

1 Minnesota Racing Commission

2

3 Adopted Permanent Rules Relating to Pari-mutuel Horse Racing

4

5 Rules as Adopted

6 7870.0400 DEADLINES FOR SUBMISSION OF CLASS A AND B LICENSE

7 APPLICATIONS.

8 Deadlines for submission of a Class A or B license

9 application are as follows:

10 A. Applications for a Class A license to own and a  
11 Class B license to operate a racetrack in the seven-county  
12 metropolitan area may be submitted at any time by filing the  
13 application with the commission at its administrative offices.

14 B. Applications for Class A licenses to own and Class  
15 B licenses to operate racetracks outside the seven-county  
16 metropolitan area may be submitted at any time. If the  
17 commission determines that applications will be submitted for  
18 Class A licenses to own and class B licenses to operate  
19 racetracks outside the seven-county metropolitan area which will  
20 compete significantly with each other, the commission must  
21 establish a deadline for submission of applications.

22 C. Notwithstanding items A and B, applications for  
23 Class B licenses must be submitted at least 60 days before the  
24 date on which the applicant proposes to commence horse races.

25 7871.0010 APPLICATION FOR PARI-MUTUEL POOLS.

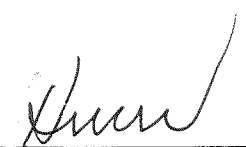
26 [For text of subpart 1, see M.R.]

27 Subp. 2. **Disposition of requests.** The commission must act  
28 on a request for approval of pari-mutuel pools under the  
29 following procedures:

30 [For text of items A to D, see M.R.]

31 E. The commission shall approve, deny, or give its  
32 qualified approval to an application for pari-mutuel pools not  
33 sooner than 25 nor later than 45 days after filing of the  
34 application.

35 [For text of item F, see M.R.]



1 [For text of subps 3 and 4, see M.R.]

2 7872.0100 APPLICATION FOR RACING DAYS.

3 [For text of subpart 1, see M.R.]

4 Subp. 2. **Disposition of racing days requests.** The  
5 commission must act on a request for assignment of racing days  
6 pursuant to the following procedures:

7 [For text of item A, see M.R.]

8 B. The commission shall conduct a public hearing on  
9 the request no sooner than 25 nor later than 45 days after an  
10 application is filed. The commission shall issue a press  
11 release no later than five days after an application is filed  
12 announcing the filing and the time and place of the public  
13 hearing.

14 [For text of items C to F, see M.R.]

15 [For text of subps 3 to 7, see M.R.]

16 7873.0100 APPLICATION FOR PARI-MUTUEL POOLS.

17 [For text of subpart 1, see M.R.]

18 Subp. 2. **Disposition of requests.** The commission must act  
19 on a request for approval of pari-mutuel pools pursuant to the  
20 following procedures:

21 [For text of items A to D, see M.R.]

22 E. The commission shall approve, deny, or give its  
23 qualified approval to an application for pari-mutuel pools not  
24 sooner than 25 nor later than 45 days after filing of the  
25 application.

26 [For text of item F, see M.R.]

27 [For text of subp 3, see M.R.]

28 7873.0110 APPROVAL OF PARI-MUTUEL POOLS.

29 [For text of subps 1 and 2, see M.R.]

30 Subp. 3. **Live racing days; director of pari-mutuel racing**  
31 **authority.** The director of pari-mutuel racing is delegated the  
32 authority to approve variation and changes in the pari-mutuel  
33 pools previously approved by the commission, placement of pools  
34 in the program, and simulcasts requested by the licensee that

1 meet the criteria in subpart 2. The director of pari-mutuel  
 2 racing is delegated the authority to approve changes in the  
 3 placement of pari-mutuel pools in the program, the addition of  
 4 approved pari-mutuel pools to the program, and changes in  
 5 simulcasting requests by the licensee that meet the criteria in  
 6 subpart 2. Before approving a change in the pick six, pick  
 7 seven, twin trifecta, and super-tri wagering pools, the director  
 8 must consult with the commission's executive committee.

9 7873.0185 TRIFECTA.

10 [For text of subps 1 to 6, see M.R.]

11 Subp. 7. **Restrictions on trifecta races.** The restrictions  
 12 in items A to C apply to trifecta races.

13 A. Coupled or uncoupled entries and mutuel fields are  
 14 prohibited from starting in trifecta races.

15 B. There shall be no trifecta wagering on handicap  
 16 races.

17 C. If fewer than eight horses are declared starters,  
 18 trifecta wagering shall be canceled and all trifecta wagers  
 19 shall be refunded. If time permits, the association may  
 20 schedule exacta wagering in place of trifecta wagering.

21 [For text of subp 8, see M.R.]

22 7873.0186 TWIN TRIFECTA.

23 [For text of subps 1 and 2, see M.R.]

24 Subp. 3. **Procedures.** The twin trifecta pools shall be  
 25 calculated according to one of the two following methods as  
 26 approved by the commission.

27 A. (1) Each bettor purchasing twin trifecta tickets  
 28 shall designate selections as the first three horses to finish  
 29 in exact order in the first race of the two designated races.

30 (2) After wagering closes for the first race of  
 31 the twin trifecta, the net pool will be divided with 25 percent  
 32 of the net pool going to the first race of the twin trifecta and  
 33 75 percent of the net pool going to the second race of the twin  
 34 trifecta.

35 (3) After the official declaration of the first

1 race of the twin trifecta, 25 percent of the net pool will be  
2 distributed to the holders of the twin trifecta tickets  
3 selecting the first three horses in exact order, on the first  
4 designated twin trifecta race. Further, each bettor holding a  
5 ticket correctly selecting the first three horses in the exact  
6 order of finish must, before the running of the second twin  
7 trifecta race, exchange the winning tickets for both the  
8 monetary value as calculated by the totalisator equipment and  
9 twin trifecta exchange tickets and at such time shall select  
10 three horses to finish in the second race of the twin trifecta  
11 in the exact order as officially posted. No further money shall  
12 be required of the holders of the winning tickets in order to  
13 make the exchange.

14 (4) After the official declaration of the second  
15 race of the twin trifecta, the remaining 75 percent of the net  
16 pool will be distributed to the holders of the second half twin  
17 trifecta tickets selecting the first three horses in exact  
18 order, on the second designated twin trifecta race.

