06/10/92 [REVISOR] RPK/KK AR1996 1 Department of Corrections 2 3 Adopted Permanent Rules Relating to Municipal Jail Facilities 4 5 Rules as Adopted CHAPTER 2945 6 7 DEPARTMENT OF CORRECTIONS MUNICIPAL JAIL FACILITIES 8 9 2945.0100 DEFINITIONS. 10 Subpart 1. Scope. For the purpose of this chapter, the 11 following terms have the meanings given them. Subp. 2. Administrative segregation. "Administrative 12 segregation" means the physical separation of prisoners prone to 13 14 escape, prone to assault staff or other prisoners, likely to 15 need protection from other prisoners or themselves, or determined to be mentally deficient and in need of special care. 16 17 Subp. 3. Approved capacity. "Approved capacity" means the 18 maximum number of prisoners that any cell, room, unit, building, facility, or combination of them is approved for in compliance 19 20 with the standards. Subp. 4. Average daily population. "Average daily 21 22 population" means the average number of prisoners residing daily 23 during the last calendar year. Prisoners on furlough or hospitalized are excluded. 24 Subp. 5. Class I municipal holding facility. "Class I 25 municipal holding facility" means an adult detention facility 26 operated by a municipal government used to confine prisoners for 27 more than 48 hours. 28 Subp. 6. Class II municipal holding facility. "Class II 29 municipal holding facility" means an adult detention facility 30 operated by a municipal government used to confine prisoners for 31 up to 48 hours excluding weekends and holidays. 32 Subp. 7. Class III municipal holding facility. "Class III 33 municipal holding facility" means an adult detention facility 34 operated by a municipal government used to confine prisoners for 35

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1 up to 16 hours.

2 Subp. 8. Class IV municipal holding facility. "Class IV 3 municipal holding facility" means an adult detention facility 4 operated by a municipal government used to confine prisoners for 5 up to four hours.

6 Subp. 9. Commissioner. "Commissioner" means the 7 commissioner of the Minnesota Department of Corrections.

8 Subp. 10. Communicable disease. "Communicable disease" 9 means a disease or condition that causes serious illness, 10 serious disability, or death, the infectious agent of which may 11 pass or be carried, directly or indirectly, from the body of one 12 person to the body of another.

Subp. 11. Contraband. "Contraband" means those items designated by the municipal jail facility as prohibited on the physical premises of the facility.

16 Subp. 12. Controlled substance. "Controlled substance" 17 means a drug, substance, or immediate precursor in Schedules I 18 to V of Minnesota Statutes, section 152.02. The term does not 19 include distilled spirits, wine, malt beverages, intoxicating 20 liquor, or tobacco.

Subp. 13. Court holding facilities. "Court holding facilities" means those areas where persons are held in conjunction with a court appearance. Court holding facilities may be secure but are nonresidential in design, and persons are not normally held there beyond four hours.

Subp. 14. Custody personnel. "Custody personnel" means those staff members or employees who are responsible for the custody and supervision of prisoners.

Subp. 15. Department of Corrections; department.
30 "Department of Corrections" or "department" means the Minnesota
31 Department of Corrections.

32 Subp. 16. Directory. "Directory" means any provision in 33 this part which is not designated as mandatory according to 34 subpart 25.

Subp. 17. Emergency. "Emergency" means a significant
 incident or disruption of normal facility procedures, policies,

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routines, or activities arising from fire, riot, natural
 disaster, suicide, assault, or medical emergency.

3 Subp. 18. Existing facility. "Existing facility" means a 4 facility used for detention and confinement of prisoners before 5 the effective date of this chapter.

6 Subp. 19. Facility administrator. "Facility administrator" 7 means the individual who has been delegated the responsibility 8 and authority for the administration and operation of a local 9 facility.

10 Subp. 20. Holding cell. "Holding cell" means a cell or 11 room in a holding facility used to hold one or more persons 12 temporarily while awaiting release, booking, court appearance, 13 transportation, or interrogation.

Subp. 21. Inspection. "Inspection" means an on-site assessment of existing conditions made to determine the facility's compliance with this chapter.

17 Subp. 22. Jail. "Jail" means a secure adult detention 18 facility used to confine sentenced prisoners for a time not to 19 exceed one full year per conviction, confine adult pretrial and 20 presentenced detainees indefinitely, and confine juveniles up to 21 limits prescribed by Minnesota statute and commissioner approval.

22 Subp. 23. Legend drug. "Legend drug" means a drug 23 required by federal law to bear the following statement: 24 "Caution: Federal law prohibits dispensing without 25 prescription."

Subp. 24. Local facility. "Local facility" means any city, county, city and county, or multiple county corrections facility.

Subp. 25. Mandatory. "Mandatory" means the standard must 29 be met by the facility in order for the facility to be 30 licensed. Mandatory standards are identified with the 31 designation (mandatory). In the case of entire parts or 32 subparts, the word mandatory appears in the part or subpart 33 headnote. Notwithstanding Minnesota Statutes, section 645.49, 34 the word mandatory is to be construed as part of the law when 35 used in headnotes in this chapter. In the case of items, the 36

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word mandatory appears in the text. When used at the item
 level, the word mandatory governs all subitems.

3 Subp. 26. Maximum security areas. "Maximum security areas" 4 means areas that provide the greatest degree of physical 5 security for the control and separation of prisoners.

