

8275.0105 CERTIFICATION AUTHORITY DISCLOSURE RECORDS.

Subpart 1. **Content.** A certification authority disclosure record must include, at a minimum, the following:

A. the name, business registration number, mailing address, physical address, telephone number, facsimile number, and electronic mail address of the applicant;

B. the name, mailing address, physical address, telephone number, facsimile number, and electronic mail address of the issuer or surety of the certification authority's suitable guaranty;

C. a copy of the certification practice statement filed with the secretary according to part 8275.0015, item I;

D. the following information from the most recent audit performed according to Minnesota Statutes, section 325K.06: the certification authority's resulting categorization according to Minnesota Statutes, section 325K.06, subdivision 2, the date of the audit, and the auditor's name, firm name, and address; and the audited financial statements;

E. information as to the current status of the certification authority's Minnesota license, including the dates of original issuance and renewal and the dates of any expiration, revocation, suspension, or other lapse in licensing. If a suspension or revocation is currently subject to a pending administrative or judicial review, the record must note that fact;

F. the name, mailing address, physical address, telephone number, facsimile number, and electronic mail address of all recognized repositories that the certification authority operates or uses; and

G. a list of all judgments reported to the secretary according to Minnesota Statutes, section 325K.03, subdivision 2, within the previous five years.

Subp. 2. **Notice of change.** Within five days of a change in information contained on the disclosure record, the certification authority shall notify the secretary of the change and the secretary shall update a certification authority disclosure record on receipt of the notice. On receipt of a certified copy of a judgment against a certification authority, the secretary shall update the disclosure record to reflect the judgment. The requirement to update information does not apply to changes in the certification authority's financial condition. Updates of financial information are made only on receipt of audited financial statements.

Subp. 3. **Use of secretary of state's records.** In compiling and maintaining certification authority disclosure records, the secretary shall use the records of the Office of the Secretary of State, and is not obligated to conduct any affirmative investigation or review beyond the face of those records.

Statutory Authority: *MS s 325K.01; 325K.03; 325K.04; 325K.05; 325K.06; 325K.07*

History: *23 SR 1352*

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