CHAPTER 2010 OFFICE OF THE ATTORNEY GENERAL RULES REVIEW

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2010.0200 AUTHORITY.

Parts 2010.0200 to 2010.1400 govern the submittal to and review by the attorney general of rules adopted without a public hearing pursuant to Minnesota Statutes, sections 14.22 to 14.28 and emergency rules adopted pursuant to Minnesota Statutes, sections 14.29 to 14.36 by agencies pursuant to the Administrative Procedure Act, Minnesota Statutes, chapter 14.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.0300 DOCUMENTS NECESSARY FOR REVIEW OF A RULE ADOPTED WITHOUT A PUBLIC HEARING.

To submit a rule adopted without a public hearing to the attorney general for review and approval pursuant to Minnesota Statutes, section 14.26, the agency must submit to the attorney general the following documents:

- A. A copy or photocopy of the notice of solicitation of outside information or opinions as published in the State Register, if the agency sought to obtain information or opinions in preparing to propose a rule from sources outside the agency as provided by Minnesota Statutes, section 14.10. For the recommended format of the notice, see part 2010.9900.
- B. A copy of the petition for adoption, suspension, amendment, or repeal of a rule if the agency has adopted the rule in response to a petition filed pursuant to Minnesota Statutes, section 14.09 and part 2010.0600. For the prescribed format of the petition, see part 2010.9905.

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- C. If the agency is a multimember agency as provided in Minnesota Statutes, section 15.0597, subdivision 1a, the certificate of the multimember agency's authorizing resolution or a copy of a delegation of authority. The resolution must direct and delegate to an individual the authority to sign and to give the notice of the agency's intent to adopt the rule without a public hearing. The delegation of authority must expressly authorize an individual to sign and to give the notice of the agency's intent to adopt a rule without a public hearing. The resolution or delegation of authority must be adopted at a meeting duly called and attended by a quorum. For the recommended format of the resolution, see part 2010.9910.
- D. A copy of the proposed rule with a certificate of approval as to form by the revisor of statutes attached.
- E. The notice of intent to adopt a rule without a public hearing as mailed. The notice must be signed by a person authorized to adopt the rule or authorized to sign and give notice of the intent to adopt the rule without a public hearing and must contain the following:
- (1) A statement that the agency intends to adopt a rule without a public hearing pursuant to the authority of [citation to the specific statutory authority for the proposed rule] and is following the procedures set forth in the Administrative Procedure Act for adopting rules without a public hearing in Minnesota Statutes, sections 14.22 to 14.28.
- (2) A statement that the public has 30 days in which to submit comment in support of or in opposition to the proposed rule or any part or subpart of the proposed rule and that comment is encouraged.
- (3) A statement that each comment should identify the portion of the proposed rule addressed, the reason for the comment and any change proposed.
- (4) A statement that if 25 or more persons submit a written request for a public hearing within the 30-day comment period, a public hearing will be held unless a sufficient number withdraw their request in writing.
- (5) A statement of the manner in which persons may submit comments or requests for a public hearing.
- (6) A statement that any person requesting a public hearing should state his or her name and address, and is encouraged to identify the portion of the proposed rule addressed, the reason for the request, and any change proposed.
- (7) A statement that if a public hearing is required, the agency will proceed pursuant to Minnesota Statutes, sections 14.131 to 14.20.
- (8) A statement that the proposed rule may be modified if the modifications are supported by data and views submitted to the agency and do not result in a substantial change in the proposed rule as noticed.
- (9) A statement that if no hearing is required, upon adoption of the rule, the rule and the required supporting documents will be submitted to the attorney general for review as to legality and form to the extent form relates to legality; a statement that any person may request notification of the date of submission to the attorney general; and a statement of the manner in which the request must be made.
- (10) If an entire rule is proposed to be repealed, a statement stating that fact and citing the rule to be repealed.
- (11) A statement that the proposed rule is attached to the notice; or if the proposed rule is not attached to the notice sent to persons on the agency rulemaking mailing list, a statement describing the nature and effect of the proposed rule and the manner in which a free copy may be requested.
- (12) A statement that the statement of need and reasonableness is available to the public and the manner in which that document may be requested.

- (13) If required by Minnesota Statutes, section 14.11, subdivision 1, a statement relating to the expenditure of public money by local public bodies.
- (14) If the agency elects to comply with Minnesota Statutes, section 14.115, subdivision 4, by following paragraph (a) of the statute, a statement that the proposed rule will have an impact on small businesses and a description of the probable quantitative and qualitative impact of the proposed rule, economic or otherwise, upon affected classes of persons as provided by Minnesota Statutes, section 14.115, subdivision 4, paragraph (a).
- (15) Any other notices required by law or rule to be included in the notice of intent to adopt a rule without a public hearing.

For the recommended format of the notice, see part 2010.9915.

- F. The statement of need and reasonableness in support of the proposed rule complying with part 2010.0700.
- G. The affidavit of mailing the notice of intent to adopt a rule without a public hearing. The affidavit or affidavits must state that the mailing list maintained by the agency pursuant to Minnesota Statutes, sections 14.22 and 14.14, subdivision 1a is accurate, complete, and current and that the notice of intent to adopt the rule without a public hearing was mailed to all persons and groups whose names appear on the list. If the person who mailed the notice is not the same person who can attest to the accuracy of the mailing list, separate affidavits signed by the appropriate person must be submitted. For the recommended format of the affidavit, see part 2010.9920.
- H. A copy or photocopy of the notice of intent to adopt the rule without a public hearing as published in the State Register. The notice as published must contain the statements required by part 2010.0300, item E.
- I. Four copies of the rule as adopted. Any modification made to the proposed rule must be reflected on the rule as adopted and be approved as to form by the revisor of statutes.
- J. If the agency is a multimember agency as provided in Minnesota Statutes, section 15.0597, subdivision 1a, the certificate of the multimember agency's resolution adopting the rule. The resolution must be adopted at a meeting duly called and attended by a quorum.