6 Subp. 27. Medicine. "Medicine" means a remedial agent 7 that has the property of curing, preventing, treating, or 8 mitigating diseases, or that is used for that purpose. For the 9 purpose of this chapter, medicine includes legend and nonlegend 10 drugs.

Subp. 28. Mentally ill person. "Mentally ill person" means any person who has an organic disorder of the brain or a substantial psychiatric disorder of thought, mood, perception, orientation, or memory which grossly impairs judgment, behavior, capacity to recognize reality, or to reason or understand, which: A. is manifested by instances of grossly disturbed

17 behavior of faulty perceptions; and

B. poses a substantial likelihood of physical harm toself or others as demonstrated by:

20 (1) failure to obtain necessary food, clothing,
21 shelter, or medical care as a result of the impairment; or

(2) a recent attempt or threat to physically harmself or others.

Mentally ill person does not include persons with epilepsy, persons with mental retardation, persons subject to brief periods of intoxication caused by alcohol or drugs, or persons dependent on or addicted to alcohol or drugs.

Subp. 29. Minimum security areas. "Minimum security areas" means areas that provide functional living accommodations with a nominal reliance on physical security for the control and management of prisoners.

32 Subp. 30. Municipal police facilities. "Municipal police 33 facilities" means police facilities including an administrative 34 processing area used to temporarily detain persons for up to six 35 hours. Municipal police facilities are specifically designed to 36 be nonresidential in nature, have either audio or visual

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capability, would normally not be locked except for routine
 security reasons, and would be used only long enough for
 identification, investigation, transfer arrangements, or release
 to a responsible person.

5 Subp. 31. Nonresidential. "Nonresidential" means that the 6 holding area or room is not designed to be lived in.

7 Nonresidential space would not typically include a bed, toilet,8 and wash basin.

9 Subp. 32. Policy. "Policy" means a statement declaring 10 mission, purpose, and ideological position.

Subp. 33. Prisoner. "Prisoner" means an individual, adult or juvenile, detained or confined in a local facility.

Subp. 34. Procedure. "Procedure" means a written
statement establishing the action plan to implement policy.
Subp. 35. Rule. "Rule" means that which is defined by

16 Minnesota Statutes, section 14.02, subdivision 4.

17 Subp. 36. Substantially conform. "Substantially conform" 18 means comply with 100 percent of the items in this chapter 19 identified as mandatory and with 70 percent of all items 20 identified as directory in this chapter which are applicable to 21 the facility's classification. To calculate compliance one 22 point will be assigned to each standard and/or each subpart of a 23 standard.

Subp. 37. Undue hardship. "Undue hardship" means the financial costs are not warranted when weighed against the benefits derived.

27 Subp. 38. Variance. "Variance" means the waiver of a 28 specific rule for a specified period of time.

29 2945.0110 INTRODUCTION.

Minnesota Statutes, section 642.09, provides that the sheriff of a county in which a municipality maintains a lockup shall inspect the lockup once a year, with reference to its security and administration, and make a written report to the commissioner on forms furnished by the commissioner and deliver a copy of the report to the governing body of the municipality

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1 maintaining the lockup.

2 Minnesota Statutes, section 241.021, subdivision 1, provides that the commissioner shall adopt rules establishing 3 minimum standards for all correctional facilities throughout the 4 state, whether public or private, established and operated for 5 6 the detention and confinement of persons detained or confined in them, according to law except to the extent that they are 7 8 inspected or licensed by other state regulating agencies. The 9 rules that follow are minimum standards for municipal lockup 10 facilities.

11 2945.0120 INTENDED USE; NONCONFORMANCE; LIMITATIONS OF RULES.
12 Subpart 1. Intended use. A facility should be used only
13 for classifications for which it is intended. This chapter does
14 not regulate municipal police facilities or court holding rooms.
15 A. Class I municipal holding facilities must meet the

16 standards of chapter 2910.

B. Class II municipal holding facilities must meet all parts, subparts, items, and subitems which are designated as mandatory standards in this chapter and 70 percent of all parts, subparts, items, and subitems in this chapter which are directory standards.

22 C. Class III municipal holding facilities must meet 23 all parts, subparts, items, and subitems which are designated as 24 mandatory standards in this chapter and 70 percent of all parts, 25 subparts, items, and subitems in this chapter which are 26 directory standards and which are applicable to Class III 27 municipal holding facilities.

D. Class IV municipal holding facilities must meet all parts, subparts, items, and subitems which are designated as mandatory standards in this chapter and 70 percent of all parts, subparts, items, and subitems in this chapter which are directory standards and which are applicable to Class IV municipal holding facilities.

34 E. When "shall" or "must" are used in conjunction 35 with a directory standard, the mandatory implications of those

terms are limited to the extent part 2945.0100, subpart 36,
 defines the requirement of 70 percent directory standard
 compliance as a prerequisite for facility licensing.

Subp. 2. Nonconformance, unsafe, unsanitary, or illegal conditions. When conditions do not substantially conform to this chapter or where specific conditions endanger the health, welfare, or safety of prisoners or staff, the commissioner shall find the facility in nonconformance and condemn it by written order that it shall not be further used while the order is in force according to Minnesota Statutes, section 642.10.

11 2945.0130 VARIANCES.

