

**CHAPTER 7883**  
**MINNESOTA RACING COMMISSION**  
**HORSE RACES**

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**7883.0100 ENTRIES AND SUBSCRIPTIONS.**

Subpart 1. **Ownership.** When a person is excluded from a racetrack or the person's license is denied, revoked, or suspended, every horse owned in whole or in part or under the care and control of that person shall be ineligible to be entered or start in any race until the horse has been reinstated, either by the expiration of the owner's penalty or by the transfer through bona fide sale to an owner approved by the stewards. Such person whether acting as agent or otherwise, shall not be qualified to subscribe for, or to enter or run any horse in any race either in his or her own name or in that of any other person until expiration of such penalty.

Subp. 2. **Horse must be registered and eligible.** No horse shall be permitted to start unless:

A. it is duly registered with and approved by the registry office of The Jockey Club, American Quarter Horse Association, Arabian Horse Registry of America, Inc., or another nationally recognized breed registry. All Thoroughbred horses must also be registered with HISA as provided by HISA Rule 9000h;

B. its registration certificate and Arabian ID Supplement or any supplement relative to other breeds as required showing the lip tattoo number or microchip number of the horse is physically or electronically filed with the racing secretary by scratch time for that race. In stakes races only, a horse shall be allowed to start without the registration certificate on file, if a photocopy or telefacsimile copy of both sides of the foal certificate is on file with the racing secretary. This copy must have been forwarded to the secretary along with a photocopy or a telefacsimile copy of the horse's equine infectious anemia certificate;

C. it is owned by a licensed owner and is in the care of a licensed trainer;

D. at the time of entry, the horse was eligible for the conditions of the race as specified by the racing secretary and it remains eligible until the race;

E. if a horse's name is changed, its new name is registered with The Jockey Club, the American Quarter Horse Association, the Arabian Horse Registry of America, Inc., or the nationally

recognized registration organization relative to other breeds and its old, as well as its new name, shall be given in every entry list until it has run three races, and both names must be printed in the official program for those three races;

- F. the stakes or entrance money for the horse has been paid; and
- G. it is in sound racing condition as determined by the commission veterinarian.

Subp. 2a. **Prohibited starters.** No horse shall be permitted to start if:

- A. it is wholly or partially owned by, or under the care, custody, or control of, a person who for any reason is unlicensed by the commission;
- B. it is on the stewards' list, or veterinarian's list in any racing jurisdiction and not removed from that list;
- C. it is on the starter's list or paddock judge's list in any racing jurisdiction and not removed from that list by the other jurisdiction or racing official in Minnesota;
- D. it has raced in a qualifying trial for a future race and has received a positive test for any medication. In that instance, the next fastest qualifying horse shall be allowed to enter; or
- E. it is a Thoroughbred or Quarter Horse that has raced more than three times in 30 consecutive days.

Subp. 3. **Procedure for first-time entrants.** If entered for the first time at a race meeting, a horse shall be identified by stating its name, color, sex, age, and the name of its sire or sires and dam as registered. In every race thereafter, sufficient description shall be deemed to be provided if the name, color, sex, and age of a horse is furnished.

Subp. 4. **Entering procedure.** Nominations and entries shall be made in writing and signed by the owner or trainer of the horse, or the owner's authorized agent. Each association shall provide forms on which entries, scratches, and declarations are to be made for all races.

- A. The racing secretary and his or her designees are the only persons authorized to receive entries, scratches, and declarations.
- B. Entries may be made by telephone or electronic means, but shall be confirmed promptly in writing, signed by the owner or the owner's authorized agent, if requested by the stewards or racing secretary.
- C. In the case of sweepstakes, the closing of nominations, entries, interim payments, and declarations shall be in accordance with the conditions published by the association sponsoring the race.
- D. A signed entry blank shall be prima facie evidence that the contents of the entry blank express the desires and intent of the person making entry.
- E. A jockey must be named on a specific horse at the time of entry.

F. A jockey may only be named on one horse in the body of the race and one also-eligible, Main Track Only, or both.

Subp. 5. **Entrance money.** The nominator is liable for entrance money or stakes, and a mistake in the entry of a horse when eligible does not release the subscriber or transferee from liability for stakes or entrance money. Entrance money is not refunded on the death of a horse, nor on its failure to start.

Subp. 6. **Prohibited entries.** No person shall:

- A. enter in his or her name a horse of which he or she is not the actual owner;
- B. enter or cause to be entered, or start a horse which he or she knows or believes to be ineligible or disqualified;
- C. enter a horse in more than one race on any day;
- D. enter in a race a horse if it is wholly or partly owned by, trained by, or under the management of a person whose license has been revoked or denied and has not been reinstated at the time of entry, or a person who acts in concert with or under the control of such a person. If an entry from any such person is received, the entry shall be void and any money paid to make the horse eligible for the race shall be paid to the winner. An entry may not be taken from a person whose license is under suspension in any racing jurisdiction unless the term of the suspension ends prior to the day of the race for which the entry was made and any other requirements associated with the suspension have been satisfied prior to the entry;
- E. enter a horse that is 12 years of age or older;
- F. enter a horse that is subject to a lien that has not been approved by the stewards and filed with the horseperson's bookkeeper;
- G. enter a horse that is subject to a lease that has not been approved by the stewards;
- H. enter a horse that is barred or suspended in any racing jurisdiction;
- I. enter a horse that has been fraudulently entered or raced in any jurisdiction under a different name, with an altered registration certificate, or altered means of identification;
- J. enter a horse that has been treated with any bisphosphonate drug within the past six months;
- K. enter a horse that, as of January 1 of the calendar year, is a six-year-old or older maiden or a horse that has not started in two or more years; or
- L. enter a horse where all requirements to compete in the entered race are not completed. This includes HISA registration for Thoroughbreds.

Subp. 7. **Coupled entries.** Except in stakes races and races which are conditioned for horses eligible for specified stakes, not more than two horses of the same licensed ownership or interest shall be entered and started in a race.

A. No trainer shall enter more than three horses in an overnight event except in split races.

B. All horses owned wholly or in part by the same person, or his or her spouse, shall be coupled and run as an entry. The association may uncouple entries on any race with the permission of the stewards.

