

1 Minnesota Racing Commission

2

3 Adopted Rules Governing Horse Racing

4

5 Rules as Adopted

6 7869.0100 DEFINITIONS.

7 Subpart 1. to 15. [Unchanged.]

8 Subp. 16. **Condition book.** "Condition book" means the  
9 publication issued by the association advertising races for  
10 upcoming racing days.

11 Subp. 17. to 23. [Unchanged.]

12 Subp. 24. **Entry.** "Entry" means, according to its context,  
13 either:

14 A. the act of entering a horse to race;

15 B. a horse eligible and entered in a race; or

16 C. two or more horses that are entered in a race and  
17 which are coupled for wagering purposes.

18 Subp. 25. to 40. [Unchanged.]

19 Subp. 41. **Official.** "Official" means the act of the  
20 stewards declaring the results of the race final and authorizing  
21 pari-mutuel winnings to be paid out.

22 Subp. 42. to 50. [Unchanged.]

23 Subp. 51. **Race.** "Race" means a contest among horses for  
24 purse, stakes, premium, or wager for money, run in the presence  
25 of racing officials of the association and the commission. The  
26 following are categories of races:

27 A. to I. [Unchanged.]

28 J. "Qualifying race" means a non pari-mutuel wagering  
29 race in which a standardbred horse must compete to establish or  
30 reestablish its eligibility to enter a race on which pari-mutuel  
31 wagering will be conducted.

32 K. "Quarter horse race" means a race on the flat at  
33 870 yards or less.

34 L. "Race on the flat" means a race over a course in  
35 which no jumps or other obstacles are placed.

*Pmm*

1 M. "Restricted race" means a race required pursuant  
2 to Minnesota Statutes, section 240.29.

3 N. "Stakes race" or "sweepstakes race" means a race  
4 to which nominators of the engaged entries contribute to a  
5 purse, and to which money or any other award may be added. No  
6 overnight race, regardless of its conditions, may be deemed a  
7 stakes race.

8 O. "Time trial" means a race against time for the  
9 purpose of establishing a performance record.

10 Subp. 52. [Unchanged.]

11 Subp. 53. **Recognized racetrack.** "Recognized racetrack"  
12 means a racetrack where pari-mutuel wagering is authorized by  
13 law, or which is recognized by the American Quarter Horse  
14 Association or the United States Trotting Association.

15 Subp. 54. to 69. [Unchanged.]

16 7873.0127 PARI-MUTUEL POOLS DEPENDENT ON ENTRIES.

17 Unless the commission approves a prior written request from  
18 an association to alter wagering opportunities for a specific  
19 race, each association must offer:

20 A. win, place, and show wagering on all scheduled  
21 races involving six or more betting interests;

22 B. if horses representing five betting interests are  
23 scheduled to start in a race, then the association may prohibit  
24 show wagering on that race; and

25 C. if horses representing four or fewer betting  
26 interests are scheduled to start in a race, then the association  
27 may prohibit place wagering, show wagering, or both on that race.

28 Where possible, the official program must contain a notice  
29 indicating races on which place wagering, show wagering, or both  
30 are not offered.

31 7873.0130 PREVENTION TO START.

32 In a thoroughbred or quarter horse race, if the doors in  
33 front of a stall in a mechanically or electronically operated  
34 starting gate should fail to open simultaneously with the other  
35 stall doors, thereby preventing a horse from obtaining a fair

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1 start when the starter dispatches the field, the following shall  
2 apply:

3 A. and B. [Unchanged.]

4 C. If any horse or horses are so prevented from  
5 starting so that it would reduce the total number of starters  
6 below six, the following shall apply:

7 (1) if five starters of different betting  
8 interests leave the stalls, the association may refund the  
9 entire amount wagered in the show pool;

10 (2) if four or fewer horses of different betting  
11 interests leave the stalls, the association may refund the  
12 entire amount wagered in the show pool, the place pool, or both;  
13 and

14 (3) if fewer than two horses of different betting  
15 interests leave the stalls, the race must be canceled and the  
16 entire amount wagered in the win, place, and show pools must be  
17 promptly refunded.

18 7873.0140 FAILURE TO START.

19 After wagering has commenced on a race and prior to the  
20 race being run, should a horse or horses be scratched resulting  
21 in a field of less than six different betting interests, the  
22 following shall apply:

23 A. if five horses of different betting interests will  
24 leave the stalls, the association may refund the entire amount  
25 wagered in the show pool;

26 B. if four or fewer horses of different betting  
27 interests will leave the stalls, the association may refund the  
28 entire amount wagered in the show pool, the place pool, or both;  
29 and

30 C. if fewer than two horses leave the stalls, the  
31 race must be canceled and the entire amount wagered in the win,  
32 place, and show pools must be promptly refunded.

33 In all cases, the association must, prior to the race being  
34 run, announce to the public its decision to cancel any pools.

35 7875.0200 EQUIPMENT.

1 Subpart 1. to 8. [Unchanged.]

2 Subp. 9. **External communications.** An association may have  
3 telephone or telegraph systems on the premises during a race  
4 meeting for the benefit of the public press or for transacting  
5 ordinary business, but no information regarding the results of  
6 any race shall be transmitted out of the racetrack until 15  
7 minutes after the results are official, ~~nor~~ except for races  
8 that are broadcast or televised live. For those races the  
9 results and payoffs may be announced as soon as the race is  
10 official. Under no circumstances shall any message be sent over  
11 said wires transmitting money, or other things of value, or  
12 directing the placing of any wager on the result of a race  
13 except as permitted by part 7873.0400.

14 No telephone calls, telegrams, or messages of any kind for  
15 any person attending or participating in the conduct of a race  
16 meeting shall be accepted, nor shall any notice be given  
17 pertaining to such message or telephone call during the hours  
18 indicated unless permission is first given by the stewards or  
19 the authorized representative of the commission.

20 A telephone on a private line shall be provided in the  
21 offices of the commission. All costs of the telephone service  
22 shall be borne by the association and the service shall not be  
23 interrupted at any time.

24 All telephones or other instruments of communication, other  
25 than those designated for the sole use of the commission or  
26 those approved by the commission for use during racing, must be  
27 rendered inoperable between the hours starting 30 minutes before  
28 post time for the first race and the flashing of the "official"  
29 sign following the last race.

30 All portable telephones, transmitters, or any other  
31 instrument of communication that can be used for transmitting  
32 messages off the grounds of an association is subject to  
33 confiscation by security personnel or by the racing commission  
34 and its employees.

35 7876.0110 OFF-TRACK STABLING.