The resolution must approve and adopt the rule and authorize a named individual to sign findings of fact, conclusions, and order adopting the rule and perform the necessary acts to provide that the rule has the force and effect of law. If the multimember agency is so empowered, the resolution may also authorize the named person to modify the rule under stated circumstances or as necessary to obtain the approval of the rule by the attorney general. For the recommended format of the resolution, see part 2010.9925.

- K. The findings of fact, conclusions, and order adopting the rule which must contain the following:
- (1) a statement that proper notice of intent to adopt a rule without a public hearing was given;
- (2) a statement that the statement of need and reasonableness was prepared before the notice of intent to adopt a rule without a public hearing was mailed to all persons on the rulemaking mailing list and published in the State Register and was available to the public:
- (3) for rules that establish or adjust fees, a statement that before the notice of intent to adopt rules was submitted to the State Register, a copy of the notice and the proposed rule was sent to the chairs of the house appropriations committee and senate finance committee;
- (4) a statement that all persons were given the opportunity to submit comment on the proposed rule for 30 days after the notice of intent to adopt a rule without a public hearing;

- (5) a statement of the number of persons that requested a public hearing and the number of persons that requested a public hearing and withdrew their request;
- (6) if any changes were made between the rule as proposed and the rule as adopted, findings of fact and conclusions supporting the reasons for the changes and explaining why the changes do not constitute substantial changes as provided in the attorney general rules, part 2010.1000, item D;
- (7) if the agency received no requests for a hearing, or received no submissions or comments on the rule required to be submitted to the attorney general by item L, or received no requests for notice of submission of the adopted rule to the attorney general, an applicable statement to that effect; and
- (8) a statement that the rule as adopted is needed and reasonable and that the rule is hereby ordered to be adopted and the date on which the order is signed.

The findings of fact, conclusions, and order adopting the rule must be signed by a person authorized to adopt the rule or authorized to sign the findings of fact, conclusions, and order adopting the rule. For the recommended format of the findings of fact, conclusions, and order, see part 2010.9930.

- L. All written requests, submissions, or comments on the rule received by the agency except requests solely for copies of the rule or of the statement of need and reasonableness.
- M. The declaration of the attorney in the attorney general's office who represents the agency. The declaration must state:
- (1) that the attorney has examined the rule and the rulemaking record;
- (2) that the Administrative Procedure Act and chapter 2010 have been followed with a listing of any exceptions; and
- (3) for attorney general billing purposes, the four-digit docket client code is ____. For the recommended format, see part 2010.9935.
- N. The notice of submission of the rule to the attorney general, if applicable. If any persons requested notification of the date of submission of the rule to the attorney general, the notice must be given on the same day the rule is submitted to the attorney general. The notice must contain the following:
 - (1) the date of submission of the rule to the attorney general;
- (2) if the proposed rule has been modified, the notice must state that fact and must state that a free copy of the rule as modified is available upon request from the agency or that a copy of the rule as modified is enclosed with this notice;
 - (3) the attorney general rule review time period;
- (4) a statement that comments may be submitted to the attorney general and that any comments submitted must address only the issue of legality of the rule or the legality of the specific parts or subparts of the rule and make a reference to the attorney general standards of review as set forth in part 2010.1000;
 - (5) the length of the comment period;
- (6) a statement that upon written request to the attorney general a copy of the attorney general decision will be provided;
- (7) the address of the attorney general division where comments and requests must be submitted; and
- (8) a statement that any written comments to the attorney general must also be submitted simultaneously to a named person for the agency and the address of that person.

For the recommended format of the statement, see part 2010.9940.

O. The affidavit of mailing notice of submission of the rule to the attorney general, if applicable. The affidavit must state that a copy of the notice of submission of the rule to the attorney general was mailed to all persons and associations who requested the notification. For the recommended format of the affidavit, see part 2010.9945.

Statutory Authority: MS s 14.06; 14.09; 14.26; 14.32; 14.365 cl (8)

History: 11 SR 618: 13 SR 1376

2010.0400 DOCUMENTS NECESSARY FOR REVIEW OF EMERGENCY RULE.

To submit an emergency rule to the attorney general for review and approval pursuant to Minnesota Statutes, section 14.32, the agency must submit to the attorney general the following documents:

- A. A copy or photocopy of the notice of solicitation of outside information or opinions, as published in the State Register, if the agency sought to obtain information or opinions in preparing to propose a rule from sources outside the agency as provided by Minnesota Statutes, section 14.10. For the recommended format of the notice, see part 2010.9900.
- B. A copy of the petition for adoption, suspension, amendment, or repeal of a rule if the agency has adopted the rule in response to a petition filed pursuant to Minnesota Statutes, section 14.09 and part 2010.0600. For the prescribed format of the petition, see part 2010.9905.
- C. If the agency is a multimember agency as provided in Minnesota Statutes, section 15.0597, subdivision 1a, the certificate of the multimember agency's authorizing resolution or a copy of a delegation of authority. The resolution must direct and delegate to an individual the authority to sign and to give the notice of the multimember agency's intent to adopt the emergency rule. The delegation of authority must expressly authorize an individual to sign and to give the notice of the agency's intent to adopt the emergency rule. The resolution or delegation of authority must be adopted at a meeting duly called and attended by a quorum. For the recommended format of the resolution, see part 2010.9910.
- D. A copy of the proposed emergency rule with a certificate of approval as to form by the revisor of statutes attached.
- E. The notice of intent to adopt the emergency rule as mailed. The notice must be signed by a person authorized to adopt the rule or authorized to sign and give notice of the intent to adopt the emergency rule and must contain the following:
- (1) A statement that the agency intends to adopt the emergency rule pursuant to authority of [citation to the specific statutory authority to adopt the emergency rule] and is following the procedures set forth in the Administrative Procedure Act for adopting emergency rules in Minnesota Statutes, sections 14.29 to 14.36.
- (2) A statement that all persons have 25 days after publication, or a longer period of time as specified in the notice, to submit data and views on the proposed emergency rule or any part or subpart of the rule in writing.
- (3) A statement of the manner in which persons may submit written comments to the agency.
- (4) A statement that the proposed emergency rule is attached to the notice; or, if the proposed rule is not attached to the notice sent to persons on the agency rulemaking mailing list, a statement describing the nature and effect of the proposed rule.
- (5) A statement that a free copy of the proposed emergency rule is available upon request from the agency and the manner in which the request may be made.