Subp. 8. **Changing of races.** Each association shall have the right to withdraw or change any race with the permission of the stewards. If a race is declared off because of insufficient entries, the association may split any other race.

Subp. 8a. **Cancellation and postponement.** In the case of questionable racetrack or racing conditions due to weather, the HISA safety director shall call a meeting of the chief commission veterinarian, chief steward, agent of the racetrack, and horseperson's representative to determine if cancellation or postponement of races is necessary. The HISA safety director has the final authority to cancel or postpone races.

Subp. 9. **Closing of entries.** When an hour for closing is designated, entries and declarations for sweepstakes may not be considered if received afterwards. If an hour is not designated, entries and declarations may be mailed or sent by fax transmission up to midnight of the day of closing, provided they are received in time for compliance with every other condition of the race.

Subp. 10. **Loss of entries.** A person who alleges loss of an entry or declaration in a stakes race must provide satisfactory proof that it was mailed or sent by fax transmission within a reasonable time before the designated time for closing or it shall not be considered received.

Subp. 11. **Drawing of entries.** The drawing of entries for post positions shall be governed by the following procedures.

A. When entries exceed the permitted number of starters, the number of starters shall be reduced to the proper number by using the association's established preference date system. The number of starters shall be reduced to the proper number for the entire race or for each division of the race at the option of the association.

B. Each day after the entries have been closed, the racing secretary shall designate from among those licensees present in the entry office a person to draw the entry sheets and a person to shake the post position numbers out of the container. In every case, the entry shall be drawn from its approved receptacle before the number ball is released from the container.

C. In divided races the starters in the separate divisions shall be determined by lot.

Subp. 12. **Deceased owners.** Nominations, entries, and rights of nomination and entry of a deceased owner shall be exercisable by and transferable by the deceased's personal representative subject to compliance with all applicable rules of the commission. The personal representative of a deceased owner shall be deemed to hold an owner's license with respect to horses belonging to the estate of the deceased until the commission declares that such owner's license is no longer in effect.

In the case of the death of a member of a multiple owner, nominations, entries, and rights of nomination and entry shall continue and may be exercised by the remaining members of the multiple owner or any of them.

Nominations and all entries or rights of entry under them become void on the death of the nominator, except in cases of multiple owners, or except with approval of the stewards when the personal representative of an estate in writing requests that the benefits of the nominations accrue to the estate of the deceased nominator for the purpose of selling or transferring a horse, and such representative agrees to assume any and all obligations incident to the original entries.

**Subp. 13. Prohibitions on horses sold or transferred with engagements.** Should a horse be sold with engagements, the seller shall not strike the horse out of any such engagements.

If, when a horse is sold or transferred or deemed to be sold or transferred with its engagements, the racing secretary requires evidence of such sale or transfer, the failure to produce such evidence shall render the horse ineligible to start in any stakes race.

No person shall make or receive the transfer of a horse or engagement for the purpose of avoiding disqualification.

**Subp. 14. Responsibility for eligibility.** A trainer shall be responsible for the eligibility of horses entered by him or her or his or her authorized agent, and an owner shall be responsible for the eligibility of horses personally entered by the owner.

**Subp. 15. Horse must be properly entered.** A horse shall not be qualified to start in any race unless it has been and continues to be properly entered therein. A horse which is improperly entered shall not be entitled to any part of the purse, but once the "Official" sign is posted, this rule shall in no way affect the wagering on the race.

**Subp. 15a. Approval for blinkers.** No horse, to which blinkers will be added or removed, may be entered in any race without the prior written authorization of the starter. This authorization must be noted on the entry. Approval of the stewards must be obtained for any horse to which blinkers will be added or removed, prior to entry in a race. Once approved by the stewards, no changes may be made in the use of blinkers without approval of the stewards. Error in this procedure may be corrected only with the permission of the stewards.

**Subp. 16. Workout requirements.** In order to be eligible:

A. A Thoroughbred horse that has not started for a period of 46 days or more is not eligible to start until it has completed one timed workout within 45 days of and no less than 48 hours prior to the race in which it is entered. A Thoroughbred horse that has not started for a period of 61 days or more is not eligible to start until it has completed two timed workouts within 60 days and no less than 48 hours before the race in which it is entered. Any workout following the entry of a horse shall appear on the official daily racing program or shall be posted for the public.

B. A Quarter Horse that has not started for a period of 46 days or more is not eligible to start until it has completed one timed workout within 45 days of and no less than 48 hours prior to the race in which it is entered. A Quarter Horse that has not started for a period of 61 days or more

is not eligible to start until it has completed two timed workouts within 60 days of and no less than 48 hours before the race in which it is entered. Any workout following the entry of a horse must appear on the official or daily racing program or must be posted for the public.

C. A first-time starter less than four years of age must have gate approval and a minimum of two timed workouts, one of which must be out of the gate, no more than 60 days prior to and no less than 48 hours before the race in which it is entered.

D. A first-time starter four years of age or older must have gate approval no more than 60 days prior to the race in which it is entered, and a minimum of three timed workouts, at least one of which must be out of the gate no more than 60 days prior to the race in which it is entered, and one of which must be an official timed workout observed and approved by a commission veterinarian, no more than 45 days prior to the race for which the horse is entered, and no less than 48 hours before the race in which it is entered.

E. A horse, other than a first-time starter, which has not started for a period of more than one year shall not be eligible to start until it has completed three timed workouts, at least one of which must be an official timed workout observed and approved by a commission veterinarian no more than 45 days prior to the race for which the horse is entered.

F. A horse that has not started for more than 180 days and less than 365 days must be placed on a restricted list and must have an examination by a commission veterinarian prior to racing. No workout will be required unless the horse is determined to be unsound by the commission veterinarian.

G. Official timed workouts shall be conducted under the same medication and testing rules applicable to racing. A horse is not eligible to be entered in a race until negative results of post-workout medication testing have been returned to a commission veterinarian.

H. For all county fairs in which the average daily handle for the preceding year was less than \$150,000 all workout requirements shall be waived except that in the case of a horse that has not started for a period of one year, the owner or trainer must contact a commission veterinarian prior to entry for an examination and workout as determined by a commission veterinarian.