1 Subpart 1. [Unchanged.]

2 Subp. 2. Requirements of commission must be met at  
3 racetrack. All workout, tattooing, approval from the starting  
4 gate, and eligibility requirements of the commission or stewards  
5 must be secured at a licensed racetrack at which racing is being  
6 conducted. However, workouts may be obtained at sites approved  
7 by the commission and which are staffed by a clocker or clockers  
8 licensed by the commission.

9 Subp. 3. [Unchanged.]

10 7877.0110 PROCEDURE FOR OBTAINING CLASS C LICENSE.

11 Subpart 1. [Unchanged.]

12 Subp. 2. Application content. An application for a Class  
13 C license shall include the following information with respect  
14 to the applicant:

15 A. to F. [Unchanged.]

16 G. a completed FBI fingerprint card.

17 Subp. 3. [Unchanged.]

18 Subp. 4. Racing officials. Each association shall submit  
19 to the commission for its approval or disapproval the names of  
20 all persons whom the association has selected as racing  
21 officials, and other employees whose duties relate to the actual  
22 running of races. The list shall include, where applicable, the  
23 following racing officials:

24 A. to V. [Unchanged.]

25 The list of racing officials' names shall be submitted to  
26 the commission in writing at least 30 days prior to the opening  
27 of each race meeting.

28 The association shall be responsible for filing a complete  
29 application for a Class C license with respect to each nominee  
30 who has not been previously licensed by the commission as a  
31 racing official.

32 The commission may request any person whose name is  
33 proposed as a racing official to submit to a physical  
34 examination and to forward the results of said examination to  
35 the commission. The request shall be made only where there is a

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1 reasonable basis for suggesting that the applicant's physical  
2 condition would hinder or prevent him or her from performing the  
3 duties of a racing official.

4 The commission shall act on the association's list of  
5 officials at a meeting of the commission. If commission staff  
6 recommends disapproval of an official, the association shall be  
7 notified of that recommendation in advance of the commission's  
8 meeting.

9 Under no circumstances shall an identifier, placing judge,  
10 or patrol judge be approved by the commission unless he or she  
11 has satisfactorily passed an optical examination within 90 days  
12 prior to approval evidencing 20-20 vision (corrected) and the  
13 ability to distinguish colors.

14 7877.0120 FEES.

15 Subpart 1. License fees. Each application for a Class C  
16 license, or its renewal, shall be accompanied by the payment of  
17 an annual fee according to the following schedule:

18 B. to Z. [Reletter as A. to Y.]

19 Subp. 2. Fingerprint charge. In addition to the license  
20 fee in subpart 1, each initial application for a Class C  
21 license, and each renewal application every third year  
22 thereafter, shall be accompanied by a completed FBI fingerprint  
23 card taken by the commission and a cashier's check or money  
24 order in the amount of \$14 payable to "Federal Bureau of  
25 Investigation." Any horse owner who does not make application  
26 in person must meet all requirements of this subpart, except  
27 that the owner may file a completed fingerprint card taken by a  
28 law enforcement agency.

29 Subp. 3. and 4. [Unchanged.]

30 7877.0130 STANDARDS REQUIRED OF APPLICANTS FOR SPECIFIC LICENSES.

31 Subpart 1. Individual owners. An applicant for an  
32 individual owner's license must own or lease a horse eligible to  
33 race in Minnesota which is registered with the racing secretary  
34 and under the care of a trainer licensed by the commission.

35 If younger than 18 years of age, an applicant for an

1 individual owner's license must submit a notarized affidavit  
2 from his or her parent or legal guardian stating that the parent  
3 or legal guardian assumes responsibility for the applicant's  
4 financial, contractual, and other obligations relating to the  
5 applicant's participation in racing. A parent or legal guardian  
6 submitting such an affidavit also shall submit information  
7 required in part 7877.0110, subpart 2. If the commission has  
8 reason to doubt the financial responsibility of an applicant for  
9 an individual owner's license, the applicant shall complete a  
10 verified financial statement.

11 Subp. 2. **Multiple owners.** Applicants for a multiple  
12 owner's license must comply with the following requirements.

13 A. A corporation, general partnership, limited  
14 partnership, trust, or any combination of two or more  
15 individuals, except spouses, which owns or leases a horse  
16 eligible to race in Minnesota (hereinafter referred to as  
17 "multiple owner") must obtain a Class C license issued by the  
18 commission.

19 B. to G. [Unchanged.]

20 Subp. 3. to 13. [Unchanged.]

21 Subp. 14. **Authorized agents.** To be appointed an  
22 authorized agent, the appointee must be licensed as either an  
23 individual owner or as a trainer. A written agency appointment  
24 authorizing him or her to act on behalf of a licensed owner or  
25 licensed trainer in racing matters not directly related to the  
26 care and training of horses must accompany the appointment. The  
27 authorization shall be on a form provided by the commission and  
28 shall define the powers, limits, and terms of the agency  
29 agreement. The authorization must be signed by the principals  
30 and notarized.

31 A separate notarized agency appointment is required for  
32 each principal an authorized agent intends to represent. All  
33 such agencies shall remain in effect for the duration of the  
34 current race meeting unless written notice of revocation is  
35 submitted to the stewards at the meeting where the principal is  
36 racing.

1 Subp. 15. [Unchanged.]

2 7877.0140 TEMPORARY LICENSE.

3 Subpart 1. [Unchanged.]

4 Subp. 2. **Termination.** A temporary license shall carry no  
5 presumption of qualifications or fitness and may be terminated  
6 summarily by the commission for cause.

7 A temporary license shall terminate upon a decision of the  
8 commission to issue or deny a Class C license, or 120 days after  
9 the grant of the temporary license, whichever occurs first.

10 7877.0145 EMERGENCY LICENSE.

11 Subpart 1. [Unchanged.]

12 Subp. 2. **Owners.** If an owner is unable to complete an  
13 application for an owner's license because of absence or  
14 illness, the licensed trainer desiring to enter a horse in a  
15 race shall be permitted to apply for an emergency owner's  
16 license on behalf of the absent owner.

17 The trainer applying for an emergency owner's license on  
18 behalf of an absent owner must submit a notarized affidavit with  
19 the license application specifying the reasons the owner is  
20 unable to complete the application.

21 The trainer must, at the time of submission for an  
22 emergency owner's license, provide at least the following  
23 information: the owner's full name, home or business address,  
24 telephone number, and social security number. At the time of  
25 application, the appropriate licensing fee must be paid to the  
26 commission. Failure to provide all of the foregoing information  
27 is grounds for denial of an emergency owner's license.

28 Any emergency owner's license shall be valid for a maximum  
29 of 21 days from the date issued.

30 7877.0170 DUTIES AND RESPONSIBILITIES OF CLASS C LICENSEES.

