

**8280.0040 FORMS.**

Subpart 1. **Required forms.** Only the national forms approved by the National Conference of Commissioners on Uniform State Laws or the International Association of Corporation Administrators will be accepted for filing. These forms are reproduced in subparts 2 to 5.

Subp. 2. **UCC Financing Statement.** This subpart contains the Uniform Commercial Code Financing Statement form.

<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="width: 100px; height: 100px; background-color: black;"></div> <div style="text-align: center;"> <b>UCC FINANCING STATEMENT</b>  <small>FOLLOW INSTRUCTIONS (front and back) CAREFULLY</small> </div> </div>							
<b>A. NAME &amp; PHONE OF CONTACT AT FILER (optional)</b>							
<b>B. SEND ACKNOWLEDGMENT TO: (Name and Address)</b>							
THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY							
<b>1. DEBTOR'S EXACT FULL LEGAL NAME - insert only <u>one</u> debtor name (1a or 1b) - do not abbreviate or combine names</b>							
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <b>1a. ORGANIZATION'S NAME</b> </div> <div style="width: 38%;"> <b>1b. INDIVIDUAL'S LAST NAME</b> </div> </div>							
		FIRST NAME		MIDDLE NAME		SUFFIX	
1c. MAILING ADDRESS				CITY		STATE POSTAL CODE COUNTRY	
1d. TAX ID # SSN OR EIN		ADD'L INFO RE ORGANIZATION		1f. JURISDICTION OF ORGANIZATION		1g. ORGANIZATIONAL ID #, if any	
		DEBTOR				<input type="checkbox"/> NONE	
<b>2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only <u>one</u> debtor name (2a or 2b) - do not abbreviate or combine names</b>							
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <b>2a. ORGANIZATION'S NAME</b> </div> <div style="width: 38%;"> <b>2b. INDIVIDUAL'S LAST NAME</b> </div> </div>							
		FIRST NAME		MIDDLE NAME		SUFFIX	
2c. MAILING ADDRESS				CITY		STATE POSTAL CODE COUNTRY	
2e. TAX ID # SSN OR EIN		ADD'L INFO RE ORGANIZATION		2f. JURISDICTION OF ORGANIZATION		2g. ORGANIZATIONAL ID #, if any	
		DEBTOR				<input type="checkbox"/> NONE	
<b>3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR SIP) - insert only <u>one</u> secured party name (3a or 3b)</b>							
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <b>3a. ORGANIZATION'S NAME</b> </div> <div style="width: 38%;"> <b>3b. INDIVIDUAL'S LAST NAME</b> </div> </div>							
		FIRST NAME		MIDDLE NAME		SUFFIX	
3c. MAILING ADDRESS				CITY		STATE POSTAL CODE COUNTRY	
<b>4. This FINANCING STATEMENT covers the following collateral:</b>							
<b>5. ALTERNATIVE DESIGNATION (if applicable):</b> <input type="checkbox"/> LESSEE/LESSOR <input type="checkbox"/> CONSIGNEE/CONSIGNOR <input type="checkbox"/> BAILEE/BAILOR <input type="checkbox"/> SELLER/BUYER <input type="checkbox"/> AG. LIEN <input type="checkbox"/> NON-UCC FILING							
<b>6. THIS FINANCING STATEMENT IS TO BE FILED (or recorded) in the REAL ESTATE RECORDS - Attach Addendum (if applicable) (if applicable) (ADDITIONAL FEE) (Additional Fee) (Additional Fee)</b>							
<b>7. OPTIONAL FILER REFERENCE DATA</b>							
All Debtors <input type="checkbox"/> Debtor 1 <input type="checkbox"/> Debtor 2 <input type="checkbox"/>							

FILING OFFICE COPY — NATIONAL UCC FINANCING STATEMENT (FORM UCC1) (REV. 07/29/98)

Subp. 3. **UCC Financing Statement Addendum.** This subpart contains the Uniform Commercial Code Financing Statement Addendum form.

UCC FINANCING STATEMENT ADDENDUM					
FOLLOW INSTRUCTIONS (front and back) CAREFULLY					
9. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT					
1a. ORGANIZATION'S NAME					
OR					
1b. INDIVIDUAL'S LAST NAME		FIRST NAME		MIDDLE NAME, SUFFIX	
10. MISCELLANEOUS:					
THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY					
11. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (11a or 11b) - do not abbreviate or combine names					
11a. ORGANIZATION'S NAME					
OR					
11b. INDIVIDUAL'S LAST NAME		FIRST NAME		MIDDLE NAME, SUFFIX	
11c. MAILING ADDRESS		CITY		STATE, POSTAL CODE, COUNTRY	
11d. TAX ID #: SSN OR EIN		ADD'L INFO RE ORGANIZATION DEBTOR		11e. TYPE OF ORGANIZATION	
				11f. JURISDICTION OF ORGANIZATION	
				11g. ORGANIZATIONAL ID #, if any	
				NONE	
12. ADDITIONAL SECURED PARTY'S or ASSIGNOR'S NAME - insert only one name (12a or 12b)					
12a. ORGANIZATION'S NAME					
OR					
12b. INDIVIDUAL'S LAST NAME		FIRST NAME		MIDDLE NAME, SUFFIX	
12c. MAILING ADDRESS		CITY		STATE, POSTAL CODE, COUNTRY	
13. This FINANCING STATEMENT covers <input type="checkbox"/> debtor to be cut or <input type="checkbox"/> as-extracted collateral, or is filed as a <input type="checkbox"/> fixture filing.					
14. Description of real estate:					
15. Name and address of a RECORD OWNER of above-described real estate (if Debtor does not have a record interest):					
16. Additional collateral description:					
17. Check only if applicable and check only one box. Debtor is a <input type="checkbox"/> Trust or <input type="checkbox"/> Trustee acting with respect to property held in trust or <input type="checkbox"/> Decedent's Estate					
18. Check only if applicable and check only one box. <input type="checkbox"/> Debtor is a TRANSMITTING UTILITY <input type="checkbox"/> Filed in connection with a Manufactured-home Transaction — effective 30 years <input type="checkbox"/> Filed in connection with a Public-Finance Transaction — effective 30 years					