19 (5) If a winning twin trifecta ticket from the  
20 first race is not presented for cashing and exchange within the  
21 time provided, the bettor may still collect the monetary value  
22 of the ticket, but forfeits all rights to any distribution of  
23 the second race net pool of the twin trifecta.

24 B. (1) Each bettor purchasing twin trifecta tickets  
25 shall designate selections as the first three horses to finish  
26 in exact order in the first race of the two designated races.

27 (2) After wagering closes for the first race of  
28 the twin trifecta, the net pool will be divided with 50 percent  
29 of the net pool going to the first race of the twin trifecta and  
30 50 percent of the net pool going to the second race of the twin  
31 trifecta.

32 (3) After the official declaration of the first  
33 race of the twin trifecta, 50 percent of the net pool will be  
34 distributed to the holders of the twin trifecta tickets  
35 selecting the first three horses in exact order, on the first  
36 designated twin trifecta race. Further, each bettor holding a

1 ticket correctly selecting the first three horses in the exact  
2 order of finish must, before the running of the second twin  
3 trifecta race, exchange the winning tickets for both the  
4 monetary value as calculated by the totalisator equipment and  
5 twin trifecta exchange tickets and at such time shall select  
6 three horses to finish in the second race of the twin trifecta  
7 in the exact order as officially posted. No further money shall  
8 be required of the holders of the winning tickets in order to  
9 make the exchange.

10 (4) After the official declaration of the second  
11 race of the twin trifecta, the remaining 50 percent of the net  
12 pool will be distributed to the holders of the second half twin  
13 trifecta tickets selecting the first three horses in exact  
14 order, on the second designated twin trifecta race.

15 (5) If a winning twin trifecta ticket from the  
16 first race is not presented for cashing and exchange within the  
17 time provided, the bettor may still collect the monetary value  
18 of the ticket, but forfeits all rights to any distribution of  
19 the second race net pool of the twin trifecta.

20 [For text of subps 4 to 8, see M.R.]

21 Subp. 9. Restrictions on twin trifecta races. The  
22 restrictions in items A to C apply to twin trifecta races.

23 A. Coupled or uncoupled entries and mutuel fields are  
24 prohibited from starting in twin trifecta races.

25 B. There shall be no twin trifecta wagering on  
26 handicap races.

27 C. If fewer than eight horses are declared starters  
28 for the first race of the twin trifecta, twin trifecta wagering  
29 shall be canceled for that day and all twin trifecta wagers  
30 shall be refunded. However, any accumulated second race twin  
31 trifecta carryover will not be affected and will carry over to  
32 the next consecutive race day. In the event this occurs on the  
33 final program, the accumulated net pool of the second twin  
34 trifecta race will be deposited in a trust account by the  
35 association, and the pool, as well as all accrued interest,  
36 shall be carried over and included in the second race twin

1 trifecta net pool for the next consecutive racing date as an  
2 additional net amount to be distributed.

3       If fewer than eight horses are declared starters for the  
4 second race of the twin trifecta, all holders of exchange  
5 tickets to the second race of the twin trifecta will share  
6 equally in that part of the second race net pool added in that  
7 day. The amount carried over from previous days will not be  
8 distributed and will be carried over to the next consecutive  
9 race day. In the event this occurs on the final program, the  
10 accumulated net pool of the second twin trifecta race will be  
11 deposited in a trust account by the association, and the pool,  
12 as well as all accrued interest, shall be carried over and  
13 included in the second race twin trifecta net pool for the next  
14 consecutive racing date as an additional net amount to be  
15 distributed.

16                   [For text of subp 10, see M.R.]

17 7873.0190 PICK SIX.

18                   [For text of subps 1 to 5, see M.R.]

19       Subp. 6. **Calculation of pool.** The pick six pari-mutuel  
20 pool shall be calculated according to one of the two following  
21 methods as approved by the commission:

22                   [For text of items A to C, see M.R.]

23       D. With the permission of the commission before the  
24 start of racing, a licensee may declare a mandatory payout on  
25 the next consecutive race day after the pick six carryover pool  
26 has reached a previously approved amount. Should no  
27 distribution be made pursuant to item A or B, then the entire  
28 distributable pool and all money accumulated in the pool shall  
29 be distributed to the holders of the tickets correctly  
30 designating the most winning selections of the six races  
31 comprising the pick six for that day. If, for any reason, the  
32 day of racing is canceled, or the pick six pool has not been  
33 distributed, the pool shall be carried over and included in the  
34 pick six pari-mutuel pool for the next succeeding racing day as  
35 an additional net amount to be distributed.

1 [For text of subps 7 to 10, see M.R.]

2 Subp. 11. **Additional money added.** With the approval of  
3 the commission, the association may guarantee a minimum payout  
4 in the pick six. If the guaranteed payout exceeds the amount  
5 available for distribution from the amounts wagered, the  
6 association shall provide the difference by paying the holders  
7 of any winning ticket correctly designating the official winner  
8 in each of the six races comprising the pick six with its own  
9 funds.

10 7873.0192 SUPER-TRI WAGERING AND POOLS.

11 Subpart 1. **Scope.** The super-tri is a form of pari-mutuel  
12 wagering in which the bettor selects three runners that will  
13 finish first, second, and third in one designated race, then  
14 select the correct order of finish, first, second, third, and  
15 fourth in another designated race. All super-tri wagers will be  
16 calculated in an entirely separate pool, with no relation to any  
17 other pool.

18 Subp. 2. **Price of tickets.** Super-tri tickets shall be  
19 sold in not less than \$2 denominations.

20 Subp. 3. **Procedures.** The procedures in items A to E apply  
21 to super-tri races.

22 A. Each bettor purchasing super-tri tickets shall  
23 designate selections as the first three runners to finish in  
24 exact order in the first race of the designated two races.

25 B. After the wagering closes on the first half of the  
26 super-tri, the net pool will then be divided into two separate  
27 pools of equal amounts.

28 C. The money in the first part of the pool will be  
29 distributed to the holders of the trifecta portion selecting the  
30 first three runners in order on the first designated super-tri  
31 race according to the established pari-mutuel practice.