31 Subpart 1. **Owners.** Horse owners shall have the following  
32 responsibilities:

33 A. to C. [Unchanged.]

34 D. An owner of a thoroughbred or quarter horse is



1 responsible for the registration of colors and for their  
2 availability to, and use by, the jockey engaged to ride the  
3 owner's horse.

4 E. [Unchanged.]

5 Subp. 2. Trainers. Trainers shall have the following  
6 responsibilities.

7 A. to G. [Unchanged.]

8 H. A trainer may use only veterinarians licensed by  
9 the commission to tend horses in his or her care that are  
10 entered to race or at any time that the horses are on the  
11 grounds of an association.

12 I. to N. [Unchanged.]

13 O. A trainer is responsible for having each horse in  
14 his or her charge that is racing in Minnesota or stabled on the  
15 grounds of an association tested for equine infectious anemia  
16 (EIA) once every 12 months. The tests shall be performed by a  
17 laboratory approved by the United States Department of  
18 Agriculture. A copy of each horse's negative EIA test results  
19 (Coggins Certificate) must be attached to the horse's foal  
20 registration certificate on or before the time of entry into a  
21 race or the entry must not be accepted. The Coggins  
22 Certificates must be dated within a 12-month period prior to the  
23 date of entry, and must be renewed or replaced on foal  
24 registration certificate no later than ten days following the  
25 date of expiration.

26 P. A trainer must ensure that at the time of arrival  
27 at a licensed racetrack, each horse in his or her care that will  
28 be entered and remain in the stable area for more than 24 hours  
29 is accompanied by a health certificate issued not more than ten  
30 days prior to the arrival. The health certificate must be  
31 completed by an accredited veterinarian and must contain  
32 complete Equine Infectious Anemia (EIA) test results, including  
33 the date of the most recent negative EIA test. The health  
34 certificates must be surrendered to stable gate personnel for  
35 immediate transmittal to the commission veterinarian.

36 Q. A trainer must promptly report the death of any

1 horse in his or her care on the grounds of an association to the  
2 commission veterinarian and must comply with part 7891.0110  
3 governing post-mortem examinations.

4 R. If a trainer must be absent because of illness or  
5 any other cause, the trainer shall appoint another licensed  
6 trainer to fulfill his or her duties, and promptly report the  
7 appointment to the stewards. The absent trainer and substitute  
8 trainer will have joint responsibility for the condition of the  
9 horses normally trained by the absent trainer.

10 S. Trainers licensed as owners must train all horses  
11 owned wholly or in part by them.

12 Subp. 2a. Assistant trainers. An assistant trainer shall  
13 be charged with the same responsibilities as a trainer. The  
14 assistant trainer shall be held equally culpable with the  
15 trainer by whom he or she is employed for any acts to which the  
16 assistant trainer has prior knowledge or involvement.

17 Subp. 3. Jockeys and apprentice jockeys. Jockeys and  
18 apprentice jockeys shall have the following responsibilities.

19 A. An apprentice jockey must ride with a five-pound  
20 weight allowance except stakes races and handicap races  
21 beginning with his or her first mount and for one full year  
22 thereafter. If after one full year from the date of his or her  
23 fifth winning mount the apprentice jockey has failed to ride 40  
24 winners, he or she shall continue to ride with a five-pound  
25 weight allowance for up to two more years from the date of his  
26 or her fifth winning mount or until he or she has ridden a total  
27 of 40 winners, whichever comes first. In no event shall an  
28 apprenticeship extend more than four years from date of initial  
29 licensure as an apprentice jockey.

30 B. to K. [Unchanged.]

31 L. A jockey must wear the racing colors provided by  
32 the owner of the horse he or she is to ride, plus white riding  
33 pants, top boots, and a number on the right shoulder  
34 corresponding to the mount's number as shown on the saddle cloth  
35 and in the daily program.

36 M. to W. [Unchanged.]

1 Subp. 4. to 10. [Unchanged.]

2 7877.0175 DUTIES AND RESPONSIBILITIES OF RACING OFFICIALS.

3 Subpart 1. Racing secretary. The racing secretary shall  
4 have the following responsibilities.

5 A. to G. [Unchanged.]

6 H. The racing secretary must post, in a location  
7 accessible to all trainers, a list of all horses registered as  
8 "nerved," pursuant to part 7877.0100, subpart 11.

9 I. The racing secretary shall establish a preference  
10 system for horses which have been excluded from races due to  
11 overfilling and shall maintain the system for the duration of a  
12 meeting. The racing secretary shall be allowed broad discretion  
13 with regard to the preference system but shall act in the best  
14 interest of racing and the meeting.

15 J. In the event that a race is declared off due to an  
16 insufficient number of entries, the racing secretary must post  
17 in a conspicuous place the names of all trainers that entered  
18 horses in each race that was declared off.

19 Subp. 2. and 3. [Unchanged.]

20 Subp. 4. Paddock judge. The paddock judge shall have the  
21 following responsibilities.

22 A. to F. [Unchanged.]

23 G. The paddock judge shall inspect the bandages worn  
24 by all horses arriving in the paddock and may order the bandages  
25 removed or replaced if he or she has reason to believe that a  
26 violation of statute or rule has occurred, is occurring, or will  
27 occur.

28 (1) The paddock judge shall not allow a horse in  
29 the paddock if it is wearing bandages at knee level or higher.

30 (2) Paddock boots and all bandages, except those  
31 bandages that will be worn during a race, must be removed  
32 immediately after saddling in the paddock so that a satisfactory  
33 examination may be assured.

34 H. to L. [Unchanged.]

35 Subp. 5. to 7. [Unchanged.]

1 Subp. 8. Commission veterinarian. The commission  
2 veterinarian shall maintain a list of the following:

3 A. and B. [Unchanged.]

4 The commission veterinarian's list shall be posted in the  
5 racing secretary's office, and any horse whose name is on the  
6 list shall be ineligible to enter a race for five calendar days,  
7 or until the commission veterinarian removes it from the list,  
8 whichever is later.

9 The commission veterinarian or his or her designee shall  
10 conduct racing-soundness examinations pursuant to part  
11 7891.0100. If the veterinarian finds that any horse is unfit to  
12 race he or she shall notify the stewards immediately.

13 The commission veterinarian shall supervise the operation  
14 of a barn for the detention and testing of horses after each  
15 race pursuant to chapter 7890.

16 The commission veterinarian shall have the authority to  
17 draw blood from any horse or pony on the grounds of an  
18 association for the purpose of conducting an Agar-Gel  
19 immunodiffusion (Coggins) test, and shall supervise the removal  
20 from the racetrack of any horse or pony having positive Coggins  
21 test results.

22 Subp. 9. to 15. [Unchanged.]

23 7877.0180 CONFLICTS.

