1 Department of Education

2

3 Adopted Permanent Rules Relating to Special Education Rules

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- 5 Rules as Adopted
- 6 3500.1000 EXEMPTIONS FOR EXPERIMENTAL AND FLEXIBLE SCHOOL YEAR
- 7 PROGRAMS.
- 8 Subpart 1. Request. A district may request approval from
- 9 the State Board of Education for an experimental program of
- 10 study, a four-day school week, or a flexible school year
- 11 program. They shall be designed to accomplish at least one of
- 12 the following:
- 13 A. improve instructional quality;
- 14 B. increase cost effectiveness;
- 15 C. make better use of community resources or
- 16 available technology; or
- 17 D. establish an alternative eligibility criteria
- 18 intended to identify pupils in need of special education
- 19 services.
- 20 Subp. 2. Exemption from state rules. If the proposed
- 21 program is approved, the State Board of Education shall provide
- 22 an exemption to state rules that otherwise would apply.
- Subp. 3. Contents of proposal. The proposal shall include:
- 24 specific state rules from which the district requests exemption,
- 25 the goals and objectives of the program, the activities to be
- 26 used to accomplish the objectives, a definite time limit which
- 27 may not exceed three years, and the evaluation procedures to be
- 28 used.
- 29 Subp. 4. Participation and approval. The district shall
- 30 provide evidence that the district staff, pupils, and parents
- 31 who would be affected, participated in the development and will
- 32 participate in the annual review of the proposal, and that the
- 33 proposal has the approval of the district school board.
- 34 Parents whose children will be involved shall be fully
- 35 informed at the IEP meeting and shall have the opportunity to

- 1 approve or disapprove placement in the experimental program.
- 2 Subp. 5. Criteria for continuation. If the State Board of
- 3 Education finds that the program has met the proposed goals and
- 4 objectives, the board shall authorize continuation of the
- 5 program and specify the state rules from which the program is
- 6 exempt and the period of time the program will be continued.
- 7 3525.0200 DEFINITIONS FOR SPECIAL EDUCATION.
- 8 [For text of subpart 1, see M.R.]
- 9 Subp. la. Administrator or administrative designee.
- 10 "Administrator" or "administrative designee" means a
- 11 representative of the school district, other than the pupil's
- 12 teacher, who is licensed to provide or supervise the provision
- 13 of special education and who has the authority to make decisions
- 14 about the appropriateness of the proposed program and who has
- 15 the authority to commit the responsible district's resources.
- 16 Subp. 1b. Aids. "Aids" means equipment, devices, and
- 17 materials and curriculum adaptations which enable a pupil to
- 18 achieve satisfactorily in the regular classroom.
- 19 Subp. lc. Assessment or reassessment. "Assessment" or
- 20 "reassessment" means an appropriate individual educational
- 21 evaluation of a pupil's performance or development conducted by
- 22 appropriately licensed personnel according to recognized
- 23 professional standards and parts 3525.2550 to 3525.2750.
- Subp. ld. Aversive procedure. "Aversive procedure" means
- 25 the planned application of an aversive stimulus: (1) contingent
- 26 upon the occurrence of a behavior identified for reduction or
- 27 elimination in the IEP; or (2) in an emergency situation
- 28 governed by subpart 2c.
- 29 Subp. le. Aversive stimulus. "Aversive stimulus" means an
- 30 object that is used, or an event or situation that occurs
- 31 immediately after a specified behavior in order to suppress that
- 32 behavior.
- 33 Subp. 1f. Community-based. "Community-based" means a
- 34 service, program, or environment located outside the district
- 35 with nondistrict governance.

- 1 Subp. lg. Conditional procedures. "Conditional procedures"
- 2 means interventions that meet the definitions of aversive and
- 3 deprivation procedures which are not prohibited. Conditional
- 4 procedures include:
- 5 A. the use of manual restraint;
- B. the use of mechanical or locked restraints:
- 7 C. time out procedures for seclusion; and
- 8 D. temporary delay or withdrawal of regularly
- 9 scheduled meals or water not to exceed 30 minutes except as
- 10 provided in subpart 2a.
- 11 [For text of subp 2, see M.R.]
- 12 Subp. 2a. Deprivation procedure. "Deprivation procedure"
- 13 means the planned delay or withdrawal of goods, services, or
- 14 activities that the pupil would otherwise receive: (1)
- 15 contingent upon the occurrence of a behavior identified for
- 16 reduction or elimination on the IEP; or (2) in an emergency
- 17 situation governed by subpart 2c.
- 18 Subp. 2b. Direct services. "Direct services" means
- 19 special education services provided by a teacher or a related
- 20 service professional when the services are related to
- 21 instruction, including cooperative teaching.
- 22 Subp. 2c. Emergency. "Emergency" means a situation in
- 23 which immediate intervention is necessary to protect a pupil or
- 24 other individual from physical injury, emotional abuse due to
- 25 verbal and nonverbal threats and gestures, or to prevent severe
- 26 property damage. The emergency intervention must be the least
- 27 intrusive intervention possible to reasonably react to the
- 28 emergency situation. This subpart does not prohibit staff
- 29 persons from using reasonable force to protect themselves or
- 30 other pupils or students as provided in Minnesota Statutes,
- 31 section 609.379.
- 32 [For text of subps 3a and 4a, see M.R.]
- 33 Subp. 6a. Individual education program plan or IEP.
- 34 "Individual education program plan" or "IEP" means a written
- 35 individualized educational plan annually developed for a pupil.
- 36 It is based on an assessment of the pupil's performance,

- 1 presenting problems and its effect on learning in appropriate
- 2 settings.
- 3 [For text of subps 7a and 8a, see M.R.]
- 4 Subp. 8b. [See repealer.]
- 5 Subp. 8c. Indirect services. "Indirect services" means
- 6 special education services which include ongoing progress
- 7 reviews; cooperative planning; consultation; demonstration
- 8 teaching; modification and adaptation of the environment,
- 9 curriculum, materials, or equipment; and direct contact with the
- 10 pupil to monitor and observe. Indirect services may be provided
- 11 by a teacher or related services professional to another regular
- 12 education, special education teacher, related services
- 13 professional, paraprofessional, support staff, parents, and
- 14 public and nonpublic agencies to the extent that the services
- 15 are written in the pupil's IEP and IFSP.
- 16 Subp. 8d. Individualized family service plan or IFSP.
- 17 "Individualized family service plan" or "IFSP" means a written
- 18 plan for providing services to a pupil and the pupil's family
- 19 through interagency agreements. Procedural and program
- 20 requirements for the IEP also apply to the educational
- 21 components of the IFSP.
- 22 Subp. 8e. Manual restraint. "Manual restraint" means
- 23 physical intervention intended to hold a person immobile or
- 24 limit a person's movement by using body contact as the only
- 25 source of physical restraint.
- 26 Subp. 8f. Mechanical restraint. "Mechanical restraint"
- 27 means the use of devices such as mittens, straps, or restraint
- 28 chairs to limit a person's movement or hold a person immobile as
- 29 an intervention precipitated by the person's behavior.
- 30 Mechanical restraint applies to uses intended to prevent injury
- 31 with persons who engage in behaviors such as head-banging,
- 32 gouging, or other self-injurious actions that result in tissue
- 33 damage and medical problems. Mechanical restraint does not
- 34 apply to restraint used to treat a person's medical needs or to
- 35 position a person with physical disabilities.
- 36 Subp. 9b. [See repealer.]

- 1 Subp. 10. Nondiscrimination. "Nondiscrimination" means a
- 2 requirement that districts shall comply with chapter 3535 and
- 3 Minnesota Statutes, chapter 363.
- 4 Subp. 10a. Paraprofessional. "Paraprofessional" means a
- 5 district employee who is primarily engaged in direct interaction
- 6 with one or more pupils for instructional activities, physical
- 7 or behavior management, or other purposes under the direction of
- 8 a regular education or special education teacher or related
- 9 services provider.
- 10 Subp. 11a. Parent or parents. For a pupil under age 18,
- 11 "parent" or "parents" means the mother, father, guardian, person
- 12 acting as the parent of the child, conservator, or surrogate
- 13 parent who has been appointed in accordance with parts 3525.2435
- 14 to 3525.2455. For a pupil age 18 or over, "parent" or "parents"
- 15 also includes the pupil unless a guardian or conservator has
- 16 been appointed, in which case it means the guardian or
- 17 conservator. When the parents are separated or divorced, it
- 18 means the parent who has the legal right, by court decree or
- 19 agreement, to determine the pupil's education, even though the
- 20 pupil may be living with the other parent.
- [For text of subp 15a, see M.R.]
- 22 Subp. 16a. Pupil. "Pupil" means a student or other person
- 23 who is eligible for special education according to Minnesota
- 24 Statutes, sections 120.03 and 120.17.
- [For text of subp 17a, see M.R.]
- 26 Subp. 18a. Regular education program. "Regular education
- 27 program" means the program in which the pupil would be enrolled
- 28 if the pupil did not have disabilities.
- 29 Subp. 18b. [See repealer.]
- 30 [For text of subp 19a, see M.R.]
- 31 Subp. 19b. Significant change in program or placement.
- 32 "Significant change in program or placement" means:
- 33 A. the IEP goals have been completed or require
- 34 modification based on a periodic review;
- 35 B. there is a need to add or delete a service based
- 36 on a periodic review or assessment;

- 1 C. there is a change in the type of site or setting
- 2 in which the pupil receives special education;
- 3 D. the amount of time a pupil spends with nondisabled
- 4 peers is changed;
- 5 E. the amount of special education to accomplish the
- 6 goals or objectives needs to be increased or decreased; or
- 7 F. the team determines there is a need for a
- 8 conditional intervention procedure.
- 9 Subp. 20a. Special education. "Special education" means
- 10 any specially designed instruction and related services to meet
- 11 the unique cognitive, academic, communicative, social and
- 12 emotional, motor ability, vocational, sensory, physical, or
- 13 behavioral and functional needs of a pupil as stated in the IEP.
- 14 Subp. 20b. Surrogate parent. "Surrogate parent" means a
- 15 person appointed by the providing district to intervene on
- 16 behalf of a pupil, to help ensure that the rights of the pupil
- 17 to a free and appropriate education are protected. The
- 18 surrogate parent shall not be a person who receives public funds
- 19 to educate or care for the child. However, a foster parent may
- 20 serve as a surrogate parent if appointed and if no conflict of
- 21 interest exists.
- [For text of subps 24 and 25, see M.R.]
- 23 Subp. 25a. Time out for exclusion. "Time out for
- 24 exclusion" involves procedures which remove a pupil from the
- 25 regularly scheduled education program for brief periods not to
- 26 exceed 30 minutes. Time out for exclusionary purposes is not
- 27 regulated by this chapter.
- 28 Subp. 25b. Time out for seclusion. "Time out for
- 29 seclusion" involves procedures which place the pupil in a
- 30 specially designated isolation room or similar space.
- 31 Subp. 26. Vocational assessment. "Vocational assessment"
- 32 means an ongoing, comprehensive process used to assist the pupil
- 33 and the team to determine the pupil's strengths, interests,
- 34 abilities, and needed support to be successful in a vocational
- 35 setting. A vocational assessment is one component of the
- 36 ongoing special education multidisciplinary assessment described

