

1 Minnesota Racing Commission

2

3 Adopted Permanent Rules Relating to Horse Racing

4

5 Rules as Adopted

6 7870.0800 DEADLINES FOR SUBMISSION OF CLASS D LICENSE

7 APPLICATIONS.

8 Applications for Class D licenses must be submitted by at
9 least 45 days before the date on which the applicant proposes to
10 commence horse racing.

11 7871.0010 APPLICATION FOR PARI-MUTUEL POOLS.

12 Subpart 1. **Submission of pari-mutuel requests.** A class B
13 ~~or-D~~ licensee may apply for approval of pari-mutuel pools
14 including rules governing calculation of payoffs, disposition of
15 unclaimed tickets, pools offered based on the number of entries,
16 prevention and failure to start, and scratches in effect at the
17 host racetrack by submitting an original and 15 copies of the
18 following:

19 [For text of items A to D, see M.R.]

20 Subp. 2. **Disposition of requests.** The commission must act
21 on a request for approval of pari-mutuel pools under the
22 following procedures:

23 A. Upon receipt of an application, the commission
24 shall send written notice of the application to all persons
25 registered with the commission for the purpose of notification
26 of approval of pari-mutuel pools on televised racing days and
27 all other Class B ~~or-D~~ licensees. The notice must include a
28 brief description of the request, a statement that all persons
29 wishing to comment may do so in writing within 20 days after
30 issuance of the notice, the time and place of any public hearing
31 on the application, and the earliest and latest date on which
32 the commission may act.

33 [For text of items B to F, see M.R.]

34 [For text of subps 3 and 4, see M.R.]



1 7871.0020 APPROVAL OF PARI-MUTUEL POOLS ON TELEVISED RACING DAYS.

2 Subpart 1. **Request.** Upon written request of a Class B or
3 B licensee, or on its own motion, the commission may approve
4 pari-mutuel pools for televised racing days, including types of
5 betting, number and placement of multiple pools in racing
6 programs offered at the host racetracks, and other issues
7 related to pari-mutuel pools which promote the purposes of
8 Minnesota Statutes, chapter 240, and the rules of the commission.

9 [For text of subps 2 and 3, see M.R.]

10 7871.0090 SIMULCAST WAGERING ON A TELEVISED RACING DAY.

11 Subpart 1. **Request.** Upon written request of a Class B or
12 B licensee, the commission shall approve wagering on races
13 televised to Minnesota from another licensed racing jurisdiction
14 during a televised racing day. A signed reciprocal agreement
15 among the racetrack originating (hosting) the broadcast, the
16 association representing the horsepersons at the host track, the
17 Minnesota racetrack receiving the broadcast, and the association
18 representing the horsepersons at the Minnesota racetrack
19 receiving the broadcast must be filed with the commission prior
20 to the broadcast.

21 [For text of subps 2 to 4, see M.R.]

22 7871.0120 APPOINTMENT OF PRESIDING OFFICIAL.

23 [For text of subpart 1, see M.R.]

24 Subp. 2. **Communication with stewards.** A Class B or-B
25 licensee conducting approved televised racing days must provide
26 the presiding official with telephone communication with the
27 stewards at the host racetrack throughout the racing program
28 each day.

29 7874.0100 GENERAL PROVISIONS.

30 [For text of subps 1 and 2, see M.R.]

31 Subp. 3. **Payment of unredeemed tickets.** After reporting
32 to the commission, pursuant to Minnesota Statutes, section
33 240.13, and not later than 100 days after the end of a race
34 meeting, a class B licensee must remit to the commission an

1 amount equal to the total value of unredeemed tickets from the
2 race meeting in the same manner as in subpart 2. Within ten
3 days after the end of a race meeting, a class D licensee must
4 remit to the commission an amount equal to the value of
5 unredeemed tickets from the race meeting.

6 [For text of subp 4, see M.R.]

7 7875.0100 FACILITIES.

8 [For text of subps 1 to 3, see M.R.]

9 Subp. 4. **Racing surfaces.** Within seven days after a race
10 meeting commences, the association must submit to the commission
11 evidence that the construction, elevation, and composition of
12 racing and training surfaces have received engineering and
13 veterinarian approval as safe and humane. A class D licensee
14 must make the submission seven days before the race meeting.

15 [For text of subp 5, see M.R.]

16 7875.0200 EQUIPMENT.

17 [For text of subps 1 to 3, see M.R.]

18 Subp. 4. **Starting gates.** At least two starting gates must
19 be in service on each race day and shall be tested daily prior
20 to the first race. Class D licensees are required to have one
21 starting gate. Two tractors or teams of draught horses shall be
22 positioned to pull any gate from a racecourse. Thoroughbred
23 starting gates must be padded to prevent injury to jockeys,
24 assistant starters, and horses. The arms of a standardbred
25 starting gate shall have a screen or shield in front of each
26 horse, and the arms shall be perpendicular to the rail when
27 extended.