12 Subpart 1. Variances; general. The granting of a variance 13 under this part does not constitute a precedent for any other 14 adult detention facility. The granting and denial of all 15 variances shall be in writing and made within 30 days of the 16 request for a variance. The variance will be granted by the 17 commissioner if, in the licensing procedure or enforcement of 18 the standards in this chapter:

A. requirements for an adult detention facility to strictly comply with one or more of the provisions will result in undue hardship or jeopardize the health, safety, security, detention, or well-being of the residents or facility staff;

B. the adult detention facility is otherwise in substantial conformity with the standards contained in this chapter or making satisfactory progress toward substantial conformity;

27 C. granting of the variance will not preclude the 28 facility from making satisfactory progress toward substantial 29 conformity with this chapter;

D. the granting of the variance will not leave the interests and well-being of the residents unprotected; and E. the facility will take action to comply with the general purpose of the standards to the fullest extent possible. Subp. 2. Emergencies. The facility administration may declare a state of emergency suspending those rules affected by

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the emergency if to not suspend the rules would adversely affect
 the health, security, safety, or well-being of the persons
 confined or the facility staff.

Subp. 3. Notification of emergencies (mandatory). The facility administrator shall immediately notify the sheriff of the county and the Department of Corrections of an emergency that results in the suspension of a rule. A formal written report must follow within 72 hours.

9 Subp. 4. Extension of emergency. No suspension of rules 10 because of an emergency declared by a facility administrator may 11 exceed seven days unless the administrator obtains the approval 12 of the commissioner for a variance to the rules and the variance 13 is necessary for the protection of the health, security, safety, 14 or well-being of the staff or the persons detained or confined 15 in the institution where the emergency exists.

16 PERSONNEL STANDARDS

17 2945.0500 MEDICAL EXAMINATIONS.

18 Employees who work in a facility must have a medical 19 examination on record.

20 2945.0510 STAFF RECRUITMENT.

Subpart 1. Discrimination prohibited (mandatory). There must not be discrimination on the grounds of race, color, religion, sex, or national origin.

Subp. 2. Staff selection. The selection, appointment, and promotion of facility personnel must be based on assessed ability. Custody personnel must be a minimum of 18 years old. Recruitment standards must set forth the basic requirements of ability, preparatory experience, physical condition, and character, as well as those qualities that may disqualify.

30 2945.0520 EXTRA DUTY.

No employee may be scheduled for duty for two consecutive work periods except where unusual circumstances require reasonable and prudent exception.

34 2945.0530 STAFFING REQUIREMENTS.

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Subpart 1. Staffing plan. The facility administrator
 shall prepare and retain a staffing plan indicating the
 personnel assigned to the facility and their duties. For the
 purposes of this part, a work day is considered an eight-hour
 shift.

5 Subp. 2. Staff person in charge (mandatory). There must 7 be a designated staff person in charge of the facility. In the 8 absence of the regular facility supervisor, a staff person must 9 be designated in charge.

10 Subp. 3. Condition of staff person on duty (mandatory). No person may be detained without a staff person on duty, 11 12 present in the facility, awake and alert at all times, and capable of responding to the reasonable needs of the prisoner. 13 14 Subp. 4. Opposite sex policy (mandatory). There must be a 15 policy consistent with state statute that provides procedures to be followed by staff with regards to the types of supervision 16 provided to prisoners of the opposite sex. 17

18 Subp. 5. Assistance for dispatcher/custody staff person 19 (mandatory). In facilities that use the dispatcher/custody 20 position as sole supervision, the dispatcher/custody staff 21 person must be assisted on duty by another custody staff person 22 when the jail population exceeds 15. This requirement applies 23 only during shifts when prisoners are not in lockup status.

Subp. 6. Reporting incidents and responding to emergencies. (mandatory). A combination of staff and physical plant resources shall provide the capability of responding to emergencies and the completion of incident reports on all special occurrences.

29 Subp. 7. Ancillary functions. Personnel must be provided 30 to perform ancillary functions such as transportation or court 31 functions such as transportation or court escort to the extent 32 necessary to ensure security and supervision of prisoners. 33 STAFF TRAINING

34 2945.1000 STAFF TRAINING PLAN.

35 Subpart 1. Orientation and in-service training plan. Each

1 facility administrator shall develop and implement a training
2 plan for the orientation of new employees and volunteers and
3 provide for continuing in-service training programs for all
4 employees and volunteers. The training plans must be documented
5 and describe curriculum, methods of instruction, and
6 objectives. In-service training plans should be prepared
7 annually, and orientation training plans must be reviewed and
8 revised to changing conditions.

9 Subp. 2. First aid and CPR training (mandatory). Each 10 facility shall establish, in cooperation with a responsible 11 health authority, a training program to provide instruction on 12 the administration of first aid and cardiopulmonary

13 resuscitation (CPR).

14 Subp. 3. Other health and safety training (mandatory). 15 Each facility shall develop, in cooperation with a responsible 16 health authority, a training program which provides instruction 17 in the following areas:

18 A. procedures for responding to health-threatening19 situations within four minutes;

B. recognition of signs and symptoms, and knowledge
of action required in potential emergency situations;

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C. methods of obtaining assistance;

23 D. recognition of signs and symptoms of mental 24 illness, retardation, emotional disturbance, and chemical 25 dependency;

E. procedures for patient transfers to appropriate
 medical facilities or health care providers; and
 F. prevention of communicable diseases.