I. For the purpose of removing a horse from the veterinarian's list, all workouts must be conducted under the same medication requirements as those for race days.

J. A Quarter Horse that has not previously started at a distance of 870 yards is not eligible to start in a race scheduled for 870 yards until it has completed one timed workout at a distance of 660 yards or more within 60 days of and no less than 48 hours before the race in which it is entered.

Subp. 17. **Refused entries.** The nominations and entries of any person or transfer of any nomination or entry may be refused by the association.

Subp. 18. **Protest.** Any time before the running of a race, an owner, trainer, or authorized agent may object to the eligibility of a horse participating in the race by filing a written protest with the stewards.

Subp. 19. **Commission's access to entered horses.** The stewards may require an entered horse to be on the grounds of a licensed racetrack anytime prior to the race and remain there until after the race is completed.

Subp. 20. [Repealed, 50 SR 1117]

**Statutory Authority:** *MS s 240.03; 240.08; 240.13; 240.15; 240.16; 240.19; 240.23; 240.24*

**History:** *9 SR 2527; 10 SR 2161; 11 SR 2201; 14 SR 332; 15 SR 2307; 16 SR 2684; 18 SR 886; 20 SR 2592; 22 SR 1785; 24 SR 1568; 25 SR 1609; 26 SR 1438; 28 SR 1482; 33 SR 8; 35 SR 627; 40 SR 29; 41 SR 1322; 42 SR 1258; 44 SR 1047; 46 SR 1210; 47 SR 990; 49 SR 497; 49 SR 1123; 50 SR 1117*

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### **7883.0110 PREFERENCE SYSTEM.**

Subpart 1. **Preferred list.** The racing secretary shall keep a list of all horses excluded from races because of too many entries, and such horses are to have preference in any race in which they may afterwards be entered in accordance with the rules adopted by the racing secretary for the meeting and approved by the stewards. This shall be known as the "preferred list."

Subp. 2. **In-today horses.** When a horse is entered on one day and has an opportunity to start other than in a stakes race or as a main-track-only entry and is also entered for a subsequent race day, the second entry will be an "in-today" and will not be considered unless the race underfills, nor will such horse be considered on the preferred list.

Subp. 3. **Second part of entry preferred over in-today horse.** The second part of an entry shall receive preference over an "in-today" on the also eligible list in case the race overfills.

Subp. 4. **Preference forfeited.** No horse's name shall be placed on the preferred list and all preference shall be forfeited if the owner did not accept, when presented, the opportunity of starting.

**Statutory Authority:** *MS s 240.03; 240.23; 240.24; 240.29*

**History:** *9 SR 2527; 49 SR 497; 50 SR 1117*

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### **7883.0120 SCRATCHES AND NONSTARTERS.**

Subpart 1. [Repealed, 46 SR 1210]

Subp. 1a. **Stewards must approve scratching horses.**

A. No horse may be scratched from a race without permission of the stewards.

B. Notwithstanding subpart 2, item B, the stewards may permit the withdrawal of any horse after the jockey has weighed out for any reason which the stewards determine to be in the best interests of racing.

Subp. 1b. **Procedure for scratching horses.**

A. Scratches must be made in writing and signed by the owner or trainer of the horse, the owner's authorized agent, or a commission veterinarian on a form provided by each association.

B. All scratches, excluding stakes races and main track only horses, must be made by the scratch time designated by the association.

C. Horses may be scratched down to a field of eight without penalty. Any horse that scratches below a field of eight horses without a reason allowed by this part must be placed on the stewards' list for a minimum of seven days and may not start until they are off the list.

D. Any racing office request for a scratch must not be allowed unless the horse is coming out of a race to make a race of equal or lesser field size.

E. With the exception of stakes races, a scratch requested by the trainer, owner, or authorized agent on race day is not final until all scratches requested by a commission veterinarian have been submitted and approved by the stewards. Every entered horse must be examined by a commission veterinarian regardless of a potential scratch.

Subp. 1c. **Drawing horses into a race from also-eligible list.**

A. If a horse is scratched from a race and there is a horse on the also-eligible list for that race, the horse drawing into the race must be assigned a post position according to this subpart.

B. Horses drawing into the race from the also-eligible list must start in the outermost post position.

Subp. 1d. **Horse drawn into two races.** A horse drawn into the body of a race that has drawn into the body of another race to be run within seven days or fewer shall be scratched from the first race. Main-track-only and stakes horses are excluded.

Subp. 2. **Scratches from stakes races.** A scratch from an early-closing stakes race shall be made not less than 45 minutes before post time of the race.

Subp. 3. **Horse must be entered in stakes race.** In a stakes race, if a horse is not named through the entry box at the usual time of closing, the horse is automatically out.

Subp. 4. **Nomination may be altered or withdrawn.** A nomination of a horse to a sweepstakes may be altered or withdrawn at any time prior to the closing time for nominations.

Subp. 5. [Repealed, 46 SR 1210]

Subp. 6. **Horse declared nonstarter.** The stewards shall have the authority to declare that a horse is not a starter if they determine that the horse was ineligible to participate in the race or any occurrence before, or during, the running of a race calls for such action by them.

Subp. 7. [Repealed, 42 SR 1258]

**Statutory Authority:** *MS s 240.03; 240.23; 240.24; 240.29*

**History:** 9 SR 2527; 14 SR 332; 16 SR 2684; 35 SR 627; 42 SR 1258; 46 SR 1210; 47 SR 990; 49 SR 497; 50 SR 1117

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### 7883.0130 PENALTIES AND ALLOWANCES.

Subpart 1. **Determining penalties and allowances.** Penalties and allowances shall be determined as follows.

A. Penalties and allowances are not cumulative, unless so declared by the conditions of the race, and shall take effect at the time of starting, except that in overnight events a horse will have only the allowance to which it was entitled at the time of entry.

B. Penalties are obligatory; allowances are optional as to all or part thereof, and in overnight events must be claimed at the time of entry.

C. No horse shall receive allowance of weight nor be relieved from extra weight for having been beaten in one or more races, but this rule shall not prohibit maiden allowance or allowances to horses that have not won a race within a specified period or a race of specified value.