32 After the official declaration of the first three runners  
33 to finish in the first race of the super-tri, each bettor  
34 holding a winning ticket with the exact order of finish must,  
35 prior to the running of the second super-tri race, exchange the

1 winning ticket for both the monetary value established by the  
2 mutuels department and super-tri exchange tickets and at that  
3 time shall select the four runners to finish in the second race  
4 of the super-tri in the exact order of finish as officially  
5 posted. No further money shall be requested of the holders of  
6 the winning ticket in order to make the exchange.

7 In the first part of the super-tri, if no ticket is sold on  
8 a winning combination, selecting the first three finishers in  
9 exact order, there will be no exchange, only the consolation  
10 payoff, as described in subpart 4, with the exception of a  
11 mandatory payout according to subpart 7. Then an exchange will  
12 be given.

13 D. The second equal part of the divided pool will be  
14 distributed to holders of second half super-tri tickets  
15 selecting the first four runners in exact order of the second  
16 designated super-tri race.

17 E. No super-tri exchange for the second race shall be  
18 issued except upon surrender of the super-tri tickets from the  
19 first race as described in this subpart.

20 If a winning super-tri ticket from the first race is not  
21 presented for cashing and exchanging within the time provided,  
22 the bettor may still collect the monetary value attached to the  
23 ticket but forfeits all rights to any distribution of the second  
24 race super-tri pool.

25 Subp. 4. **Failure to select winning combination.** Items A  
26 to E govern payoffs in races where the winning combination has  
27 not been selected.

28 A. In the first race of the super-tri only, if no  
29 ticket is sold on a winning combination of a super-tri pool, the  
30 net pool shall be apportioned equally between those having  
31 tickets selecting the first and second place runners in exact  
32 order with all other horses. If no ticket is sold selecting the  
33 first and second runners in the super-tri pool, the net pool  
34 shall then be apportioned equally between those having tickets  
35 selecting the runner or runners that finish first and third,  
36 with all other horses finishing second in the super-tri race.



1 Should no selection be made as above mentioned, the net pool  
2 shall be apportioned equally between those having selected the  
3 runner finishing second with the runner finishing third, with  
4 all other horses to win.

5 If no ticket is sold correctly selecting the second and  
6 third horse, the net pool shall be paid equally on those  
7 super-tri tickets selecting the horse that finished first, with  
8 all other horses finishing second and third.

9 B. Should no selection be made as described in this  
10 subpart, then a complete refund of the super-tri pool will be  
11 made and the super-tri will be canceled for that performance.

12 C. If less than three horses finish the first  
13 super-tri race, payoff shall be made equally on all tickets  
14 correctly selecting the actual finishing horses in order,  
15 ignoring the balance of the selection. In this event, there  
16 will be no exchange for the second race of the super-tri and the  
17 second race net pool will automatically carry over to the next  
18 consecutive race day.

19 D. If no ticket is sold that would require  
20 distribution of the net super-tri pool as described in item C, a  
21 full refund of the super-tri pool for that race shall be made.

22 If a full refund is required, any previous day's carryover  
23 will not be included and will carry over to the next consecutive  
24 race day.

25 E. In the event there is no super-tri ticket issued  
26 accurately selecting the officially declared first four  
27 finishers of the second super-tri race, in the exact order, the  
28 second race pool as divided earlier shall be held for the next  
29 consecutive racing day or night and combined with that program's  
30 second race super-tri net pool. Distribution of this cumulative  
31 second race super-tri net pool will be made only upon accurate  
32 selection, in exact order of the first four officially declared  
33 finishers of the second super-tri race.

34 Subp. 5. **Scratches.**

35 A. If fewer than eight horses are declared starters  
36 for the first race of the super-tri, super-tri wagering shall be

1 canceled for that day and all super-tri wagers shall be  
2 refunded. However, any accumulated second race super-tri  
3 carryover will not be affected and will carry over to the next  
4 consecutive race day.

5 If fewer than eight horses are declared starters for the  
6 second race of the super-tri, all holders of exchange tickets to  
7 the second race of the super-tri will share equally in that part  
8 of the second race net pool added in that day. The amount  
9 carried over from previous days will not be distributed and will  
10 be carried over to the next consecutive race day.

11 B. If a runner is scratched in the first race of the  
12 super-tri prior to the running of the first half of the  
13 super-tri, then all super-tri tickets on the scratched runner  
14 will be refunded. A public address announcement will be made  
15 and a reasonable time will be given to make another selection.

16 If after the running of the first half of the super-tri, a  
17 runner is scratched in the second race of the super-tri, then  
18 all exchange tickets involving the scratched horse will be  
19 exchanged. A public address announcement will be made and a  
20 reasonable time will be given to make another selection.

21 C. For the second race of the super-tri only, all  
22 horses will be declared starters once the starting gate has  
23 opened. In this instance the prevention to start rule will not  
24 apply.

25 Subp. 6. **Dead heats.** In the event of a dead heat in  
26 either the first or second race of the super-tri races, all  
27 super-tri tickets with the correct order of finish counting a  
28 runner in a dead heat as finishing in any position dead-heated  
29 shall be a winning ticket and, the winning combinations shall be  
30 paid proportionately from the net super-tri pool.

31 Subp. 7. **Mandatory payout.** On the final program of any  
32 official race meeting, the entire accumulated second race  
33 super-tri net pool must be distributed.

34 A. With approval of the racing commission before the  
35 start of racing, the association may declare a mandatory payout  
36 on the accumulated second race super-tri pool on the next

1 consecutive race day after the super-tri carryover pool has  
2 reached a previously approved amount. If there is a limit  
3 placed on the super-tri pool and there is no super-tri ticket  
4 issued selecting in the exact order of finish the officially  
5 declared first four finishers of the second super-tri race, the  
6 second race pool shall be apportioned according to the mandatory  
7 distribution rules in items C to G.

8           B. In the first half of the super-tri, if no ticket  
9 is sold on a winning combination of a super-tri pool, an  
10 exchange will be given along with the consolation payoff  
11 according to subpart 4, items A to D.

12           C. In the second half of the super-tri, if no ticket  
13 is sold on a winning combination, the net pool shall be  
14 apportioned equally between those having tickets selecting the  
15 first, second, and third runners in exact order with all others.

16           D. In the second half of the super-tri, if no ticket  
17 is sold selecting the first, second, and third runners in exact  
18 order, the net pool shall be apportioned equally between those  
19 having tickets selecting the first and second place runners in  
20 exact order with all others.