29 2945.1010 PART-TIME AND RELIEF STAFF.

30 Part-time and relief staff shall complete orientation 31 training appropriate to the facility's classification.

32 STAFF DEPLOYMENT, JOB DESCRIPTIONS, WORK ASSIGNMENTS,
 33 POST ORDERS, POLICIES AND PROCEDURES

34 2945.1600 GUIDELINES AND RESPONSIBILITIES.

35 Each facility supervisor shall develop written guidelines

06/10/92 [REVISOR] RPK/KK AR1996 1 that define responsibilities, duties, and qualifications of the persons working in the detention facility. 2 3 2945,1610 POLICY AND PROCEDURE MANUALS. 4 Policies and procedures concerning the facility's operation 5 must be made available to employees at the time of employment and as revised after that time. б 7 RECORDS AND REPORTS 2945.2100 MAINTENANCE OF RECORDS AND REPORTS. 8 9 Subpart 1. Mandatory records. The following records, reports, and statistics must be maintained (mandatory): 10 A. admission and release records; 11 special occurrence records; and 12 в. с. registers. 13 Subp. 2. Directory records. The following records, 14 reports, and statistics must be maintained: 15 prisoner personal property records; 16 Α. clothing, linen, and laundry records (not 17 в. applicable to Class III and IV municipal holding facilities); 18 C. records of budget requests and work orders (not 19 applicable to Class III and IV municipal holding facilities); 20 D. records of policies and procedures; 21 22 Ε. employee personnel records; records of staff training; 23 F. G. accounting records (not applicable to Class III 24 and IV municipal holding facilities); 25 H. food service records; 26 27 I. daily logs; medical and dental records; and 28 J. disciplinary records. 29 Κ. The department shall make available on request sample 30 approved forms of items A to K. 31 2945.2110 STORAGE AND PRESERVATION OF RECORDS (MANDATORY). 32 Space must be provided for the safe storage of records. 33 2945.2120 FILING AND DISPOSITION OF PRISONER RECORDS. 34

06/10/92 [REVISOR] RPK/KK AR1996 1 Prisoner booking records must be maintained. 2 2945.2130 ACCESS TO PRISONER RECORDS (MANDATORY). 3 Access to prisoner records shall be provided in conformity with state data privacy law. 4 PRISONER WELFARE 5 2945.2500 SEPARATION OF PRISONERS (MANDATORY). 6 7 Subpart 1. General. A combination of separate living spaces, sanitation facilities, activity spaces, cell units, and 8 detention rooms shall be provided to properly segregate 9 10 prisoners under Minnesota Statutes, section 641.14. Subp. 2. Prisoners to be housed separately. The following 11 prisoners must be housed separately: 12 13 A. female prisoners from male prisoners; 14 juvenile prisoners from adult prisoners; and в. 15 C. mentally ill prisoners from all other prisoners. Subp. 3. Separation by sight and sound. Juvenile 16 prisoners must be separated from adult prisoners by sight and 17 18 sound. 19 2945.2510 INFORMATION TO PRISONERS. 20 Subpart 1. Information to prisoners. Minimal rules for prisoner behavior must be posted in a conspicuous place or 21 provided to the prisoner. 22 Subp. 2. Official charge, legal basis for detention 23 (mandatory). Every prisoner admitted to a facility must be 24 advised of the official charge or legal basis for detention and 25 confinement, information gathered, and to whom disseminated. 26 Subp. 3. Limitations on disciplinary actions. 27 decision to deprive a prisoner of articles of clothing and 28 bedding as a result of the prisoner's destruction of those items 29 must be reviewed by the officer in charge during each eight-hour 30 period, and the review must be documented. 31 32 The delegation of authority to a prisoner or group of prisoners to exercise the right of punishment over another 33 prisoner or group of prisoners shall be prohibited. 34

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1 No prisoner may be deprived of the use of materials 2. necessary to maintain an acceptable level of personal hygiene. Items such as toilet paper and soap and water must be made 3 available and provided upon request. 4 5 Subp. 4. Instruments of restraint. Instruments of 6 restraint, such as handcuffs, chains, irons, and straitjackets must not be used as punishment. 7 8 Α. Instruments of restraint must not be used except in the following circumstances: 9 10 (1) as a precaution against escape during a 11 transfer; (2) on medical grounds by direction of a 12 consulting or attending physician or licensed psychologist; or 13 (3) by order of the facility administrator or 14 15 person in charge in order to prevent a prisoner from injuring himself or herself, others, or from damaging property. 16 в. The facility administrator shall develop written 17 policies and procedures to govern the use of restraints. 18 19 Instruments of restraint must not be applied for C. any longer time than is strictly necessary. 20 Each incident involving the use of restraints 21 D. consistent with item A, subitem (2) or (3), must be documented 2.2 23 and on file. Subp. 5. Disciplinary records. Disciplinary records must 24 be maintained on all documented disciplinary infractions and 25 punishment administered. 26 2945.2520 PRISONER VISITATION. 27 Subpart 1. Initial visits for juveniles (mandatory). The 28 initial visit for parents, guardians, and attorneys must be 29 permitted at any time. 30 Subp. 2. Visiting plan. Each facility administrator shall 31 develop and implement a prisoner visiting policy. The policy 32 shall be in writing and shall provide at a minimum: 33 That prisoners be allowed nonmonitored visits with 34 Α. the prisoner's attorney. 35

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B. A schedule that specifies days and times for
 visits (not applicable to Class III and IV municipal holding
 facilities.)

