 3 within the 30-day period to submit a plan that complies, the
- 4 payment reduction specified in Minnesota Statutes, section
- 5 256E.05, subdivision 2, applies.
- 6 If the commissioner's certification of a county's final
- 7 plan is delayed beyond January 1 of the first year of the plan,
- 8 the community social services plan in effect on December 31 of
- 9 the year immediately preceding the first year of the plan shall
- 10 remain in effect until the final plan is certified.
- 11 Subp. 2. Notice of opportunity for citizen participation.
- 12 The county board must provide and publicize an opportunity for
- 13 county citizens, including representatives of service users, to
- 14 participate in developing the proposed community social services
- 15 plan. The notice of an opportunity for citizen participation
- 16 must also indicate when a copy of the proposed community social
- 17 services plan will be available to county residents upon request.
- 18 Consistent with subpart 4, item A, the notice of opportunity for
- 19 citizen participation must be published at least 60 calendar
- 20 days before the date when the proposed plan becomes available
- 21 for public distribution.
- 22 Subp. 3. Plan availability. After submitting its proposed
- 23 plan to the commissioner, the county board must publish notice
- 24 of the availability of their proposed community social services
- 25 plan and make the proposed plan available to residents of the
- 26 county upon request. The plan made available to the public must
- 27 be the proposed plan or a summary of the proposed plan that the
- 28 county board considers in its budget deliberations. Summaries
- 29 must include approximate levels of budgeting. Summaries must
- 30 also include a prominent notice that the complete proposed plan
- 31 to be considered by the county board is also available upon
- 32 request.
- 33 Subp. 4. Certification standards. The plan approved by
- 34 the county board must comply with the standards in items A to I
- 35 in order to have the commissioner certify that the county's
- 36 community social services plan fulfills the purposes and

- 1 requirements of Minnesota Statutes, section 256E.09, other state
- 2 and federal law, and the rules of the department. Compliance
- 3 with items A to I does not exempt a county from meeting the
- 4 requirements of other categorical grant applications and plans
- 5 that have been included as part of the community social services
- 6 plan.
- 7 A. The proposed community social services plan must
- 8 document the county's efforts to obtain citizen participation in
- 9 plan development by:
- 10 (1) showing that notice of opportunity for
- 11 citizen participation in plan development was published at least
- 12 60 calendar days before the proposed plan became available to
- 13 the public;
- 14 (2) describing methods and timetables used by the
- 15 county board to achieve citizen participation; and
- 16 (3) summarizing public comments by content,
- 17 source, and effect on determining priorities within and services
- 18 to be offered to each group identified in Minnesota Statutes,
- 19 section 256E.03, subdivision 2, paragraph (a).
- 20 B. The plan must specify each group or subgroup
- 21 identified in Minnesota Statutes, section 256E.03, subdivision
- 22 2, paragraph (a). When a plan addresses the needs of other
- 23 groups pursuant to Minnesota Statutes, section 256E.03,
- 24 subdivision 2, paragraph (a), clause (9), the county must define
- 25 or describe each group and the services proposed must be
- 26 consistent with the overall purpose of Minnesota Statutes,
- 27 chapter 256E.
- 28 C. The plan must include eligibility policies and
- 29 criteria and client fee policies and schedules.
- 30 D. The plan must include the methods used to assess
- 31 the needs of each group or subgroup in item B.
- 32 E. For each group or subgroup specified in item B,
- 33 the plan must state:
- 34 (1) at least one client-focused goal and outcome
- 35 indicator; and
- 36 (2) a description of how the service system will