21           E. In the second half of the super-tri, if no ticket  
22 is sold selecting the first and second place runners, the net  
23 pool shall then be apportioned equally between those having  
24 tickets selecting the runner or runners that finish first with  
25 all others.

26           F. Should no selection be made as described in this  
27 subpart, the net pool shall be apportioned equally between those  
28 having selected the runner finishing second combined with the  
29 runner finishing third and combined with the runner finishing  
30 fourth in exact order with all others to win.

31           G. However, should no selection be made as provided  
32 for in items A to F, then the second part of that program's  
33 divided pool and any carryover will be evenly distributed to all  
34 holders of second race super-tri exchange tickets.

35           Subp. 8. Cancellation of one or both super-tri races.

36           A. If, for any reason, the first race of the

1 super-tri is canceled, a full refund of all super-tri tickets  
2 will be made.

3           B. If, for any reason, the second race of the  
4 super-tri is canceled, not declared official, or less than three  
5 horses finish the race, the winning ticket holders on the first  
6 race of the super-tri will be entitled to equal distribution of  
7 the amount of the current program's net pool for the second half  
8 of the super-tri. The cumulative pool from previous programs  
9 shall not be distributed in this case and will be carried over  
10 to the next consecutive race day, unless the association has  
11 designated a mandatory payout pursuant to subpart 7.

12           Subp. 9. Restrictions on super-tri races.

13           A. Coupled or uncoupled entries and mutuel fields are  
14 prohibited from starting in super-tri races.

15           B. There shall be no super-tri wagering on handicap  
16 races.

17           C. If fewer than eight horses are declared starters  
18 for the first race of the super-tri, super-tri wagering shall be  
19 canceled for that day and all super-tri wagers shall be  
20 refunded. However, any accumulated second race super-tri  
21 carryover will not be affected and will carryover to the next  
22 consecutive race day, including mandatory distribution days. In  
23 the event this occurs on the final program, the accumulated net  
24 pool of the second race super-tri race will be deposited in a  
25 trust account by the association, and the pool, as well as all  
26 accrued interest, shall be carried over and included in the  
27 second race super-tri net pool for the next consecutive racing  
28 date as an additional net amount to be distributed.

29           If fewer than eight horses are declared starters for the  
30 second race of the super-tri, all holders of exchange tickets to  
31 the second race of the super-tri will share equally in that part  
32 of the second race net pool added on that day. The amount  
33 carried over from previous days will not be distributed and will  
34 be carried over to the next consecutive race day, including  
35 mandatory distribution days. In the event this occurs on the  
36 final program, the accumulated net pool of the second super-tri

1 race will be deposited in a trust account by the association,  
2 and the pool, as well as all accrued interest, shall be carried  
3 over and included in the second race super-tri net pool for the  
4 next consecutive racing date as an additional net amount to be  
5 distributed.

6 Subp. 10. **Displaying super-tri rules.** Super-tri rules  
7 shall be prominently displayed at each track conducting  
8 super-tri wagering. Printed copies of super-tri rules shall be  
9 distributed to patrons upon request.

10 7873.0195 DISTRIBUTION OF PICK SEVEN, PICK SIX, AND PICK THREE  
11 POOLS.

12 The commission may authorize the distribution or carry over  
13 of the pick seven pool according to part 7873.0198, subpart 6,  
14 the pick six pool according to part 7873.0190, subpart 6 and the  
15 pick three pool according to part 7873.0191, subpart 6 on each  
16 final day of racing of a breed and on the final day of mixed  
17 breed racing within a race meeting. In determining whether to  
18 distribute or carry over the pick seven, pick six and/or pick  
19 three pools, the commission must consider the type of breed  
20 racing, assignment of future racing days, and the best interest  
21 of racing.

22 In cases where a carry over would occur, the commission  
23 must designate what constitutes the next succeeding racing date  
24 to which the escrowed funds are to be carried over.

25 7873.0198 PICK SEVEN.

26 Subpart 1. **Scope.** The pick seven pari-mutuel pool is not  
27 a parlay and has no connection with or relation to any other  
28 pari-mutuel pool conducted by the association, nor to any win,  
29 place, and show pool shown on the totalisator, nor to the rules  
30 governing the distribution of such other pools.

31 Subp. 2. **Ticket is evidence of binding contract.** A pick  
32 seven pari-mutuel ticket shall be evidence of a binding contract  
33 between the holder of the ticket and the association and the  
34 ticket shall constitute an acceptance of the pick seven  
35 projections and rules.

1 Subp. 3. Pick seven may be given distinctive name. A pick  
2 seven may be given a distinctive name by the association  
3 conducting the race meeting, subject to prior approval by the  
4 commission.

5 Subp. 4. Pick seven pool. The pick seven pari-mutuel pool  
6 consists of amounts contributed for a selection for win only in  
7 each of seven races designated by the association with the  
8 approval of the commission. Each person purchasing a pick seven  
9 ticket shall designate the winning horse in each of the seven  
10 races comprising the pick seven.

11 Subp. 5. Coupled entries and fields. Those horses  
12 constituting an entry of coupled horses, or those horses coupled  
13 to constitute the mutuel field in a race comprising the pick  
14 seven, shall race as a single wagering interest for the purpose  
15 of the pick seven pari-mutuel calculations and payouts to the  
16 public. However, if any part of either an entry or the racing  
17 fields as a single wagering interest is a starter in a race, the  
18 entry or the field selection shall remain as the designated  
19 selection to win in that race for the pick seven calculation and  
20 the selection shall not be deemed a scratch.

21 Subp. 6. Calculation of pool. The pick seven pari-mutuel  
22 pool shall be calculated according to one of the two methods as  
23 approved by the commission:

24 A. (1) Seventy-five percent of the net amount in the  
25 pari-mutuel pool subject to distribution among winning ticket  
26 holders shall be distributed among the holders of pari-mutuel  
27 tickets which correctly designate the official winner in each of  
28 the seven races comprising the pick seven. Twenty-five percent  
29 of the net amount in the pari-mutuel pool subject to  
30 distribution among winning ticket holders shall be distributed  
31 among holders of pari-mutuel tickets which correctly designate  
32 the second greatest number of official winners of the seven  
33 races comprising the pick seven.