24 Subpart 1. Racing officials. No racing official, other  
25 than a general manager, shall own any interest in a Minnesota  
26 racetrack at which he or she is serving as an official, and no  
27 racing official shall own any interest in a horse eligible to  
28 race at a meeting at which he or she serves as an official.

29 No racing official shall buy or sell for himself or  
30 herself, or as an agent for anyone else, any horse eligible to  
31 race at a meeting at which he or she serves as an official.

32 No racing official shall hold any interest in the contract  
33 of a jockey or apprentice jockey riding at a meeting at which  
34 the official serves.

35 No racing official shall buy or sell for another person any

1 right to a contract of any jockey or apprentice jockey riding at  
2 a meeting at which the racing official serves.

3 No racing official shall wager money or anything of value  
4 on any race in Minnesota during his or her term of employment.

5 No racing official, detention barn employee, commission  
6 staff, assistant starter, claims clerk, outrider, association  
7 veterinarian, or track superintendent shall request or accept  
8 any remuneration or honorarium in payment or kind from any  
9 owner, trainer, or other person licensed by the commission.

10 Subp. 2. [Unchanged.]

11 7878.0130 BASIC COURSE.

12 Subpart 1. **Applicant shall successfully complete basic**  
13 **course.** No security officer may be licensed unless the officer  
14 or his or her appointing authority furnishes proof to the  
15 commission that the prospective licensee has successfully  
16 completed a basic course which includes at least 40 hours of  
17 instruction in the following subject areas:

18 A. to G. [Unchanged.]

19 H. cardio pulmonary resuscitation (CPR);

20 I. to K. [Unchanged.]

21 Subp. 2. and 3. [Unchanged.]

22 7878.0140 CONTINUING EDUCATION.

23 Subpart 1. **Licensee shall successfully complete refresher**  
24 **training.** No security officer's license may be renewed unless  
25 the licensee or his or her appointing authority furnishes the  
26 commission proof that the licensee has successfully completed,  
27 on an annual basis, at least 16 hours of refresher training in  
28 the areas of:

29 A. to D. [Unchanged.]

30 E. security plan, policy, and procedure of the racing  
31 association; and

32 F. [Unchanged.]

33 Subp. 2. [Unchanged.]

34 7878.0150 STANDARDS OF CONDUCT FOR SECURITY OFFICERS.

1 Subpart 1. [Unchanged.]

2 Subp. 2. Restrictions on security officers. No security  
3 officer may:

4 A. use unreasonable force;

5 B. to D. [Unchanged.]

6 7878.0160 SECURITY COOPERATION.

7 Subpart 1. Security officers must cooperate with law  
8 enforcement agencies. Class A, Class B, and Class D licensees  
9 and all security officers must cooperate fully with federal,  
10 state, and local law enforcement agencies with jurisdiction to  
11 enforce criminal laws at horse racing facilities, and must  
12 report promptly all crimes suspected, investigated, or prevented  
13 at a licensed racetrack to the BCA.

14 Subp. 2. Notification of searches. Within 24 hours of any  
15 searches conducted by an association or its security personnel,  
16 the racing commission director of racing security or his or her  
17 designee must be notified of the searches and any relative  
18 circumstances involved. A copy of the inventory sheet of all  
19 items confiscated during the searches must accompany the  
20 notification. All illegal contraband seized as a result of a  
21 search must be immediately delivered to the local law  
22 enforcement agency. Under no circumstances shall the  
23 association or its security personnel destroy or otherwise  
24 dispose of any illegal contraband.

25 7883.0100 ENTRIES AND SUBSCRIPTIONS.

26 Subpart 1. to 6. [Unchanged.]

27 Subp. 7. Coupled entries. Except in stakes races and  
28 races which are conditioned for horses eligible for specified  
29 stakes, not more than two horses of the same licensed ownership  
30 or interest shall be entered and started in a race.

31 A. No trainer shall enter more than two horses in an  
32 overnight event except in split races.

33 B. Horses trained by a public stable trainer shall  
34 not be coupled with horses trained by another public stable  
35 trainer unless the horses in question are owned by the same

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1 person or are coupled as a field for wagering purposes.

2 C. All horses owned wholly or in part by the same  
3 person, or his or her spouse, or trained by the same trainer,  
4 shall be coupled and run as an entry.

5 D. Notwithstanding items A to D, where two or more  
6 horses are coupled as an entry only for the reason that the  
7 horses are trained by the same trainer, the association may run  
8 those horses as separate interests.

9 Subp. 8. to 16. [Unchanged.]

10 7883.0140 CLAIMING RACES.

11 Subpart 1. to 8. [Unchanged.]

12 Subp. 9. **Prohibition on claims.** No person or racing  
13 interest shall:

14 A. to I. [Unchanged.]

15 J. enter, or allow to be entered, any horse against  
16 which any claim is held, either by mortgage or lien of any kind  
17 without, prior to entering, having filed the written consent of  
18 the holder of the mortgage or lien with the racing secretary and  
19 horsepersons' bookkeeper. Notification of the mortgage or lien  
20 must be posted in a conspicuous place in both the racing  
21 secretary's and horsepersons' bookkeeper's offices; or

22 K. claim a horse if he or she only leases a horse for  
23 racing purposes, unless the claim is executed pursuant to  
24 subpart 1, item C.

25 Subp. 10. to 19. [Unchanged.]

26 Subp. 20. **Excused horse eligible to be claimed for same**  
27 **claiming price in next start; harness racing.** If, in a claiming  
28 race, a horse is excused by the stewards, any claim or claims  
29 for the horse will be void. However, such a horse, in its next  
30 start, must race in a claiming race for a claiming price not  
31 greater than the amount for which it could have been claimed in  
32 the race from which it was excused. Should the horse be entered  
33 in an allowance or stakes race in its next start, said horse  
34 shall be subject to claim for the claiming price from the  
35 previous race from which it was excused. The provisions of this

1 subpart shall apply for a period of 90 days from the date of the  
2 claiming race in which the horse was excused.

3 Subp. 21. to 29. [Unchanged.]

4 7883.0150 PADDOCK TO POST.

5 Subpart 1. and 2. [Unchanged.]

6 Subp. 3. Bandages and blankets. Immediately after  
7 saddling in the paddock, all blankets and bandages, except those  
8 bandages that will be worn during a race, must be removed.  
9 Should weather conditions so dictate, blankets may be worn after  
10 saddling with permission of the paddock judge. After saddling,  
11 all horses must be walked to allow a satisfactory examination.

12 Subp. 4. to 12. [Unchanged.]

13 7891.0100 RACING SOUNDNESS EXAMINATION.

