## 6 MCAR 4

- l Minnesota Pollution Control Agency
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- 3 Adopted Amendments to Agency Procedural Rules

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- 5 Rules as Adopted
- 6 6 MCAR S 4.3003 Duty of candor.
- 7 In all formal or informal negotiations, communications,
- 8 proceedings, and other dealings between any person and any
- 9 member, employee, or agent of the agency, it shall be the duty
- 10 of each person and each member, employee, or agent of the agency
- 11 to act in good faith and with complete truthfulness, accuracy,
- 12 disclosure, and candor.
- 13 6 MCAR S 4.3005 Agency meetings.
- A.-L. [Unchanged.]
- M. Decisions at open meetings. All regular and special
- 16 meetings of the agency shall be open to the public, and all
- 17 decisions of the agency shall be made at such meetings.
- N.-Q. [Unchanged.]
- 19 6 MCAR S 4.3010 Contested case hearings.
- 20 A.-D. [Unchanged.]
- 21 E. Ex parte communication. During the pendency of a
- 22 contested case, beginning at the time that the agency initiates
- 23 the contested case hearing and ending upon final disposition of
- 24 the contested case, no agency member may communicate with or
- 25 accept a communication from any person concerning the subject
- 26 matter of the contested case hearing except under the following
- 27 conditions:
- 1. if the communication is in writing, copies of the
- 29 communication must have been sent to all parties to the matter
- 30 and to all other agency members; or
- 31 2. if the communication is oral, it must take place at a
- 32 public meeting after reasonable notice of the time and place of
- 33 the meeting has been given to all parties and to all other
- 34 agency members.
- 35 E.-H. [Reletter as F.-I.]

- 1 6 MCAR S 4.3013 Confidential information.
- 2 A. Certification. In order to certify records, information,
- 3 or objects for the confidential use of the agency, an owner,
- 4 operator, or other person qualified by law, shall submit to the
- 5 director a written statement setting forth those statutory
- 6 grounds that require the agency to keep the records,
- 7 information, or objects confidential. Any certification of
- 8 records or information that applies to water pollution sources
- 9 must be approved by the director. These records and information
- 10 shall not be released unless the director denies the
- ll certification request. Whenever the director denies a
- 12 certification request, the director shall notify the certifier
- 13 of the denial at least three working days prior to making the
- 14 records or information available to the public. The certifier
- 15 may withdraw the records or information if such an option is
- 16 available to him.
- B. Filing. All certified records, information, or objects
- 18 must be appropriately identified and segregated at the offices
- 19 of the agency.
- 20 C. Agency use. Certified records, information, and objects,
- 21 when approved by the director if required, are only for the
- 22 confidential use of the agency. However, confidential
- 23 information may be used by the agency in compiling or publishing
- 24 analyses or summaries relating to the general condition of the
- 25 state's water, air, and land resources so long as these analyses
- 26 or summaries do not identify any owner or operator who has so
- 27 certified.
- 28 D. Release authorization. Confidential information may be
- 29 released when the agency is specifically authorized to do so by
- 30 statute.
- 31 E. Denial of request. Certified records or information that
- 32 apply to water pollution sources may be released if the director
- 33 denies the certification request. The provisions of A. apply to
- 34 this release.
- 35 F. Federal law. Regardless of whether records or
- 36 information are certified confidential, the agency may disclose

- 1 any information which it is obligated to disclose in order to
- 2 comply with federal law and regulation, to the extent and for
- 3 the purposes of such federally required disclosure. Whenever
- 4 the agency is required to release certified information pursuant
- 5 to federal law, the director shall notify the certifier of this
- 6 requirement at least three working days prior to making the
- 7 records or information available to the public. The certifier
- 8 may withdraw this information if such an option is available.
- 9 G. Use in contested case hearings. Confidential information
- 10 that is relevant to a matter for which a contested case hearing
- ll is being held and which has been made a part of the record, may
- 12 be considered by the agency in reaching a decision on the
- 13 matter, but must not be released to the public unless the agency
- 14 is required by statute to release it. When the agency is
- 15 required by statute to release the information at the public
- 16 hearing, the person who certified the information may withdraw
- 17 the information, but the information shall not be considered by
- 18 the agency or the hearing examiner in reaching a decision or
- 19 recommendation on the matter. Whenever confidential information
- 20 is considered by the agency or a hearing examiner in reaching a
- 21 decision or recommendation on a matter, that fact must be so
- 22 stated on the record.