D. Failure to claim a weight allowance by oversight or omission is not cause for disqualification. Claims of weight allowance to which a horse is not entitled shall not disqualify unless such incorrect weight is carried in the race. However, a fine may be imposed upon the person claiming allowance to which his or her horse is not entitled.

E. Eligibility, penalties, and allowances of weight for all races will be determined from the reports, records, and statistics published by the Daily Racing Form, Equibase, or furnished by the Arabian Jockey Club (Colorado), and from information contained on Jockey Club (Lexington) foal certificates, and from certificates issued by the American Quarter Horse Association (AQHA), and the Arabian Horse Registry of America, Inc. (AHRA). Information contained on these certificates that is not published by the Daily Racing Form, or certified by the AQHA, or certified by the Arabian Jockey Club (AJC), will not be considered. Responsibility for weight carried and eligibility still remains with the owner and trainer as provided in part 7883.0100, subpart 15.

F. No horse shall incur a weight penalty for placement from which it is disqualified, but a horse placed through the disqualification of another horse shall incur the weight penalties of that placement. No such placement shall make a horse ineligible to a race which has already been run.

G. When a race is in dispute, both the first- and second-place finishers are liable to all penalties attached to the winner of that race until the matter is decided.

Subp. 2. **Scale of weights or weight for age.** Races written to be run under "scale of weights" or "weight for age" shall be run under the following weights:

Distance	Age	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
.5 Mile	2	X	X	X	X	X	X	X	105	108	111	114	114

	3	117	117	119	119	121	123	125	126	127	128	129	129
	4+	130	130	130	130	130	130	130	130	130	130	130	130
6F	2	X	X	X	X	X	X	X	X	102	105	108	111
	3	115	115	116	116	118	120	121	122	123	124	125	125
	4+	126	126	126	126	126	126	126	126	126	126	126	126
1M	2	X	X	X	X	X	X	X	X	96	99	102	102
	3	114	114	115	116	117	118	120	121	122	123	124	124
	4+	126	126	126	126	126	126	126	126	126	126	126	126
1.25M	2	X	X	X	X	X	X	X	X	X	X	X	X
	3	113	113	114	115	116	117	119	120	121	122	123	124
	4+	126	126	126	126	126	126	126	126	126	126	126	126
1.5M	2	X	X	X	X	X	X	X	X	X	X	X	X
	3	112	112	112	113	115	116	117	118	120	120	122	124
	4+	126	126	126	126	126	126	126	126	126	126	126	126
2M	3	96	96	102	102	106	109	112	114	117	119	120	120
	4	124	124	126	126	126	126	126	125	125	124	124	124
	5	126	126	126	126	126	126	126	125	125	124	124	124

Subp. 3. **Racing secretary may write alternate weights.** It shall be within the discretion of the racing secretary to write races either above or below the "scale of weights."

Subp. 4. **Sex allowance.**

A. In all races against male horses, except handicaps and races where the conditions expressly state to the contrary, Thoroughbred fillies two years old are allowed three pounds and Thoroughbred fillies and mares three years old and upward are allowed five pounds before September 1 and three pounds thereafter. The sex allowance may not be waived.

B. There is no sex allowance in races for Quarter Horse fillies and mares.

**Statutory Authority:** *MS s 240.03; 240.13; 240.15; 240.19; 240.23; 240.24; 240.29*

**History:** 9 SR 2527; 14 SR 2008; 15 SR 2307; 16 SR 2684; 20 SR 2592; 25 SR 1609; 42 SR 1258; 49 SR 1123

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### **7883.0140 CLAIMING RACES.**

Subpart 1. **Who may claim.** In claiming races, any horse is subject to claim for its entered price by any person who is eligible to claim or by an authorized agent. The following persons are eligible to claim:

A. Any owner licensed by the commission.

B. An applicant for an owner's license who is approved by the stewards may be granted a claiming authorization. Each application for a claiming authorization must be signed by the prospective trainer who must be licensed by the commission. Under no circumstances shall the claiming authorization be issued until the commission completes a preliminary background investigation. An owner's license will not be granted until after the claiming authorization has been executed and the applicant completes the licensing process. The applicant must complete the licensing process within ten days of executing the claiming authorization. Once the applicant has been granted a claiming authorization, he or she may open an account with the horsepersons' bookkeeper.

Subp. 2. **Claiming procedure.** Claims shall be made in writing on a form provided by the association and approved by the commission. Claims shall be signed and sealed in an envelope having no identification marks except:

A. the name of the track;

B. the number of the race from which the claim is being made; and

C. a time stamp provided by the track for that purpose reflecting that the claim was made not less than 15 minutes prior to the post time of the race in which the horse to be claimed is entered.

Subp. 3. **Examination of claim envelopes.** The claims clerk shall open the claim box, search for, open, and examine the claim envelopes no more than 15 minutes prior to post time for each race.

Subp. 4. **Information shall not be divulged.** No information concerning such claims shall be divulged to anyone other than the racing secretary's staff and the horsepersons' bookkeeper until after the race has been run.

Subp. 5. **Multiple claims determined by lot.** If more than one claim is filed for the same horse, the successful claimant shall be determined by lot under the supervision of the stewards or the claims clerk.

Subp. 6. **Claims are irrevocable.** Once a claim is deposited in the claim box, the claim cannot be withdrawn or revoked.

Subp. 7. **Invalid claims.** A claim is invalid if:

- A. the name of the horse to be claimed is erroneously spelled or is not specified in the space provided on the claim form;
- B. the claimant does not have at least the amount of the claim and any applicable tax or fee on deposit or credited with the horsepersons' bookkeeper;
- C. the claim form does not specify the designated price as printed in the program, or is not signed, or does not fully indicate the name of the party making the claim, or is otherwise incorrectly completed; or
- D. the claim envelope is inaccurate.

Subp. 8. **Voided claims.** If a claim is voided by the stewards, the horse claimed shall be returned to the original owner who, in turn, shall refund all claim money to the unsuccessful claimant.