- 1 be coordinated.
- 2 F. Using codes from the current statewide reporting
- 3 system for community social services, the plan must describe all
- 4 services the county board proposes to provide for each group or
- 5 subgroup in item B, and the planned expenditures for each group.
- 6 G. The plan must specify how the county board plans
- 7 to facilitate access to services for persons with mental or
- 8 physical disabilities.
- 9 H. The plan must include an analysis of the adequacy
- 10 of resources available to support the proposed plan and an
- 11 estimate of unmet needs.
- 12 I. The plan must include estimates of the amount and
- 13 source of all anticipated federal, state, and local revenues.
- 14 Subp. 5. Availability of final plan. The county board
- 15 must make copies of the final community social services plan, or
- 16 a summary of the plan that includes actual levels of funding,
- 17 available to county residents on request no later than 60 days
- 18 after the commissioner certifies the plan.
- 19 Subp. 6. Duration of plan. The community social services
- 20 plan shall be in effect from January 1 of each even-numbered
- 21 year through December 31 of the following year except when a new
- 22 final plan has not been certified and subpart 1 applies.
- Subp. 7. Amendment to plan. The county board must amend
- 24 its community social services plan pursuant to Minnesota
- 25 Statutes, section 256E.09, subdivision 6, and this subpart when
- 26 the county proposes to:
- 27 A. add, reduce, or delete a service;
- 28 B. change eligibility categories, including
- 29 expansion, restriction, or deletion of a category;
- 30 C. change fee policies or schedules; or
- 31 D. exercise the fiscal limitations provisions in
- 32 Minnesota Statutes, section 256E.081.
- 33 The county board must publish notice of the proposed
- 34 amendment and make it available to county residents upon
- 35 request. The county board must permit the public to review and
- 36 comment on the proposed amendment for a minimum of 30 calendar

- 1 days following notice of the proposed amendment. If the county
- 2 board approves the proposed amendment following the period for
- 3 public comment, it must submit the amendment to the commissioner
- 4 unless the amendment concerns fee schedules.
- 5 All provisions of the current approved plan must remain in
- 6 effect until the proposed amendment becomes final. The proposed
- 7 amendment becomes final when the commissioner certifies the
- 8 amendment or, in the case of an amendment concerning fee
- 9 schedules, when the county board approves the amendment.
- [For text of subps 8 and 9, see M.R.]
- 11 9550.0040 GRANTS AND PURCHASE OF SERVICE CONTRACTS.
- 12 Subpart 1. Authority. The local agency may purchase
- 13 community social services by grant or purchase of service
- 14 contract from agencies or individuals approved as vendors.
- 15 Subp. 2. Grant and contract requirements. Grants and
- 16 purchase of service contracts for community social services must
- 17 contain the following:
- 18 A. the beginning and ending dates of the grant or
- 19 contract;
- 20 B. an-explicit a description of the service or
- 21 services as defined in the community social services plan;
- 22 C. the total dollar amount of the grant or the unit
- 23 cost of each service for a purchase of service contract;
- D. a statement that the amount, frequency, and
- 25 duration of purchased services will be provided in accordance
- 26 with the client's individual service plan;
- 27 E. a statement that the provider must notify the
- 28 client and the local agency, in writing, before discharge or
- 29 termination of services to an individual client;
- 30 F. an itemized list of program and fiscal records to
- 31 be maintained by the approved vendor;
- 32 G. a retention schedule for program and fiscal
- 33 records;
- 34 H. statement of compliance with the Minnesota
- 35 Government Data Practices Act, Minnesota Statutes, chapter 13,

- 1 and identification of the person responsible for compliance with
- 2 data practices;
- 3 I. provisions for addressing liability; and
- J. provisions for termination of the grant or
- 5 contract.
- 6 Subp. 3. Duties of local agency. The local agency must:
- 7 A. use a written grant or purchase of service
- 8 contract containing all provisions specified in subpart 2 when
- 9 purchasing community social services. Every grant and purchase
- 10 of service contract must be completed, signed, and approved by
- 11 all parties to the agreement, including the county board unless
- 12 the county board has designated the local agency to sign on its
- 13 behalf. No service shall be provided before the effective date
- 14 of the grant or purchase of service contract;
- B. determine client's eligibility for purchased
- 16 services, or delegate the responsibility for making the
- 17 preliminary determination to the approved vendor under the terms
- 18 of the grant or purchase of service contract;
- 19 C. ensure the development of an individual social
- 20 service plan based on the needs of the client;
- D. monitor purchased services and evaluate grants and
- 22 contracts on the basis of client outcomes; and
- E. purchase only from approved vendors.
- 24 Subp. 4. Local agency criteria. When the local agency
- 25 chooses to purchase community social services from a vendor that
- 26 is not subject to state licensing laws or department rules, the
- 27 local agency must establish written criteria for vendor approval
- 28 to ensure the health, safety, and well-being of clients.
- [For text of subp 5, see M.R.]
- 30 Subp. 6. Files. The local agency must keep an
- 31 administrative file for each grant and contract. The file must
- 32 contain:
- [For text of items A to E, see M.R.]
- 34 F. financial, statistical, and any other reports
- 35 specified in the grant or contract.
- 36 Subp. 7. [See repealer.]