- (6) A statement that the proposed emergency rule may be modified if the modifications are supported by data and views submitted to the agency and do not result in a substantial change in the proposed emergency rule as noticed.
- (7) A statement that the emergency rule and its supporting documents will be submitted to the attorney general for review as to legality and form to the extent form relates to legality; a statement that any person may request notification of the date of submission to the attorney general; and a statement of the manner in which the request must be made.
- (8) A statement of the effective period of the proposed emergency rule in accordance with Minnesota Statutes, section 14.35.
- (9) If required by Minnesota Statutes, section 14.11, subdivision 1, a statement relating to the expenditure of public money by local public bodies.
- (10) Any other notices required by law or rule to be included in the notice of intent to adopt an emergency rule.

For the recommended format of the notice, see part 2010.9950.

- F. The affidavit of mailing the notice of intent to adopt the emergency rule. The affidavit or affidavits must state that the mailing list maintained by the agency pursuant to Minnesota Statutes, section 14.30 is accurate, complete, and current and that the notice of intent to adopt the emergency rule was mailed to all persons and groups whose names appear on the list. If the person who mailed the notice is not the same person who can attest to the accuracy of the mailing list, separate affidavits signed by the appropriate person must be submitted. For the recommended format of the affidavit, see part 2010.9920.
- G. A copy or photocopy of the notice of intent to adopt the emergency rule as published in the State Register. The notice as published must contain the statements required by part 2010.0400, item E.
- H. Four copies of the emergency rule as adopted. Any modifications made to the proposed rule must be reflected on the rule as adopted and be approved as to form by the revisor of statutes.
- I. If the agency is a multimember agency as provided in Minnesota Statutes, section 15.0597, subdivision 1a, the certificate of the multimember agency's resolution adopting the emergency rule. The resolution must be adopted at a meeting duly called and attended by a quorum.

The resolution must approve and adopt the rule and authorize a named individual to sign findings of fact, conclusions, and order adopting the rule and perform the necessary acts to provide that the emergency rule has force and effect of law. If the multimember agency is so empowered, the resolution may also authorize the named person to modify the rule under stated circumstances or as necessary to obtain approval of the rule by the attorney general. For the recommended format of the resolution, see part 2010.9925.

- J. The findings of fact, conclusions, and order adopting the emergency rule which must contain the following:
- (1) a statement that proper notice of intent to adopt a rule was given;
- (2) a statement that all persons were given the opportunity to submit written comment for at least 25 days after publication of the notice of intent to adopt the emergency rule;
- (3) if any changes were made between the emergency rule as proposed and the emergency rule as adopted, findings of fact and conclusions supporting the reasons for the changes and explaining why the changes do not constitute substantial changes as provided in attorney general rule part 2010.1000, item D:
- (4) if the agency received no submissions or comments on the rule required to be submitted to the attorney general pursuant to item K, or received

no requests for notice of submission of the adopted emergency rule to attorney general; an applicable statement to that effect; and

(5) a statement that the emergency rule is hereby ordered to be adopted and the date on which the order is signed.

The findings of fact, conclusion, and order adopting the emergency rule must be signed by a person authorized to adopt the emergency rule or authorized to sign the findings of fact, conclusions, and order adopting the emergency rule. For the recommended format of the findings of fact, conclusions, and order, see part 2010.9955.

- K. All written requests, submissions, and comments on the rule received by the agency except requests solely for copies of the rule.
- L. The declaration of the attorney in the attorney general's office who represents the agency. The declaration must state:
- (1) that the attorney has examined the rule and the rulemaking record:
- (2) that the Administrative Procedure Act and chapter 2010 have been followed with a listing of any exceptions; and
- (3) for attorney general billing purposes, the four-digit docket client code is ___. For the recommended format, see part 2010.9935.
- M. The notice of submission of the emergency rule to the attorney general, if applicable. If any persons requested notification of the date of submission of the emergency rule to the attorney general, the notice must be mailed on the same day the rule is submitted to the attorney general. The notice must contain the following:
- (1) the date of submission of the emergency rule to the attorney general;
- (2) if the proposed emergency rule has been modified, the notice must state that fact and must state that a free copy of the emergency rule as modified is available upon request from the agency or that a copy of the rule as modified is enclosed with this notice;
 - (3) the attorney general rule review time period;
- (4) a statement that comments may be submitted to the attorney general and that any comments submitted must address only the issue of legality of the rule or the legality of the specific parts or subparts of the rule and make a reference to the attorney general standards of review as set forth in part 2010.1000;
 - (5) the length of the comment period;
- (6) a statement that upon written request to the attorney general a copy of the attorney general decision will be provided;
- (7) the address of the attorney general division where comments and requests must be submitted; and
- (8) a statement that any written comments to the attorney general must also be submitted simultaneously to a named person for the agency and the address of that person.

For the recommended format of the statement, see part 2010.9960.

N. The affidavit of mailing the notice of submission of the emergency rule to the attorney general, if applicable. The affidavit must state that a copy of the notice of submission of the rule to the attorney general was mailed to all persons and associations who requested the notification. For the recommended format of the affidavit, see part 2010.9945.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.0500 RULES REVIEW

2010.0500 RULE SUBMISSION AND AGENCY FAILURE TO SUBMIT REQUIRED DOCUMENTS.

Subpart 1. Rule submission. A rule is considered submitted to the attorney general when the rule and the record consisting of the required documents are received at the Office of the Attorney General, Public Finance Division located at 515 Transportation Building, John Ireland Boulevard, Saint Paul, Minnesota 55155.