C. A uniform number of permissible visits and the
number of visitors permitted per visit (not applicable to Class
III and IV municipal holding facilities).

D. For adult prisoners, an initial visit with a member or members of their immediate family at the next regularly scheduled visiting period (not applicable to Class III and IV municipal holding facilities).

E. That when a visit to a prisoner is denied on the belief that the visit might endanger the security of the facility, the action and reasons for denial be documented. F. That visitors must register, giving name, address,

15 relationship to prisoner, and nature of business.

16 G. That visiting must not be audio monitored.
17 H. That visits by parents, guardians, and attorneys
18 with juvenile prisoners be as unrestricted as is
19 administratively possible.

I. That prisoners be allowed private meetings for family problems with clergy, nuns, seminarians, and laypersons active in community church affairs, who provide appropriate credentials, to the extent that such visits do not compromise the facility's security (not applicable to Class IV).

25 2945.2530 CORRESPONDENCE.

Subpart 1. Plan for prisoner mail. A facility administrator shall develop a plan for prisoner mail consistent with established legal rights of prisoners and facility rules which are reasonable and necessary to protect the facility's security (not applicable to Class III and Class IV municipal holding facilities).

32 Subp. 2. Unrestricted volume of mail. The volume of 33 written mail to or from a prisoner must not be restricted (not 34 applicable to Class III and Class IV municipal holding 35 facilities).

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1 Subp. 3. Inspection and censorship (mandatory). Mail must 2 not be read or censored if it is between a prisoner and an 3 elected official, officials of the department, the ombudsman for 4 corrections, attorneys, or other officers of the court. 5 Inspection of incoming mail from this group is permitted in the 6 presence of the prisoner. This subpart is not applicable to 7 Class III and Class IV municipal holding facilities.

8 Subp. 4. Money. Cash, checks, or money orders should be 9 removed from incoming mail and credited to a prisoner's account 10 (not applicable to Class III and Class IV municipal holding 11 facilities).

12 Subp. 5. Contraband. If contraband is discovered in 13 either incoming or outgoing mail, it must be removed (not 14 applicable to Class III and Class IV municipal holding 15 facilities).

16 Subp. 6. Sacred books (mandatory). A prisoner must be 17 provided a copy of the Bible or sacred book of another religion 18 at the expense of the appointing authority.

19 2945.2540 BEDDING, LAUNDRY, AND CLOTHING REMOVAL.

20 Subpart 1. Bedding. Upon request, or after eight hours, 21 each prisoner shall be issued clean, sanitary, and 22 fire-retardant bedding (not applicable to Class IV municipal 23 facilities).

Subp. 2. Laundry (mandatory). Sheets must be laundered
and blankets cleaned before reissuing them to another prisoner.
Subp. 3. Removing clothing and bedding. The facility
administrator shall develop a policy and procedure for dealing

with incidents in which prisoners misuse clothing or bedding.
Clothing and bedding should be removed from a prisoner only when
the prisoner's behavior threatens the health, safety, or
security of self, others, or property. Clothing and bedding
must be returned to the prisoner as soon as it is reasonable to
believe that the behavior that caused the action will not
continue.

35 2945.2550 EMERGENCIES AND SPECIAL OCCURRENCES.

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1 Subpart 1. Emergency plan (mandatory). The facility 2 administrator shall develop a written emergency plan. The plan must include: 3 4 location of alarms and fire fighting equipment; Α. 5 emergency drill policy; в. 6 с. specific assignments and tasks for personnel; 7 D. persons and emergency departments to be notified; 8 Ε. a procedure for evacuation of prisoners; and 9 F. arrangements for temporary confinement of 10 prisoners. 11 Subp. 2. Review of emergency procedures. There must be a 12 documented quarterly review of emergency procedures by the person in charge of the facility that includes: 13 14 assignment of persons to specific tasks in case of Α. emergency situations; 15 instructions in the use of alarm systems and 16 Β. 17 signals; systems for notification of appropriate persons 18 C. outside the facility; 19 information on the location and use of emergency 20 D. equipment in the facility; and 21 E. specification of evacuation routes and procedures. 22 Subp. 3. Reporting of special incidents. Incidents or 23 special occurrences which endanger the lives or safety of staff 24 or prisoners or the physical plant of the facility must be 25 reported. Reports must include the names of staff members and 26 prisoners involved, the nature of the special occurrence, 27 actions taken, and the date and time of the occurrence. Special 28 occurrences include, but are not limited to: 29 A. attempted suicide; 30 31 в. suicide; homicide; C. 32 death other than suicide or homicide; 33 D. serious injury or illness incurred after 34 Ε. 35 detention; F. escape or runaway; 36