- 1 Subp. 7a. Contracting within and across county lines; lead
- 2 county contracts. Items A to E govern contracting within and
- 3 across county lines and lead county contracts.
- 4 A. Once a local agency and an approved vendor execute
- 5 a contract that meets the requirements of this part, that
- 6 contract governs all other purchases of service from that vendor
- 7 by all other local agencies for the term of the contract. The
- 8 local agency that negotiated and entered into the contract
- 9 becomes the lead county for that contract.
- 10 (1) Terms of a contract negotiated under this
- 11 subpart may be renegotiated by the parties to the contract with
- 12 the concurrence of all agencies purchasing services under the
- 13 contract.
- 14 (2) If, at the time the contract expires, one or
- 15 more agencies want to continue purchasing from the vendor, the
- 16 new contract must be negotiated according to the terms of this
- 17 subpart.
- 18 B. When the local agency in the county where a vendor
- 19 is located wants to purchase services from that vendor and the
- 20 vendor has no contract with the local agency or any other
- 21 county, the local agency must negotiate and execute a contract
- 22 with the vendor.
- 23 C. When a local agency in one county wants to
- 24 purchase services from a vendor located in another county, it
- 25 must notify the local agency in the county where the vendor is
- 26 located. Within 30 days of being notified, the local agency in
- 27 the vendor's county must:
- 28 (1) if it has a contract with the vendor, send a
- 29 copy to the inquiring agency;
- 30 (2) if there is a contract with the vendor for
- 31 which another local agency is the lead county, identify the lead
- 32 county to the inquiring agency; or
- 33 (3) if no local agency has a contract with the
- 34 vendor, inform the inquiring agency whether it will negotiate a
- 35 contract and become the lead county. If the agency where the
- 36 vendor is located will not negotiate a contract with the vendor

- 1 because of concerns related to clients' health and safety, the
- 2 agency must share those concerns with the inquiring agency.
- D. If the local agency in the county where the vendor
- 4 is located declines to negotiate a contract with the vendor or
- 5 fails to respond within 30 days of receiving the notification in
- 6 item C, the inquiring agency is authorized to negotiate a
- 7 contract and must notify the local agency that declined or
- 8 failed to respond.
- 9 E. When the inquiring county in item D becomes the
- 10 lead county for a contract and the contract expires and needs to
- 11 be renegotiated, that county must again follow the requirements
- 12 of item C and notify the local agency where the vendor is
- 13 located. The local agency where the vendor is located again has
- 14 the option of becoming the lead county for the new contract. If
- 15 the local agency does not exercise the option, item D again
- 16 applies.
- 17 F. This subpart does not affect the requirement to
- 18 seek county concurrence under Minnesota Statutes, section
- 19 256B.092, subdivision 8a, when the services are to be purchased
- 20 for a person with mental retardation or a related condition or
- 21 under Minnesota Statutes, section 245.4711, subdivision 3, when
- 22 the services to be purchased are for an adult with serious and
- 23 persistent mental illness.
- Subp. 8. Contracts with community mental health boards.
- 25 Notwithstanding subpart 7a, a local agency within the geographic .
- 26 area served by a community mental health board authorized by
- 27 Minnesota Statutes, sections 245.61 to 245.69, may contract
- 28 directly with that community mental health board. However, if a
- 29 local agency outside of the geographic area served by a
- 30 community mental health board wishes to purchase services from
- 31 the board, the local agency must follow the requirements in
- 32 subpart 7a.
- 33 Subp. 9. Placement agreements. A placement agreement must
- 34 be used for residential services. Placement agreements are
- 35 valid when signed by authorized representatives of the facility
- 36 and the county of financial responsibility. If the county of