Subp. 2. Failure to submit required documents. Failure to submit all the required documents makes a submission incomplete. The attorney general must promptly inform the agency of the missing documents. If the missing documents include the required four copies of the rule as adopted, findings of fact, conclusions and order, declaration of the attorney in the attorney general's office who represents the agency, or the statement of need and reasonableness for a rule adopted without a public hearing, the rule review period will not be initiated. For other missing documents, if the agency submits the missing documents to the attorney general within the attorney general review period, the submission shall be deemed complete and the period of review shall continue to run. If the missing documents are not submitted within the review period, the rule must either be withdrawn from review or rejected by the attorney general.

Statutory Authority: MS s 14.06; 14.09; 14.26; 14.32; 14.365 cl (8)

History: 11 SR 618; 13 SR 1376

2010.0600 PETITION FOR ADOPTION OF RULE.

Subpart 1. Contents. Petitions to an agency requesting the adoption, suspension, amendment, or repeal of a rule pursuant to Minnesota Statutes, section 14.09 must contain the following:

A. the name and address of the petitioner and, if applicable, the title or the group represented;

| R. | а | statement | that | the | petitioner | requests | the | agency | to: |
|----|---|-----------|------|-----|------------|----------|-----|--------|-----|
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- (1) adopt a new rule governing ____;
- (2) amend Minnesota Rules, part _____;
- (3) suspend Minnesota Rules, part _____; or (4) repeal Minnesota Rules, part _____;

C. a statement explaining the need or reason for the rulemaking request; and

D. for a new rule, the petitioner's proposed language for the new rule. For amendments to an existing rule, the rule text must be repeated, proposed deletions stricken and substituted or added language underscored. If the petitioner is unable to propose new rule language, he or she may provide a detailed description of the rule desired.

For the prescribed format of the petition, see part 2010.9905.

Subp. 2. Service of petition. The petition must be served on the agency head or executive director of the affected agency personally or by United States mail at the business address of the agency.

Subp. 3. Agency response. The agency must reply within 60 days from the receipt of the petition. The reply must be in writing, respond specifically to all issues raised in the petition and detail its planned disposition of the request. The response must be signed by an individual authorized to adopt a rule or if the agency is a multimember agency as provided in Minnesota Statutes, section 15.0597, subdivision 1a, by a member or officer of the multimember agency. If the agency adopts the rule pursuant to the petition, a copy of the petition must be submitted by the agency to the attorney general in accordance with part 2010.0300, item B or 2010.0400, item B.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.0700 STATEMENT OF NEED AND REASONABLENESS.

The statement of need and reasonableness must contain a summary of the evidence and arguments that support both the need for and reasonableness of the proposed agency action of adopting a rule without a public hearing. In justifying the need for and reasonableness of the action, the agency must explain what circumstances have created the need for the rule or its amendment which required administrative action and why the proposed rulemaking action is an appropriate solution for meeting the need. The statement must explain the evidence relied upon and how that evidence rationally relates to the choice of action taken. A general statement of statutory implementation or restating the proposed rule will not suffice. The statement of need and reasonableness must also contain the following:

- A. if required by Minnesota Statutes, section 14.115, a statement documenting the agency's consideration of methods for reducing the impact of the rule on small businesses and the results pursuant to Minnesota Statutes, section 14.115, subdivision 2:
- B. if required by Minnesota Statutes, section 16A.128, subdivision 1, the approval of the commissioner of finance if the rule sets or adjusts a fee charged; and
- C. any information required by any other law or rule to be included in the statement of need and reasonableness.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.0800 RULE REVIEW TIME PERIOD.

Subpart 1. Rule adopted without public hearing. The attorney general must approve or disapprove the rule adopted without a public hearing within 14 calendar days after submission. In computing the calendar day time period, the day of the submission of the rule to the attorney general is not included. Saturdays, Sundays, and legal holidays are included in the computation. The last day of the period so computed is included unless it is a Saturday, Sunday, or legal holiday, in which event, the period runs until the end of the next day which is not a Saturday, Sunday, or legal holiday. The attorney general may not approve a rule adopted without a public hearing for eight calendar days after submission to the attorney general to assure persons time to comment on the legality of the rule. The eight-day minimum review period does not apply to a rule being resubmitted to the attorney general unless the resubmitted rule raises significant new legal issues. For resubmission of rules, see part 2010.1300.

Subp. 2. Emergency rule. The attorney general must approve or disapprove the emergency rule on the tenth working day after submission to the attorney general. In computing working day time period, the day of the submission to the attorney general is not included. Saturdays, Sundays, and legal holidays are not included in the computation. The last day of the period so computed is included.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.0900 WRITTEN COMMENTS TO THE ATTORNEY GENERAL.

Subpart 1. Written comments. Any person or association may submit written comments concerning the legality of a rule. Comments to the attorney general must address the specific rule or part or subparts of the rule and must address the issue of legality only. Persons or associations submitting written comments to the attorney general must simultaneously submit a copy of their comments to the named person in the notice of submission for the agency. The agency may respond to the comments within the limits of the statutory rule review period. If the agency submits a written response to the attorney general, the agency must

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simultaneously submit a copy of its response to the person or associations that submitted the comments to the attorney general.

Subp. 2. Comment period. Written comments concerning a rule adopted without a public hearing must be received by the attorney general within eight calendar days after submission to the attorney general to assure time for the agency to respond. Written comments concerning an emergency rule must be received by the attorney general within seven working days after submission to the attorney general to assure time for the agency to respond. The address to submit comments to the attorney general is the same as set out in part 2010.0500 and the computation for the comment period is the same as for the rule review period computation in part 2010.0800.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.1000 STANDARDS OF REVIEW.