The stewards shall void a claim if:

- A. the horse dies or is euthanized within one hour of racing;
- B. the horse is a Quarter Horse and is placed on the veterinarian's list for exhibiting clinical signs of any of the following within one hour of racing: a musculoskeletal injury, lameness, or unsoundness of heart or lung. However, the claim shall not be voided for this reason if the claimant elected to claim the horse regardless of whether the horse is placed on the veterinarian's list. An election made under this provision shall be made on the claim form. For purposes of this subpart, "unsoundness of heart" means atrial fibrillation or cardiac arrhythmias, and "unsoundness of lung" means recurrent airway obstructive pulmonary disease or bleeding from one or both nostrils; or
- C. the horse is a Thoroughbred and is placed on the veterinarian's list within one hour of racing for unsoundness or bleeding. However, the claim shall not be voided for this reason if the claimant elected to claim the horse regardless of whether the horse is placed on the veterinarian's list. An election made under this provision shall be made on the claim form.

Subp. 9. **Prohibition on claims.** No person or racing interest shall:

- A. claim more than one horse from any one race;
- B. claim their own horse or cause such horse to be claimed, directly or indirectly, for their own account;
- C. refuse to deliver a claimed horse to the successful claimant;
- D. remove any horse which has been entered in a claiming race from the grounds of the association where it has been entered to race, or fail or refuse to comply with any rule or any condition of the meeting for the purpose of avoiding or preventing a claim for such horse;
- E. offer or enter into an agreement to claim or not to claim or attempt to prevent another person from claiming any horse in a claiming race;
- F. attempt to intimidate or prevent anyone from running a horse in any claiming race;

G. claim horses owned or trained by their trainer or the trainer's spouse, child, sibling, parent, mother-in-law, or father-in-law;

H. claim a horse from an owner whose horse is trained by the claimant's trainer;

I. being a trainer, claim a horse from an owner for whom he or she trains;

J. enter, or allow to be entered, any horse against which any claim is held, either by mortgage or lien of any kind without, prior to entering, having filed the written consent of the holder of the mortgage or lien with the racing secretary and horsepersons' bookkeeper. Notification of the mortgage or lien must be posted in a conspicuous place in both the racing secretary's and horsepersons' bookkeeper's offices; or

K. claim a horse if he or she only leases a horse for racing purposes, unless the claim is executed pursuant to subpart 1, item B.

Subp. 10. **Affidavit may be required.** Whenever the stewards have reasonable doubt about the validity of a claim, they shall require a claimant to execute an affidavit stating that the claimant is claiming the horse for the claimant's own account or as an authorized agent, and not for any other person.

Subp. 11. **Claimant responsible for determining true age and sex.** Determination of the true age and sex of a claimed horse shall be the sole responsibility of the claimant, and mistakes in that regard printed in the official program or elsewhere shall not be considered a basis for invalidating the claim.

Subp. 12. **Ineligibility of bred mare.** If a filly or mare has been bred and is in foal, she is ineligible to be entered into a claiming race.

Subp. 13. **Foal certificates remain in custody of racing secretary.** The foal certificate or eligibility papers of a claimed horse shall remain in the custody of the racing secretary until the new owner removes the horse from the grounds of the association.

Subp. 14. **Stakes engagements transfer to claimant.** The stakes engagements of a claimed horse transfer automatically with the horse to the claimant.

Subp. 15. **Protest of claim for Quarter Horses.**

A. Except for protests involving medication violations in item B, a written protest of a claim that states the basis of the protest must be submitted to the stewards not later than the next racing day after the race was run. The stewards shall investigate the matter as soon as reasonably possible and determine the validity of the protest.

B. A postrace test shall be conducted on any claimed horse in compliance with chapter 7890. The claimant may request voidance of the claim if the initial forensic analysis of the test sample demonstrates a medication violation under chapter 7890.

Upon receipt of notification from a commission veterinarian of a medication violation involving a claimed horse, the stewards shall immediately notify the successful claimant who shall then have 72 hours to decide whether to keep the horse or request that the claim be voided.

Within 12 hours of a notification of a medication violation from the stewards, the original trainer must provide the new trainer, on a commission form, the name of the specific medication involved. A copy of the completed form must be provided to the stewards within 24 hours.

C. When a request is made to void any claim under item A or B, the successful claimant is responsible for all expenses incurred for the care of the claimed horse from the time the horse is transferred to the claimant until the time the horse is ordered returned to the prior owner following voidance of the claim by the stewards in accordance with this rule.

D. Voidance of a claim is not an option if, after coming under the care, custody, and control of the claimant, the horse has already run for the claimant or has died.

Subp. 15a. **Protest of claim for Thoroughbreds.** Claims protested for Thoroughbred horses are controlled by HISA rules, which are incorporated by reference in part 7869.0200, subpart 2a.

Subp. 16. **Title to claimed horse.** Title to a horse which is claimed shall be vested in the successful claimant at the time the field has been dispatched from the starting gate and the horse becomes a starter, and the successful claimant becomes the owner of the horse whether it is sound or unsound, or injured during the race or after it, except as specified in subpart 8. Only a horse that is officially a starter in the race may be claimed. A subsequent disqualification of the horse by order of the stewards or the commission shall have no effect upon the claim.

Subp. 17. **Horse shall run in interest of owner.** On the day claimed, a claimed horse shall run in the interest of and for the account of the owner from whom the horse was claimed.

Subp. 18. [Repealed, 40 SR 29]

Subp. 19. **Delivery and responsibility for postrace analysis.** A trainer whose horse has been claimed is responsible for the horse until after collection of the blood, urine, or hair specimens at the detention barn where delivery shall be made to the successful claimant, trainer, or employee of the claimant or trainer.

Subp. 20. [Repealed, 33 SR 8]

Subp. 21. [Repealed, 20 SR 2592]

Subp. 22. **Claimed horse shall race at track claimed.**

A. No claimed horse shall race at any other racetrack unless:

- (1) the last scheduled overnight race for that breed at the meet has been drawn; or
- (2) 60 days have passed since the day the horse was claimed.

B. The restrictions on claimed horses racing at another track may be waived if the horse is entering a race to fulfill stakes engagements or by request of the association's racing secretary with the written approval of the stewards.

Subp. 23. **Claimed horse shall not be transferred.** No horse claimed in a claiming race shall be sold or transferred wholly or in part to anyone within 30 days after the day it was claimed, except in another claiming race.