28 Subp. 5. **Photo-finish.** Except for class D licensees, a
29 photo-finish system must simultaneously use a backup camera in
30 case of malfunction of the primary system.

31 [For text of subp 6, see M.R.]

32 Subp. 7. **Film patrol.** Color film or color videotape
33 recordings shall show clearly the position and actions of
34 horses, jockeys, and drivers at close range. At least three
35 cameras shall be used for every race to provide panoramic and

1 head-on views of the race except that class D licensees may use
2 one camera for standardbred races and two cameras for quarter
3 horse races.

4 [For text of subp 8, see M.R.]

5 Subp. 9. **External communications.** An association may have
6 telephone or telegraph systems on the premises during a race
7 meeting for the benefit of the public, the press, or for
8 transacting ordinary business. No information regarding the
9 results of any race shall be transmitted out of the racetrack
10 until the results are official except for races that are
11 broadcast or televised live. Under no circumstances shall any
12 message be sent over said wires transmitting money, or other
13 things of value, or directing the placing of any wager on the
14 result of a race.

15 No telephone calls, telegrams, or messages of any kind for
16 any person attending or participating in the conduct of a race
17 meeting shall be accepted, nor shall any notice be given
18 pertaining to such message or telephone call during the hours
19 indicated unless permission is first given by the stewards or
20 the authorized representative of the commission.

21 A telephone on a private line shall be provided in the
22 offices of the commission. All costs of the telephone service
23 shall be borne by the association and the service shall not be
24 interrupted at any time.

25 One public telephone is allowed per floor at an association
26 track. Each phone must be monitored by association security and
27 must be part of an "information center" provided by the
28 association. All other instruments of communication, other than
29 those designated for the sole use of the commission or those
30 approved by the commission for use during racing, must be
31 rendered inoperable between the hours starting 30 minutes before
32 post time for the first race and the flashing of the "official"
33 sign following the last race.

34 Any portable telephones, transmitters, or any other
35 instrument that can be used for transmitting messages off the
36 grounds of an association is subject to confiscation by security

1 personnel or by the racing commission and its employees.

2 7877.0135 DUAL LICENSING.

3 In determining whether to issue more than one Class C
4 license to an applicant, the commission shall consider the
5 nature of the licenses sought or already held, and whether
6 holding multiple licenses would be a conflict of interest.

7 [For text of items A to D, see M.R.]

8 E. For all county fairs in which the average daily
9 handle for the preceding year was less than \$150,000 the
10 commission may authorize county fair associations to allow
11 officials other than stewards to act in dual capacities.
12 Stewards may act as placing judges and timers. The commission
13 may require that additional officials be present at a county
14 fair meet at the time of application approval or renewal of the
15 class D license.

16 7877.0170 DUTIES AND RESPONSIBILITIES OF CLASS C LICENSEES.

17 [For text of subps 1 to 2a, see M.R.]

18 Subp. 3. **Jockeys and apprentice jockeys.** Jockeys and
19 apprentice jockeys shall have the following responsibilities.

20 [For text of items A to F, see M.R.]

21 G. The jockey's weight shall include his or her
22 clothing (excluding helmet and flak jacket), boots, saddle, and
23 saddle attachments.

24 [For text of items H to W, see M.R.]

25 [For text of subps 4 to 10, see M.R.]

26 7878.0170 CLASS D SECURITY OFFICERS.

27 Subpart 1. **Security officers may be provided by sheriff's**
28 **office.** Security officers at a class D racetrack may be
29 provided by the sheriff's office in the county in which the
30 class D license is held.

31 Subp. 2. **Designee.** The sheriff or the sheriff's designee
32 will be the director of security for the race meet.

33 Subp. 3. **Assistance.** The sheriff may utilize deputies,
34 reserve deputies, police officers, reserve officers, or any

1 individual who meets the minimum standards as specified in part
2 7878.0110.

3 7879.0200 AUTHORITY AND DUTIES OF STEWARDS.

4 Subpart 1. **General authority of stewards.** The stewards
5 shall exercise immediate supervision, control, and regulation of
6 racing at each licensed race meeting on behalf of the commission
7 and shall be responsible only to the commission. The powers of
8 the stewards shall include:

9 [For text of items A to H, see M.R.]

10 I. in the event a jockey, driver, trainer, or racing
11 official, other than a steward, is determined to be unable for
12 any reason to perform his or her duties, the authority to
13 appoint a substitute therefor;

14 J. the authority to excuse a horse or any jockey,
15 driver, trainer, or racing official other than a steward;

16 K. for all county fair meets, in which the average
17 daily handle for the preceding year was less than \$150,000, the
18 rules of horse racing and pari-mutuel rules shall apply, ~~except~~
19 ~~as otherwise provided or except as otherwise directed by the~~
20 ~~commission, at the time of application approval and thereafter~~
21 ~~upon conclusion of a special meeting or telephone poll of the~~
22 ~~commission~~ unless waived by the commission after a determination
23 by the commission that the integrity of the race meet and safety
24 to humans or animals would not be affected. In the event
25 circumstances during a race meet require an immediate change so
26 as to expedite the completion of the race day, the board of
27 stewards, after consultation with the director of security,
28 commission veterinarian, or director of pari-mutuels, as
29 determined appropriate under the circumstance, shall thereafter
30 approve the change. In the event the board of stewards is
31 unable to consult with the appropriate commission staff member
32 after a reasonable time, the board of stewards shall approve the
33 change without such consultation; and