A rule must be disapproved by the attorney general if:

- A. The rule as submitted is not a statement of general applicability and future effect adopted to implement or make specific the law enforced or administered by the agency or to govern the agency's organization or procedures.
- B. The agency has failed to comply with the applicable provisions of the Administrative Procedure Act, Minnesota Statutes, chapter 14, the agency's enabling statute, the attorney general rule parts 2010.0200 to 2010.1400 or other applicable law.
- C. The rule exceeds the statutory authority conferred on the agency, conflicts with the statutes or any other relevant law, or has no reasonable relationship to statutory purposes.
- D. There is a substantial change in the rule as adopted from the proposed rule as noticed. A change, by additions or deletions or other modifications to a proposed rule, is substantial if it:
- (1) affects classes of persons who could not reasonably have been expected to comment on the proposed rule as originally noticed;
- (2) introduces significant new subject matter which a reasonable person, on the basis of the proposed rule as originally noticed, would not have anticipated would occur during the rulemaking proceeding; or
- (3) makes a major substantive change that was not raised by the proposed rule as originally noticed in such a way as to invite reaction.
- E. For a rule adopted without a public hearing, the record does not demonstrate a rational basis for the need for and reasonableness of the proposed rule. This criterion does not apply to emergency rules.
- F. The rule grants the agency discretion beyond that permitted by its enabling legislation.
- G. The rule improperly delegates the agency's powers to another agency, person, or body.
- H. The rule is vague or ambiguous in violation of the constitutional principles of due process or equal protection.
 - I. The rule, by its terms, cannot have the force and effect of law.
- J. The rule is unconstitutional or, in the case of emergency rules, is unreasonable.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.1100 WITHDRAWAL OF RULE FROM REVIEW BY ATTORNEY GENERAL.

The agency may withdraw a rule from review by the attorney general. To withdraw a rule after it has been submitted to the attorney general, a written order of withdrawal of the rule from review must be submitted to the attorney general. The written order must state the date of withdrawal and be signed by a person authorized to adopt the rule or sign the findings of fact, conclusions, and order adopting the rule.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.1200 DISAPPROVAL.

If a rule is disapproved, the attorney general must state in writing the reasons for disapproval and make recommendations to overcome the deficiencies. The statement of the reasons for disapproval must be sent to the agency, the chief administrative law judge, the legislative commission to review administrative rules, the revisor of statutes, and persons who requested to receive a copy of the decision. Upon disapproval, the review period terminates and the rule must neither be filed in the office of the secretary of state nor published unless the rule is resubmitted and approved upon resubmission.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.1300 RESUBMISSION.

Subpart 1. Resubmission. To resubmit a rule which was withdrawn or disapproved by the attorney general, the following documents must be submitted:

- A. A supplemental findings of fact, conclusions, and order adopting the rule. The order must be signed by a person authorized to adopt the rule or authorized to sign the findings of fact, conclusion, and order adopting the rule. If any modifications were made to the rule since the rule was withdrawn or disapproved, findings of facts and conclusions must be set forth supporting the reasons for the changes and explain why the changes do not constitute substantial changes.
 - B. A supplemental certificate of the multimember agency's resolution if:
- (1) the agency is a multimember agency as provided in Minnesota Statutes, section 15.0597, subdivision 1a;
- (2) modifications were made to the rule since the rule was withdrawn or disapproved; and
- (3) the certificate of the agency's resolution submitted in accordance with part 2010.0300, item J, or 2010.0400, item I does not authorize the modification by the person who signed the supplemental findings of fact, conclusions, and order. The supplemental certificate of the agency's resolution must be in accordance with part 2010.0300, item J or 2010.0400, item I.
- C. If any modifications were made to the rule as adopted since the rule was withdrawn or disapproved, four copies of the rule as adopted with the modifications reflected and approved as to form by the revisor of statutes.
- D. A new notice of submission of the rule to the attorney general mailed to any persons who requested notification pursuant to Minnesota Statutes, section 14.26 or 14.32. The notice of submission to the attorney general must comply with part 2010.0300, item N or 2010.0400, item M.
- E. The affidavit of mailing for the notice of submission of the rule to the attorney general, if applicable. The affidavit must comply with part 2010.0300, item O or 2010.0400, item N.
- F. Any other documents necessary to demonstrate that the procedural or substantive deficiencies have been remedied.

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2010.1300 RULES REVIEW

Subp. 2. Resubmission review time period. The attorney general review period for resubmission is the same as for the initial submission of the rule with one exception. If the resubmitted rule was adopted without a public hearing and does not raise significant new legal issues, the eight-day minimum review period does not apply. For the general review period, see part 2010.0800.

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

2010.1400 APPROVAL OF RULE.

Subpart 1. Approval. If the rule is approved, the attorney general shall promptly file two copies of the rule with the secretary of state. A copy of the approval memo must be sent to the agency, the chief administrative law judge, the legislative commission to review administrative rules, and persons who requested to receive a copy of the decision.

Subp. 2. Return of record. Upon approval of a rule, the attorney general shall return to the agency one approved copy of the rule, any extra copies of documents and any petitions, requests, submissions, or comments directed to the agency.

2010.9900 RECOMMENDED NOTICE OF SOLICITATION OF OUTSIDE

Statutory Authority: MS s 14.06; 14.09

Statutory Authority: MS s 14.06; 14.09

History: 11 SR 618

History: 11 SR 618

| INFORMATION OR OPINIONS. | |
|--|---|
| Department of | - |
| D | ivision |
| Notice of Solicitation of Outside In Rule Governing | formation or Opinions Regarding Proposed |
| Notice is hereby given that the seeking information or opinions from propose the [adoption] [amendment] | e State [name of agency] is m sources outside the agency in preparing to [suspension] [repeal] of the rule governing the rule is authorized by Minnesota Statutes, res] the agency to |
| subject matter of the rule. Interested on the subject matter of concern in be addressed to: [name and addressed to: [name and addressed] | Oral statements will be received during regu- |
| address. | ne at and in person at the above |
| Any written material received by the | opinions shall be accepted until shall become ubmitted to the attorney general or adminishe rule is adopted. |
| Date | [Name] |
| | [Title] |