06/10/92 [REVISOR] RPK/KK AR1996 1 G. fire causing serious damage; 2 H. riot; 3 assaults requiring medical care; I. 4 J. other serious disturbances; or 5 K. occurrences of infectious diseases and disposition 6 of the occurrences. 7 Special occurrences must be reported on forms provided by 8 the department or comparable forms used by the facility. In the event of an emergency such as serious illness, 9 10 accident, imminent death, or death, the prisoner's family or others who maintain a close relationship must be notified. 11 Subp. 4. Prisoner death (mandatory). When a prisoner's 12 death occurs: 13 A. the date, time, and circumstances of the 14 prisoner's death must be recorded in the prisoner's record; 15 B. if the prisoner dies in the facility, the coroner, 16 medical examiner, or sheriff must be notified immediately; 17 18 C. personal belongings must be handled in a responsible and legal manner; 19 D. records of a deceased prisoner must be retained 20 for a period of time in accordance with law; and 21 22 E. the facility administrator shall observe all 23 pertinent laws and allow appropriate investigating authorities full access to all facts surrounding the death. 24 FOOD SERVICE 25 2945.3400 FOOD HANDLING PRACTICES (MANDATORY). 26 Food service shall be provided according to parts 4625.2401 27 to 4625.4701. 28 2945.3410 FREQUENCY OF MEALS. 29 There must be no more than 14 hours between a substantial 30 evening meal and breakfast. Where prisoners are not routinely 31 absent from the facility for work or other purposes, at least 32 three meals must be made available at regular times during each 33 24-hour period (not applicable to Class IV municipal holding 34 35 facilities).

1 2945.3420 THERAPEUTIC DIETS (MANDATORY).

A facility housing prisoners in need of medically prescribed therapeutic diets must document that the diets are provided as ordered by the attending physician (not applicable to Class IV municipal holding facilities).

6 2945.3430 USE OF FOOD IN DISCIPLINE (MANDATORY).

Food must not be withheld as punishment (not applicable to8 Class IV municipal holding facilities).

9 2945.3440 SUPERVISION OF MEAL SERVING.

10 All meals must be served under the direct supervision of 11 staff (not applicable for Class IV municipal holding facilities).

12 2945.3450 HOT MEAL MINIMUM.

A minimum of one hot meal must be provided for each 24 hours of confinement (not applicable to Class III and Class IV municipal holding facilities).

16

SECURITY

17 2945.4700 SECURITY POLICIES AND PROCEDURES, GENERAL.

Subpart 1. Security policies and procedures (mandatory).
Each facility must have and adhere to written security policies
and procedures which provide for at a minimum the following:
A. the control and recovery of contraband;

B. the prohibition on firearms in prisoner areas; and
C. that all prisoners are personally observed by an
employee at least every 30 minutes, but on an irregular
schedule; more frequent observation is required for those
prisoners who are violent, suicidal, mentally disordered, or who
demonstrate unusual or bizarre behavior.

Subp. 2. Additional security policies and procedures. Written security policies and procedures must also include the following:

A. visitor and visit control;
B. search and shakedown schedules and procedures;
C. escort of prisoners outside security areas;

06/10/92 [REVISOR] RPK/KK AR1996 1 D. escape prevention and action plans; 2 tool, medication, key, and weapon control Ε. 3 procedures; 4 F. count procedure; 5 classification of prisoners; and G. 6 H. riot prevention and control procedures. 7 This subpart is not applicable to Class III and IV municipal holding facilities. 8 9 2945.4710 ADMISSIONS. Subpart 1. Admission policies. Admission policies and 10 11 procedures must include the following: 12 A. a thorough search of all admissions and prisoners on release status returning to the facility; 13 showering and delousing facilities (Class III and 14 в. IV municipal holding facilities must have some type of 15 facilities for prisoners to wash up if showers are not 16 17 available); an assessment of health status; 18 c. D. security classification (not applicable to Class 19 III and IV municipal holding facilities); 20 inventory of prisoner's property; 21 Ε. 22 F. fingerprinting and photographing, if appropriate; 23 and G. completion of admission form. 24 25 Subp. 2. Identification (mandatory). No prisoners may be received or released by the staff of a facility until the 26 arresting or escorting officer has produced proper credentials 27 or until the proper documents have been completed, identifying 28 29 the purpose for detention or release. Subp. 3. Privacy. All intake procedures must be conducted 30 in a manner and location that assures the personal privacy of 31 the prisoner and the confidentiality of the transaction. 32 33 2945.4720 RELEASES. Subpart 1. Return of prisoner property. Upon release of a 34 prisoner, the property of that prisoner, unless held for 35

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authorized investigation or litigation, must be returned with a
 receipt for the prisoner to sign or for the transporting officer
 to sign.

4 Subp. 2. Transportation. Prisoners must be permitted to 5 make arrangements for transportation before release.

6 Subp. 3. No release in intemperate weather. No prisoner 7 may be released in intemperate weather without proper clothing 8 to ensure the prisoner's health and comfort.

9 2945.4730 SEARCHES AND SHAKEDOWNS.

10 Subpart 1. Visitor searches. Searches of visitors and 11 their personal belongings brought into the facility must be done 12 in accordance with the facility's written policy and legal 13 procedures.

14 Subp. 2. Regular inspection of facility. The facility 15 must be regularly inspected for contraband, evidence of breaches 16 in security, and inoperable security equipment. Facility 17 inspections must be documented.

18 Subp. 3. Inspection of materials. All materials delivered 19 to or transported from the facility must be inspected for 20 contraband before distribution and the inspection shall be 21 documented.

22 2945.4740 LOCKS AND KEYS.