- 1 in parts 3525.2550 to 3525.2750.
- 2 3525.0300 PROVISION OF FULL SERVICES.
- 3 Children and youth with disabilities and who are eligible
- 4 for special education services based on an appropriate
- 5 individual assessment shall have access to free appropriate
- 6 public education, as that term is defined by applicable law.
- 7 3525.0400 LEAST RESTRICTIVE ENVIRONMENT.
- 8 To the maximum extent appropriate, children with
- 9 disabilities shall be educated with children who do not have
- 10 disabilities and shall attend regular classes. A person with
- 11 disabilities shall be removed from a regular educational program
- 12 only when the nature or severity of the disability is such that
- 13 education in a regular educational program with the use of
- 14 supplementary aids and services cannot be accomplished
- 15 satisfactorily. Furthermore, there must be an indication that
- 16 the pupil will be better served outside of the regular program.
- 17 The needs of the pupil shall determine the type and amount of
- 18 services needed.
- 19 3525.0700 PARENTAL INVOLVEMENT.
- 20 Parents of children with disabilities have a right to be
- 21 involved by the school district in the education decision-making
- 22 process by participating or being afforded the opportunity to
- 23 participate at each IEP meeting to develop, review, or revise
- 24 the IEP. At the time of contact, the district shall inform the
- 25 parents of their right to bring anyone of their choosing to
- 26 accompany them to the meeting. The district shall inform the
- 27 pupil's parents about the alternatives and methods of
- 28 instruction as described in Minnesota Statutes, section 120.17,
- 29 subdivision 2.
- 30 3525.0750 IDENTIFICATION OF CHILDREN WITH DISABILITIES.
- 31 School districts shall develop systems designed to identify
- 32 persons with disabilities beginning at birth, students with
- 33 disabilities attending school, and persons with disabilities who
- 34 are of school age and are not attending any school.

- 1 The district's identification system shall be developed in
- 2 accordance with the requirement of nondiscrimination and
- 3 included in the district's total special education system plan.
- 4 3525.0800 RESPONSIBILITY FOR ENSURING PROVISION OF INSTRUCTION
- 5 AND SERVICES.
- 6 [For text of subpart 1, see M.R.]
- 7 Subp. 2. Purchased services. The district shall not
- 8 purchase special educational services for a pupil from a public
- 9 or private agency when such service is available or can be made
- 10 available and can be more appropriately provided as the least
- ll restrictive alternative within the district. Whenever it is
- 12 appropriate for a district to purchase special education service
- 13 for pupils with disabilities and who reside in the district, it
- 14 continues to be the responsibility of the school district,
- 15 consistent with the provisions of Minnesota Statutes and parts
- 16 3525.0200 to 3525.4700, to assure and ascertain that such pupils
- 17 and youth receive the education and related services and rights
- 18 to which they are entitled.
- 19 [For text of subp 3, see M.R.]
- 20 Subp. 4. Resident district responsibilities; district
- 21 initiated out-of-district placement. If the resident district
- 22 places a pupil in an out-of-district placement, the resident
- 23 district is still responsible to assure that an appropriate IEP
- 24 is developed, that the pupil is placed in the least restrictive
- 25 environment, and that due process procedures associated with
- 26 these responsibilities are followed.
- 27 It is the responsibility of the providing district, agency,
- 28 or academy to implement the IEP, conduct periodic and annual
- 29 reviews, convene and facilitate the IEP team meeting, and assure
- 30 that due process procedures associated with these
- 31 responsibilities are followed.
- 32 The annual IEP must be developed jointly by the providing
- 33 district, agency, or academy and resident district. The
- 34 resident district may appoint a member of the providing district
- 35 as its representative.

- 1 Subp. 5. Responsibility for disagreements. The resident
- 2 district is responsible for resolving disagreements between the
- 3 pupil's parents and district, including conciliation and due
- 4 process hearings when the placement has been made by the
- 5 resident district. If the providing district, agency, or
- 6 academy receives a request for a conciliation conference,
- 7 mediation, or due process hearing from the parent, the providing
- 8 district, agency, or academy must notify the resident district
- 9 of the parent's request within one school day.
- [For text of subps 6 to 8, see M.R.]
- 11 Subp. 9. Financial and legal responsibility for pupils 18
- 12 through 21. For a pupil who is age 18 through 21 years of age
- 13 and is receiving special education, the district where the
- 14 pupil's parents, legal guardian, or conservator lives shall be
- 15 financially responsible for the cost of the special education
- 16 program even in those cases where the pupil serves as the parent
- 17 according to part 3525.0200, subpart 11a, for due process
- 18 purposes.
- 19 3525.0850 BEHAVIOR INTERVENTIONS.
- This policy is intended to encourage the use of positive
- 21 approaches to behavioral interventions. The objective of any
- 22 behavioral intervention must be that pupils acquire appropriate
- 23 behaviors and skills. It is critical that behavioral
- 24 intervention programs focus on skills acquisition rather than
- 25 merely behavior reduction or elimination. Behavioral
- 26 intervention policies, programs, or procedures must be designed
- 27 to enable a pupil to benefit from an appropriate, individualized
- 28 educational program as well as develop skills to enable them to
- 29 function as independently as possible in their communities.
- 30 3525.1100 STATE AND DISTRICT RESPONSIBILITY FOR TOTAL SPECIAL
- 31 EDUCATION SYSTEM (TSES).
- 32 Subpart 1. State responsibility for all educational
- 33 programs for pupils. The State Department of Education is
- 34 responsible for ensuring that all pertinent requirements in the
- 35 Code of Federal Regulations, and this part are carried out by

- 1 the local education agencies. Each special education program
- 2 within the state, including programs administered by any other
- 3 public agency is under the general supervision of the persons
- 4 responsible for special education in the State Department of
- 5 Education.
- 6 This shall be done, in part, by reviewing each district's
- 7 and program's TSES for compliance. Districts and programs will
- 8 also be monitored periodically by the Department of Education
- 9 for their implementation of the TSES and all requirements in
- 10 Code of Federal Regulations, title 34, chapter III, Minnesota
- 11 Statutes, and this part.
- 12 Subp. 2. District responsibility. A district shall submit
- 13 to the commissioner the district's plan for providing
- 14 instruction and related services upon request for all pupils as
- 15 required by Minnesota Statutes, section 120.17. The plan may
- 16 represent the plan of a single district or a plan for the member
- 17 districts of a formal special education cooperative. The plan
- 18 shall be considered as part of the annual school district
- 19 application for program review, but will not be required to be
- 20 resubmitted annually. If a cooperative changes administrative
- 21 organization, it shall submit a revised plan. The new plan must
- 22 be submitted before the beginning of the next school year. The
- 23 plan shall include descriptions of the district's:
- [For text of items A to C, see M.R.]
- D. Specific programs for involving parents of
- 26 children with disabilities and pupils in district policy-making
- 27 and decision-making pursuant to federal regulations, including,
- 28 but not limited to, a district or jurisdictional special
- 29 education advisory council.
- 30 E. Operating procedures of interagency committees
- 31 required in statute.
- F. Interagency agreements the district has entered.
- The commissioner shall approve or implement appropriate
- 34 procedures for modification of the district plan. The
- 35 commissioner shall grant the district a reasonable time to make
- 36 necessary modifications when the commissioner receives a

- 1 satisfactory corrective action plan that complies with standards
- 2 for the education of pupils.
- 3 G. Policy describing the district's procedures for
- 4 implementing the use of conditional interventions with pupils.
- 5 Policies must be reviewed regularly and shall include, at a
- 6 minimum, the following components:
- 7 (1) ongoing personnel development activities for
- 8 all staff, contracted personnel, and volunteers who work with
- 9 pupils who are disabled that:
- 10 (a) promote the use of positive approaches;
- 11 (b) provide an awareness of how to limit the
- 12 use of aversive and deprivation procedures;
- 13 (c) provide an awareness of how to avoid
- 14 abuse of such procedures;
- 15 (d) provide an awareness of specific
- 16 cautions for the use of conditional procedures with specific
- 17 populations of pupils or for the use of certain procedures; and
- 18 (e) provide staff training requirements for
- 19 the design and use of all conditional interventions prior to
- 20 their use;
- 21 (2) documentation procedures of the use of
- 22 interventions and maintenance and retention of records of use;
- 23 and
- 24 (3) description of the district's procedure for
- 25 reviewing emergency situations where conditional procedures are
- 26 used.
- 27 3525.1150 PROVIDING SPECIAL EDUCATION TO SHARED-TIME PUPILS.
- 28 Subpart 1. Availability to nonpublic school students.
- 29 Districts shall identify and make available special education to
- 30 all students who are disabled regardless of whether they attend
- 31 a nonpublic school. For those students who attend a nonpublic
- 32 school according to Minnesota Statutes, section 124A.034, and
- 33 who are referred for special education services, the district
- 34 shall inform parents of their right to special education
- 35 services for eligible students.