34 L. for a period of 90 days after the conclusion of a
35 county fair meet, jurisdiction to hold hearings and take action

1 with regard to any aspect of racing at the meet shall continue
2 with the board of stewards or, if considered by the commission
3 to be more practical or convenient for the parties concerned,
4 may be exercised by a single knowledgeable person designated by
5 the commission. Any person acting in lieu of the board of
6 stewards under this part shall have all of the authority granted
7 to the board of stewards under part 7879.0200 or any other
8 applicable rule. A person may appeal from any action taken, in
9 the same manner as an appeal may be taken from a steward's
10 hearing.

11 [For text of subps 2 and 3, see M.R.]

12 7883.0100 ENTRIES AND SUBSCRIPTIONS.

13 [For text of subps 1 to 15a, see M.R.]

14 Subp. 16. **Workout requirements.** In order to be eligible:

15 [For text of items A to D, see M.R.]

16 E. For all county fairs in which the average daily
17 handle for the preceding year was less than \$150,000 all workout
18 requirements shall be waived except that in the case of a horse
19 that has not started for a period of one year, the owner or
20 trainer must contact the commission veterinarian prior to entry
21 for an examination and workout as determined by the commission
22 veterinarian.

23 [For text of subps 17 and 18, see M.R.]

24 7883.0160 POST TO FINISH.

25 [For text of subps 1 to 5, see M.R.]

26 Subp. 6. **Interference and willful fouling.** The following
27 rules shall apply with respect to the running of a race.

28 [For text of items A and B, see M.R.]

29 C. During a race no jockey shall willfully strike or
30 touch another jockey or another jockey's horse or equipment for
31 the purpose of interfering with that horse or jockey, nor shall
32 a jockey strike his or her horse on or about the head area. A
33 jockey shall use a whip in a manner consistent with using his or
34 her best efforts to win. This does not mean that a jockey must
35 use the whip indiscriminately. Jockeys are prohibited from

1 whipping a horse:

2 (1) on the head, flanks, or on any part of its
3 body other than the shoulders or hind quarters;

4 (2) during the post parade except when necessary
5 to control the horse;

6 (3) excessively or brutally causing welts or
7 breaks in the skin;

8 (4) when the horse is clearly out of the race or
9 has obtained its maximum placing; or

10 (5) persistently even though the horse is showing
11 no response under the whip.

12 Correct uses of the whip are:

13 (a) showing horses the whip before hitting
14 them;

15 (b) using the whip in rhythm with the
16 horse's stride; and

17 (c) using the whip as an aid to maintain a
18 horse running straight.

19 [For text of items D and E, see M.R.]

20 [For text of subps 7 to 14, see M.R.]

21 7883.0170 RACING EQUIPMENT.

22 No bridle shall weigh more than two pounds, and no whip
23 shall weigh more than one pound. No whip shall be used unless
24 it has affixed to its end a leather popper not less than 1-1/4
25 inches in width, and not over three inches in length, and be
26 feathered above the popper with not less than three rows of
27 leather feathers, each feather not less than one inch in
28 length. No whip shall exceed 31 inches in length. All whips
29 are subject to inspection and approval by the stewards.

30 7892.0100 DETENTION BARN.

31 Subpart 1. **Barn.** Each association shall provide a
32 detention barn suitable for taking test samples. The barn shall
33 include:

34 [For text of items A to F, see M.R.]

35 G. a walking ring;

1 H. other equipment considered necessary by the
2 commission for the bathing and watering of horses; and

3 I. except in the situation of a county fair meet in
4 which the average daily handle for the preceding year was less
5 than \$150,000, items B and C shall be reduced to two wash areas
6 and three stalls.

7 [For text of subp 2, see M.R.]

8 7895.0300 QUARTER HORSE BREEDERS' FUND.

9 [For text of subpart 1, see M.R.]

10 Subp. 2. **Division of money.** The money available from the
11 breeders' fund for the quarter horse category shall be divided
12 as follows:

13 A. 45 percent shall be set aside and paid as
14 breeders' awards to breeders of Minnesota-bred sired and foaled
15 horses (Minnesota-bred foaled horses and Minnesota-bred sired
16 horses are not eligible for breeders' awards);

17 B. 45 percent shall be paid to supplement purses.
18 The purse supplements shall be apportioned in accordance with
19 the quality of the race as determined by the commission; and

20 [For text of item C, see M.R.]

21 [For text of subps 3 to 6, see M.R.]

22 REPEALER. Minnesota Rules, parts 7871.0100 and 7873.0400, are
23 repealed.