Subp. 24. [Repealed, 40 SR 29]

Subp. 25. [Repealed, 40 SR 29]

Subp. 26. **Claiming authorization.** The commission or its appointed representatives shall issue a claiming authorization to any person who makes application therefor on forms prescribed for that purpose and who:

A. Meets all the requirements for the issuance of an owner's license, except that the applicant need not own a horse or have any previous experience in racing.

B. Has an agreement with a licensed trainer to take charge of, care for, and train any horse claimed pursuant to the claiming authorization. The holder of a claiming authorization and the trainer shall each promptly notify the stewards in writing if such agreement is terminated before a horse is successfully claimed.

C. Has at least the amount of the claim on deposit or credited with the horsepersons' bookkeeper.

Subp. 27. **Claiming authorization valid for calendar year.** A claiming authorization shall be valid for the calendar year in which it is issued, or until such time as the person to whom the authorization was issued becomes a horse owner either through use of the claiming authorization or through private purchase.

Subp. 28. **Claiming authorization fee.** The same fee charged for an owner's license shall be payable to the commission by the applicant prior to issuance of a claiming authorization. The holder of a claiming authorization shall not, by virtue thereof, be entitled to admission to the grandstand, clubhouse, or other spectator facility at prices less than those charged the general public. A holder of a claiming authorization who has not previously been granted an owner's license will be issued an owner's license without payment of any additional fees.

An application for claiming authorization may be denied or revoked for any reason that would justify denial, suspension, or revocation of an owner's license. Any person whose claiming authorization is denied or revoked shall have the same rights to notice and hearing as an owner whose license is denied, suspended, or revoked.

Subp. 29. [Repealed, 33 SR 8]

Subp. 30. [Repealed, 40 SR 29]

Subp. 31. **Waiver claiming rule.** At the time of entry into a claiming race, the owner may opt to declare a horse ineligible to be claimed provided:

A. the horse has been laid off and has not started for a minimum of 120 days since its last race;

B. the horse's last race as an official starter was a claiming race in which the horse was eligible to be claimed; and

C. the horse is entered for a claiming price equal to or greater than the price at which it last started.

Failure to declare the horse ineligible at the time of entry may not be remedied and the ineligibility shall apply only to the first start following each such layoff.

Subp. 32. [Repealed, 42 SR 1258]

**Statutory Authority:** *MS s 240.03; 240.13; 240.15; 240.19; 240.23; 240.24*

**History:** *9 SR 2527; 10 SR 2161; 12 SR 2393; 14 SR 2008; 15 SR 2307; 16 SR 2684; 19 SR 2307; 20 SR 2592; 21 SR 1407; 25 SR 1609; 33 SR 8; 34 SR 1135; 40 SR 29; 41 SR 1322; 42 SR 1258; 44 SR 1047; 46 SR 1210; 47 SR 990; 49 SR 497; 49 SR 1123*

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#### **7883.0150 Paddock to Post.**

Subpart 1. **Horses must have identifying equipment.** In a race, each horse must carry a conspicuous saddlecloth number corresponding to its number on the official program. In the case of any coupled entry, each horse making up the coupled entry shall carry the same number as the first part of the entry, along with a distinguishing letter; for example: 1, 1a, or 1b.

Subp. 2. **Trainer to have horse in paddock.** A trainer shall have his or her horses in the paddock not less than 20 minutes before post time. The trainer shall also attend his or her horse in the paddock and be present to supervise its saddling, unless he or she has obtained permission of a steward to send an assistant trainer or another trainer as a substitute. Every horse must be saddled in the paddock unless permission has been granted by the stewards to saddle elsewhere.

Subp. 3. **Bandages and blankets.** Immediately upon arrival in the paddock, all blankets and bandages, except those bandages that will be worn during a race, must be removed. Should weather conditions so dictate, blankets may be worn after saddling with permission of the paddock judge. After saddling, all horses must be walked to allow a satisfactory examination. Bandages that will be worn during a race must not be fastened with safety pins or metal/plastic binders of any kind. No soft cast or gelatinous bandages may be used under the bandages worn during a race.

Subp. 4. **Horses excused from parading.** The stewards may permit a horse to be excused from parading with the other horses and be led to the post, but such horse shall nevertheless pass the stewards' stand on its way to the post.

Subp. 5. **Lead ponies.** Lead ponies and their riders shall be permitted to enter the saddling paddock or walking ring only with the permission of the stewards.

Subp. 6. **Duration of post parade.** After entering the course not more than 14 minutes shall be consumed in the parade of the horses to the post except in cases of unavoidable delay. After passing the steward's stand once, horses will be allowed to break formation and canter, warm up, or go as they please to the post unless otherwise directed by the stewards. When the horses have reached the post, they will be started without unnecessary delay.

Subp. 7. **Horses must be free of attendants.** After the horses enter the course, no jockey shall dismount and no horse shall be entitled to the care of an attendant without consent of the stewards or the starter, and the horse must be free of all hands other than those of the jockey or assistant starter before the field is dispatched by the starter. In case of accident to a jockey or to his or her mount or equipment, the stewards or the starter may permit the affected jockey to dismount and the horse to be cared for during the delay, and may permit all other jockeys to dismount and all other horses to be attended during the delay.

Subp. 8. **Horse must carry weight from paddock to post.** Each horse must carry its assigned weight from paddock to post and from post to finish. If a jockey is thrown on the way from the paddock to the post, the horse must be remounted, returned to the point where the jockey was thrown, and then proceed over the route of the parade to the post.

Subp. 9. **Injury to jockey.** If the jockey is so injured on the way to the post to require substitution of another jockey, the horse shall be returned to the paddock, or the winner's circle or other area designated by the stewards, another jockey mounted, and then ridden over any uncompleted portion of the exact route of the parade to the starting point.

Subp. 10. **Horse leaves race course.** If a horse dislodges a rider, leaves the course when moving from paddock to post, and is out of sight by the stewards or track veterinarian, it shall be deemed an automatic steward scratch.

Subp. 11. **No willful delays.** No person shall willfully delay the arrival of a horse at the post.

Subp. 12. **Prohibition on assisting a start.** No person other than the jockey, starter, or assistant starter shall be permitted to strike a horse or attempt by shouting or otherwise to assist it in getting a start.