- 1 Subp. 2. Assessment. In the assessment or provision of
- 2 special education to eligible pupils, the district may:
- 3 A. assess the student at the nonpublic school,
- 4 including observing the student in the classroom as part of the
- 5 formal assessment;
- 6 B. meet periodically with the nonpublic school staff
- 7 to review progress of the pupil in the pupil's special education
- 8 program;
- 9 C. periodically observe the pupil in the nonpublic
- 10 school classroom to evaluate the result of the special education
- 11 provided; and
- D. hold IEP or IFSP meetings at the nonpublic school.
- 13 Special education services provided indirectly or directly
- 14 to the pupil must be provided at a neutral site that is
- 15 consistent with Minnesota Statutes, section 123.932, subdivision
- 16 9. The district may provide indirect or consultative special
- 17 education services to the pupil's nonpublic school on the
- 18 specific skills identified in the pupil's IEP by phone or at a
- 19 meeting in a neutral site.
- 20 Subp. 3. Provision of special education. In the
- 21 assessment or provision of special education to eligible pupils,
- 22 the district shall:
- A. ensure that equipment or individualized
- 24 instructional materials placed in a nonpublic school are used
- 25 only to implement a pupil's IEP for the period needed for that
- 26 plan. Public school staff shall not become involved with the
- 27 pupil's day-to-day curriculum in the nonpublic school program.
- 28 Program funds must not be used to support instruction in a
- 29 nonpublic school or otherwise benefit the nonpublic school, but
- 30 rather to meet the specific needs of pupils enrolled in the
- 31 nonpublic school.
- 32 B. provide necessary transportation for a pupil to
- 33 receive special education from the nonpublic school to the
- 34 neutral site where special education is provided on a
- 35 shared-time basis. If the resident pupil attends a nonpublic
- 36 school located in a district contiguous to the resident district

- 1 and no agreement exists under Minnesota Statutes, section
- 2 124A.034, the district of residence shall provide necessary
- 3 transportation for that pupil between the boundary of the
- 4 district of residence and the educational facility where special
- 5 education is to occur. The district of residence may provide
- 6 necessary transportation for that pupil between its boundary and
- 7 the nonpublic school attended, but the nonpublic school shall
- 8 pay the costs of the transportation provided outside the
- 9 district boundary.
- 10 3525.1310 STATE AID FOR SPECIAL EDUCATION PERSONNEL.
- 11 Salaries for essential personnel who are teachers, related
- 12 services, and support services staff members are reimbursable
- 13 for the following activities:
- [For text of items A to D, see M.R.]
- 15 E. parental involvement and due process;
- 16 F. school psychological services and school social
- 17 worker services provided for pupils identified as emotional or
- 18 behavioral disordered according to parts 3525.1329 and 3525.2900
- 19 alone or in conjunction with the instructional program outlined
- 20 in any pupil's IEP;
- 21 G. other related services provided in conjunction
- 22 with the instructional program as outlined in the pupil's IEP;
- 23 and
- 24 H. paraprofessional services provided under the
- 25 direction of a regular or special education teacher or a related
- 26 services provider. The services must be:
- 27 (1) to enhance the instruction provided by the
- 28 teacher or related services staff; and
- 29 (2) to supplement instructional activities or to
- 30 provide extended practice in instances in which the
- 31 paraprofessional has had training and ongoing support from a
- 32 special education teacher or related services staff.
- Ongoing services for at-risk students such as truancy,
- 34 suicide prevention, child abuse, or protection are not
- 35 reimbursable.

- 1 3525.1348 TRAUMATIC BRAIN INJURY (TBI) DEFINITION AND ENTRANCE
- 2 CRITERIA.
- 3 Subpart 1. Definition. "Traumatic brain injury" means an
- 4 acquired injury to the brain caused by an external physical
- 5 force, resulting in total or partial functional disability or
- 6 psychosocial impairment, or both, that may adversely affect a
- 7 child's educational performance and result in the need for
- 8 special education and related services. The term applies to
- 9 open or closed head injuries resulting in impairments in one or
- 10 more areas, such as: cognition, speech/language, memory,
- 11 attention, reasoning, abstract thinking, judgment,
- 12 problem-solving, sensory, perceptual and motor abilities,
- 13 psychosocial behavior, physical functions, and information
- 14 processing. The term does not apply to brain injuries that are
- 15 congenital or degenerative, or brain injuries induced by birth
- 16 trauma.
- 17 Subp. 2. Criteria. The team shall determine that a pupil
- 18 is eligible and in need of special education and related
- 19 services if the pupil meets the criterion in item A and the
- 20 criteria in items B and C as documented by the information
- 21 gathered according to item D:
- 22 A. There is documentation by a physician of a
- 23 medically verified traumatic brain injury.
- 24 B. There is a functional impairment attributable to
- 25 the traumatic brain injury that adversely affects educational
- 26 performance in one or more of the following areas:
- 27 intellectual-cognitive, academic, communication, motor, sensory,
- 28 social-emotional-behavioral, and functional skills-adaptive
- 29 behavior. Examples of functional impairments which may
- 30 adversely affect educational performance are:
- 31 (1) intellectual-cognitive, such as, but not
- 32 limited to, impaired;
- 33 (a) attention or concentration;
- 34 (b) ability to initiate, organize, or
- 35 complete tasks;

1	(c) ability to sequence, generalize, or
2	plan;
3	(d) insight/consequential thinking;
4	(e) flexibility in thinking, reasoning, or
5	problem-solving;
6	(f) abstract thinking;
7	(g) judgment or perception;
8	(h) long-term or short-term memory;
9	(i) ability to acquire or retain new
10	<pre>information;</pre>
11	(j) ability to process information;
12	(2) academic, such as, but not limited to:
13	(a) marked decline in achievement from
14	preinjury levels;
15	(b) impaired ability to acquire basic skills
16	(reading, written language, mathematics);
17	(c) normal sequence of skill acquisition
18	which has been interrupted by the trauma as related to
19	chronological and developmental age;
20	(3) communication, such as, but not limited to:
21	(a) impaired ability to initiate, maintain,
22	restructure, or terminate conversation;
23	(b) impaired ability to respond to verbal
24	communication in a timely, accurate or efficient manner;
25	(c) impaired ability to communicate in
26	distracting or stressful environments;
27	(d) impaired ability to use language
28	appropriately (requesting information, predicting, analyzing, or
29	using humor);
30	(e) impaired ability to use appropriate
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3 2	(f) impaired abstract or figurative
33	·language;
34	(g) perseverative speech (repetition of
3 5	words, phrases, or topics);
36	(h) impaired ability to understand verbal

1	<pre>information;</pre>
2	(i) impaired ability to discriminate
3	relevant from irrelevant information;
4	(j) impaired voice production/articulation
5	(intensity, pitch, quality, apraxia, or dysarthia);
6	(4) motor, such as, but not limited to, impaired:
7	(a) mobility (balance, strength, muscle
8	tone, or equilibrium);
9	(b) fine or gross motor skills;
10	(c) speed of processing or motor response
11	nikaikun jurki kanaksi kiri kiri kermindan kiri kiri na kanaksi kiri kiri kanaksi k Kime; Kanaksi kanaksi kiri kiri kiri kanaksi kiri kiri kanaksi kiri kiri kiri kiri kiri kiri kiri k
12	(d) sensory/perceptual motor skills;
13	(5) sensory, such as, but not limited to,
14	impaired;
15	(a) vision (tracking, blind spots, visual
16	field cuts, blurred vision, or double vision);
17	(b) hearing (tinnitus, noise sensitivity, or
18	hearing loss);
19	(6) social-emotional-behavioral, such as, but not
20	limited to:
21	(a) ability to initiate or sustain
22	appropriate peer or adult relationships;
23	(b) impaired ability to perceive, evaluate,
24	or use social cues or context appropriately;
25	(c) impaired ability to cope with
26	over-stimulating environments, low frustration tolerance;
27	(d) mood swings or emotional ability;
28	(e) impaired ability to establish or
29	<pre>maintain self-esteem;</pre>
30	(f) denial of deficits affecting
31	performance;
32	(g) poor emotional adjustment to injury
33	(depression, anger, withdrawal, or dependence);
34	(h) impaired ability to demonstrate
35	age-appropriate behavior;
36	(i) impaired self-control (verbal or

1	physical aggression, impulsivity, or disinhibition);
2	(j) intensification of preexistent
3	maladaptive behaviors or disabilities;
4	(7) functional skills-adaptive behavior, such as
5	but not limited to, impaired:
6	(a) ability to perform developmentally
7	appropriate daily living skills in school, home, leisure, or
8	community setting (hygiene, toileting, dressing, eating);
9	(b) ability to transfer skills from one
10	setting to another;
11	(c) orientation (places, time, situations);
12	(d) ability to find rooms, buildings, or
13	locations in a familiar environment;
14	(e) ability to respond to environmental cues
15	(bells, signs);
16	(f) ability to follow a routine;
17	(g) ability to accept change in an
18	established routine;
19	(h) stamina that results in chronic fatigue.
20	C. The functional impairments are not primarily the
21	result of previously existing:
22	(1) visual, hearing, or motor impairments;
23	(2) emotional-behavioral disorders;
24	(3) mental retardation;
25	(4) language or specific learning disabilities;
26	(5) environmental or economic disadvantage;
27	(6) cultural differences.
28	D. Information/data to document a functional
29	impairment in one or more of the areas in item B must, at a
30	minimum, include one source from Group One and one source from
31	Group Two:
32	(1) GROUP ONE:
33	(a) checklists;
34	(b) classroom or work samples;
35	(c) educational/medical history;
36	(d) documented, systematic behavioral

1	observations;
2	(e) interviews with parents, student, and
3	other knowledgeable individuals;
4	(2) GROUP TWO:
5	(a) criterion-referenced measures;
6	(b) personality or projective measures;
7	(c) sociometric measures;
8	(d) standardized assessment measures;
9	(academic, cognitive, communication, neuropsychological, or
10	
11	3525.1350 EARLY CHILDHOOD: SPECIAL EDUCATION.
12	Subpart 1. Definition. Early childhood special education
13	must be available to pupils from birth to seven years of age who
14	have a substantial delay or disorder in development or have an
15	identifiable sensory, physical, mental, or social/emotional
16	condition or impairment known to hinder normal development and
17	need special education.
18	Subp. 2. Criteria for birth through two years of age. The
19	team shall determine that a child from birth through the age of
20	two years and ll months is eligible for early childhood special
21	education if:
22	A. the child meets the criteria of one of the
23	disability categories; or
24	B. the child meets one of the criteria in subitem (1)
25	in addition to criteria in subitems (2) and (3):
26	(1) the child:
27	(a) has a medically diagnosed syndrome or
28	condition that is known to hinder normal development including,
29	but not limited to, cerebral palsy, chromosome abnormalities,
30	fetal alcohol syndrome, maternal drug use, neural tube defects,
31	neural muscular disorders, cytomegalovirus, grades III and IV
32	intracranial hemorrhage, and bronchopulmonary dysplasia (BPD);
33	(b) has a delay in overall development
34	demonstrated by a composite score of 1.5 standard deviations or
35	more below the mean on an assessment using at least one