RULES REVIEW 2010.9910

| 2010.9905 PETITION FOR ADOPT | |
|--|--|
| State of Minnesota | PETITION FOR |
| Department of | PROPOSED RULE, OR RULE CHANGE |
| NAME | OR RULE CHANGE |
| | E (if applicable) |
| ADDRESS | e (II applicable) |
| I hereby request that the Agency nam | ed above: (Check one) |
| Adopt a new rule governing | |
| Amend Minnesota Rules, part | |
| Suspend Minnesota Rules, part _ | |
| Repeal Minnesota Rules, part | |
| use your reasons as part of the basis is be in detail as much as possible). | for the rulemaking action: (the agency will for its decision, so your explanation must |
| (Use additional | pages if necessary.) |
| amendments, if possible, repeat the te and underscore substituted or added lead rule language, provide a detailed desc | the proposed new language of the rule. For ext of the rule, strike through any deletions anguage. If you are unable to propose new cription of the rule desired. pages if necessary.) |
| of the agency personally or by United | the agency head or the executive director States mail. Pursuant to Minnesota Rules, must respond in writing within 60 days of |
| Date | Signature of Petitioner |
| Statutory Authority: MS s 14.06; | 14.09 |
| History: 11 SR 618 | |
| | TIFICATE OF AUTHORIZING RESO- PUBLIC HEARING OR EMERGENCY |
| CERTIFIC | CATE OF THE |
| | |
| AUTHORIZIN | NG RESOLUTION |
| I,, do hereby of | certify that I am a member and the, a [board] [commission] State of Minnesota, and that the following |
| is a true, complete, and correct copy of | State of Minnesota, and that the following of a resolution adopted at a meeting of the ed and held on the day of, |
| 19, that a quorum was present, and the resolution which has not been res | I that a majority of those present voted for cinded or modified. |
| "RESOLVED, that, [the, is hereby gran | the executive director] [a member] of ted the authority and directed to sign ard's] [Commission's] Intent to Adopt |
| [a rule without a public hearing | g] [an Emergency rule] governing- |
| | e of the rule) to all persons who have Board] [Commission] for that purpose |

2010.9910 RULES REVIEW

| and publish the Notice and rule in the any and all acts incidental thereto." | he State Register, and to perform |
|--|--|
| | eunto subscribed my name this day |
| of, 19 | ounte sueserreeu m, nume une uu, |
| | (Officer of the Board) |
| | |
| Attest by one other Board member | |
| Statutory Authority: MS s 14.06; 14.0 | 19 |
| History: 11 SR 618 | |
| 2010.9915 RECOMMENDED NOTICE WITHOUT A PUBLIC HEARING. | OF INTENT TO ADOPT A RULE |
| Department of | NOTICE OF |
| In the Matter of the Proposed Adoption of the Rule of | NOTICE OF INTENT TO |
| the State | ADOPT A RULE |
| Governing | |
| • | PUBLIC HEARING |
| above-entitled rule without a public hear in the Administrative Procedure Act for a in Minnesota Statutes, sections 14.22 to 1 the rule is [specific statutory citation.] All persons have 30 days in which to opposition to the proposed rule or any particular endouraged. Each comment should idented addressed, the reason for the comment, a Any person may make a written requesting the approach of the request for a public hearing within the 30-day comment period. If 2 request for a public hearing within the 30-will be held unless a sufficient number we person requesting a public hearing should is encouraged to identify the portion of the for the request, and any change proposed agency will proceed pursuant to Minneson Comments or written requests for a particular encouraged. | intends to adopt the ing following the procedures set forth dopting rules without a public hearing 4.28. The statutory authority to adopt to submit comment in support of or in art or subpart of the rule. Comment is tify the portion of the proposed rule and any change proposed. Quest for a public hearing on the rule 25 or more persons submit a written day comment period, a public hearing ithdraw their request in writing. Any state his or her name and address, and he proposed rule addressed, the reason d. If a public hearing is required, the ta Statutes, sections 14.131 to 14.20. public hearing must be submitted to: selephone number] |
| data and views submitted to the agency an in the proposed rule as noticed. | _ |
| [A copy of the proposed rule is attack | ned to this notice.] |
| statement describing the nature and effect the rule is available upon request from |] |
| A Statement of Need and Reasonable reasonableness of each provision of the proinformation relied upon to support the pravailable from upon request. | roposed rule has been prepared and is |

[If applicable, other notices which are required or chosen to be inserted in the Notice of Intent to Adopt a Rule Without a Public Hearing must be inserted,

for example, Minnesota Statutes, section 14.11, subdivision 1, 14.115, subdivision 4.]

If no hearing is required, upon adoption of the rule, the rule and the required.

| Date | [Name] |
|--|---|
| | [Title] |
| Statutory Authority: History: 13 SR 1376 | MS s 14.06; 14.09; 14.26; 14.32; 14.365 cl (8) |
| INTENT TO ADOPT A | MAILING THE NOTICE OF INTENT TO ADOPT [A RULE WITHOUT A PUBLIC HEARING] [AN EMERGENCY RULE] AND CERTIFICATE OF |
| STATE OF MINNESO | £ |
| COUNTY OF [|)ss.]) , being sworn says: |
| pursuant to Minnesota 14.30, that their names I is accurate, complete, ar That on the County of [], State Adopt [A Rule Without the [State of Minnesota 6] | the list of persons and associations who have requested, Statutes, sections 14.14, subdivision 1a and 14.22 or be placed on the state rulemaking mailing list and current as of this day of, 19 day of, 19, at the City of [], of Minnesota, I mailed the attached Notice of Intent to a Public Hearing] [an Emergency Rule] by depositing in Central Mail System for United States mailing] [United stof, with postage prepaid, to all persons and associations |
| | [Name] |

2010.9925 RULES REVIEW

2010.9925 RECOMMENDED CERTIFICATE OF RESOLUTION ADOPTING THE [RULE WITHOUT A PUBLIC HEARING] [EMERGENCY RULE]. CERTIFICATE OF THE