14 Subpart 1. Horses subject to examination. Every horse  
15 entered to race at a licensed racetrack under the jurisdiction  
16 of the commission shall be subjected to a veterinary examination  
17 for racing soundness and health on race day conducted by the  
18 commission veterinarian or a veterinarian designated by the  
19 commission veterinarian in or near the stall to which the horse  
20 is assigned.

21 Subp. 2. [Unchanged.]

22 7892.0150 PURSES.

23 Subpart 1. Purse may be redistributed. Upon receipt of a  
24 positive laboratory report, the stewards shall direct that no  
25 undistributed purse money won by the horse tested may be awarded  
26 pending final determination of the matter. The stewards shall  
27 order distributed purse money returned, and it must be  
28 returned. If it is determined finally that a violation of  
29 chapter 7890 has occurred, the purse money won by the horse  
30 involved may be forfeited and redistributed among the other  
31 horses in the race according to their order of finish. No  
32 forfeiture and redistribution shall affect the distribution of  
33 pari-mutuel pools. Distribution of purse money prior to  
34 issuance of a laboratory report shall not be deemed a



1 determination that chapter 7890 has not been violated.

2 Subp. 2. Determination of purse redistribution. In  
3 determining whether or not to redistribute a purse for a  
4 violation of chapter 7890, the commission or stewards shall  
5 consider the following factors:

6 A. inherent severity of the conduct as indicated by  
7 the potential harm to person, property, or the integrity of  
8 racing;

9 B. culpability of the violator;

10 C. frequency of the violator's failure to comply with  
11 law or rule;

12 D. actual harm caused to person, property, or the  
13 integrity of racing; and

14 E. any other factors related to the seriousness of  
15 violations which the commission or stewards deem crucial to the  
16 determination as long as the same factors are considered with  
17 regard to all violators. The commission or stewards, in making  
18 a determination, shall consider both the number of factors  
19 applicable to a violation and the degree to which each applies.

20 7895.0125 THOROUGHBRED REGISTRATION.

21 Subpart 1. Broodmare registration. To be eligible to  
22 receive any breeders' award payments, the following requirements  
23 must be met:

24 A. Prior to foaling, a broodmare must be in Minnesota  
25 and registered or the registration renewed with the racing  
26 commission or official registering agency on or before January  
27 31 of the year in which the broodmare will foal. The  
28 broodmare's original jockey club certificate must ~~accompany the~~  
29 registration-application be received by the racing commission or  
30 official registering agency.

31 B. In the event an unregistered broodmare should foal  
32 in Minnesota before January 31, the owner must submit a sworn  
33 affidavit to the racing commission or the official registering  
34 agency attesting that the foal was born in Minnesota. The  
35 affidavit will be considered evidence that the foal is a

1 Minnesota-bred or Minnesota-foaled horse and the owner of the  
 2 broodmare or his or her agent must register the broodmare in  
 3 accordance with item A. The attending veterinarian, licensed by  
 4 the state of Minnesota, must certify on information and belief  
 5 that the information provided from the owner or agent is true  
 6 and correct.

7 C. Failure to submit broodmare registration forms on  
 8 or before the January 31 cutoff date (excluding Saturday and  
 9 Sunday) will disqualify any subsequent claims for breeders'  
 10 award payments or for the foal to be registered as  
 11 Minnesota-bred.

12 Subp. 2. Stallion registration. To be eligible to receive  
 13 any stallion award payments, the following requirements must be  
 14 met:

15 A. Stallions must be in Minnesota and registered or  
 16 the registration renewed with the racing commission or official  
 17 registering agency by February 1 of the current breeding year.  
 18 The stallion's original jockey club certificate must accompany  
 19 the registration application be received by the racing  
 20 commission or official registering agency. If the stallion is  
 21 leased, a copy of the lease must accompany the registration  
 22 application. The lease must include a statement that the lessee  
 23 is authorized to sign the breeding certificate.

24 B. Stallions must remain in Minnesota for the entire  
 25 breeding season from February 15 to July 31.

26 C. Stallion reports (record of mares bred) must be  
 27 received by the racing commission or official registering agency  
 28 by September 30 of the immediately preceding breeding season.

29 D. Failure to submit stallion reports (record of  
 30 mares bred) by the September 30 cutoff date will disqualify any  
 31 subsequent claims for stallion award payments.

32 Subp. 3. Foal registration and certification. For a horse  
 33 foaled in Minnesota to be registered and subsequently certified  
 34 as a Minnesota-bred, the following requirements must be met:

35 A. Within 30 days of the date a horse is foaled in  
 36 Minnesota, the foal must be registered with the racing

1 commission or official registering agency. The registration  
 2 form must contain the following information: the date, the name  
 3 of the owner of the foaling dam, the date that the foal was  
 4 born, an owner's statement that the foal was born in Minnesota,  
 5 and the signature and veterinary license number of the  
 6 veterinarian submitting the report. Failure to submit the  
 7 veterinarian's report will disqualify any subsequent claim to  
 8 register the foal as Minnesota-bred.

9 B. The original foal certificate must be embossed by  
 10 the racing commission or official registering agency prior to  
 11 entry into any restricted race.

12 C. Failure to have foal certificate embossed shall  
 13 disqualify any claim to enter the horse in a restricted race or  
 14 to earn any breeders' fund payments.

15 Subp. 4. **Protests.** When a person has reason to believe  
 16 that a horse not owned by a Minnesota resident, as defined in  
 17 part 7895.0100, subpart 1, item A, will participate or has  
 18 participated in a restricted race for Minnesota-owned horses,  
 19 the person may protest the eligibilty of the horse in the manner  
 20 prescribed in this part. Within seven days of the day in which  
 21 the race was run, a written protest must be submitted to the  
 22 board of stewards. The protest must include the following  
 23 information: the date of the protest, the date and race number  
 24 of the race in question, the name of the horse, the name of the  
 25 horse's owner(s), the reasons that the owner(s) is believed to  
 26 be ineligible, and a statement of the relief sought by the  
 27 filing party or parties.

28 Subp. 5. **Disposition of protest.** Within three days of  
 29 receipt of a protest, the stewards shall notify in writing the  
 30 owner(s) of the horse in question of the protest. The owner(s)  
 31 shall have seven days to respond to the allegations.

32 Subp. 6. **Findings of stewards.** Should the stewards  
 33 determine that an ineligible horse participated in a restricted  
 34 race for Minnesota-owned horses, the following action must be  
 35 taken:

36 A. the horse in question must be disqualified from

1 any placing it earned;

2 B. the purse must be redistributed among the other  
3 horses in the race according to their order of finish;

4 C. disciplinary action must be taken against the  
5 owner(s) of the horse found to be ineligible; and

6 D. where appropriate, disciplinary action may be  
7 taken against the trainer of the horse found if it is determined  
8 that the trainer had prior knowledge of the owners'  
9 ineligibility.