34 (2) In the event there is no pari-mutuel ticket  
35 properly issued which correctly designated the official winner  
36 in each of the seven races comprising the pick seven, 75 percent

1 of the pari-mutuel pool shall not be distributed but shall be  
2 retained by the association as distributable amounts and shall  
3 be carried over and included in the pick seven pari-mutuel pool  
4 for the next succeeding race date as an additional net amount to  
5 be distributed among the holders of pari-mutuel tickets which  
6 correctly designate the official winner in each of the seven  
7 races comprising the pick seven pool that day. The remaining 25  
8 percent shall be distributed among the holders of pick seven  
9 tickets which correctly designate the most official winners of  
10 the seven races comprising the pick seven.

11           B. (1) Fifty percent of the net amount in the  
12 pari-mutuel pool subject to distribution among winning ticket  
13 holders shall be distributed among the holders of pari-mutuel  
14 tickets which correctly designate the official winner in each of  
15 the seven races comprising the pick seven. Fifty percent of the  
16 net amount in the pari-mutuel pool subject to distribution among  
17 winning ticket holders shall be distributed among holders of  
18 pari-mutuel tickets which correctly designate the second  
19 greatest number of official winners of the seven races  
20 comprising the pick seven.

21           (2) In the event there is no pari-mutuel ticket  
22 properly issued which correctly designates the official winner  
23 of each of the seven races comprising the pick seven, 50 percent  
24 of the pari-mutuel pool shall not be distributed but shall be  
25 retained by the association as a distributable amount and shall  
26 be carried over and included in the pick seven pari-mutuel pool  
27 for the next succeeding racing date as an additional net amount  
28 to be distributed among the holders of pari-mutuel tickets which  
29 correctly designate the official winner in each of the seven  
30 races comprising the pick seven pool that day. The remaining 50  
31 percent shall be distributed among the holders of pick seven  
32 tickets which correctly designate the most official winners of  
33 the seven races comprising the pick seven.

34           The method of distribution shall be selected by the racing  
35 association and implemented after approval by the commission;  
36 provided that the method of distribution benefits the wagering

1 public and does not adversely affect the integrity of racing.

2           C. Should no distribution be made pursuant to item A  
3 or B on the last day of the association's meeting, then the  
4 entire distributable pool and all money accumulated in the pool  
5 shall be distributed to the holders of tickets correctly  
6 designating the most winning selections of the seven races  
7 comprising the pick seven for that day. If, for any reason the  
8 final day of racing is canceled or the pick seven pool has not  
9 been distributed, the pool shall be escrowed by the association,  
10 and the pool, as well as all accrued interest, shall be carried  
11 over and included in the pick seven pari-mutuel pool for the  
12 next succeeding racing date as an additional net amount to be  
13 distributed.

14           D. With prior approval of the commission, the  
15 association may declare a mandatory payout of the accumulated  
16 carryover pool at a stated amount. Should no distribution be  
17 made pursuant to item A or B, then the entire distributable pool  
18 and all money accumulated in the pool shall be distributed to  
19 the holders of the tickets correctly designating the most  
20 winning selections of the seven races comprising the pick seven  
21 for that day. If, for any reason, the day of racing is canceled  
22 or the pick seven pool has not been distributed, the pool shall  
23 be carried over and included in the pick seven pari-mutuel pool  
24 for the next succeeding racing day as an additional net amount  
25 to be distributed.

26           **Subp. 7. Actual favorite substituted for scratched horse.**  
27 In the event a pick seven pari-mutuel ticket designates a  
28 selection in any one or more of the races comprising the pick  
29 seven and that selection is scratched, excused, or determined by  
30 the stewards to be a nonstarter in the race, the actual  
31 favorite, as evidenced by the amounts wagered in the win pool at  
32 the time of the start of the race, will be substituted for the  
33 nonstarting selection for all purposes, including pool  
34 calculations and payoffs.

35           The association may refund pick seven tickets that include  
36 the scratched selection prior to the beginning of wagering for



1 the first race comprising the pick seven races.

2 Subp. 8. **Dead heats.** In the event of a dead heat for win  
3 between two or more horses in any pick seven race, all the  
4 horses in the dead heat for win shall be considered as winning  
5 horses in the race for the purpose of calculating the pool.

6 Subp. 9. **Cancellation of race comprising pick seven.** In  
7 the event one or more of the races comprising the pick seven is  
8 canceled for any reason, or in the event one or more of the  
9 races comprising the pick seven is declared as no contest by the  
10 stewards, 75 percent of the pari-mutuel pool shall not be  
11 distributed but shall be retained by the association as  
12 distributable amounts and shall be carried over and included in  
13 the pick seven pari-mutuel pool for the next succeeding racing  
14 date as an additional net amount to be distributed. The  
15 remaining 25 percent shall be distributed among the holders of  
16 pick seven tickets which correctly designate the most official  
17 winners of the remaining races comprising the pick seven. In  
18 the event of the cancellation of all seven races comprising the  
19 pick seven pool after wagering has been accepted on that day, a  
20 full and complete refund must be made of the pari-mutuel tickets  
21 sold on the pick seven on that day. One hundred percent of the  
22 remaining amount in the pick seven pari-mutuel pool shall be  
23 carried over and included in the pick seven pari-mutuel pool for  
24 the next succeeding racing date as an additional net amount to  
25 be distributed.

26 Subp. 10. **No pick seven ticket to be exchanged or**  
27 **canceled.** No pari-mutuel ticket for the pick seven pool shall  
28 be sold, exchanged, or canceled after the time of the close of  
29 wagering in the first of the seven races comprising the pick  
30 seven, except for the refunds on pick seven tickets as required  
31 by this part, and no person shall disclose the number of tickets  
32 sold in the pick seven pool or the number or amount of tickets  
33 selecting winners of pick seven races until such time as the  
34 stewards have declared "official" the last race comprising the  
35 pick seven.

36 Subp. 11. **Additional money added.** With the approval of

1 the commission, the association may guarantee a minimum payout  
2 in the pick seven. If the guaranteed payout exceeds the amount  
3 available for distribution from the amounts wagered, the  
4 association shall provide the difference by paying the holders  
5 of any winning ticket correctly designating the official winner  
6 in each of the seven races comprising the pick seven with its  
7 own funds.

8 7875.0200 EQUIPMENT.

9 [For text of subps 1 to 8, see M.R.]