- 1 technically adequate, norm-referenced instrument that has been
- 2 individually administered by an appropriately trained
- 3 professional; or
- 4 (c) is less than 18 months of age and has a
- 5 delay in motor development demonstrated by a composite score of
- 6 2.0 standard deviations or more below the mean on an assessment
- 7 using technically adequate, norm-referenced instruments. These
- 8 instruments must be individually administered by an
- 9 appropriately trained professional;
- 10 (2) the child's need for instruction and services
- 11 is supported by at least one documented, systematic observation
- 12 in the child's daily routine setting by an appropriate
- 13 professional. If observation in the daily routine setting is
- 14 not possible, the alternative setting must be justified;
- 15 (3) corroboration of the development or medical
- 16 assessment with a developmental history and at least one other
- 17 assessment procedure that is conducted on a different day than
- 18 the medical norm-referenced assessment. Other procedures may
- 19 include parent report, language sample, criterion-referenced
- 20 instruments, or developmental checklists.
- 21 Subp. 3. Criteria for three through six years of age. The
- 22 team shall determine that a child from the age of three years
- 23 through the age of six years and 11 months is eligible for early
- 24 childhood special education when:
- 25 A. the child meets the criteria of one of the
- 26 disability categories; or
- B. the child meets one of the criteria in subitem (1)
- 28 in addition to criteria in subitems (2) and (3):
- 29 (1) the child:
- 30 (a) has a medically diagnosed syndrome or
- 31 condition that is known to hinder normal development including
- 32 cerebral palsy, chromosome abnormalities, fetal alcohol
- 33 syndrome, maternal drug use, neural tube defects, neural
- 34 muscular disorders, cytomegalovirus, grades III and IV
- 35 intracranial hemorrhage, and bronchopulmonary dysplasia (BPD);
- 36 or

- 1 (b) has a delay in each of two or more areas
- 2 of development that is verified by an assessment using
- 3 technically adequate, norm-referenced instruments. Subtests of
- 4 instruments are not acceptable. The instruments must be
- 5 individually administered by appropriately trained professionals
- 6 and the scores must be at least 1.5 standard deviations below
- 7 the mean in each area;
- 8 (2) the child's need for special education is
- 9 supported by at least one documented, systematic observation in
- 10 the child's daily routine setting is not possible, the
- 11 alternative setting must be justified;
- 12 (3) corroboration of the developmental or medical
- 13 assessment with a developmental history and at least one other
- 14 assessment procedure in each area that is conducted on a
- 15 different day than the medical or norm-referenced assessment.
- 16 Other procedures may include parent report, language
- 17 sample, criterion-referenced instruments, or developmental
- 18 checklists.
- 19 3525.1352 DEVELOPMENTAL ADAPTED PHYSICAL EDUCATION: SPECIAL
- 20 EDUCATION.
- 21 Subpart 1. Definition. "Developmental adapted physical
- 22 education: special education" means specially designed physical
- 23 education instruction and services for pupils with disabilities
- 24 who have a substantial delay or disorder in physical development.
- 25 Developmental adapted physical education: special education
- 26 instruction for pupils age three through 21 may include
- 27 development of physical fitness, motor fitness, fundamental
- 28 motor skills and patterns, skills in aquatics, dance, individual
- 29 and group games, and sports.
- 30 Students with conditions such as obesity, temporary
- 31 injuries, and short-term or temporary illness or disabilities
- 32 are termed special needs students. Special needs students are
- 33 not eligible for developmental adapted physical education:
- 34 special education. Provisions and modifications for these
- 35 students must be made within regular physical education as

- 1 described in Minnesota Statutes, section 126.02.
- 2 Subp. 2. Criteria. A pupil is eligible for developmental
- 3 adapted physical education: special education when the team
- 4 determines the pupil has met the criteria in items A and B.
- 5 A. The pupil has one of the following disabilities in
- 6 each respective criteria in parts 3525.1325 to 3525.1341,
- 7 3525.1345, and 3525.1354: autism, deaf/blind, emotional or
- 8 behavioral disorders, hearing impaired, specific learning
- 9 disability, mentally impaired, severely multiply impaired, other
- 10 health impaired, physically impaired, visually impaired,
- 11 traumatic brain injury or part 3525.1350, subpart 3.
- B. The pupil is determined by the team to need
- 13 specially designed physical education instruction because:
- 14 (1) the pupil's performance on an appropriately
- 15 selected, technically adequate, norm-referenced psychomotor or
- 16 physical fitness instrument is 1.5 standard deviations or more
- 17 below the mean. The instrument must be individually
- 18 administered by appropriately licensed teachers; or
- 19 (2) the pupil's development or achievement and
- 20 independence in school, home, and community settings is
- 21 inadequate to allow the pupil to succeed in the regular physical
- 22 education program as supported by written documentation from two
- 23 or more of the following: motor and skill checklists; informal
- 24 tests; criterion-referenced measures; deficits in achievement
- 25 related to the defined curriculum; medical history or reports;
- 26 parent and staff interviews; systematic observations; and
- 27 social, emotional, and behavioral assessments.
- 28 3525.1354 TEAM OVERRIDE ON ELIGIBILITY DECISIONS.
- 29 Subpart 1. Documentation required. If the team determines
- 30 that a pupil is eligible for special instruction and related
- 31 services because the pupil has a disability and needs special
- 32 instruction even though the pupil does not meet the specific
- 33 requirement in parts 3525.1325 to 3525.1345 and 3525.2335, the
- 34 team must include the documentation in the pupil's special
- 35 education record listed in items A to D.

- 1 A. The pupil's record must contain documents that
- 2 explain why the standards and procedures, that are used with the
- 3 majority of pupils, resulted in invalid findings for this pupil.
- B. The record must indicate what objective data were
- 5 used to conclude that the pupil has a disability and is in need
- 6 of specialized instruction. These data may include test scores,
- 7 work products, self-reports, teacher comments, previous
- 8 testings, observational data, ecological assessments, and other
- 9 developmental data.
- 10 C. Since the eligibility decision is based on a
- ll synthesis of multiple data and not all data are equally valid,
- 12 the team must indicate which data had the greatest relative
- 13 importance for the eligibility decision.
- D. The team override decision must include a sign-off
- 15 by the team members agreeing to the override decision. For
- 16 those team members who disagree with the override decision, a
- 17 statement of why they disagree and their signature must be
- 18 included.
- 19 Subp. 2. Record of team overrides. The district director
- 20 of special education shall keep sufficient records regarding the
- 21 extent of the team override provision to assist the state in
- 22 evaluating the adequacy of the various entrance criteria.
- 23 3525.1356 EXIT PROCEDURES.
- 24 The team shall discontinue special education instruction
- 25 and services when:
- A. the pupil has achieved IEP goals and objectives
- 27 such that the pupil demonstrates the ability to succeed in the
- 28 regular education program or an appropriate community-based
- 29 environment without special instruction and services;
- 30 B. the medical disease or condition originally
- 31 diagnosed has been corrected and the pupil no longer needs
- 32 special instruction and services;
- 33 C. the pupil's physical or other health impairment no
- 34 longer adversely affects educational performance;
- 35 D. the pupil graduates having successfully completed

- 1 graduation requirements as prescribed by the board of education
- 2 or the pupil's IEP; or
- 3 E. the pupil exceeds school age through 21 years.
- 4 For any pupil discontinuing special education and services
- 5 pursuant to item A or C, the IEP manager shall summarize: (a)
- 6 the pupil's last IEP goal or objectives attainment status; (b)
- 7 the most recent assessment data; and (c) any recommendations
- 8 about future anticipated service needs for postsecondary
- 9 education, training, and any other relevant areas.
- 10 3525.1400 FACILITIES, EQUIPMENT AND MATERIALS.
- 11 Classrooms and other facilities in which pupils receive
- 12 instruction, related services, and supplementary aids and
- 13 services shall: be essentially equivalent to the regular
- 14 education program; provide an atmosphere that is conducive to
- 15 learning; and meet the pupils' special physical, sensory, and
- 16 emotional needs.
- 17 The necessary special equipment and instructional materials
- 18 shall be supplied to provide instruction, related services, and
- 19 supplementary aids and services.
- 20 3525.1510 PERSONNEL VARIANCES.
- 21 A district may apply to the commissioner of education for
- 22 and the commissioner shall grant a variance from Minnesota
- 23 Statutes, section 125.04, with regard to its employees for one
- 24 year or less when:
- A. the district has made attempts to employ an
- 26 appropriately licensed person and no one who meets district
- 27 qualifications is available; and
- B. the person who will be employed holds any license
- 29 issued by the Board of Teaching or the State Board of Education.
- 30 3525.1550 CONTRACTED SERVICES.
- 31 Subpart 1. Licensure. When contracting for assessments or
- 32 special education services, a district shall contract with
- 33 personnel who hold appropriate licenses issued by the Board of
- 34 Teaching or State Board of Education. If either board does not

- 1 issue a license for a necessary service, the district shall
- 2 contract with personnel who are members in good standing of
- 3 professional organizations that regulate the conduct of its
- 4 members and set standards for that profession.
- 5 Subp. 2. Community-based services. A school district may
- 6 provide direct or indirect special education services by
- 7 district special education staff to a pupil attending a
- 8 community-based program. A school district may contract for
- 9 special education services with a community-based program if the
- 10 program meets State Board of Education rules.
- 11 3525.2325 EDUCATION PROGRAMS FOR K-12 PUPILS AND REGULAR
- 12 STUDENTS PLACED IN CENTERS FOR CARE AND TREATMENT.
- [For text of subpart 1, see M.R.]
- 14 Subp. 2. Education programs for students and pupils and
- 15 regular education students placed in short-term programs for
- 16 care and treatment. A placement for care and treatment is a
- 17 short-term placement if the anticipated duration of the
- 18 placement is less than 31 school days. The school district must
- 19 begin to provide instruction to the pupil or regular education
- 20 student immediately after the pupil or student is enrolled in
- 21 the education program. If the student is enrolled in the
- 22 educational program without an educational record or IEP, the
- 23 district's procedures must include immediate phone contact with
- 24 the home school to see if the regular education student has been
- 25 identified as disabled.
- 26 A. If a regular education student has been identified
- 27 as disabled and has a current IEP:
- Initial due process procedures for previously identified
- 29 pupils placed for care and treatment in a short-term facility
- 30 may be accomplished by telephone; however, the required written
- 31 documentation, including notices, consent forms, and IEP's, must
- 32 follow immediately. If the pupil has a current IEP in the home
- 33 school, the home school must give the providing agency an oral
- 34 review of the IEP goals and objectives and services provided.
- 35 The providing agency must contact the parents and together an

- 1 agreement must be reached about continuing or modifying special
- 2 education services in accordance with the current IEP goals and
- 3 objectives. If agreement is not reached over the phone, the
- 4 providing district shall hold a team meeting as soon as
- 5 possible. At least the following people shall receive written
- 6 notice to attend: the person or agency placing the pupil, the
- 7 resident district, the appropriate teachers and related services
- 8 staff from the providing district, the parents, and, when
- 9 appropriate, the pupil. This meeting may be held in conjunction
- 10 with a meeting called by a placing agency. A copy of the
- 11 documentation, including the modified IEP, must be provided to
- 12 the parents with a copy of their rights, including a response
- 13 form.
- B. If a regular education student has not been
- 15 identified as disabled or if the providing district cannot
- 16 determine if a student has been identified as disabled:
- 17 (1) Regular education instruction must begin
- 18 immediately upon enrollment in the education program.
- 19 (2) A screening must be conducted by education
- 20 staff to determine the student's academic, social, and
- 21 behavioral needs.
- 22 (3) Based on the documented results of the
- 23 screening, a decision must be made about the need for
- 24 prereferral interventions or an appropriate special education
- 25 assessment according to parts 3525.2550 to 3525.2750. It is not
- 26 required that an appropriate assessment be started unless it
- 27 appears that it can be completed.
- 28 (4) During the student's placement, regular
- 29 education instruction must be provided.
- 30 Subp. 3. Education programs for pupils and regular
- 31 education students placed in long-term programs for care and
- 32 treatment. A placement made for care and treatment is long term
- 33 if it is anticipated to extend beyond 30 school days. The pupil
- 34 or regular education student must receive educational services
- 35 immediately upon enrollment in the education program:
- [For text of item A, see M.R.]