- 1 financial responsibility and the county where the approved
- 2 vendor is located are not the same, the county of financial
- 3 responsibility must, if requested, mail a copy of the placement
- 4 agreement to the county where the approved vendor is providing
- 5 the service and to the lead county within ten calendar days
- 6 after the date on which the placement agreement is signed. The
- 7 placement agreement must specify that the service will be
- 8 provided in accordance with the individual service plan as
- 9 required under part 9550.0090, subpart 2, and must specify the
- 10 unit cost, the date of placement, and the date for the review of
- 11 the placement. A placement agreement may also be used for
- 12 nonresidential services.
- 13 9550.0050 PROCEDURES WHEN THE COUNTY OF SERVICE AND THE COUNTY
- 14 OF FINANCIAL RESPONSIBILITY ARE NOT THE SAME.
- 15 Subpart 1. Establishing financial responsibility. When a
- 16 local agency takes an application from a person the local agency
- 17 believes is the financial responsibility of another county, the
- 18 procedures in Minnesota Statutes, section 256G.09, apply.
- 19 Subp. 2. Client information for county of financial
- 20 responsibility. Within 60 calendar days after the approval date
- 21 of an application, the county of service must send the county it
- 22 believes is financially responsible copies of the client's:
- 23 A. application;
- B. eligibility documents; and
- 25 C. individual service plan.
- Subp. 3. Disapproval of an individual service plan by
- 27 county of financial responsibility. The county of financial
- 28 responsibility may disapprove an individual service plan for
- 29 either of the following reasons:
- 30 A. the client's need for service is not established
- 31 to the satisfaction of the county of financial responsibility;
- 32 or
- 33 B. the county of financial responsibility makes an
- 34 alternative offer of service that meets the needs of the client.
- When the county of financial responsibility disapproves an

- l individual service plan, the county must document the reasons
- 2 for the decision and send this information to the client and the
- 3 county of service within 30 calendar days after receiving the
- 4 client's application, eligibility documents, and the individual
- 5 service plan.
- 6 Subp. 4. [See repealer.]
- 7 Subp. 5. Notice to client. Within 15 calendar days after
- 8 receiving the decision of the county of financial
- 9 responsibility, the county of service must notify the client in
- 10 writing that the county of financial responsibility approves or
- 11 disapproves the individual service plan. If the county of
- 12 financial responsibility disapproves the individual service
- 13 plan, the county of service must advise the client in writing of
- 14 the right and the procedures to appeal the decision as provided
- 15 by Minnesota Statutes, section 256.045, subdivision 3.
- 16 Subp. 6. Emergency social services. The county of service
- 17 must provide emergency social services. The county of financial
- 18 responsibility must fully reimburse the county of service for
- 19 emergency social services for up to 30 calendar days for each
- 20 client in need of these services.
- 21 When a service initiated as an emergency social service is
- 22 extended beyond 30 calendar days, the county of service must
- 23 obtain the prior approval of the county of financial
- 24 responsibility.
- 25 Subp. 7. [See repealer.]
- 26 9550.0060 SOCIAL SERVICES FEES.
- 27 Subpart 1. County's option to set fees. A county board
- 28 may set fees for any community social service for which a fee or
- 29 fee schedule is not specified by Minnesota Statutes.
- 30 County-established fees and fee schedules must be included in
- 31 the county's community social services plan.
- 32 A written copy of the applicable fee schedule must be made
- 33 available to the client upon request. Fees charged must not
- 34 exceed the actual cost of the service.
- 35 Subp. 2. Ability to pay. County-established fees and fee