10 Subp. 9. **External communications.** An association may have  
11 telephone or telegraph systems on the premises during a race  
12 meeting for the benefit of the public, the press, or for  
13 transacting ordinary business. No information regarding the  
14 results of any race shall be transmitted out of the racetrack  
15 until the results are official except for races that are  
16 broadcast or televised live. Under no circumstances shall any  
17 message be sent over said wires transmitting money, or other  
18 things of value, or directing the placing of any wager on the  
19 result of a race except as permitted by part 7873.0400.

20 No telephone calls, telegrams, or messages of any kind for  
21 any person attending or participating in the conduct of a race  
22 meeting shall be accepted, nor shall any notice be given  
23 pertaining to such message or telephone call during the hours  
24 indicated unless permission is first given by the stewards or  
25 the authorized representative of the commission.

26 A telephone on a private line shall be provided in the  
27 offices of the commission. All costs of the telephone service  
28 shall be borne by the association and the service shall not be  
29 interrupted at any time.

30 One public telephone is allowed per floor at an association  
31 track. Each phone must be monitored by association security and  
32 must be part of an "information center" provided by the  
33 association. All other instruments of communication, other than  
34 those designated for the sole use of the commission or those  
35 approved by the commission for use during racing, must be

1 rendered inoperable between the hours starting 30 minutes before  
2 post time for the first race and the flashing of the "official"  
3 sign following the last race.

4 Any portable telephones, transmitters, or any other  
5 instrument that can be used for transmitting messages off the  
6 grounds of an association is subject to confiscation by security  
7 personnel or by the racing commission and its employees.

8 7876.0110 OFF-TRACK STABLING.

9 [For text of subps 1 and 2, see M.R.]

10 Subp. 3. **Horses must be at racetrack for race day**  
11 **inspection.** All horses shipped from another racetrack or  
12 off-track stabling facility to a racetrack for a race must be at  
13 the racetrack no later than 9:00 a.m. on the day on which the  
14 horse is scheduled to race.

15 7877.0130 STANDARDS REQUIRED OF APPLICANTS FOR SPECIFIC LICENSES.

16 [For text of subps 1 and 2, see M.R.]

17 Subp. 3. **Trainers and assistant trainers.** Applicants for  
18 a trainer's or assistant trainer's license must comply with the  
19 following requirements.

20 [For text of items A to C, see M.R.]

21 D. An applicant for a trainer's license shall provide  
22 proof of having complied with the workers' compensation laws,  
23 Minnesota Statutes, chapter 176, and all pertinent rules adopted  
24 thereunder.

25 [For text of subps 4 to 8, see M.R.]

26 Subp. 9. **Veterinarians.** An applicant for a veterinarian's  
27 license must:

28 A. provide proof of current validation to practice  
29 veterinary medicine in Minnesota;

30 B. demonstrate to the commission's veterinarian that  
31 he or she is familiar with current equine medical practices and  
32 possesses knowledge of the commission's medication rules as  
33 specified in chapter 7890;

34 C. provide proof of current United States Department  
35 of Agriculture Animal and Plant Inspection Service accreditation

1 in Minnesota; and

2 D. provide proof of current registration status under  
3 the Controlled Substance Act of 1970, including the schedules  
4 for which the applicant has been approved by the Drug  
5 Enforcement Administration.

6 [For text of subps 10 to 15, see M.R.]

7 7877.0160 DURATION AND EXTENT OF CLASS C LICENSES.

8 [For text of subps 1 and 2, see M.R.]

9 Subp. 3. **Change of employees.** At the time a Class C  
10 licensee changes employees, the licensee must notify the  
11 association's validation office of the change no later than by  
12 the end of the next racing day of that race meeting.

13 [For text of subp 4, see M.R.]

14 7877.0170 DUTIES AND RESPONSIBILITIES OF CLASS C LICENSEES.

15 [For text of subpart 1, see M.R.]

16 Subp. 2. **Trainers.** Trainers shall have the following  
17 responsibilities.

18 [For text of items A to E, see M.R.]

19 F. Each trainer must provide a list of all persons in  
20 his or her employ to the commission and must ensure that those  
21 persons are licensed by the commission no later than the next  
22 racing day after those employees arrive on the grounds of an  
23 association. Upon discharge of an employee, the trainer shall  
24 report that fact to the association's validation office by no  
25 later than the end of that racing day.

26 [For text of items G to S, see M.R.]

27 T. Each trainer shall comply with all provisions of  
28 Minnesota Statutes, chapter 176, and all rules adopted under  
29 that chapter.

30 [For text of subp 2a, see M.R.]

31 Subp. 3. **Jockeys and apprentice jockeys.** Jockeys and  
32 apprentice jockeys shall have the following responsibilities.

33 [For text of items A to C, see M.R.]

34 D. Each jockey reporting to the jockeys' room must  
35 remain there until he or she has fulfilled all of that day's

1 riding engagements. While in the performance of his or her  
2 duties, the jockey may have no contact or communication with any  
3 person outside the jockeys' room other than with an owner or  
4 trainer for whom he or she is riding that day, or with the  
5 stewards or other commission officials.

6 [For text of items E to W, see M.R.]

7 [For text of subps 4 to 8, see M.R.]

8 Subp. 9. **Veterinarians.** The following shall apply to  
9 veterinarians licensed by the commission:

10 A. Veterinarians shall be responsible for the conduct  
11 of any veterinary assistant working with or employed by the  
12 veterinarian to ensure compliance with this subpart.

13 B. Veterinarians shall not be negligent in the  
14 performance of their duties with respect to the health and  
15 welfare of a horse, or in the prescription or administration of  
16 a medication or injectable substance, or in the use of equipment  
17 for hypodermic injection.

18 C. Veterinarians shall not be in possession of  
19 medications or substances which have not been approved for use  
20 in the United States by the Food and Drug Administration.

21 D. Veterinarians shall not be in possession of  
22 controlled substances in schedules for which they are not  
23 currently registered and approved by the Drug Enforcement  
24 Administration.

25 E. Veterinarians must remove from the grounds of an  
26 association all discardable equipment and injectable substance  
27 containers.

28 7879.0100 QUALIFICATIONS AND APPOINTMENT OF STEWARDS.

29 [For text of subpart 1, see M.R.]

30 Subp. 2. **Appointment and approval of stewards.** The  
31 following procedures must be observed in the appointment of  
32 stewards:

33 A. There shall be three stewards for each race  
34 meeting, at least two of whom shall be appointed by the  
35 commission. The third steward may be nominated by the

1 association for approval by the commission if the commission  
2 determines that nomination by the association will be in the  
3 best interests of racing.

