

**4731.0418 RECORDS AND REPORTS.**

Subpart 1. **Record of shipment.** Each licensee must maintain, for a period of three years after shipment, a record of each shipment of licensed material that is not exempt under part 4731.0403, subpart 2, showing, where applicable:

- A. identification of the packaging by model number and serial number;
- B. verification that there are no significant defects in the packaging, as shipped;
- C. volume and identification of coolant;
- D. type and quantity of licensed material in each package, and the total quantity of each shipment;
- E. for each item of irradiated fissile material:
  - (1) identification by model number and serial number;
  - (2) irradiation and decay history to the extent appropriate to demonstrate that its nuclear and thermal characteristics comply with license conditions; and
  - (3) any abnormal or unusual condition relevant to radiation safety;
- F. date of the shipment;
- G. for fissile packages and for Type B packages, any special controls exercised;
- H. name and address of the transferee;
- I. address to which the shipment was made; and
- J. results of the determinations required by part 4731.0415 and by the conditions of the package approval.

Subp. 2. **Record availability.** The licensee must make available to the commissioner for inspection, upon reasonable notice, all records required by parts 4731.0400 to 4731.0424. Records are only valid if stamped, initialed, or signed and dated by authorized personnel, or otherwise authenticated.

Subp. 3. **Record of package quality.** The licensee must maintain sufficient written records to furnish evidence of the quality of packaging. The records to be maintained include results of the determinations required by Code of Federal Regulations, title 10, part 71.85; design, fabrication, and assembly records; results of reviews, inspections, tests, and audits; results of monitoring work performance and materials analyses; and results of maintenance, modification, and repair activities. Inspection, test, and audit records must identify the inspector or data recorder, the type of observation, the results, the acceptability, and the action taken in connection with any deficiencies noted. These records must be retained for three years after the life of the packaging to which they apply.

Subp. 4. **Reports.** A licensee must report to the commissioner within 30 days:

A. any instance in which there is significant reduction in the effectiveness of any approved Type B or fissile packaging during use;

B. details of any defects with safety significance in Type B or fissile packaging after first use, with the means employed to repair the defects and prevent their recurrence; and

C. instances in which the conditions of approval in the certificate of compliance were not observed in making a shipment.

**Statutory Authority:** *MS s 144.1202; 144.1203*

**History:** *29 SR 755; 44 SR 239*

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