- B. If the student has not been identified as disabled
- 2 or if the providing district cannot determine if the student has
- 3 been identified as disabled, the student entering a residential
- 4 facility for a long-term placement must be screened to determine
- 5 if there is a need for an appropriate educational assessment.
- 6 An assessment must begin with a review of screening and other
- 7 information such as the parent or student interview, available
- 8 educational and social history, and the purpose of the treatment
- 9 placement. The assessment must be conducted according to parts
- 10 3525.2550 to 3525.2750.
- If the student meets entrance criteria for special
- 12 education, an IEP must be developed. Special education services
- 13 must be provided by appropriately licensed staff in accordance
- 14 with the IEP. If the student was not assessed or was assessed
- 15 and does not meet entrance criteria for special education,
- 16 regular education services must be provided in accordance with
- 17 the student's education plan.
- [For text of subp 4, see M.R.]
- 19 Subp. 5. Minimum service required. The team must predict
- 20 how long the pupil or regular education student must be placed
- 21 for care and treatment. If the prediction is for a restricted
- 22 period of more than 170 days or its equivalent, exclusive of
- 23 summer school, the district shall make available:
- A. the instruction necessary for the student or pupil
- 25 to make progress in the appropriate grade level for the
- 26 successful completion of the courses, programs, or classes the
- 27 student or pupil would have been enrolled in if the student or
- 28 pupil were not placed for care and treatment;
- B. preferably a normal school day in accordance with
- 30 part 3525.2900, subpart 3;
- 31 C. an average of at least two hours a day of
- 32 one-to-one instruction; or
- D. a minimum of individualized instruction for
- 34 one-half of the normal school day if it is justified in the
- 35 pupil's IEP or student's education plan that none of these
- 36 options are appropriate.

- 1 If the predicted restricted period is fewer than 171 days,
- 2 exclusive of summer school, the district shall make available at
- 3 a minimum either small group instruction for one-half of the
- 4 normal school day or at least an average of one hour a day of
- 5 one-to-one instruction.
- 6 Provision of special educational services for pupils
- 7 outside of the providing school district's regular calendar is
- 8 optional unless the pupil has an extended year IEP.
- 9 Subp. 6. Placement, services, and due process requirements
- 10 for pupils.
- [For text of items A and B, see M.R.]
- 12 C. If a pupil is placed in a residential facility
- 13 outside the resident district, the providing district must
- 14 provide appropriate special education services. The placement
- 15 of the pupil in a residential center for care and treatment
- 16 outside the resident district is not an initial placement in the
- 17 receiving district. The providing district shall make every
- 18 effort to implement the resident district's IEP, making the
- 19 modifications necessary due to the restrictive care and
- 20 treatment setting and based on agreements reached with the
- 21 parent. The providing district shall comply with the due
- 22 process procedures of parts 3525.2550 to 3525.4700. Districts
- 23 shall develop alternative procedures for implementing the legal
- 24 requirements for observing the student in a regular classroom
- 25 and document previous interventions that have been tried before
- 26 the student placed for care and treatment is identified as
- 27 having a specific learning disability or an emotional or
- 28 behavioral disorder. These alternative procedures must be
- 29 included in the district's entrance criteria. The district and
- 30 facility shall cooperatively develop procedures to be used in
- 31 emergency situations that comply with the Pupil Fair Dismissal
- 32 Act according to Minnesota Statutes, sections 127.26 to 127.39,
- 33 and the district's discipline policy.
- 34 Subp. 7. Student's and pupil's and regular education
- 35 student's placement; aid for special education. Special
- 36 education services provided to pupils and regular education

- 1 students who have been placed for care and treatment are
- 2 reimbursable in accordance with parts 3525.0800 and 3525.1310.
- 3 A. When regular education and special education
- 4 services are provided, only the special education portion shall
- 5 be reimbursed with special education aid.
- 6 B. The special education services provided to pupils
- 7 in accordance with an IEP are reimbursable.
- 8 C. The indirect or consultative services provided in
- 9 conjunction with regular education prereferral interventions and
- 10 assessment provided to regular education students suspected of
- 11 being disabled and who have demonstrated learning or behavioral
- 12 problems in a screening are reimbursable.
- D. Regular education, including screening, provided
- 14 to students, pupils, and regular education students are not
- 15 reimbursable with special education categorical aids.
- 16 3525.2335 EARLY CHILDHOOD PROGRAM SERVICES, ALTERNATIVES, AND
- 17 SETTINGS.
- 18 Subpart 1. [See repealer.]
- 19 Subp. 2. Program services, alternatives, and settings.
- 20 Appropriate program alternatives to meet the special education
- 21 needs, goals, and objectives of the pupil must be determined on
- 22 an individual basis. Choice of specific program alternatives
- 23 must be based on the pupil's current levels of performance,
- 24 pupil special education needs, goals, and objectives, and
- 25 written in the IEP. Program alternatives are comprised of type
- 26 of services, setting in which services occur, and amount of time
- 27 and frequency in which special education services occur. A
- 28 pupil may receive special education services in more than one
- 29 alternative based on the IEP or IFSP.
- 30 A. There are two types of special education
- 31 services: services provided directly and services provided
- 32 indirectly.
- B. There are three types of settings: home, district
- 34 early childhood special education (ECSE) classroom, and
- 35 community-based programs.

- 1 (1) Home includes the home of the pupil and
- 2 parent or relative, or legal family day care setting in which
- 3 the pupil is placed by the parent.
- 4 (2) District ECSE classroom includes classrooms
- 5 that are located in one of the district's schools or community
- 6 center buildings housing elementary students or preschool-aged
- 7 children who do not have disabilities.
- 8 (3) Community-based programs include licensed
- 9 public or private nonsectarian child care programs other than a
- 10 family day care setting, licensed public or private nonsectarian
- 11 early education programs, community cultural centers, Head Start
- 12 programs, and hospitals. A school district may provide direct
- 13 or indirect special education services by district special
- 14 education staff to a pupil attending a community-based program.
- 15 C. For pupils who are not yet five years old on
- 16 September 1, the amount of time and frequency of special
- 17 education services must be determined individually and written
- 18 in the pupil's IEP or IFSP. For pupils who are five years old
- 19 as of September 1, requirements for amount of time and frequency
- 20 of special education services must be determined individually,
- 21 written on the pupil's IEP or IFSP. Direct or indirect special
- 22 education services must be provided a minimum of an average of
- 23 one hour every week unless the parents request or the team
- 24 recommends an alternative.
- 25 Subp. 3. [See repealer.]
- 26 Subp. 4. [See repealer.]
- 27 Subp. 5. [See repealer.]
- 28 3525.2340 CASE LOADS.
- 29 Subpart 1. [See repealer.]
- 30 Subp. 2. [See repealer.]
- 31 Subp. 3. [See repealer.]
- 32 [For text of subp 4, see M.R.]
- 33 Subp. 5. Case loads for early childhood program
- 34 alternatives. A teacher's case load must be adjusted downward
- 35 based on pupils' severity of disability or delay, travel time

- 1 necessary to serve pupils in more than one program alternative,
- 2 and if the pupils on the teacher's case loads are receiving
- 3 services in more than one program alternative or the pupils are
- 4 involved with other agencies. The maximum number of pupils that
- 5 can be assigned to a teacher in any early childhood program
- 6 alternative is:
- 7 A. birth through two years: 12 pupils per teacher;
- 8 B. three through six years: 16 pupils per teacher;
- 9 and
- 10 C. birth through six years: 14 pupils per teacher.
- 11 District early childhood special education (ECSE) classes must
- 12 have at least one paraprofessional employed while pupils are in
- 13 attendance. The maximum number of pupils in an ECSE classroom
- 14 at any one time with a teacher and a program support assistant
- 15 is eight. The maximum number of pupils in an ECSE classroom at
- 16 any one time with an early childhood team is 16.
- 17 3525.2350 MULTIDISABILITY TEAM TEACHING MODELS.
- 18 [For text of subps 1 and 2, see M.R.]
- 19 Subp. 3. Team member responsibility. The team member
- 20 licensed in a pupil's disability shall be responsible for
- 21 conducting the pupil's assessment and participating at team
- 22 meetings when an IEP is developed, reviewed, or revised.
- 23 Consultation and indirect services as defined in part 3525.0200
- 24 must be provided to the general or special education teacher
- 25 providing instruction if not licensed in the disability. The
- 26 frequency and amount of time for specific consultation and
- 27 indirect services shall be determined by the IEP team.
- [For text of subp 4, see M.R.]
- 29 Subp. 5. [See repealer.]
- 30 3525.2380 VARIANCES FROM RATIOS.
- 31 Subpart 1. Variances. The district may apply to the State
- 32 Board of Education or its designee for a variance from the case
- 33 loads in part 3525.2340. The state board or its designee shall
- 34 grant a variance for less than 90 days when it is demonstrated
- 35 that unanticipated special education enrollment increases have

- 1 occurred for students with severe disabilities.
- Subp. 3. [See repealer.]
- 3 3525.2405 DIRECTORS.
- 4 [For text of subpart 1, see M.R.]
- 5 Subp. 2. Conditions. Conditions for employment of one
- 6 full-time director of special education include:
- 7 [For text of items A to D, see M.R.]
- 8 [For text of subp 3, see M.R.]
- 9 Subp. 4. [See repealer.]
- 10 3525.2415 [See repealer.]
- 11 3525.2420 VARIANCE.
- 12 A district may apply to the commissioner of education for a
- 13 variance from the mandatory employment of a director and
- 14 conditions for reimbursements. The commissioner shall grant a
- 15 variance from part 3525.2405 when:
- 16 A. the growth patterns of a district or cooperative
- 17 demonstrate that the public and nonpublic school enrollment will
- 18 increase over the minimum in the next two years;
- 19 B. districts cannot efficiently cooperate due to
- 20 geographical isolation; or
- 21 C. the variance will result in a decrease in combined
- 22 state and local costs and better delivery of instruction and
- 23 related services to pupils.
- 24 3525.2470 SUSPENSION, EXCLUSION, AND EXPULSION.
- 25 [For text of subpart 1, see M.R.]
- 26 Subp. 2. Team meeting required. A team meeting shall be
- 27 held before exclusion or expulsion of a pupil. Within five
- 28 school days of a suspension, a team meeting shall occur. If a
- 29 pupil is placed on in-school suspension status according to the
- 30 district policy established for all students for all or part of
- 31 the day for two or more consecutive days or three times in one
- 32 month, a team meeting must be held. The team shall:
- 33 A. determine whether the misconduct is related to the
- 34 disability;