10 7895.0250 STANDARD BRED BREEDERS' FUND.

11 Subpart 1. Definitions. For purposes of this part, the  
12 following terms have the meaning given them unless another  
13 intention clearly appears.

14 A. "Breeder" means the owner or lessee of the dam at  
15 the time of conception in Minnesota.

16 B. "Minnesota-bred" means:

17 (1) in the case of a horse foaled prior to 1987,  
18 either:

19 (a) the United States Trotting Association  
20 (USTA) foal certificate reflects the breeder to be a Minnesota  
21 resident;

22 (b) the horse was sired by a stallion  
23 standing in the state of Minnesota as reflected on the USTA  
24 stallion report;

25 (c) at the time the horse was foaled in  
26 Minnesota the owner of the foal was a Minnesota resident; or

27 (2) Foals born on or after January 1, 1987, must  
28 have been sired by a stallion registered with the racing  
29 commission or official registering agency that stood in the  
30 state of Minnesota for the entire breeding season.

31 C. "Minnesota-foaled" means:

32 (1) In the case of a horse foaled prior to 1987,  
33 either:

34 (a) the USTA foal certificate reflects the  
35 breeder to be a Minnesota resident;

1 (b) the horse was sired by a stallion  
2 standing in the state of Minnesota as reflected on the USTA  
3 stallion report; or

4 (c) at the time the horse was foaled in  
5 Minnesota the owner of the foal was a Minnesota resident.

6 (2) Foals born on or after January 1, 1987, must  
7 have been sired by a stallion registered with the racing  
8 commission or official registering agency that stood in the  
9 state of Minnesota for the entire breeding season.

10 D. "Minnesota-owned" means:

11 (1) In the case of a horse owned by an  
12 individual, the owner must reside in Minnesota, declare himself  
13 or herself to be a resident of Minnesota for purposes of  
14 Minnesota Statutes, chapter 290, and declare that he or she is  
15 not a resident of any other state.

16 (2) In the case of a horse owned by a  
17 corporation, the corporation must:

18 (a) be legally incorporated in the state of  
19 Minnesota;

20 (b) have its principal place of business in  
21 Minnesota;

22 (c) have at least 51 percent of all  
23 outstanding shares of stock owned by shareholders who are  
24 residents of Minnesota for purposes of Minnesota Statutes,  
25 chapter 290, and who declare that they are not residents of any  
26 other state.

27 (3) In the case of a horse owned by a limited  
28 partnership, the general partners and at least 51 percent of the  
29 limited partners must be residents of Minnesota for purposes of  
30 Minnesota Statutes, chapter 290, and declare that they are not  
31 residents of any other state.

32 (4) In the case of a horse owned by a  
33 partnership, all partners must be residents of Minnesota for  
34 purposes of Minnesota Statutes, chapter 290, and declare that  
35 they are not residents of any other state.

36 Every owner of a Minnesota-owned horse must hold a Class C

1 owner's license issued by the commission as required in part  
2 7877.0130, subparts 1 and 2.

3 E. "Minnesota sire" means a stallion owned at least  
4 50 percent by residents of Minnesota or leased entirely by  
5 Minnesota residents, and which has stood the entire breeding  
6 season, from January 1 to September 1 in Minnesota.

7 Subp. 2. **Division of money.** The money available from the  
8 breeders' fund for the standardbred breed category shall be  
9 divided as follows:

10 A. 25 percent shall be set aside and paid to breeders  
11 as breeders' awards; and

12 B. 75 percent shall be paid to supplement purses in  
13 races which are restricted to horses that are Minnesota-bred,  
14 Minnesota-foaled, or Minnesota-owned. In all such races,  
15 Minnesota-bred and Minnesota-foaled shall have first preference,  
16 and Minnesota-owned horses shall have second preference.

17 Subp. 3. **Distribution of money.** The money available from  
18 the standardbred breeders' fund shall be distributed as  
19 breeders' awards and paid to the breeder, as reflected on the  
20 USTA foal certificate, of a Minnesota-bred or Minnesota-foaled  
21 horse that finishes fifth or better in any pari-mutuel race in  
22 Minnesota.

23 Subp. 4. **Methods of payment.** The amount of money  
24 distributed for breeders' awards or purse supplements pursuant  
25 to subpart 3, shall be paid out in the same percentage as the  
26 purse money in the race.

27 Subp. 5. **Adjustments.** The racing commission shall set  
28 percentages to be applied to each category of the breeders' fund  
29 for the purpose of determining the amount of awards and purse  
30 supplements that may be earned during the current race meeting.  
31 The racing commission may, in its discretion, during the course  
32 of a race meeting vary the percentages set in each category for  
33 the purpose of keeping awards and purse supplements consistent  
34 with the amount of money being earned in the breeders' fund and  
35 subsequent breeders' award disbursements. The racing commission  
36 shall consider the following criteria in determining the

1 applicable percentages:

2 A. the number of potential breeders' award recipients;

3 B. the total amount of breeders' awards and purse  
4 supplements to be distributed;

5 C. the need to distribute breeders' awards and purse  
6 supplements among the Minnesota breeders and owners in a fair  
7 and equitable manner with a view toward encouraging the  
8 continued support of the horse industry in Minnesota, thereby  
9 providing incentive to breeders and owners of standardbred  
10 horses within the state; and

11 D. that the set amount of the breeders' awards and  
12 purse supplements or any adjustments made thereto are in the  
13 best interest of horse racing within the state.

14 Subp. 6. **Time of payment.** Purse supplements are part of  
15 the purse and shall be credited to owners' accounts at the time  
16 such purses are earned. All money allocated for breeders'  
17 awards shall be distributed within 30 days of the end of the  
18 race meeting.

19 Subp. 7. **Maximum awards permitted.** The maximum amount of  
20 a breeders' award, exclusive of any purse supplements, paid to  
21 an individual or entity under this part must not exceed 50  
22 percent of the total available breeders' award funds during  
23 calendar year 1986.

24 Subp. 8. **Residual funds.** After complying with subparts 4  
25 to 7, any remaining funds in the breeders' awards account, and  
26 all unearned purse supplements, shall be retained and carried  
27 forward to be included as net distributable funds in the  
28 succeeding standardbred race meeting.

29 7895.0275 STANDARDBRED REGISTRATION.

30 Subpart 1. **Stallion registration.** To be eligible to  
31 participate in the standardbred breeders' fund program, the  
32 following requirements must be met:

33 A. Stallions must be in Minnesota and registered or  
34 the registration renewed with the racing commission or official  
35 registering agency by January 1 of the current breeding year.