FILING OFFICE COPY — NATIONAL UCC FINANCING STATEMENT ADDENDUM (FORM UCC1Ad) (REV. 07/29/98)

Subp. 4. **UCC Financing Statement Amendment.** This subpart contains the Uniform Commercial Code Financing Statement Amendment form.

**UCC FINANCING STATEMENT AMENDMENT**  
 FOLLOW INSTRUCTIONS (front and back) CAREFULLY

**A. NAME & PHONE OF CONTACT AT FILER (optional)**

**B. SEND ACKNOWLEDGMENT TO: (Name and Address)**

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE #

1b. The FINANCING STATEMENT AMENDMENT is to be filed for record (or recorded) in the ☐ REAL ESTATE RECORDS.

2. ☐ **TERMINATION:** Effectiveness of the Financing Statement identified above is terminated with respect to security interest(s) of the Secured Party authorizing this Termination Statement.

3. ☐ **CONTINUATION:** Effectiveness of the Financing Statement identified above with respect to security interest(s) of the Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law.

4. ☐ **ASSIGNMENT (full or partial):** Give name of assignor in item 7a or 7b and address of assignee in item 7c; and also give name of assignor in item 9.

5. **AMENDMENT (PARTY INFORMATION):** This Amendment affects ☐ Debtor or ☐ Secured Party of record. Check only one of these two boxes. Also check one of the following three boxes and provide appropriate information in items 6 and/or 7.

☐ **CHANGE** name and/or address. Give current record name in item 6a or 6b; also give new name if name change in item 7a or 7b and/or new address if address change in item 7c.

☐ **DELETE** name. Give record name to be deleted in item 6a or 6b.

☐ **ADD** name. Complete item 7a or 7b, and also item 9; also complete items 7c-7g if applicable.

6. **CURRENT RECORD INFORMATION:**

6a. ORGANIZATION'S NAME

OR

6b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

7. **CHANGED (NEW) OR ADDED INFORMATION:**

7a. ORGANIZATION'S NAME

OR

7b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

7c. MAILING ADDRESS CITY STATE POSTAL CODE COUNTRY

7d. TAX ID #: SSN OR EIN | ADD'L INFO RE ORGANIZATION DEBTOR | 7e. TYPE OF ORGANIZATION | 7f. JURISDICTION OF ORGANIZATION | 7g. ORGANIZATIONAL ID #, if any ☐ NONE

8. **AMENDMENT (COLLATERAL CHANGE):** check only one box.

Describe collateral ☐ deleted or ☐ added, or give entire ☐ retained collateral description, or describe collateral ☐ assigned.

9. **NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT** (name of assignor, if this is an Assignment). If this is an Amendment authorized by a Debtor which adds collateral or adds the authorizing Debtor, or if this is a Termination authorized by a Debtor, check here ☐ and enter name of DEBTOR authorizing this Amendment.

9a. ORGANIZATION'S NAME

OR

9b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

10. **OPTIONAL FILER REFERENCE DATA**

FILING OFFICE COPY — NATIONAL UCC FINANCING STATEMENT AMENDMENT (FORM UCC3) (REV. 07/29/98)

**Subp. 5. UCC Financing Statement Amendment Addendum.** This subpart contains the Uniform Commercial Code Financing Statement Amendment Addendum form.

UCC FINANCING STATEMENT AMENDMENT ADDENDUM		
FOLLOW INSTRUCTIONS (front and back) CAREFULLY		
11. INITIAL FINANCING STATEMENT FILE # (same as item 1a on Amendment form)		
12. NAME OF PARTY AUTHORIZING THIS AMENDMENT (same as item 3 on Amendment form)		
12a. ORGANIZATION'S NAME		
OR	12b. INDIVIDUAL'S LAST NAME	
	FIRST NAME	MIDDLE NAME SUFFIX
13. Use this space for additional information		
THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY		

FILING OFFICE COPY — NATIONAL UCC FINANCING STATEMENT AMENDMENT ADDENDUM (FORM UCC3Ad) (REV. 07/29/98)

Subp. 6. **Real property filings.** Any financing statement or record that does not indicate on its face that it is to be filed as a fixture filing in the real property records is filed only as a financing statement and is not filed or recorded in the real property records.

**Statutory Authority:** *L 2000 c 399 art 1 s 139*

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