- B. review any assessments and determine the need for
- 2 further assessment; and
- 3 C. review the IEP and amend the goals and objectives
- 4 or develop an alternative IEP program.
- 5 Subp. 3. Exclusion and expulsion. A pupil shall not be
- 6 excluded or expelled when the misconduct is related to the
- 7 pupil's disability. When it is determined in a team meeting or
- 8 a Pupil Fair Dismissal Act proceeding that a pupil's misconduct
- 9 is related to the pupil's disability, then the assessment, IEP,
- 10 and least restrictive alternative shall be reviewed according to
- 11 parts 3525.0200 to 3525.4700.
- 12 3525.2550 CONDUCT BEFORE ASSESSMENT.
- 13 Subpart 1. Student performance review. After a referral
- 14 is submitted and before conducting an assessment, the team shall
- 15 conduct a review of the person's performance in the following
- 16 areas: intellectual functioning, academic performance,
- 17 communicative status, motor ability, vocational potential,
- 18 sensory status, physical status, emotional and social
- 19 development, and behavior and functional skills. The referral
- 20 review shall:
- 21 [For text of item A, see M.R.]
- B. Include a review of the regular education-based
- 23 prereferral interventions required by Minnesota Statutes,
- 24 section 126.237, conducted before referral for an assessment.
- 25 Prereferral interventions are planned, systematic efforts by
- 26 regular education staff to resolve apparent learning or
- 27 behavioral problems.
- 28 Subp. 2. Team duties. The team shall:
- [For text of items A to C, see M.R.]
- 30 3525.2750 EDUCATIONAL ASSESSMENT.
- 31 Subpart 1. Function of the assessment. The assessment
- 32 must reflect the person's present level of performance and shall
- 33 be the basis for later educational planning. An assessment:
- A. must be conducted when a person's academic,
- 35 behavioral, emotional, social, physical, communication, or

- 1 functional skill acquisition in the present educational
- 2 placement indicates a disability and a need for a special
- 3 educational placement, program, or service;
- B. must be conducted at least every three years. If
- 5 the previous two reassessments have been consistent and valid, a
- 6 reassessment of intellectual ability is not needed;
- 7 C. may be conducted if the student or other agency
- 8 requests;
- 9 D. must be conducted if the parent or student over
- 10 age 18 requests;
- 11 E. must be conducted by a multidisciplinary team in
- 12 accordance with parts 3535.0900 to 3535.1200 together with an
- 13 assessment plan developed as part of the referral review. The
- 14 team shall conduct a comprehensive assessment in those areas of
- 15 suspected disability using technically adequate instruments and
- 16 procedures;
- 17 F. must make reasonable efforts to obtain information
- 18 from the parents and others with knowledge of the person and
- 19 about the person's functioning in current and anticipated
- 20 environments when the team determines it to be necessary because
- 21 of cultural or other differences presented by the person or due
- 22 to the nature of the person's disability;
- 23 G. must be provided and administered in the person's
- 24 primary language or mode of communication unless it clearly is
- 25 not feasible to do so;
- 26 H. must be performed in accordance with recognized
- 27 professional standards which include recognition or
- 28 accommodation for persons whose differences or conditions cause
- 29 standardized instruments to be invalid and otherwise in
- 30 accordance with the requirements of nondiscrimination;
- 31 I. must be conducted with procedures that ensure
- 32 that, in accordance with recognized professional standards,
- 33 testing, and evaluation materials and procedures used for the
- 34 purposes of identification, assessment, classification,
- 35 educational program plan development, educational placement,
- 36 including special education services, program implementation,

- 1 review, and evaluation, notice, and hearing, are selected and
- 2 administered so as not to be discriminatory, including cultural
- 3 discrimination. The procedures and materials shall take into
- 4 account the special limitations of persons with disabilities and
- 5 the racial or cultural differences presented by persons and must
- 6 be justified on the basis of their usefulness in making
- 7 educational program decisions that serve the individual pupil;
- 8 and
- J. must include an analysis of purpose, effect, and
- 10 seriousness of behavior when the use of a conditional
- 11 intervention procedure is under consideration. The assessment
- 12 team must document that it has ruled out any other treatable
- 13 cause such as a medical or health condition for the interfering
- 14 behavior.
- Subp. 3. Assessment summary report. For the person
- 16 assessed, results of any or all assessments shall be summarized
- 17 in a report. The summary report shall include the results and
- 18 interpretation of the assessment, the person's present level of
- 19 performance in the areas assessed, and the team's judgments
- 20 regarding eligibility for services. The assessment summary
- 21 report shall contain the team members' names, titles, and date
- 22 of report.
- 23 3525.2900 DEVELOPMENT AND CONTENT OF INDIVIDUAL EDUCATION
- 24 PROGRAM PLAN.
- 25 Subpart 1. General requirement to develop an IEP for
- 26 pupils who are disabled. Following an initial assessment, and
- 27 annually thereafter, an IEP must be developed and implemented
- 28 for each pupil determined to be disabled under parts 3525.1325
- 29 to 3525.1354. The responsible district shall:
- 30 A. Designate a team of persons responsible for
- 31 determining the IEP and authorizing expenditures to implement
- 32 the IEP of pupils through age 21, which, at a minimum, shall
- 33 include:
- 34 (1) one or both parents;
- 35 (2) the pupil, if appropriate. In cases when

- 1 transition needs are being considered, the pupil must be invited
- 2 to the meeting. If the pupil fails to attend, the district must
- 3 implement procedures to determine pupil preferences;
- 4 (3) the pupil's special education teacher;
- 5 (4) a teacher or other representative of the
- 6 general education program where the pupil is enrolled or
- 7 expected to enroll;
- 8 (5) a representative of the school district,
- 9 other than the pupil's teacher, who is qualified to provide or
- 10 supervise the provision of special education services;
- 11 (6) for the pupils initial evaluation, at least
- 12 one member of the assessment team or a person knowledgeable of
- 13 the evaluation procedures used and the results;
- 14 (7) other individuals at the discretion of the
- 15 parent or district;
- 16 (8) when a regulated procedure is being
- 17 considered, one person on the team who is knowledgeable about
- 18 ethnic and cultural issues relevant to the pupil's behavior and
- 19 education; and
- 20 (9) if appropriate, someone who is a member of
- 21 the same minority or cultural background or who is knowledgeable
- 22 concerning the racial, cultural, or disabling differences of the
- 23 pupil.
- 24 B. Document which team members attended the IEP
- 25 meeting.
- 26 C. Schedule the IEP team meeting at a time and place
- 27 that is mutually acceptable to the school, parents, and pupil
- 28 according to part 3525.0700. The district shall proceed if the
- 29 parents do not respond to the district's efforts for the parent
- 30 to participate.
- 31 D. Prepare an IEP in writing before an initial
- 32 out-of-district placement, ensuring that both districts have
- 33 representatives participating in the meeting. When the
- 34 responsible district is not the resident district for subsequent
- 35 IEPs, a copy of the IEP must be sent to the resident district.
- 36 E. Provide notice according to parts 3525.3200 to

- 1 3525.3600, whenever the responsible school district proposes to
- 2 initiate or change or refuse to initiate or change the
- 3 educational placement. For the purposes of this part, the terms
- 4 "initiate" or "change" must be construed to include the
- 5 proposals in Minnesota Statutes, section 120.17, subdivision 3b,
- 6 paragraph (d), clauses (2) to (5); "significant change" is
- 7 defined in part 3525.0200, subpart 19b.
- 8 F. Ensure that the duration of the IEP does not
- 9 exceed 12 calendar months. For a team to determine the
- 10 appropriateness of the placement or to resolve questions
- ll regarding the content of the IEP including instructional goals
- 12 and objectives, an interim IEP may be written for a period of no
- 13 more than 60 school days.
- G. Provide extended school year services for those
- 15 pupils when it is determined:
- 16 (1) that the pupil will experience "significant
- 17 regression" in the absence of an educational program;
- 18 (2) the time required to relearn the skills lost
- 19 is excessive; or
- 20 (3) the effects of the breaks in programming are
- 21 such to prevent the student from attaining the state of
- 22 self-sufficiency that the student would otherwise reasonably be
- 23 expected to reach.
- The amount and type of service for summer must be
- 25 appropriate to maintain performance on IEP goals.
- 26 H. The educational components of an individual family
- 27 services plan (IFSP) must meet all requirements of an IEP.
- I. Prepare an IEP when contracting for special
- 29 education services from a public, private, or voluntary agency.
- 30 Subp. 3. Content of individual educational program plan.
- 31 In preparing the IEP, the district shall include the following:
- 32 A. for the areas identified in part 3525.2550,
- 33 subpart 1, item A, where there are presenting problems, a
- 34 statement of the pupil's present levels of educational
- 35 performance;
- 36 B. a statement of annual goals, including short-term

- 1 instructional objectives;
- 2 C. a statement of the specific special education and
- 3 related services to be provided to the pupil and the extent that
- 4 the pupil will be able to participate in regular educational
- 5 programs;
- 6 D. the projected dates for initiation of each service
- 7 and the anticipated duration of services;
- 8 E. alterations of the pupil's school day, when
- 9 needed, which must be based on student needs and not
- 10 administrative convenience;
- F. a transition plan, as required by subpart 4;
- 12 G. conditional intervention procedures to be used;
- 13 and
- 14 H. appropriate evaluation procedures and schedules
- 15 for determining, on at least an annual basis, whether the
- 16 short-term instructional objectives are being achieved; and
- 17 I. the pupil's need for and the specific
- 18 responsibilities of a paraprofessional shall be described in the
- 19 pupil's IEP.
- 20 Subp. 4. Transition planning. By grade nine or age 14,
- 21 whichever comes first, the IEP plan shall address the pupil's
- 22 needs for transition from secondary services to postsecondary
- 23 education and training, employment, and community living.
- A. For each pupil, the district shall conduct a
- 25 multidisciplinary assessment of secondary transition needs and
- 26 plan appropriate services to meet the pupil's transition needs.
- 27 Areas of assessment and planning must be relevant to the pupil's
- 28 needs and may include work, recreation and leisure, home living,
- 29 community participation, and postsecondary training and learning
- 30 opportunities. To appropriately assess and plan for a pupil's
- 31 secondary transition, additional IEP team members may be
- 32 necessary and may include vocational education staff members and
- 33 other community agency representatives as appropriate.
- 34 B. Secondary transition assessment results must be
- 35 documented as part of an assessment summary according to part
- 36 3525.2750. Current and secondary transition needs, goals, and