1 The stallion's original United States Trotting Association  
2 (USTA) certificate must ~~accompany the initial registration~~  
3 ~~application~~ be received by the racing commission or official  
4 registering agency. If the stallion is leased, a copy of the  
5 lease must accompany the registration application or renewal.  
6 The lease agreement must contain a statement that the lessee is  
7 authorized to sign the breeding certificate.

8 B. Stallions must remain in Minnesota for the entire  
9 breeding season from January 1 to September 1.

10 C. Stallion reports (record of mares bred) must be  
11 received by the racing commission or official registering agency  
12 by September 30 (excluding Saturday and Sunday) of the current  
13 breeding season.

14 Subp. 2. Foal certification. In order for a horse bred or  
15 foaled in Minnesota to be registered and subsequently certified  
16 as Minnesota-bred or Minnesota-foaled, the following  
17 requirements must be met:

18 A. The original foal certificate must be embossed by  
19 the racing commission or official registering agency prior to  
20 entry into any restricted race.

21 B. Failure to have the foal certificate embossed  
22 shall disqualify any claim to enter the horse in a restricted  
23 race or to earn any breeders' fund payments.

24 Subp. 3. Protests. When a person has reason to believe  
25 that a horse not owned by a Minnesota resident, as defined in  
26 part 7895.0110, subpart 1, item A, will participate or has  
27 participated in a restricted race for Minnesota-owned horses,  
28 such person may protest the eligibility of the horse in the  
29 manner prescribed hereinafter. Within seven days of the day in  
30 which the race was run, a written protest must be submitted to  
31 the board of stewards. The protest must include the following  
32 information: the date of the protest, the date and race number  
33 of the race in question, the name of the horse, the name of the  
34 horse's owner(s), the reasons that the owner(s) is believed to  
35 be ineligible, and a statement of the relief sought by the  
36 filing party or parties.



1 Subp. 4. Disposition of protest. Within three days of  
2 receipt of a protest, the stewards shall notify in writing the  
3 owner(s) of the horse in question of the protest. The owner(s)  
4 shall have seven days to respond to the allegations.

5 Subp. 5. Findings of stewards. Should the stewards  
6 determine that an ineligible horse participated in a restricted  
7 race for Minnesota-owned horses, the following action must be  
8 taken:

9 A. the horse in question must be disqualified from  
10 any placing it earned;

11 B. the purse must be redistributed among the other  
12 horses in the race according to their order of finish;

13 C. disciplinary action must be taken against the  
14 owner(s) of the horse found to be ineligible; and

15 D. where appropriate, disciplinary action may be  
16 taken against a trainer of the horse if it is determined that  
17 the trainer had prior knowledge of the horse's eligibility.

18 7895.0300 QUARTER HORSE BREEDERS' FUND.

19 Subpart 1. Definitions. For purposes of this part, the  
20 following terms have the meaning given them unless another  
21 intention clearly appears:

22 A. "Breeder" means the owner or lessee of the dam at  
23 time of conception in Minnesota.

24 B. "Minnesota-foaled" means a horse foaled in  
25 Minnesota.

26 C. "Minnesota-bred" means:

27 (1) a horse sired by a registered stallion who  
28 stood his entire breeding season in Minnesota; and

29 (2) a horse born in Minnesota.

30 D. "Minnesota-owned" means:

31 (1) in the case of a horse owned by an  
32 individual, the owner must reside in Minnesota, declare himself  
33 or herself to be a resident of Minnesota for purposes of  
34 Minnesota Statutes, chapter 290, and declare that he or she is  
35 not a resident of any other state.

1 (2) in the case of a horse owned by a  
2 corporation, the corporation must:

3 (a) be legally incorporated in the state of  
4 Minnesota;

5 (b) have its principal place of business in  
6 Minnesota;

7 (c) have at least 51 percent of all  
8 outstanding shares of stock owned by shareholders who are  
9 residents of Minnesota for purposes of Minnesota Statutes,  
10 chapter 290, and who declare that they are not residents of any  
11 other state.

12 (3) in the case of a horse owned by a limited  
13 partnership, the general partners and at least 51 percent of the  
14 limited partners must be residents of Minnesota for purposes of  
15 Minnesota Statutes, chapter 290, and declare that they are not  
16 residents of any other state.

17 (4) in the case of a horse owned by a  
18 partnership, all partners must be residents of Minnesota for  
19 purposes of Minnesota Statutes, chapter 290, and declare that  
20 they are not residents of any other state.

21 Every owner of a Minnesota-owned horse must hold a Class C  
22 owner's license issued by the commission as required in part  
23 7877.0130, subparts 1 and 2.

24 E. "Minnesota-sire" means a stallion owned at least  
25 50 percent by residents of Minnesota or leased entirely by  
26 Minnesota residents, and which has stood the entire breeding  
27 season, from February 15 through July 31, in Minnesota.

28 Subp. 2. Division of money. The money available from the  
29 breeders' fund for the quarter horse category shall be divided  
30 as follows:

31 A. 35 percent shall be set aside and paid as  
32 breeders' awards to:

33 (1) until December 31, 1988, breeders of  
34 Minnesota-bred or Minnesota-foaled horses; and

35 (2) January 1, 1989, and thereafter breeders of a  
36 Minnesota-bred horse or a horse born in Minnesota prior to 1987;

1 B. 35 percent shall be set aside and paid as owners'  
2 awards to:

3 (1) until December 31, 1988, owners of  
4 Minnesota-bred or Minnesota-foaled horses; and

5 (2) January 1, 1989, and thereafter owners of a  
6 Minnesota-bred horse or a horse born in Minnesota prior to 1987;

7 C. 20 percent shall be paid to supplement purses in  
8 races which are restricted to Minnesota-bred, Minnesota-foaled,  
9 or Minnesota-owned horses. In all such races Minnesota-bred or  
10 Minnesota-foaled horses shall be preferred, and the purse  
11 supplements shall be apportioned in accordance with the quality  
12 of the race as determined by the commission.

13 D. 10 percent shall be set aside and paid as stallion  
14 awards to the owners of the Minnesota-sire at the time of  
15 breeding.

16 Subp. 3. Distribution of money. The money available from  
17 the quarter horse breeders' fund, other than purse supplements,  
18 shall be distributed as follows:

19 A. "Breeders' awards" shall be paid to the breeder of  
20 a Minnesota-bred or Minnesota-foaled horse, as reflected on the  
21 American Quarter Horse Association (AQHA) certificate that  
22 finishes fifth or better in any race.

23 B. "Owners' awards" shall be paid to the owners of a  
24 Minnesota-bred or Minnesota-foaled horse that finishes fifth or  
25 better in any race.

26 C. "Stallion awards" shall be paid to the stallion  
27 owner of a Minnesota-bred or Minnesota-foaled horse that  
28 finishes fifth or better in any race.