- 1 schedules must be based upon a client's ability to pay7-as
- 2 determined-by-considering. In determining ability to pay,
- 3 counties must consider family size, and income, and may
- 4 consider other resources. Fees and fee schedules must reflect a
- 5 sliding scale in which the fee charged varies in accordance with
- 6 factors that would affect the amount a client is able to pay.
- 7 Fees must not be based upon a minimum charge to all clients.
- 8 Subp. 3. Exceptions. A county board must not charge a fee
- 9 when a condition specified in items A to E applies.
- 10 A. The client is a person or family whose adjusted
- 11 gross household income is at or below 100 percent of the federal
- 12 poverty guidelines as determined and published annually by the
- 13 United States Department of Health and Human Services. For
- 14 purposes of this part, "adjusted gross household income" means
- 15 the person's or family's adjusted gross income as determined by
- 16 following federal income tax guidelines for calculating adjusted
- 17 gross income.
- 18 If the client is a minor child, "family" includes the
- 19 following persons living in the same dwelling unit: the client;
- 20 the client's birth or adoptive parents; and the client's
- 21 siblings who are minors. If the client is an adult, "family"
- 22 includes the following persons living in the same dwelling unit:
- 23 the client; the client's spouse; the client's minor children;
- 24 and the client's spouse's minor children.
- B. The client receives Aid to Families with Dependent
- 26 Children, Minnesota Supplemental Assistance, or General
- 27 Assistance, or participates in the Minnesota Family Investment
- 28 Program.
- 29 C. The service provided is related to adopting a
- 30 hard-to-place or special needs child as defined by Minnesota
- 31 Statutes, section 259.67, subdivision 1 or 4.
- 32 D. The services provided are family preservation
- 33 services as described in Minnesota Statutes, section 256.8711 or
- 34 sections 256F.01 to 256F.07 and 256F.11, subdivisions 1 and 2.
- 35 E. The client is affected by the requirement in
- 36 Minnesota Statutes, section 120.1701, subdivision 10, governing

- 1 the interagency early childhood intervention system.
- 2 9550.0070 APPLICATION FOR SOCIAL SERVICES.
- 3 Subpart 1. Right to apply. The local agency must post a
- 4 notice in a prominent place within the local agency advising
- 5 individuals of their right to apply for social services. The
- 6 local agency must advise all individuals who ask about receiving
- 7 social services or request social services of their right to
- 8 sign an application form for social services without delay
- 9 during normal business hours and that the application form will
- 10 be processed after it is signed and completed.
- 11 Subp. 2. Information about available services. The local
- 12 social services agency must give prospective applicants or their
- 13 authorized representatives a written list of the services
- 14 available according to the county's community social services
- 15 plan.
- 16 Subp. 3. Application requirement. Except for information
- 17 and referral services, an individual must make written
- 18 application prior to receiving community social services. A
- 19 local agency may provide emergency social services to an
- 20 individual who has not signed an application form by following
- 21 the procedure in subpart 5.
- 22 Subp. 4. Statement of applicant rights and
- 23 responsibilities. Before the applicant or the applicant's
- 24 authorized representative signs the application form, the local
- 25 agency must provide the applicant or the applicant's authorized
- 26 representative, on a form prescribed or approved by the
- 27 commissioner, a written statement that explains the applicant's
- 28 rights and responsibilities and how data collected about the
- 29 applicant will be used. If for any reason an applicant or the
- 30 applicant's authorized representative does not understand the
- 31 written statement, an agency worker must read the written
- 32 statement aloud and explain the written statement to the
- 33 applicant or the applicant's authorized representative. The
- 34 local agency must provide interpreters for hearing-impaired
- 35 persons and foreign language interpretive services if necessary.