23 Subpart 1. General (mandatory). All keys to security locks must be properly tagged and stored in a secure cabinet 24 within a secure area, and out of reach of the prisoners or the 25 public. At least one complete set of facility keys must be kept 26 on hand for replacement purposes. Keys that serve a critical 27 security purpose must be easily identifiable and never issued to 28 facility staff except upon order of the facility administrator 29 or person in charge, and in accordance with established 30 procedure. No security keys may be made available to prisoners 31 regardless of status. All electronic locking systems must have 32 a manual override. 33

34 Subp. 2. Regular inspection. Locks to security doors or 35 gates must be inspected regularly by facility staff to ensure

1 efficient operation.

2 Subp. 3. Inoperable locks (mandatory). No lock to a 3 security door or gate may be permitted to be inoperable or left 4 in an unsuitable condition. No prisoner shall be placed in a 5 cell or area that has inoperable locks.

6 2945.4750 DANGEROUS MATERIALS (MANDATORY).

7 Materials dangerous to either security or safety shall be 8 properly secured.

9 2945.4760 COUNT PROCEDURE.

Each facility must have a written policy describing the system of counting prisoners. Formal counts must be completed with an official entry made in the daily log after each mass movement and at least once each eight hours.

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ENVIRONMENTAL-PERSONAL HEALTH AND SANITATION 2945.5400 AVAILABILITY OF MEDICAL AND DENTAL RESOURCES

16 (MANDATORY).

17 Subpart 1. Availability of resources. Each facility must 18 have a licensed physician or medical resource such as a hospital 19 or clinic designated for the medical supervision and treatment 20 of prisoners. Resources must insure service 24 hours a day.

Subp. 2. Emergency dental care. Each facility must have emergency dental care available to prisoners.

23 Subp. 3. Ambulance service. Ambulance services must be 24 available 24 hours a day.

Subp. 4. Examination of prisoner where medical attention is necessary. A prisoner must be examined by trained medical personnel if the prisoner is visibly ill, chronically ill, or when it is suspected that medical attention is necessary.

29 2945.5410 POSTING OF AVAILABLE RESOURCES (MANDATORY).

A listing of telephone numbers of the medical, dental, and ambulance services available must be posted at each staff station along with the schedule of availability.

33 2945.5420 HOSPITALIZATION OF A PRISONER.

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1 Subpart 1. Agreement between facility and hospital. Each 2 facility must have an agreement with a hospital in the same or 3 nearby community permitting admission of a prisoner on the 4 recommendation of the attending physician.

5 Subp. 2. Guarding of prisoner. When a prisoner requires 6 hospitalization, the prisoner must be guarded 24 hours a day 7 unless the prisoner is not in need of supervision or the 8 prisoner is medically incapacitated in the opinion of the 9 attending physician.

10 2945.5430 FIRST AID.

Subpart 1. Training of personnel (mandatory). Custody personnel responsible for the supervision, safety, and well-being of prisoners must be trained in emergency first aid. Subp. 2. First aid kit. Facilities must have a minimum of one first aid kit located at the facility's control center or primary staff station.

Subp. 3. Records of illness and injury. A facility must
record all complaints of illness or injury and actions taken.
Subp. 4. Medical or dental records (mandatory). Records
must be maintained on prisoners under medical or dental care.
The records must include at a minimum:

A. the limitations and disabilities of the prisoner;
B. instructions for prisoner care;
C. orders for medication, including stop date;
D. any special treatment or diet;

26 E. activity restriction; and

F. times and dates when the prisoner was seen bymedical personnel.

29 2945.5440 PREVENTIVE HEALTH SERVICES.

30 Subpart 1. Written plan for personal hygiene. The 31 facility administrator shall develop and implement a written 32 plan for personal hygiene practices of all prisoners with 33 special assistance for those prisoners who are unable to care 34 for themselves (not applicable for Class III and Class IV 35 municipal holding facilities).

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Subp. 2. Delousing materials. Delousing materials and
 procedures must be approved through consultation with trained
 medical personnel.

Subp. 3. Bathing. A prisoner must be permitted daily
washing (not applicable to Class IV municipal holding
facilities).

Subp. 4. Indigent prisoners (mandatory). Indigent
prisoners shall receive reasonable personal hygiene items upon
request.

10 2945.5450 DELIVERY, SUPERVISION, AND CONTROL OF MEDICINE.

Subpart 1. Legend drugs (mandatory). Facility policy
 shall prohibit stock supplies of legend drugs.

13 Subp. 2. Delivery by unlicensed staff. The delivery of 14 legend drugs by unlicensed staff must be under the direction of 15 a consulting physician.

16 Subp. 3. Policy for storage, delivery, and control of 17 medicine. A facility administrator, in consultation with a 18 licensed physician or physician trained paramedic, shall develop 19 procedures for the secure storage of medicine. The policy must 20 include at a minimum the following storage requirements:

A. medicine be stored in a locked area;
B. the storage area be kept locked at all times;
C. medicine requiring refrigeration be refrigerated
and secured;

D. prisoners not be permitted in the medicine storagearea;

27 E. only staff authorized to deliver medicine may have 28 access to keys for the medicine storage area;

F. prescribed medicine be kept in its originalcontainer, bearing the original label; and

31 G. poisons and medicine intended for external use be 32 clearly marked and stored separately from medicine intended for 33 internal use.

