

1 Ethical Practices Board

2

3 Adopted Permanent Rules Relating to Campaign Financing

4

5 Rules as Adopted

6 4500.0100 DEFINITIONS.

7 [For text of subps 1 and 1a, see M.R. 1989]

8 Subp. 1b. **Anonymous contribution.** "Anonymous contribution"

9 means money received by a candidate, political committee, or
10 political fund without specifying the name and address of the
11 donor.

12 [For text of subps 2 to 7, see M.R. 1989]

13 Subp. 7a. **Statewide election.** "Statewide election" means
14 an election for a statewide office, appeals court or supreme
15 court office, or question or proposition on the ballot that can
16 be voted on by all voters of the state.

17 [For text of subp 8, see M.R. 1989]

18 4500.0600 ANONYMOUS CONTRIBUTIONS.

19 A single contribution in excess of \$20 for which the name
20 and address of the donor cannot be determined by the committee
21 or fund must be forwarded in its entirety to the board within 14
22 days after its receipt. When forwarding an anonymous
23 contribution, the treasurer of the committee or fund must report
24 to the board the amount of the contribution and the date on
25 which it was received.

26 4500.1200 CHANGE OF OFFICE SOUGHT BY CANDIDATE.

27 [For text of subps 1 and 2, see M.R. 1989]

28 Subp. 3. **Public financing agreement.** A candidate may sign
29 a public financing agreement for the office for which the
30 candidate files an affidavit of candidacy in the general
31 election year, as provided in part 4500.3500.

32 4500.1600 CONTRIBUTIONS FROM ASSOCIATIONS.

33 Subpart 1. **Optional disclosure.** An association that
34 contributes more than \$100 in a calendar year to a political

1 committee or political fund may, in lieu of registration with
2 the board, provide the recipient political committee or
3 political fund with a report of receipts and expenditures
4 containing all information required by Minnesota Statutes,
5 section 10A.20. If an association uses this option, the
6 disclosure must include the association's transactions from the
7 beginning of the reporting period through the end of the
8 business day on which the contribution is made.

9 [For text of subps 2 and 3, see M.R. 1989]

10 4500.2500 JOINT LIMITS FOR GOVERNOR AND LIEUTENANT GOVERNOR.

11 [For text of subps 1 and 2, see M.R. 1989]

12 Subp. 3. **Registration of joint committee.** A joint
13 committee established under subpart 2, shall amend the statement
14 of organization of the remaining committee within ten days after
15 the combination of the committees and within ten days after the
16 lieutenant governor candidate establishes a separate committee
17 under subpart 4.

18 Subp. 4. **Candidate for lieutenant governor.** A candidate
19 for lieutenant governor named in a joint committee established
20 under subpart 2, who raises or spends more than \$100 from any
21 source other than himself or herself for the purpose of seeking
22 endorsement in the next following election for the office of
23 lieutenant governor, shall establish a separate principal
24 campaign committee for that office under Minnesota Statutes,
25 section 10A.14.

26 4505.0100 DEFINITIONS.

27 [For text of subps 1 and 1a, see M.R. 1989]

28 Subp. 2. **Compensation; earned income.** "Compensation" or
29 "earned income" includes every kind of payment for labor or
30 personal services from private or public employment. It does
31 not include alimony or child support payments or payments of
32 social security, unemployment compensation, or workers'
33 compensation.

34 [For text of subps 3 and 4, see M.R. 1989]

35 Subp. 5. [See Repealer.]

1 Subp. 6. **Accepting employment as a public official.**

2 "Accepting employment as a public official" means the effective
3 date of the appointment to the position, as stated in the
4 appointing authority's notice to the board.

5 4505.0600 OCCUPATION AND PRINCIPAL PLACE OF BUSINESS.

6 When asked to give a statement of occupation, an individual
7 shall state his or her principal occupation.

8 "Principal occupation" means the occupation at which an
9 individual spends the most working hours or which provides the
10 individual's major source of compensation. "Source of
11 compensation" means the corporation, partnership, or other
12 entity from which the individual receives compensation. A
13 self-employed individual is required to list only a description
14 of the occupation in which the individual is self-employed, for
15 example, farming or practice of law, and is not required to list
16 the names of corporations, partnerships, or other entities which
17 pay compensation to the public official or candidate as a
18 self-employed individual.

19 "Principal place of business" means the name of the entity
20 providing the individual's principal occupation.

21 4505.0800 SECURITIES.

22 Subpart 1. **Securities, defined.** "Securities" includes any
23 stock, share, bond, warrant, option, pledge, note, mortgage,
24 debenture, lease, or commercial paper in any corporation,
25 partnership, trust, or other association. "Securities" does not
26 include deposits in a savings account, certificates of deposit,
27 money market certificates, treasury bills, bonds, or notes,
28 dividends from securities or shares in a pension fund.

29 [For text of subps 2 to 5, see M.R. 1989]

30 4505.0900 FILING.

31 [For text of subpart 1, see M.R. 1989]

32 Subp. 2. **Filing of more than one statement in any year.**

33 An individual who has filed a statement of economic interest
34 within the calendar year shall file a supplementary statement

1 within 14 days after filing an affidavit of candidacy or
2 accepting employment or appointment to an office requiring the
3 advice and consent of the senate.

4 [For text of subp 3, see M.R. 1989]

5 Subp. 4. **Termination as a public official.** An individual
6 shall file a statement of economic interest to cover the period
7 for which the individual served as a public official even though
8 at the time the statement is filed, the individual is no longer
9 holding that office as a public official.

10 Subp. 5. **Option.** An individual whose term as a public
11 official has ended after April 1 and before March 31 shall file
12 either a supplementary statement on the next following April 15
13 through the last date of service or file a statement of
14 termination as a public official through the last date of
15 service. The latter statement may be filed at any time after
16 the term ends and before the next following April 15.

17 Subp. 6. **Change of public official position.** A public
18 official who leaves one public official position and is
19 appointed to another public official position within the year
20 between the time when the individual filed either an original
21 statement or a supplementary statement and April 15 of the
22 following year shall file a termination statement for the former
23 office within ten days after leaving that office. The
24 individual shall file an original statement relative to the new
25 office within the time imposed by Minnesota Statutes, section
26 10A.09, subdivision 1.

27

28 **REPEALER.** Minnesota Rules, part 4505.0100, subpart 5 is
29 repealed.