- 1 instructional and related services to meet the pupil's secondary
- 2 transition needs must be considered by the team with annual
- 3 needs, goals, objectives, and services documented on the pupil's
- 4 IEP.
- 5 Subp. 5. The IEP and regulated interventions.
- 6 A. There are two types of regulated interventions:
- 7 conditional procedures and prohibited procedures.
- 8 (1) Conditional procedures may only be used when
- 9 included as part of the pupil's IEP or in an emergency situation
- 10 according to part 3525.0200. In order to utilize a conditional
- 11 procedure, the IEP team must:
- 12 (a) identify the frequency and severity of
- 13 target behaviors for which the conditional procedure is being
- 14 considered;
- 15 (b) identify at least two positive
- 16 interventions implemented and the effectiveness of each; and
- 17 (c) design and implement regulated
- 18 interventions based on present levels of performance, needs,
- 19 goals and objectives, and document in the IEP.
- 20 (2) Prohibited procedures are interventions that
- 21 are prohibited from use in schools by school district employees,
- 22 contracted personnel, and volunteers. The procedures or actions
- 23 listed in subitems (a) to (i) are prohibited:
- 24 (a) corporal punishment as defined in
- 25 Minnesota Statutes, section 127.45;
- 26 (b) requiring a pupil to assume and maintain
- 27 a specified physical position, activity, or posture that induces
- 28 physical pain as an aversive procedure;
- (c) presentation of intense sounds, lights,
- 30 or other sensory stimuli as an aversive stimulus;
- 31 (d) use of noxious smell, taste, substance,
- 32 or spray as an aversive stimulus;
- (e) denying or restricting a pupil's access
- 34 to equipment and devices such as hearing aids and communication
- 35 boards that facilitate the person's functioning except
- 36 temporarily when the pupil is perceived to be destroying or

1	damaging equipment or devices;
2	(f) faradic skin shock;
3	(g) totally or partially restricting a
4	pupil's auditory or visual sense not to include study carrels
5	when used as an academic intervention;
6	(h) withholding regularly scheduled meals or
7	water; and
8	(i) denying a pupil access to toilet
9	facilities.
10	B. All behavioral interventions not covered in the
11	IEP must be consistent with the district's discipline policy.
12	Continued and repeated use of any element of a district's
13	discipline policy must be reviewed in the development of the
14	individual pupil's IEP.
15	C. If an emergency intervention is used twice in a
16	month or a pupil's pattern of behavior is emerging that
17	interferes with the achievement of the pupil's educational goals
18	and objectives, a team meeting must be called to determine if
19	the pupil's IEP is adequate, if additional assessment is needed,
20	and, if necessary, to amend the IEP. Districts may use
21	regulated conditional procedures in emergencies until the IEP
22	team meets, provided the emergency measures are deemed necessary
23	by the district to protect the individual pupil or others from
24	harm. The IEP team shall meet as soon as possible, but no later
25	than five school days after emergency procedures have commenced.
26	District administration and parents must be notified immediately
27	when a regulated procedure is used in an emergency situation.
28	D. Time-out procedures that seclude a student in a
29	specially designated isolation room or similar space must meet
30	the following conditions:
31	(1) specific criteria for returning the pupil to
32	the routine activities and regular education environment;
33	(2) an evaluation to determine whether seclusion
34	is contraindicated for psychological or physical health reasons;
35	(3) provision for the pupil to be continuously
36	monitored by trained staff;

- (4) adequate access to drinking water and to a 1 bathroom for a time-out that exceeds 15 minutes; 2 3 (5) documentation of the length of time spent in each time-out procedure and the number of occurrences each day; 4 5 (6) a safe environment for the pupil where all fixtures are tamper proof, walls and floors are properly 6 covered, and control switches are located immediately outside 7 the room; 8 9 (7) an observation window or other device to permit continuous monitoring of the pupil; 10 11 (8) a space that is at least five feet by six 12 feet or substantially equivalent to these dimensions and be large enough to allow the pupil to stand, to stretch the pupil's 13 14 arms, and to lie down; 15 (9) be well-lighted, well-ventilated, adequately heated, and clean; and 16 17 (10) all applicable fire and safety codes. E. A parent has the right to withdraw consent for a 18 behavior intervention plan at any time by notifying the program 19 20 administrator or designee and the district must stop the 21 procedure immediately. After parental consent is withdrawn and 22 the procedure is stopped, the school must send written 23 acknowledgment to the parent and request parental signature. If a parent's signature to withdraw consent cannot be obtained, the 24 25 district must document its efforts to communicate and obtain the signature. Parents must be contacted within three school days 26
- 29 3525.3100 FOLLOW-UP REVIEW REQUIREMENTS.

change in program or placement.

27

28

30 Pupils who are discontinued from all special education

to determine the need to convene the IEP team to consider a

- 31 services may be reinstated within 12 months. If data on the
- 32 student's present levels of performance are available and an
- 33 assessment had been conducted within three years pursuant to
- 34 part 3525.2750, the district is not required to document two
- 35 prereferral interventions or conduct a new assessment.

- 1 3525.3150 REQUIREMENTS FOR A HIGH SCHOOL DIPLOMA.
- Beginning at grade nine or age 14 and annually thereafter,
- 3 the IEP team shall address the graduation requirements for a
- 4 high school diploma for a pupil.
- [For text of items A to C, see M.R.]
- 6 D. The IEP team shall determine the criteria for
- 7 satisfactory achievement of the IEP goals and objectives
- 8 including modified courses, programs, or classes.
- 9 A pupil shall receive an identical high school diploma
- 10 granted to all regular education students upon graduation or
- 11 termination of special education services through age 21, with
- 12 satisfactory attainment of the program plan objectives.
- 13 3525.3200 FORMAL NOTICE TO PARENTS.
- 14 The notice shall be in writing and shall be served on the
- 15 parent. Every effort shall be made by the providing school
- 16 district to assure that no person's rights are denied for lack
- 17 of a parent, or surrogate parent, or duly appointed guardian.
- 18 The notice shall be written in the primary language of the home
- 19 and in English, and the district shall make reasonable
- 20 provisions for such notice to nonreaders and
- 21 non-English-speaking persons necessary to ensure that the
- 22 information contained in the notice is understood. For parents
- 23 who are disabled because of a hearing, speech, or other
- 24 communication disorder, or because of the inability to speak or
- 25 comprehend the English language as provided in Minnesota
- 26 Statutes, section 546.42 the school district shall cause all
- 27 pertinent proceedings, including but not limited to the
- 28 conciliation conference, the prehearing review, the hearing, and
- 29 any appeal to be interpreted in a language the person with a
- 30 disability understands by a qualified interpreter as provided in
- 31 Minnesota Statutes, section 546.42.
- 32 3525.3300 CONTENTS OF NOTICE.
- Notices must be sufficiently detailed and precise to
- 34 constitute adequate notice for hearing of the proposed action

- 1 and contain a full explanation of the procedural safeguards
- 2 available to parents under parts 3525.0200 to 3525.4700.
- 3 Notices must:
- 4 [For text of items A and B, see M.R.]
- 5 C. Inform the parents of their right and the
- 6 procedure to have included on the team that interprets the
- 7 assessment data and develops the individual program plans, the
- 8 persons described in part 3525.2900, subpart 1, including a
- 9 person who is a member of the same minority or cultural
- 10 background or who is knowledgeable concerning the racial,
- 11 cultural, or disability differences of the student.
- [For text of items D to V, see M.R.]
- 13 3525.3500 NOTICE OF PERFORMANCE OR REFUSAL TO PERFORM ASSESSMENT.
- 14 Before the performance of or refusal to perform an
- 15 educational assessment or reassessment as provided in parts
- 16 3525.2550 to 3525.2750, the providing school district shall
- 17 prepare and serve a notice that meets the requirements of parts
- 18 3525.3200 to 3525.3400. The portion of the notice which is
- 19 specific to assessment or reassessment shall:
- 20 [For text of items A to F, see M.R.]
- 21 3525.3600 NOTICE OF CHANGE OR REFUSAL TO CHANGE EDUCATIONAL
- 22 PLACEMENT OR PROGRAM.
- 23 Before the initiation or significant change or the refusal
- 24 to initiate or significantly change a pupil's educational
- 25 placement or special education services, as set forth in part
- 26 3525.2900, subpart 1, item F, the school district shall prepare
- 27 and serve a notice that meets the requirements of parts
- 28 3525.3200 to 3525.3400.
- 29 The portion of the notice which is specific to the
- 30 educational placement and provision of services shall:
- 31 A. include a copy of the individual educational
- 32 program plan as described in part 3525.2900, subpart 3;
- 33 B. inform the parents that the school district will
- 34 not proceed with the initial placement and provision of services
- 35 as defined in part 3525.0200 without prior written consent of

- 1 the pupil's parents; and
- C. inform the parents that except for the initial
- 3 placement and provision of services, the district will proceed
- 4 with the proposed placement and provision of services unless the
- 5 parents object in writing on the enclosed response form or
- 6 otherwise in writing within ten days after the receipt of the
- 7 notice.
- 8 3525,3700 CONCILIATION CONFERENCE.
- 9 Subpart 1. When a conference must be offered. If the
- 10 parent does not object in writing, to a proposed action as set
- 11 forth in parts 3525.2550 to 3525.2750 or part 3525.2900, subpart
- 12 5, within 14 days after receipt of the notice, and the proposed
- 13 action is not an initial action as defined in part 3525.0200,
- 14 subparts 7a and 8a, the proposed action shall take place. If a
- 15 written objection is made, the resident school district shall
- 16 offer the parent an opportunity to conciliate the matter. If
- 17 the parent is willing to enter conciliation, the district shall
- 18 arrange for a conference with the parent to review the reasons
- 19 for the proposed action and conciliating the matter. The
- 20 conference shall be held at a time and place mutually convenient
- 21 to the parent and the school district representatives and shall
- 22 be held within ten days after receipt of the written objection.
- 23 There may be more than one conference and the parent or district
- 24 may request a hearing under part 3525.3800 at any time.
- 25 If the parent refuses to provide prior written consent for
- 26 initial assessment and initial placement under parts 3525.3500,
- 27 item D, and 3525.3600, item A, subitem (2), within ten days
- 28 after the receipt of the notice and response form, the district
- 29 shall offer the parent an opportunity to conciliate the matter.
- 30 If the parent is willing to enter conciliation, the district
- 31 shall arrange for a conference with the parent to review the
- 32 parent's suggestions and concerns, and to conciliate the
- 33 matter. The conference shall be held at a time and place
- 34 mutually convenient to the parent and school district
- 35 representatives. If no response is received in cases of initial