- 1 The agency worker must answer questions that the applicant or
- 2 the applicant's authorized representative asks about the
- 3 application process.
- 4 Subp. 5. Filling out application form. The applicant or
- 5 the applicant's authorized representative must complete, sign,
- 6 and date the application form. If the applicant cannot or does
- 7 not sign the application form, the applicant's authorized
- 8 representative or the agency worker may sign the form. The
- 9 reasons why the applicant or the applicant's authorized
- 10 representative did not sign the application form must be
- 11 recorded in the case record.
- 12 Subp. 6. Eligibility. In addition to submitting the
- 13 application form, the applicant or the applicant's authorized
- 14 representative must provide information about the applicant's
- 15 eligibility on forms made available by the local agency and
- 16 approved by the commissioner. The forms must be signed and
- 17 dated by the applicant or the applicant's authorized
- 18 representative and copies of both the application and the
- 19 eligibility forms must be given to the applicant or the
- 20 applicant's authorized representative.
- 21 Subp. 7. Local agency decision about eligibility and
- 22 notification to applicant. The local agency must determine the
- 23 applicant's eligibility within 30 calendar days after the date
- 24 on which the application and eligibility forms are completed,
- 25 signed, and received by the agency or as otherwise required
- 26 under applicable program rules. Within 15 calendar days after
- 27 determining eligibility, the local agency must notify the
- 28 applicant or the applicant's authorized representative in
- 29 writing that the application has been approved or denied or
- 30 whether the client has been placed on a waiting list unless
- 31 social services are initiated prior to the end of the 15
- 32 calendar day notice period.
- 33 Subp. 8. Denial of application. If the application is
- 34 denied, the local agency must follow the procedures for client
- 35 notification in part 9550.0092, subpart la.
- 36 Subp. 9. Approval of application. When an application is

- 1 approved, the local agency must authorize social services within
- 2 15 calendar days after the applicant has been notified of
- 3 eligibility or at an appropriate later date which has been
- 4 mutually agreed upon by the local agency and the applicant or
- 5 the applicant's authorized representative.
- 6 9550.0080 INFORMATION ABOUT CLIENTS.
- 7 Subpart 1. Client data. All data collected, stored, used,
- 8 and disseminated about an applicant or client by the local
- 9 agency is governed by Minnesota Statutes, chapter 13.
- 10 Subp. 2. Contracts and grants. When a contract or grant
- ll administered by the local agency requires that data on
- 12 applicants or clients be made available to the contracting
- 13 parties, access to that data is governed by Minnesota Statutes,
- 14 chapter 13.
- 15 9550.0090 INDIVIDUAL SERVICE PLAN.
- 16 Subpart 1. Agreement on plan. The local agency and the
- 17 client or client's authorized representative must agree on a
- 18 plan for providing community social services other than
- 19 emergency social services to attain identified client-focused
- 20 goals.
- 21 Subp. 2. Requirements. The individual service plan must
- 22 be in writing and must:
- 23 A. be developed with the client or the client's
- 24 authorized representative;
- 25 B. state the goals to be achieved based on an
- 26 assessment of the client's individual service needs;
- 27 C. state the basis for the local agency's
- 28 involvement;
- 29 D. state the indicators that will be used to measure
- 30 attainment of the goals;
- 31 E. state the specific services to be provided in
- 32 terms of the amount, frequency, duration, and provider of each
- 33 service;
- 34 F. specify agreed-upon times to review the plan with
- 35 the client or the client's authorized representative, to address