4 The commission must notify the association if it will  
5 accept an association's nomination for a steward. The name of  
6 the association nominee for steward must be submitted no later  
7 than 30 days before commencement of a race meeting and must be  
8 accompanied by biographical data setting forth the experience  
9 and qualifications of the nominee. No steward may serve until  
10 approved by the commission.

11 [For text of item B, see M.R.]

12 7883.0100 ENTRIES AND SUBSCRIPTIONS.

13 [For text of subps 1 to 5, see M.R.]

14 Subp. 6. **Prohibited entries.** No person shall:

15 [For text of items A and B, see M.R.]

16 C. enter a horse in more than one race on any day;

17 [For text of item D, see M.R.]

18 [For text of subps 7 to 15a, see M.R.]

19 Subp. 16. **Workout requirements.** In order to be eligible:

20 A. A horse which has not started for a period of 30  
21 days or more shall not be eligible to be entered until it has  
22 completed one timed workout prior to the entry date. Any  
23 workout following the entry of a horse shall appear on the  
24 official daily racing program or shall be posted for the public.

25 B. A horse which has not started for a period of 60  
26 days or more shall not be eligible to be entered until it has  
27 completed two timed workouts prior to the entry date.

28 C. First-time starters must have gate approval and a  
29 minimum of two timed workouts, one of which must be out of the  
30 gate within 60 days prior to the entry date.

31 D. A horse, other than a first-time starter, which  
32 has not started for a period of one year or more shall not be  
33 eligible to be entered until it has completed three timed  
34 workouts, at least one of which must be before the commission  
35 veterinarian or the association veterinarian.

1 [For text of subps 17 and 18, see M.R.]

2 7883.0130 PENALTIES AND ALLOWANCES.

3 [For text of subps 1 to 3, see M.R.]

4 Subp. 4. **Sex allowance.** In all races against male horses,  
5 except handicaps and races where the conditions expressly state  
6 to the contrary, fillies two years old are allowed three pounds  
7 and fillies and mares three years old and upward are allowed  
8 five pounds before September 1 and three pounds thereafter. The  
9 sex allowance may not be waived.

10 7883.0140 CLAIMING RACES.

11 [For text of subps 1 to 14, see M.R.]

12 Subp. 15. **Protest of claim.** Not later than the next  
13 racing day after the race was run, a written protest of a claim  
14 may be submitted to the stewards who shall investigate the  
15 matter as quickly as possible. In the event that a stewards'  
16 ruling is issued concerning a medication violation discovered  
17 through post-race drug testing of a claimed horse, a written  
18 protest of a claim may be submitted to the stewards within 24  
19 hours of the posting of a ruling; however, a claimant may submit  
20 a written protest of a claim within 24 hours of the receipt of  
21 notification from the stewards of such a ruling.

22 [For text of subps 16 to 30, see M.R.]

23 7890.0100 DEFINITIONS.

24 [For text of subps 1 to 12, see M.R.]

25 Subp. 13. **Medication.** "Medication" is a substance,  
26 compound, or element, or combination thereof, which is or can be  
27 administered to a horse for the purpose of preventing, curing,  
28 or alleviating the effects of any disease, condition, ailment,  
29 or infirmity, or symptom thereof, or for altering in any way the  
30 behavior, attitude, temperament, or performance of a horse,  
31 including athletic performance. The term medication includes  
32 all analgesics, anesthetics, depressants, narcotics, stimulants,  
33 tranquilizers, and other classifications of medications.  
34 Nothing herein shall be deemed to include:

1 [For text of item A, see M.R.]

2 B. Lasix®, provided, however, that if it is  
3 administered pursuant to the provisions of part 7890.0140,  
4 subpart 6.

5 [For text of items C and D, see M.R.]

6 [For text of subps 14 to 21, see M.R.]

7 7892.0120 TAKING OF SAMPLES.

8 [For text of subps 1 to 4, see M.R.]

9 Subp. 5. **Split samples.**

10 A. A portion of the sample from each horse tested,  
11 after a sufficient amount has been sent to the official  
12 laboratory, must be preserved by the commission. It must be  
13 available for testing at the request of a person accused of a  
14 violation of chapter 7890. ~~A person so accused may request that~~  
15 ~~the portion of the test sample retained by~~ The commission shall  
16 approve a list of laboratories which may provide split sample  
17 testing. From this list, a person accused of a violation of  
18 chapter 7890 shall designate the laboratories in descending  
19 order of preference. The sample must be sent to the laboratory  
20 given the highest preference and that is capable of testing for  
21 the substance within 14 days. The commission veterinarian shall  
22 have overall responsibility for the storage, safeguarding, and  
23 shipment of the split sample and for the receipt of results from  
24 the drug testing laboratory to which the sample was sent.

25 B. A person making a request for split sample testing  
26 must do so in writing to the stewards within 72 hours of notice  
27 of the official laboratory's findings and must bear the cost of  
28 shipment and additional testing. The cost of additional testing  
29 shall be paid in the form of a check or money order payable to  
30 the Minnesota Racing Commission or in cash at the commission's  
31 administrative offices.

32 C. A person making a request for split sample testing  
33 must select from among the laboratories approved by the  
34 commission pursuant to item A, within 24 hours of receipt of the  
35 list.



1 D. Failure to comply with the provisions of items B  
2 and C shall render the request for split sample testing null and  
3 void.

4 [For text of subp 6, see M.R.]

5 7895.0100 GENERAL PROVISIONS.

6 [For text of subps 1 to 6a, see M.R.]

7 Subp. 7. Late fees. All late fees collected for late  
8 registration of horses shall be allocated to the breeders' fund  
9 administration account.

10 7895.0125 THOROUGHBRED REGISTRATION.

11 Subpart 1. Broodmare registration. To be eligible to  
12 receive any breeders' award payments, the following requirements  
13 must be met:

14 A. Prior to foaling, a broodmare must be in Minnesota  
15 and registered or the registration renewed with the racing  
16 commission or official registering agency on or before January  
17 31 of the year in which the broodmare will foal and must remain  
18 in Minnesota until foaling. The broodmare's original jockey  
19 club certificate must be received by the racing commission or  
20 official registering agency.

21 [For text of items B to D, see M.R.]