RESOLUTION ADOPTING THE RULE

| I,, do hereby certify the (office) of the a [board] [comm | |
|--|--|
| of the State of Minnesota, and that the fol copy of a resolution adopted at a meeting of called and held on the day of and that a majority of those present voted rescinded or modified. "RESOLVED, that the rule relating to _ and adopted, pursuant to authority vote statutes tive director] [a member] of the sign the findings of fact, conclusions, [(optional authorization:) further is necessary to obtain the approval of the other stated circumstances) and] to p vide that the rule shall have the force | of the, duly and properly, 19, that a quorum was present, for the resolution which has not been is approved ested in the by Minnesota, the [execu—, is authorized to and order adopting the rule and authorized to modify the rule if he rule by the attorney general (or perform the necessary acts to pro- |
| · | Officer of the Board |
| | omoor or the Board |
| Statutory Authority: MS s 14.06; 14.0 | 9 |
| History: 11 SR 618 2010.9930 RECOMMENDED FINDING ORDER ADOPTING THE RULE WITH STATE OF MI | HOUT PUBLIC HEARING. |
| 2010.9930 RECOMMENDED FINDING ORDER ADOPTING THE RULE WITH STATE OF MI | HOUT PUBLIC HEARING. NNESOTA |
| 2010.9930 RECOMMENDED FINDING ORDER ADOPTING THE RULE WITH STATE OF MI DEPARTMENT O In the Matter of the Proposed Adoption of the Rule of the State Governing | HOUT PUBLIC HEARING. NNESOTA FINDINGS OF FACT, |

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for a public hearing [, of which ___ were subsequently withdrawn]. Therefore, the agency did not receive request for a public hearing from 25 or more persons which were not withdrawn.] or [No requests for a public hearing on the rule were received by the agency.]

[If applicable: No submissions or comments on the rule were received by the agency. No requests for notice of submission to the attorney general were received by the agency.]

Based on the comments and evidence in the record before the agency, I find the following:

[If any changes were made between the rule as proposed and the rule as adopted, findings of fact and conclusions supporting the reasons for the changes and explanations why the changes do not constitute substantial changes as provided in the attorney general rules, part 2010.1000, item D must be set forth.]

| provided in the attorney general rules, part 20 | |
|---|---------------------------------------|
| The above-captioned rule is needed and | reasonable. |
| NOW, THEREFORE, IT IS ORDE | RED that the rule identified as |
| [as modified] is ado | pted this day of, |
| 19, pursuant to authority vested in [me] Minnesota Statutes, section | [the Board] [the Commission] by |
| [Name] | |
| [Title] | |
| Statutory Authority: MS s 14.06; 14.09 | · |
| History: 11 SR 618 | |
| 2010.9935 RECOMMENDED DECLARAT | |
| In the Matter of the Adoption | DECLARATION |
| of the Rule of the State | OF COUNSEL |
| I Special Assistant Attor | nev General do hereby declare that |
| I,, Special Assistant Attorn I have examined the above-captioned rule, and | d the supporting rulemaking record. |
| and that, based on my examination, the A | Administrative Procedure Act and |
| Minnesota Rules, chapter 2010 have been f | ollowed. Any exceptions are listed |
| below. For Attorney General billing purpose is | es, the four-digit docket client code |
| , | |
| | ey's Name) |
| | Assistant |
| · | y General |
| Dated: | |
| Statutory Authority: MS s 14.06; 14.09 | |
| History: 11 SR 618 | |
| 2010.9940 RECOMMENDED NOTICE | |
| ADOPTED WITHOUT PUBLIC HEARIN | |
| STATE OF MINN | NESOTA |
| DEPARTMENT OF | |
| In the Matter of the Proposed Adoption | NOTICE OF |
| of the Rule of the State | SUBMISSION OF |
| Governing | RULE ADOPTED |
| | WITHOUT PUBLIC HEARING TO THE |
| • | ACAKING IU IHE |

ATTORNEY GENERAL

Pursuant to your request and in accordance with Minnesota Statutes, section 14.26: PLEASE TAKE NOTICE that the above-captioned rule as adopted has been submitted to the Office of the Attorney General on the date of this notice, _____, 19___, for review as to legality and form to the extent form relates to legality. The proposed rule, the rule as adopted, all the notices, the Statement of Need and Reasonableness, all written comments and requests for a hearing received, and other required documents also have been submitted to the Attorney General. [(If the proposed rule has been modified:) The proposed rule which was published in the State Register and made available to the public on _ findings of fact, conclusions, and order explaining the amendments and adopting the rule is available upon request from ______ (or) A copy of the rule as modified is enclosed with this notice. The rule must be approved or disapproved by the Attorney General within 14 calendar days of the date of submission to the attorney general. You may submit written comments to the attorney general on the legality of the rule. Any written comments must be submitted within eight calendar days of the date of submission of the rule to the Attorney General. Your comments must address only the issue of legality of the rule or the legality of the specific parts or subparts of the rule. The attorney general standards for review are set forth in Minnesota Rules, part 2010,1000. You may receive a copy of the attorney general decision upon written request to the attorney general. Your comments or requests for the decision must be directed to: The Office of the Attorney General **Public Finance Division** 515 Transportation Building John Ireland Boulevard Saint Paul, Minnesota 55155 Telephone Number: (612) 297-2040 Any written comments submitted to the Attorney General must be submitted simultaneously to: [Name, address, and phone number of appropriate person in the agency] [Name] ______ [Title] _____ Dated: **Statutory Authority:** MS s 14.06; 14.09; 14.26; 14.32; 14.365 cl (8) **History:** 13 SR 1376 2010.9945 RECOMMENDED AFFIDAVIT OF MAILING THE NOTICE OF SUBMISSION OF RULE ADOPTED WITHOUT PUBLIC HEARING OR EMERGENCY RULE TO ATTORNEY GENERAL. In the Matter of the Proposed Adoption **AFFIDAVIT OF** of the Rule of the State _____ MAILING THE NOTICE Governing _ OF SUBMISSION OF **IRULE ADOPTED**