- 1 assessment or placement, the school district shall offer a
- 2 conciliation conference to be held within ten days after the
- 3 expiration of the ten-day period for parent response. In cases
- 4 where the parent fails to attend the initial conciliation
- 5 conference, the district may choose to offer to schedule
- 6 additional conciliation conferences.
- 7 Subp. 2. Memorandum. After the parents and district agree
- 8 the final conciliation conference was held, the district shall
- 9 serve the parent with a written memorandum within seven days
- 10 that informs the parent:
- [For text of items A to D, see M.R.]
- [For text of subp 3, see M.R.]
- 13 3525.3800 WHEN A HEARING MUST BE HELD.
- A hearing regarding a proposed action under parts 3525.2550
- 15 to 3525.2750 or 3525.2900 shall be held whenever: (a) the
- 16 district receives the parents' request for a hearing; (b) a
- 17 parent refuses to provide written permission for the initial
- 18 formal assessment or the initial placement and provision of
- 19 special education services, within ten days after the receipt of
- 20 the notice and response form, provided the district has made at
- 21 least one offer to enter into conciliation in an attempt to
- 22 obtain this written consent; and (c) when the district refuses
- 23 to conduct a reassessment requested by a parent.
- 24 3525.3900 NOTICE OF A HEARING.
- Written notice of the time, date, and place of hearings
- 26 shall be given to all parties by the district at least ten days
- 27 in advance of the hearings; and the hearing shall be held at a
- 28 time, date, and place mutually convenient to all parties.
- 29 Within five days of receipt of the parent's written request
- 30 for a hearing, the district shall serve the parent with a
- 31 written notice of rights and procedures relative to the hearing
- 32 that informs the parent:
- [For text of items A to C, see M.R.]
- D. That at the hearing the burden of proof is on the
- 35 district to show that the proposed action is justified on the

- 1 basis of the person's educational needs or the person's current
- 2 educational performance, or presenting disabilities taking into
- 3 account the presumption that placement in a regular public
- 4 school class with special education services is preferable to
- 5 removal from the regular classroom.
- 6 E. That the hearing officer will make a written
- 7 decision based only on evidence received and introduced into the
- 8 record at the hearing not more than 45 days from the receipt of
- 9 the request for the hearing and that the proposed action will be
- 10 upheld only upon showing by the school district by a
- 11 preponderance of the evidence. A proposed action that would
- 12 result in the pupil being removed from regular education program
- 13 may be sustained only when, and to the extent the nature or
- 14 severity of the disability is such that a regular education
- 15 program would not be satisfactory and the pupil would be better
- 16 served in an alternative program. Consideration of alternative
- 17 educational programs must also be given.
- [For text of items F and G, see M.R.]
- 19 3525.4100 PREHEARING REVIEW BY THE HEARING OFFICER.
- 20 Subpart 1. Information received before the hearing. Five
- 21 days before the hearing, the person conducting the hearing shall
- 22 receive copies of:
- [For text of items A to C, see M.R.]
- D. other information from the district or parent as
- 25 the hearing officer may have requested at a prior date provided
- 26 that a copy of the information is provided to all parties, and
- 27 further provided that the information is made a part of the
- 28 hearing record.
- 29 The provisions of items B and C need not apply when the
- 30 hearing concerns a proposed action under parts 3525.2550 to
- 31 3525.2750.
- [For text of subp 2, see M.R.]
- 33 3525.4200 HEARING RIGHTS OF RESPECTIVE PARTIES.
- 34 The hearing shall be closed unless the parents request an
- 35 open hearing. The parties shall have the right to

- 1 representatives of their own choosing, including legal counsel.
- 2 At a reasonable time before the hearing, the parties or
- 3 their representatives shall be given access to the providing and
- 4 resident school districts' records and such other records
- 5 pertaining to the child that are authorized by law to be
- 6 disclosed, including but not limited to all tests, evaluations,
- 7 assessments, reports, and other written information concerning
- 8 the educational assessment or reassessment, conducted pursuant
- 9 to parts 3525.2550 to 3525.2750 upon which the proposed action
- 10 may be based.
- 11 At least five days before the hearing, the parents shall
- 12 receive from the school districts, who are parties of the
- 13 hearing, a brief resume of additional material allegations
- 14 referring to conduct, situations, or conditions which are
- 15 discovered and found to be relevant to the issues to be
- 16 contested at the hearing and which are not contained in the
- 17 original notice or memorandum provided pursuant to parts
- 18 3525.3200 to 3525.3600 or 3525.3700, subpart 2. Any party to
- 19 the hearing may prohibit the introduction of any evidence that
- 20 has not been disclosed to that party at least five days before
- 21 the hearing. Within five days after the written request is
- 22 received, any party shall receive from the other parties a list
- 23 of witnesses who may be called to testify at the hearing. The
- 24 list must be filed with the person conducting the hearing. The
- 25 lists may be modified at any time but each party should be
- 26 notified immediately if possible. The parties or their
- 27 representatives have the right to compel the attendance of any
- 28 employee of the school district, or any other person who may
- 29 have evidence relating to the proposed action, and to confront,
- 30 and to cross examine any witness. Any request must be made to
- 31 the appropriate school district or to the person whose
- 32 attendance is compelled at least five days in advance of the
- 33 hearing. The written requests shall also be filed with the
- 34 person conducting the hearing at the time of hearing.
- 35 If the person conducting the hearing determines at the
- 36 conclusion of the hearing that there remain disputes of fact

- l which, in the interest of fairness and the child's educational
- 2 needs, require the testimony of additional witnesses, or if the
- 3 hearing officer concludes that alternative educational programs
- 4 and opportunities have not been sufficiently considered, the
- 5 hearing officer may continue the hearing for not more than ten
- 6 days, for the purpose of obtaining the attendance of witnesses
- 7 or considering alternative programs and opportunities. The
- 8 parties' right to cross examination and confrontation and other
- 9 applicable rights and procedures shall continue and be given
- 10 full force and effect.
- 11 3525.4300 HEARING PROCEDURES.
- 12 The hearing officer shall preside over and conduct the
- 13 hearing and shall rule on procedural and evidentiary matters,
- 14 and the hearing officer's decision shall be based solely upon
- 15 the evidence introduced and received into the record. The
- 16 district shall bear the burden of proof as to all facts and as
- 17 to grounds for the proposed action. One purpose of the hearing
- 18 is to develop evidence of specific facts concerning the
- 19 educational needs, current educational performance, or apparent
- 20 disabilities of the person as it relates to the need for the
- 21 proposed action. Consistent with the rights and procedures in
- 22 parts 3525.3300 to 3525.4700, nothing in parts 3525.0200 to
- 23 3525.4700 limits the right of the hearing officer to question
- 24 witnesses or request information.
- 25 A tape recording, stenographic record, or other record of
- 26 the hearing shall be made, and if an appeal is filed under parts
- 27 3525.4600 and 3525.4700, the hearing must be transcribed by the
- 28 district and must be accessible to the parties involved within
- 29 five days of the filing of the appeal.
- 30 3525.4400 DECISIONS OF HEARING OFFICER.
- 32 Subp. 2. Decisions regarding assessment or reassessment.
- 33 The hearing officer may sustain a proposed assessment or
- 34 reassessment of the person as set forth in parts 3525.2550 to
- 35 3525.2750 upon a showing by the district by a preponderance of

- 1 the evidence that demonstrates that there are facts, relating to
- 2 the person's performance in the present education placement or
- 3 apparent disabilities, which indicate reasonable grounds to
- 4 believe that the educational assessment or reassessment
- 5 procedures are justified, as a step toward the possible
- 6 initiation of or change in the person's educational placement or
- 7 program, including special education services, which will
- 8 provide an educational program, including special education
- 9 services, appropriately suited to the person's needs.
- 10 Consistent with the standards, requirements, and principles
- 11 in statute and parts 3525.0200 to 3525.4700, the hearing officer
- 12 shall have the authority, based on the evidence received at the
- 13 hearing, to modify the proposed assessment or reassessment
- 14 instruments or procedures to ensure compliance with the
- 15 requirement of nondiscrimination.
- 16 Subp. 3. Decisions regarding educational placement. Based
- 17 on an application of the standards, requirements, and principles
- 18 in Minnesota Statutes, section 120.17, subdivision 3a, and in
- 19 parts 3525.0200 to 3525.4700, the proposed action regarding the
- 20 person's educational placement or special education services in
- 21 part 3525.2900, subpart 3, shall be sustained in whole or in
- 22 part by the hearing officer only upon a showing of need by the
- 23 district by a preponderance of the evidence. In deciding if the
- 24 proposed action is to be sustained, in whole or in part, the
- 25 educational needs of the child shall be determinative. However,
- 26 there shall be a presumption that among alternative programs of
- 27 education, that to the maximum extent appropriate, a primary
- 28 placement in a regular public school class and program with
- 29 appropriate special education services is preferable to removal
- 30 from the regular classroom.
- 31 The hearing officer may sustain a proposed action that
- 32 would result in the child being removed from a regular education
- 33 program only when, and to the extent that, the nature or
- 34 severity of the disability is such that education in the program
- 35 with the use of special education services cannot be
- 36 accomplished satisfactorily, and there is indication that the

- 1 child will be better served with an alternative program or
- 2 services. This decision shall be made in accordance with the
- 3 principle of least restrictive alternatives. The hearing
- 4 officer shall also determine whether the district sufficiently
- 5 considered alternative educational programs including special
- 6 education services and opportunities and at the hearing, may
- 7 receive any additional evidence presented by any interested
- 8 party or person about the availability and suitability of
- 9 reasonable and viable educational alternatives. If the hearing
- 10 officer concludes that there are no reasonable or viable
- 11 educational alternatives, the findings shall so state.
- [For text of subp 4, see M.R.]
- 13 REPEALER. Minnesota Rules, parts 3525.0200, subparts 8b, 9b,
- 14 and 18b; 3525.0650; 3525.1200; 3525.1320; 3525.1500; 3525.2300;
- 15 3525.2330; 3525.2335, subparts 1, 3, 4, and 5; 3525.2340,
- 16 subparts 1, 2, and 3; 3525.2345; 3525.2350, subpart 5;
- 17 3525.2380, subpart 3; 3525.2405, subpart 4;
- 18 3525.2410; 3525.2415; 3525.2430; 3525.2500; 3525.2925; and
- 19 3525.2950, are repealed.