

1 Department of Human Services

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3 Adopted Permanent Rules Relating to Prior Authorization under  
4 Medical Assistance and General Assistance Medical Care

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6 Rules as Adopted

7 9505.5010 PRIOR AUTHORIZATION REQUIREMENT.

8 Subpart 1. Provider requirements. Except as provided in  
9 part 9505.5015, a provider shall obtain prior authorization as a  
10 condition of reimbursement under the medical assistance and  
11 general assistance medical care programs for health services  
12 designated under parts 9505.0170 to 9505.0475 and 9505.5025; and  
13 Minnesota Statutes, section 256B.0625, subdivision 25. Prior  
14 authorization shall assure the provider reimbursement for the  
15 approved health service only if the service is given during a  
16 time the person is a recipient and the provider meets all  
17 requirements of the medical assistance or general assistance  
18 medical care programs.

19 [For text of subps 2 to 4, see M.R.]

20 9505.5015 AFTER THE FACT AUTHORIZATION.

21 Subpart 1. Exceptions. As provided in subparts 2 to 4,  
22 medical assistance or general assistance medical care programs  
23 reimbursement shall be given for a health service for which the  
24 required authorization was requested after the health service  
25 was delivered to the recipient. The provider of the health  
26 service shall submit the request on form DHS-1856 or DHS-1855 as  
27 required in part 9505.5010, subpart 3, and shall submit  
28 materials, reports, progress notes, admission histories, or  
29 other information that substantiates that the service was  
30 necessary to treat the recipient.

31 Subp. 2. Emergencies. A health service requiring prior  
32 authorization shall retroactively receive authorization in an  
33 emergency if the provider submits the request for authorization  
34 after providing the initial service and the provider documents  
35 the emergency. Billing for emergency services must comply with

1 part 9505.0450.

2 Subp. 3. **Retroactive eligibility.** When the health service  
3 was provided on or after the date on which the recipient's  
4 eligibility began, but before the date the case was opened, a  
5 health service requiring prior authorization shall be authorized  
6 retroactively if the health service meets the criteria in part  
7 9505.5030, and if an authorization request is submitted to the  
8 department within 180 days of the date the case was opened.

9 Subp. 4. **Third party liability.** A provider of a health  
10 service originally billed to Medicare or a third-party payer as  
11 defined in part 9505.0015, subpart 46, for which Medicare or the  
12 third-party payer denied payment or made a partial payment may  
13 retroactively submit a request for authorization if the provider  
14 wants to receive payment of the difference between the medical  
15 assistance or general assistance medical care payment rate for  
16 the service and the payment by the third-party payer. The  
17 service is eligible for medical assistance or general assistance  
18 medical care reimbursement if it meets the criteria in part  
19 9505.5030 and if the authorization request is submitted to the  
20 department along with a copy of the notice explaining the denial  
21 or partial payment within 180 days of the date of the notice.

22 Subp. 5. **Authorization of dental prostheses.** A dental  
23 services provider who wants to obtain after the fact  
24 authorization of a removable dental prosthesis for which  
25 authorization is required under part 9505.0270, subpart 4, must  
26 submit the request on form DHS-1856 before submitting an invoice  
27 for the removable prosthesis. To obtain after the fact  
28 authorization, the removable prosthesis must meet a criterion  
29 specified in part 9505.0270, subpart 4, items A to C.

30 Subp. 6. **Authorization of medical supplies or equipment**  
31 **for recipient being discharged from hospital or long-term care**  
32 **facility.** Medical supplies or equipment requiring prior  
33 authorization under part 9505.0310, subpart 3, or Minnesota  
34 Statutes, section 256B.0625, subdivision 25, shall receive after  
35 the fact authorization in the case of a recipient being  
36 discharged from a hospital or long-term care facility if:

1           A. the provider submits a request for authorization  
2 after providing the initial service;

3           B. the provider documents the date of the recipient's  
4 discharge from the long-term care facility or hospital;

5           C. the recipient's discharge plan specifies the  
6 medical supplies or equipment as medically necessary and  
7 appropriate for the recipient's home care; and

8           D. the medical supplies and equipment are eligible  
9 for medical assistance payment under part 9505.0310.