Subp. 13. **Farrier in paddock.** The association must ensure that a commission-licensed farrier is in the paddock or receiving barn and available to perform the duties of a commission-licensed farrier during the time of live horse racing.

Subp. 14. **Flipping halters.**

A. Any horse entered to race with a flipping halter must arrive in the paddock with the halter in place under the bridle. For purposes of this subpart, a "flipping halter" means a device used to prevent a horse from rearing up inside the starting gate.

B. There shall be no refund of wagering because a flipping halter prevents the starting gate from opening properly.

Subp. 15. **Tongue ties.** Tongue ties tied so tightly that the tongue appears blue or purple when the horse reaches the saddling paddock must be loosened before the horse may be saddled. The paddock veterinarian is responsible for determining if a tongue is tied too tightly.

Subp. 16. **Questionable weather events.** For the purposes of tracking the following weather events, the Air Quality Index (AQI) must be monitored via <https://www.airnow.gov> or via the AirNow application. The heat index must be monitored via <https://www.osha.gov/heat/> or via the Occupational Safety and Health Administration (OSHA) heat index application. The My Lightning Tracker Pro application must be used to measure lightning strikes within a set number of miles from the racetrack. National Oceanic and Atmospheric Administration (NOAA) weather reports and the National Weather Service RADAR must be used to confirm weather patterns and directions.

A. When the AQI for the racetrack's zip code is elevated due to an extreme air pollutant event, including when smoke from wildfires is forecasted or detected, the following procedures must be followed:

(1) when the AQI for the racetrack's zip code reaches a level of 150 degrees Fahrenheit, both equine and human participants must be provided the option to withdraw from competition without penalty; and

(2) when the AQI for the racetrack's zip code is at or above 175 degrees Fahrenheit, no horse racing or training may be conducted.

B. When the heat index for the racetrack's zip code is projected to reach 105 degrees Fahrenheit or higher for more than two consecutive hours, the chief commission veterinarian must recommend to the association that horse racing be postponed or canceled.

C. When lightning first strikes within an eight-mile radius of the racetrack, the following procedures must be followed:

(1) the racetrack must suspend or cancel racing, in coordination with the commission stewards. Racing activity may resume after 30 minutes from the last lightning strike within eight miles of the racetrack, or earlier if an all-clear is established; and

(2) if the suspension lasts longer than one hour, the commission chief veterinarian must discuss with the association canceling a partial amount of the remaining card or implement a plan to cancel the remainder of the race card.

**Statutory Authority:** *MS s 240.03; 240.08; 240.13; 240.15; 240.19; 240.23; 240.24*

**History:** *9 SR 2527; 10 SR 2161; 14 SR 2008; 22 SR 1785; 25 SR 1609; 33 SR 8; 40 SR 1393; 42 SR 1258; 46 SR 1210; 49 SR 1123; 50 SR 1117*

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**7883.0160 POST TO FINISH.**

Subpart 1. **Thoroughbreds and Quarter Horses must be tattooed physically or be microchipped and tattooed digitally.** No Thoroughbred or Quarter Horse shall be permitted to start unless it has been tattooed physically or has a microchip and been tattooed digitally and fully identified.

Subp. 2. **Loading in starting gate.** The starter, with approval of the stewards, shall determine the procedures for loading horses into the starting gate.

Subp. 3. **Horse deemed a starter.** A horse is a starter for all purposes of the commission's rules when the stall doors of the starting gate open in front of it at the time the starter dispatches the horses in a valid start.

Subp. 4. **All horses shall be ridden out.** All horses shall be ridden out past the finish line in every race and must carry their assigned weight from the post to finish.

Subp. 5. **Horse shall not leave course.** If during a race a horse leaves the course, it shall be disqualified.

Subp. 6. **Interference and willful fouling.** Items A to E apply with respect to the running of a race.

A. When clear, a horse may be taken to any part of the course but no horse shall cross or weave in front of other horses in such a way as to impede them or constitute or cause interference or intimidation such that it would affect the outcome of the race.

B. If a horse or jockey jostles another horse such that it would affect the outcome of the race, the aggressor may be disqualified, unless the jostled horse or its jockey was partly at fault, or the jostling was wholly caused by some other horse or jockey.

C. During a race no jockey shall willfully or carelessly strike or touch another jockey or another jockey's horse or equipment with the effect of interfering with that horse or jockey, nor shall a jockey strike the jockey's horse on or about the head area.

D. For willful fouling or careless riding, a jockey may be fined or suspended, or both, by the stewards according to the nature and seriousness of the offense.

E. A jockey whose horse has been disqualified or who unnecessarily caused the horse to change or shorten its stride for the purpose of losing a race may be fined or suspended.

Subp. 6a. **Use of riding crop.** Items A to E apply with respect to the use of a riding crop.

A. A jockey shall use a riding crop in a manner consistent with using the jockey's best efforts to win.

B. A jockey must not use the riding crop indiscriminately.

C. A jockey must not strike a horse more than three consecutive times without pausing to only push on the horse giving it a chance to respond before using the riding crop again.

D. Jockeys are prohibited from striking a horse:

- (1) on the head, flanks, or on any part of its body other than the shoulders or hind quarters;
- (2) during the post parade except when necessary to control the horse;
- (3) excessively or brutally causing welts or breaks in the skin;
- (4) when the horse is clearly out of the race or has obtained its maximum placing;
- (5) persistently even when the horse is showing no response under the riding crop; or
- (6) after the race.

E. Correct uses of the riding crop are:

- (1) showing horses the riding crop before hitting them;
- (2) using the riding crop in rhythm with the horse's stride; and
- (3) using the riding crop as an aid to maintain a horse running straight.

Subp. 7. **Determination of disqualifications.** The stewards are vested with sole and complete power and authority to determine when a disqualification is proper, its extent, and whether it applies to any other part of an entry. Their decision is final.

A. In determining the extent of disqualification of a horse in any race, the stewards may either place the disqualified horse behind such horse as in their judgment the disqualified horse interfered with, or they may place it last.

B. When a horse of one ownership or interest is coupled with a horse or horses of the same or another ownership or interest, the disqualification of one will not necessarily affect the placing of the other.