22 E. The commission may, at its discretion, request  
23 written documentation from any persons seeking late registration  
24 that the broodmare was standing in Minnesota as of January 31 of  
25 the foaling year.

26 Subp. 2. Stallion registration. To be eligible to receive  
27 any stallion award payments, the following requirements must be  
28 met:

29 [For text of items A to D, see M.R.]

30 E. The commission may, at its discretion, request  
31 written documentation from any persons seeking late registration  
32 that the stallion was standing in Minnesota as of January 31 of  
33 the breeding year.

34 [For text of subp 3, see M.R.]

1 7895.0275 STANDARDBRED REGISTRATION.

2 Subpart 1. Stallion registration. To be eligible to  
3 participate in the standardbred breeders' fund program, the  
4 following requirements must be met:

5 [For text of items A to D, see M.R.]

6 E. The commission may, at its discretion, request  
7 written documentation from any persons seeking late registration  
8 that the stallion was standing in Minnesota as of January 31 of  
9 the breeding year.

10 [For text of subp 2, see M.R.]

11 7895.0350 QUARTER HORSE REGISTRATION.

12 Subpart 1. Broodmare registration. To be eligible to  
13 receive any breeders' award payments, the following requirements  
14 must be met:

15 A. Prior to foaling, a broodmare must be in Minnesota  
16 and registered or the registration renewed with the racing  
17 commission or official registering agency on or before January  
18 31 of the year in which the broodmare will foal and must remain  
19 in Minnesota until foaling. The broodmare's original American  
20 Quarter Horse Association (AQHA) certificate must be received by  
21 the racing commission or official registering agency. The  
22 broodmare must be in foal to a Minnesota registered stallion  
23 which means a stallion owned at least 50 percent by residents of  
24 Minnesota or leased entirely by Minnesota residents, and which  
25 has stood the entire breeding season from January 31 to July 31  
26 in Minnesota.

27 [For text of items B to E, see M.R.]

28 Subp. 2. Stallion registration. To be eligible to receive  
29 any stallion award payments, the following requirements must be  
30 met:

31 [For text of item A, see M.R.]

32 B. Stallions must remain in Minnesota for the entire  
33 breeding season from January 31 through July 31, unless the  
34 commission is notified in writing by certified mail in the event  
35 a stallion shall leave Minnesota for racing purposes, medical

1 purposes, show purposes, or is sold through public auction or  
2 sold privately. A stallion may not breed any mares while in  
3 another state.

4 A newly acquired stallion which has not been in Minnesota  
5 for breeding purposes before January 31 of the current breeding  
6 season may be eligible for stallion awards if the stallion has  
7 been properly registered with the commission prior to servicing  
8 any mare and the stallion has not serviced any mare after  
9 December 31 of the preceding year.

10 [For text of items C to E, see M.R.]

11 [For text of subp 3, see M.R.]

12 7897.0100 PROHIBITED ACTS.

13 [For text of subps 1 to 6, see M.R.]

14 Subp. 7. **Cooperation with security officers.** No person  
15 shall fail to comply with orders of security officers or racing  
16 officials or interfere with security officers or racing  
17 officials in the performance of their official duties. No  
18 racing official shall fail to comply with orders of a steward  
19 while in the performance of the steward's duties.

20 [For text of subps 8 and 9, see M.R.]

21 Subp. 10. **Financial responsibility.** No licensee shall  
22 willfully or deliberately refuse to pay any money when due for  
23 any service, supplies, or fees connected with his or her  
24 activities as a licensee, nor shall he or she falsely deny any  
25 such amount due or the validity of the claim therefore with the  
26 purpose of hindering or defrauding the person to whom the  
27 indebtedness is due. All financial responsibility complaints  
28 against a licensee shall be made in writing, presenting facts  
29 sufficient to enable the stewards to determine that the claim is  
30 meritorious and is directly related to the licensee's activities  
31 in their state and that the debt became due within the last two  
32 years. Upon receipt of a complaint, the stewards may conduct an  
33 investigation and attempt to resolve the matter informally. If  
34 the stewards determine that the complaint has merit, and cannot  
35 resolve the matter informally or determine that it is not

1 appropriate to settle the matter informally, then the stewards  
2 shall commence the appropriate disciplinary procedures in  
3 chapter ~~7879~~ 7897.

4 [For text of subps 11 to 18, see M.R.]

5 Subp. 19. **Driving violation.** No person may park or drive  
6 a vehicle on the grounds of the association in a manner which  
7 creates a potential hazard to persons or property.

8 7897.0110 USE OF DRUGS AND ALCOHOL.

9 Subpart 1. **Drugs.** The commission, the commission's  
10 director of security, or the stewards may, at any time, require  
11 any licensee having direct physical contact with horses or  
12 direct responsibility for some portion of the day's racing  
13 program, or whose duties place him or her in a position of  
14 danger, or who commits an act that endangers a horse or human,  
15 to provide blood or urine samples for chemical analysis. If  
16 such a licensee fails to comply with this requirement, the  
17 licensee shall be suspended and referred to the commission to  
18 show cause for refusing to do so.

19 Should a licensee be found to have levels of any  
20 nonprescription, prohibited, or illegal drug, or an alcohol  
21 concentration greater than 0.04 percent, the licensee shall be  
22 subject to disciplinary action by the stewards and the  
23 commission. For purposes of this part, "alcohol concentration"  
24 means:

25 A. the number of grams of alcohol per 100 milliliters  
26 of blood; or

27 B. the number of grams of alcohol per 210 liters of  
28 breath; or

29 C. the number of grams of alcohol per 67 milliliters  
30 of urine.

31 Subp. 2. [See repealer.]

32 7899.0100 VARIANCES.

33 [For text of subps 1 and 2, see M.R.]

34 Subp. 3. **Disposition of variance requests.** The commission  
35 shall grant or deny a variance pursuant to the following

1 procedures and standards:

2           A. Upon receipt of a variance request, the commission  
3 shall send written notice of the time, date, and place of the  
4 variance hearing to all persons who have registered their names  
5 with the commission for that purpose. The commission shall not  
6 act upon the variance request for ten calendar days after it has  
7 issued the notice.

8                           [For text of items B to E, see M.R.]

9                           [For text of subp 4, see M.R.]

10 REPEALER. Minnesota Rules, part 7897.0110, subpart 2, is  
11 repealed.