34 Subp. 4. Procedures for delivery of medicine. Each 35 facility must adopt procedures for the delivery of medicine.

1 The procedures must include the following Α. 2 (mandatory): 3 (1) Requirements that medicine administered by 4 injection must be administered by a physician, registered nurse, or licensed practical nurse. Diabetics under physician order 5 and direct staff supervision must be permitted to 6 self-administer insulin. 7 8 (2) Requirements that no prisoner while receiving legend drugs may receive any nonlegend drug without the approval 9 of the attending physician. 10 11 (3) Procedures for reporting to the physician any 12 adverse reactions to drugs. Any reports shall be included in the prisoner's file. 13 (4) Procedures for reporting the attending 14 physician a prisoner's refusal of prescribed medicine, and an 15 explanation made in the prisoner's record. 16 17 (5) Procedures for ensuring that no prisoner is deprived of medicine as prescribed because of penalty or staff 18 retaliation. 19 20 (6) Procedures that prohibit the delivery of medicine by prisoners. 21 (7) Procedures requiring that a physician be 22 23 contacted for instructions before the next prescribed medicine dosage time for all newly admitted prisoners who are either in 24 possession of prescribed medicine or indicate a need for 25 prescribed medicine. 26 Procedures must also include at a minimum the 27 в. 28 following: (1) medicine delivered to a prisoner is to be 29 self-administered under staff supervision; 30 (2) there is to be a means for the positive 31 identification of the recipient of medicine; 32 (3) procedures and records to assure that 33 medicine is delivered in accordance with physician instructions, 34 35 and by whom; and (4) procedures for confirming that medicine 36

1 delivered for oral ingestion has been ingested.

2 Subp. 5. Records of receipt; disposition of drugs. 3 Records of receipt, the quantity of the drugs, and the 4 disposition of legend drugs must be maintained in sufficient 5 detail to enable an accurate accounting.

Subp. 6. Medicine given to prisoner upon release.
Prescription medicine belonging to a prisoner must be given to
the prisoner or to the appropriate authority upon transfer or
release and shall be recorded in the prisoner's file.

10 Subp. 7. Destruction of unused prescribed medicine. 11 Unused prescribed medicine should be destroyed by incineration 12 or by flushing into the sewer system. A notation of the 13 destruction made in the prisoner's record and a statement of 14 what was destroyed, who destroyed it, and how it was destroyed.

15 2945.5460 REPORTING SUSPECTED COMMUNICABLE DISEASE (MANDATORY).
16 It is the responsibility of the facility administrator or
17 person in charge in consultation with the attending physician to
18 report to the Minnesota Department of Health any known or
19 suspected communicable disease.

20 2945.5470 SEPARATION OF PRISONERS SUSPECTED OF HAVING A 21 COMMUNICABLE DISEASE (MANDATORY).

22 Prisoners suspected of having a communicable disease must23 be separated from other prisoners.

24 2945.5480 MENTALLY ILL PRISONERS.

A policy must be developed for the management of mentally ill prisoners and include a procedure for managing prisoners who are suspected of being mentally ill and considered to be a danger to self or others.

29 2945.5490 HOUSEKEEPING, SANITATION, AND PLANT MAINTENANCE.

30 Subpart 1. General. The facility must be kept in good 31 repair to protect the health, comfort, safety, and well-being of 32 prisoners and staff.

33 Subp. 2. Maintenance plan. The person responsible for 34 plant maintenance, housekeeping, and sanitation must develop a

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written maintenance plan.

2 Subp. 3. Compliance with rules (mandatory). Housekeeping, 3 sanitation, water supplies, plumbing, sewage disposal, solid waste disposal, and plant maintenance conditions must comply 4 with rules required by the Minnesota State Building Code, the 5 6 Minnesota Fire Marshal's Office, the Minnesota Department of Health, the Minnesota Department of Labor and Industry 7 8 (O.S.H.A.), and other departmental rules having the force of law. 9 Subp. 4. Plan for daily inspection. The facility administrator must establish a plan for the daily inspection of 10 housekeeping, sanitation, and plant maintenance when the 11

12 facility is occupied.

13 Subp. 5. Policies and procedures to detect deterioration 14 of building and equipment. The facility administrator must 15 develop policies and procedures designed to detect building and 16 equipment deterioration, safety hazards, and unsanitary 17 conditions.

18 Subp. 6. Reporting of unsanitary and unsafe conditions 19 (mandatory). Facility staff must report to the facility 20 administrator any unsanitary and unsafe conditions as well as 21 physical plant and equipment repairs or replacement needs. 22 Subp. 7. Priority of work requests. The facility must

23 have a process for prioritizing work requests and reporting to 24 the governing body in an expedient manner.

25 Subp. 8. Records of repair. The facility must have a 26 records system for review of budget and work requests, 27 expenditures, dates and actions pursuant to detection of need, 28 submission of work orders, and completion of requests.

29 Subp. 9. Elimination of conditions conducive to vermin 30 (mandatory). A condition in the facility conducive to harborage 31 or breeding of insects, rodents, or other vermin must be 32 eliminated immediately.

33 Subp. 10. Fire inspection (mandatory). Fire inspections 34 of the facility must be conducted on an annual basis by a state 35 fire marshal or local fire official. Documentation of the 36 inspection and any resulting orders must be maintained and

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available for inspection by the regulatory authority. Failure
 to comply with fire safety requirements will result in a denial
 of approval to continue facility operations.