C. When two horses with a common ownership interest or common trainer are racing uncoupled and one is disqualified, the stewards shall also disqualify any other commonly owned or trained horse if they determine it is in the interest of racing integrity to do so.

D. In the event of a disqualification, the stewards, at the request of the owner of the disqualified horse, shall review the race with that owner within 72 hours of the race.

Subp. 8. **Best effort must be made.** All jockeys are expected to give their best efforts in races in which they ride, and any instructions or advice to jockeys to ride or handle their mounts otherwise than for the purpose of winning are prohibited and will subject all persons giving or following such instructions or advice to disciplinary action by the stewards and commission. If two horses run in one interest in any race, each must give their best effort.

Subp. 9. **Protests.** Protests with regard to the running of the race shall be made only by the owner, trainer, or jockey of the horse alleged to be aggrieved, and must be made to the stewards,

the outriders, or the clerk of scales immediately after the running of the race. An owner, trainer, or jockey who makes a frivolous protest may be fined.

Subp. 10. **No assistance to jockey.** No person shall assist a jockey in removing from his or her horse the equipment that is to be included in the jockey's weight, except by permission of the stewards.

Subp. 11. **Coverings prohibited.** No person shall throw any covering over any horse at the place of dismounting until the jockey has removed the equipment that is to be included in his or her weight.

Subp. 12. **Dead heats.** Dead heats shall be governed in the following manner.

A. When two or more horses run a dead heat, the dead heat shall not be run off.

B. In a dead heat for first place, each horse shall be considered a winner.

C. When two or more horses finish in a dead heat and a protest is made and allowed against a horse having finished in front of the dead heat, the horses which ran the dead heat shall be deemed to have run a dead heat from the higher position.

D. Owners of horses in a dead heat for any position shall divide equally all money and other prizes, and if no agreement can be reached as to which of them shall receive a cup, plate, or other indivisible prize, they shall draw lots for it in the presence of one or more of the stewards.

Subp. 13. **Race declared no contest.** If a race has been run by all the horses at the wrong weights or over a wrong distance, and if a protest is made and allowed before the flashing of the "Official" sign on the totalizator board, the stewards shall declare the race no contest.

Subp. 14. **Horse becomes disabled or otherwise unable to finish.** Items A to E apply if a horse during the running of a race becomes disabled or otherwise obviously unable to finish.

A. The horse shall be dismounted, unsaddled by the jockey or another commission licensee, and removed from the course by horse ambulance. A horse observed by a regulatory veterinarian to be bleeding excessively during or immediately after a race must be transported by horse ambulance to the detention barn for further examination.

B. If a bone is broken, the horse shall remain on the course until the horse ambulance arrives and the horse is removed under the direction of the commission veterinarian or association veterinarian.

C. If euthanasia of the horse is determined necessary, the euthanasia shall be performed by a veterinarian licensed by the commission through the use of a needle preferably in a place out of vision of the public. If euthanasia within view of the public is necessary, an ambulance screen must be used. Removal of the horse after euthanasia shall be the responsibility of the association.

D. If euthanasia of a horse is determined necessary, the jockey will not be required to weigh in.

E. The stewards shall be notified after euthanasia has been performed.

**Statutory Authority:** *MS s 240.03; 240.13; 240.23; 240.24; 240.29*

**History:** *9 SR 2527; 13 SR 38; 16 SR 2684; 18 SR 886; 19 SR 2307; 20 SR 2592; 31 SR 1277; 33 SR 8; 35 SR 627; 40 SR 29; 41 SR 1322; 42 SR 1258; 44 SR 1047; 49 SR 497; 49 SR 1123; 50 SR 1117*

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### **7883.0170 RACING EQUIPMENT.**

#### **A. Equipment.**

- (1) No bridle shall weigh more than two pounds.
- (2) All riding crops are subject to inspection and approval by the stewards and the clerk of scales.
  - (a) Riding crops shall have a shaft and a flap and will be allowed in flat racing including training, only as follows:
    - i. maximum weight of eight ounces;
    - ii. maximum length, including flap, of 30 inches;
    - iii. minimum diameter of the shaft of three-eighths inch; and
    - iv. shaft contact area must be smooth, with no protrusions or raised surface, and covered by shock-absorbing material that gives a compression factor of at least one millimeter throughout its circumference.
  - (b) The flap is the only allowable attachment to the shaft and must meet these specifications:
    - i. length beyond the end of the shaft a maximum of one inch;
    - ii. width a minimum of 0.8 inch and a maximum of 1.6 inches;
    - iii. no reinforcements or additions beyond the end of the shaft;
    - iv. no binding within seven inches of the end of the shaft; and
    - v. shock-absorbing characteristics similar to those of the contact area of the shaft.
- (3) Horseshoes are subject to the following specifications:
  - (a) For Thoroughbreds:
    - i. on dirt surfaces, traction devices, other than full rims two millimeters or less in height from the ground surface of the horseshoe, are prohibited on forelimb horseshoes while racing or training. Traction devices, other than full rims four millimeters or less in height from the ground surface of the horseshoe or toe grabs four millimeters or less in height from the ground surface of the horseshoe, are prohibited on hindlimb horseshoes while racing or training;

ii. on turf surfaces, traction devices are prohibited on forelimb and hindlimb horseshoes; and

iii. on synthetic surfaces, traction devices other than full rims that are two millimeters or less in height from the ground surface of the horseshoes are prohibited on forelimb or hindlimb horseshoes.

(b) For Quarter Horses, toe grabs, other than wear plates with a height no greater than four millimeters (0.15748 inches), bends, jars, caulks, stickers, or any other traction device worn on the front shoes are prohibited. Toe grabs greater than 6.35 millimeters (0.25 inches) from the ground surface side of the hind shoes are prohibited.

B. Once inspected and approved by the stewards, no changes may be made in the equipment covered by this part, without subsequent approval of the stewards.

**Statutory Authority:** *MS s 240.03; 240.13; 240.23; 240.24*

**History:** *18 SR 886; 33 SR 8; 34 SR 1135; 36 SR 10; 40 SR 29; 49 SR 1123; 50 SR 1117*

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