29 Subp. 4. Methods of payment. The amount of money  
30 distributed by the commission for awards or purse supplements  
31 pursuant to subpart 3 shall be paid out in the same percentage  
32 as the purse money in the race. The amount of money to be  
33 distributed shall be in accordance with subpart 5.

34 Subp. 5. Adjustments. The racing commission shall set  
35 percentages to be applied to each category of the breeders' fund  
36 for the purpose of determining the amount of awards and purse

1 supplements that may be earned during the current race meeting.  
2 The racing commission may, in its discretion, during the course  
3 of a race meeting vary the percentages set in each category for  
4 the purpose of keeping awards and purse supplements consistent  
5 with the amount of money being earned in the breeders' fund and  
6 subsequent award disbursements. The racing commission shall  
7 consider the following criteria in determining the applicable  
8 percentages:

9 A. the number of potential award recipients;

10 B. the total amount of awards and purse supplements  
11 to be distributed;

12 C. the need to distribute awards and purse  
13 supplements among Minnesota breeders and owners in a fair and  
14 equitable manner with a view toward encouraging the continued  
15 support of the horse industry in Minnesota, thereby providing  
16 incentive to breeders and owners of quarter horses within the  
17 state; and

18 D. that the set amount of the awards and purse  
19 supplements or any adjustments made thereto are in the best  
20 interest of horse racing within the state.

21 Subp. 6. Time of payment. Purse supplements are part of  
22 the purse and shall be credited to owners' accounts at the time  
23 such purses are earned. All money allocated for breeders'  
24 awards, owners' awards, and stallion awards shall be distributed  
25 by December 31 of the year in which they are earned.

26 7895.0350 QUARTER HORSE REGISTRATION.

27 Subpart 1. Broodmare registration. To be eligible to  
28 receive any breeders' award payments, the following requirements  
29 must be met:

30 A. Prior to foaling, a broodmare must in Minnesota  
31 and registered or the registration renewed with the racing  
32 commission or official registering agency on or before January  
33 31 of the year in which the broodmare will foal. The  
34 broodmare's original American Quarter Horse Association (AQHA)  
35 certificate must accompany the initial registration application

1 be received by the racing commission or official registering  
2 agency.

3 B. In the event an unregistered broodmare should foal  
4 in Minnesota before January 31, the owner or lessee must submit  
5 a sworn affidavit to the racing commission or the official  
6 registering agency attesting that the foal was born in  
7 Minnesota. The affidavit will be considered evidence that the  
8 foal is a Minnesota-bred or Minnesota-foaled horse and the owner  
9 of the broodmare or his or her agent must register the broodmare  
10 in accordance with item A. The attending veterinarian, licensed  
11 by the state of Minnesota, must certify on information and  
12 belief that the information provided by the owner, lessee, or  
13 agent is true and correct.

14 C. Failure to submit broodmare registration forms on  
15 or before the January 31 cutoff date (excluding Saturday and  
16 Sunday) will disqualify any subsequent claims for breeders'  
17 award payments or for the foal to be registered as a  
18 Minnesota-bred or Minnesota-foaled horse.

19 Subp. 2. Stallion registration. To be eligible to receive  
20 any stallion award payments, the following requirements must be  
21 met:

22 A. Stallions must in Minnesota and registered or the  
23 initial registration renewed with the racing commission or  
24 official registering agency by February 1 of the current  
25 breeding year. The stallion's original American Quarter Horse  
26 Association (AQHA) certificate must ~~accompany the initial~~  
27 registration-application be received by the racing commission or  
28 official registering agency. If the stallion is leased, a copy  
29 of the lease must accompany the registration application. The  
30 lease must include a statement that the lessee is authorized to  
31 sign the breeding certificate.

32 B. Stallions must remain in Minnesota for the entire  
33 breeding season from February 15 through July 31.

34 C. Stallion reports (roster of mares bred) must be  
35 received by the racing commission or official registering agency  
36 by September 30 (excluding Saturday and Sunday) of the

1 immediately preceding breeding season.

2 D. Failure to submit stallion reports (roster of  
3 mares bred) by the September 30 cutoff date will disqualify any  
4 subsequent claims for the stallion award payments.

5 Subp. 3. Foal registration and certification. For a horse  
6 foaled in Minnesota to be registered and subsequently certified  
7 as a Minnesota-bred or Minnesota-foaled horse, the following  
8 requirements must be met:

9 A. Within 30 days of the date a horse is foaled in  
10 Minnesota, the foal must be registered with the racing  
11 commission or official registering agency. The registration  
12 must include the following information: the date, the name of  
13 the owner or lessee of the dam at time of conception, the date  
14 that the foal was born, an owner's or lessee's statement that  
15 the foal was born in Minnesota, and the signature and veterinary  
16 license number of the attending veterinarian. Failure to submit  
17 the veterinarian's report will disqualify any subsequent claim  
18 to register the foal as a Minnesota-bred or Minnesota-foaled  
19 horse.

20 B. The original foal certificate must be embossed by  
21 the racing commission or official registering agency prior to  
22 entry into any restricted race.

23 C. Failure to have foal certificate embossed shall  
24 disqualify any claim to enter the horse in a restricted race or  
25 to earn breeders' fund payments.

26 Subp. 4. Protests. When a person has reason to believe  
27 that a horse not owned by a Minnesota resident, as defined in  
28 part 7895.0110, subpart 1, item A, will participate or has  
29 participated in a restricted race for Minnesota-owned horses,  
30 such person may protest the eligibility of the horse in the  
31 manner prescribed hereinafter. Within seven days of the day in  
32 which the race was run, a written protest must be submitted to  
33 the board of stewards. The protest must include the following  
34 information: the date of the protest, the date and race number  
35 of the race in question, the name of the horse, the named of the  
36 horse's owner(s), the reasons that the owner(s) is believed to

1 be ineligible, and a statement of the relief sought by the  
2 filing party or parties.

3 Subp. 5. **Disposition of protest.** Within three days of  
4 receipt of a protest, the stewards shall notify in writing the  
5 owner(s) of the horse in question of the protest. The owner(s)  
6 shall have seven days to respond to the allegations.

7 Subp. 6. **Findings of stewards.** Should the stewards  
8 determine that an ineligible horse participated in a restricted  
9 race for Minnesota-owned horses, the following action must be  
10 taken:

11 A. the horse in question must be disqualified from  
12 any placing it earned;

13 B. the purse must be redistributed among the other  
14 horses in the race according to their order of finish;

15 C. disciplinary action must be taken against the  
16 owner(s) of the horse found to be ineligible; and

17 D. where appropriate, disciplinary action may be  
18 taken against the trainer of the horse found if it is determined  
19 that the trainer had prior knowledge of the owners'  
20 ineligibility.