WITHOUT PUBLIC

[EMERGENCY RULE]
TO THE ATTORNEY

HEARINGI

RULES REVIEW 2010.9950

| | GENERAL |
|---|--|
| STATE OF MINNESOTA) | |
|) ss. COUNTY OF [| |
| , bein | g sworn savs: |
| That on the day of | ng sworn says:, 19, in the City of [], I mailed the attached Notice of Submis- |
| sion to the Attorney General by depose Mail System for United States mailing] postage prepaid, to all persons and ass | I mailed the attached Notice of Submisiting in the [State of Minnesota Central [United States mail], a copy thereof, with sociations who requested notice that the een submitted to the Attorney General. |
| | [Name] |
| | [] |
| Subscribed and sworn to before me this day of, 19 | [Title] |
| | |
| Statutory Authority: MS s 14.06; 14 | 4.09 |
| History: 11 SR 618 | |
| GENCY RULE. | E OF INTENT TO ADOPT AN EMER- |
| In the Matter of the Proposed Adoptio of Emergency Rule of the State Governing | n NOTICE OF |
| of Emergency Rule of the State | INTENT TO |
| Governing | |
| Nation is bounded since that the C | EMERGENCY RULE State intends to adopt the |
| above-entitled emergency rule. The star rule is contained in Minnesota Statutes, the rule, is following the procedures set for for adopting emergency rules in Minne All persons have 25 days [or long submit data and views on the proposed the rule in writing. Any comments must | tutory authority to adopt the emergency, section The agency, in adopting orth in the Administrative Procedure Act sota Statutes, sections 14.29 to 14.36. ger specified time] after publication to emergency rule or any part or subpart of |
| [A copy of the proposed rule is atta | ached to this notice.] |
| statement describing the nature and eff | ates to the following matters (informative ect of the proposed rule).] ergency rule is available by contacting |
| The proposed emergency rule ma supported by data and views submitte substantial change in the proposed eme | by be modified if the modifications are to the agency and do not result in a ergency rule as noticed. |
| Upon adoption of the emergency readopted and its supporting documents of for review as to legality and form to the emay request notification of the date of Persons who wish to be advised of the su | ule by the agency, the emergency rule as will be delivered to the Attorney General extent form relates to legality. Any person of submission to the Attorney General. Ibmission of this material to the Attorney by of the adopted rule, must submit the |

MINNESOTA RULES 1989

2010.9950 RULES REVIEW

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| Attorney General and be continued in effect for an continuation in accordance | effective for d additional d with Minnesota S otices which are red | quired to be inserted in the Notice |
|---|---|---|
| Date | <u>[N</u> | ame] |
| | Ti | itle] |
| Statutory Authority: M | S s 14.06; 14.09 | - |
| History: 11 SR 618 | | |
| ORDER ADOPTING EM | | |
| DEF | PARTMENT OF _ | |
| In the Matter of the Propo of the Rule of the State Governing | osed Adoption | FINDINGS OF FACT, CONCLUSIONS, AND ORDER ADOPTING EMERGENCY RULE |
| above-entitled emergency r 19 and was sent by Uni | ule was published i ited States mail to | [Commission's] intent to adopt the n the State Register on, all persons on the list maintained s, section 14.30 on, |
| All persons were given rule for at least 25 days aft | | o submit written comment on the sed rulemaking. |
| [If applicable: No submagency. No requests for noti by the agency]. | nissions or commen ce of submission to | nts on the rule were received by the othe attorney general were received |
| adopted, findings of fact an and explanations why the provided in the attorney generated NOW, THEREFORE | d conclusions supper changes do not neral rules part 2015. IT IS ORDER modified] is adopted ty vested in [me] | rule as proposed and the rule as porting the reasons for the changes, constitute substantial changes as 10.1000, item D must be set forth.] RED that the rule identified as ed this day of, [the Board] [the Commission] by |
| | [Name] | |
| | [Title] | |

History: 11 SR 618

Statutory Authority: MS s 14.06; 14.09

2010.9960 RECOMMENDED NOTICE OF SUBMISSION OF THE EMERGENCY RULE TO ATTORNEY GENERAL.

STATE OF MINNESOTA

| DEPARTMENT OF _ | |
|--|--|
| In the Matter of the Proposed Adoption of the Rule of the State Governing | NOTICE OF SUBMISSION OF EMERGENCY RULE TO THE ATTORNEY GENERAL |
| Pursuant to your request and in accorda. 14.32: | dance with Minnesota Statutes, section |
| has been submitted to the Office of the notice,, 19, for review as t relates to legality. The proposed emerge notices, all written comments received an been submitted to the attorney general. | o legality and form to the extent form ency rule, the rule as adopted, all the ad other required documents also have |
| cy rule which was published in the State Re on, 19, has been modified modified as well as the findings of fact, amendments and adopting the rule is avail (or) A copy of the rule as modified is end | A free copy of the emergency rule as conclusions, and order explaining the lable upon request from |
| tenth working day following date of receip comments to the Attorney General. Any within seven working days of the date address only the issue of legality of the rule subparts of the rule. The attorney general Minnesota Rules, part 2010.1000. You mand decision upon written request to the attorney for the decision must be directed to: The Office of the Attorney Public Finance Division 515 Transportation But John Ireland Boulevard | written comments must be submitted of this notice. Your comments must le or the legality of the specific parts or all standards for review are set forth in ay receive a copy of the attorney general new general. Your comments or requests new General in ilding |
| Saint Paul, Minnesota Telephone: (612) 297-2 | 2040 |
| Any written comments submitted to ted simultaneously to: | the Attorney General must be submit- |
| [Name, address, and | phone number of |
| appropriate persor | n in the agency] |
| [Nan | ne] |
| [Title | e] |
| Statutory Authority: MS s 14.06; 14.0 | 99; 14.26; 14.32; 14.365 cl (8) |

History: 13 SR 1376