- 1 the client's progress toward attaining outcomes based on the
- 2 selected indicators, and to revise the plan as necessary. The
- 3 plan must be reviewed at least annually. The review and
- 4 assessment requirements of this part do not negate the
- 5 requirements of other laws or department rules.
- 6 The plan must be signed by the client or the client's
- 7 authorized representative and by a person authorized to sign for
- 8 the local agency. The local agency must give a copy of the plan
- 9 to the client or the client's authorized representative.
- 10 9550.0091 CLIENT'S RIGHT TO ACCEPT OR REJECT SERVICES.
- 11 An applicant or client or the applicant's or client's
- 12 authorized representative may accept or reject a local agency
- 13 assessment of a need for community social services or an offer
- 14 of community social services aimed at attaining specified
- 15 goals. When a social service is rejected, the local agency must
- 16 give a clear explanation of the possible consequences of the
- 17 rejection to the applicant, client, or the applicant's or
- 18 client's authorized representative. A person's refusal to
- 19 accept community social services must not affect payments to the
- 20 person under public assistance maintenance programs unless a
- 21 statute or rule governing a specific program directs otherwise.
- 22 Local agencies are required to offer and provide protective
- 23 services under Minnesota Statutes, sections 626.556 and
- 24 626.557. When an individual who needs protective services or a
- 25 person acting on the individual's behalf rejects those services,
- 26 the local agency shall follow the procedures established under
- 27 parts 9555.7600, 9560.0220, and 9560.0228.
- 28 9550.0092 RIGHT TO A FAIR HEARING.
- [For text of subpart 1, see M.R.]
- 30 Subp. la. Notice that application has been denied. The
- 31 local agency must notify the applicant and the applicant's
- 32 authorized representative in writing within 15 calendar days
- 33 after denying eligibility. A copy of the notice mailed or given
- 34 to the applicant and the applicant's authorized representative
- 35 must be filed at the agency. The notice must contain the

- 1 following information:
- 2 A. the reason for denial;
- B. a reference to the specific rule or approved
- 4 community social services plan provision which is the basis for
- 5 denial:
- 6 C. an explanation of the applicant's right to appeal
- 7 the decision to the department; and
- 8 D. a description of the appeal procedure.
- 9 Subp. 2. Notice that services will be reduced, suspended,
- 10 or terminated. The local agency must notify the client or the
- 11 client's authorized representative ten calendar days before
- 12 taking action to reduce, suspend, or terminate services to the
- 13 client. The notice must be in writing and must be mailed or
- 14 given to the client and the client's authorized representative.
- 15 The notice must state the client's right to appeal the action
- 16 and to be represented by an attorney or other person of the
- 17 client's choice at the hearing. The notice must also state that
- 18 community social services will be continued if the appeal is
- 19 filed prior to the reduction, suspension, or termination date
- 20 specified in the notice. The notice must also state the
- 21 specific reason for the reduction, suspension, or termination of
- 22 services and cite the specific rule or approved community social
- 23 services plan amendment upon which the reduction, suspension, or
- 24 termination is based.
- Subp. 3. Appealable actions. The applicant, client, or
- 26 the applicant's or client's authorized representative may appeal
- 27 if:
- [For text of items A to E, see M.R.]
- 29 F. the applicant or client or the applicant's or
- 30 client's authorized representative fails to agree with the
- 31 services identified in the individual service plan developed
- 32 between the applicant or the client or the applicant's or
- 33 client's authorized representative and the local agency.
- [For text of subp 4, see M.R.]
- 35 Subp. 5. Notice in suspected fraud cases. If the local
- 36 agency obtains information indicating that social services

- 1 should be discontinued, reduced, or terminated because of
- 2 suspected fraud on the part of the client, and, where possible,
- 3 evidence of fraud has been verified through collateral sources,
- 4 then notice of agency action is timely if it is mailed at least
- 5 five calendar days before the action becomes effective.
- 6 9550.0093 COUNTY COMPLIANCE AND APPEAL RIGHTS.
- 7 County boards must comply with parts 9550.0010 to 9550.0092.
- 8 If the commissioner determines that a county board has failed to
- 9 comply with parts 9550.0010 to 9550.0092 or other applicable
- 10 state and federal law, the corrective action procedures under
- 11 Minnesota Statutes, section 256E.05, apply. A county board's
- 12 appeal of action taken by the commissioner is governed by
- 13 Minnesota Statutes, section 256E.06, subdivision 10.
- 14 REPEALER. Minnesota Rules, parts 9550.0010, subparts 10, 11,
- 15 13, 16, 20, and 21; 9550.0040, subpart 7; and 9550.0050,
- 16 subparts 4 and 7, are repealed.