

CHAPTER 2940

DEPARTMENT OF CORRECTIONS

HEARINGS AND RELEASE UNIT

DEFINITIONS AND GENERAL PROVISIONS		2940.2300	APPROVAL OF CONDITIONS OF RELEASE.
2940.0100	DEFINITIONS.	2940.2400	PLACEMENT IN RESIDENTIAL COMMUNITY PROGRAM.
2940.0200	PURPOSE.	2940.2500	NOTICE OF CONDITIONS OF RELEASE.
2940.0300	ADMINISTRATION.	2940.2600	COOPERATION OF INMATE REQUIRED.
2940.0400	EXECUTIVE OFFICER OF HEARINGS AND RELEASE.	2940.2700	RESTRUCTURE OF CONDITIONS OF PAROLE OR SUPERVISED RELEASE.
	CASE MANAGEMENT	2940.2800	WORK RELEASE STATUS.
2940.0500	PROGRAM REVIEW TEAMS.	2940.2900	WORK RELEASE FOR PRERELEASE PURPOSES.
2940.0600	TEAM FUNCTIONS.		
2940.0700	PLANS.		
2940.0800	NEEDS ASSESSMENTS, PROGRAM, AND PROJECTED RELEASE PLANS.	2940.3000	WARRANTS AND ORDERS
2940.0900	PROGRESS REVIEWS.	2940.3100	GROUNDS FOR WARRANTS.
2940.1000	WORK RELEASE FOR PRERELEASE PURPOSES.	2940.3200	WARRANTS; FORMAL RECOMMENDATION REQUIREMENT.
2940.1100	WORK RELEASE.	2940.3300	ISSUANCE OF WARRANTS.
2940.1200	REENTRY REVIEWS.	2940.3400	OTHER ORDERS.
2940.1300	FINAL PLAN RECOMMENDATIONS.		HOLD ORDERS.
2940.1400	NOTICE TO INMATES.		
	SENTENCE CONSIDERATIONS	2940.3500	REVOCATION
2940.1500	INMATES WITH INDETERMINATE SENTENCES.	2940.3600	REVOCATION HEARING.
2940.1600	GOOD TIME LOST; EXTENSION OF TERM OF IMPRISONMENT.	2940.3700	REVOCATION GROUNDS.
2940.1700	OFFENDERS ON PAROLE OR SUPERVISED RELEASE STATUS.	2940.3800	ACTIONS.
2940.1800	INMATES WITH LIFE SENTENCES.	2940.3900	REIMPRISONMENT.
	CONDITIONS OF RELEASE	2940.4000	REVOCATION PROCEDURES;
2940.1900	OBJECTIVE OF PUBLIC SAFETY.	2940.4100	INVESTIGATION AND REPORT.
2940.2000	REQUIREMENTS AND PROHIBITIONS OF RELEASE.	2940.4200	EMERGENCY SITUATIONS.
2940.2100	SPECIAL CONDITIONS OF RELEASE.	2940.4300	INITIATION OF REVOCATION
2940.2200	DEVELOPMENT OF SPECIAL CONDITIONS OF RELEASE.	2940.4400	PROCEEDINGS.
		2940.4500	DUTIES OF SUPERVISING AGENT.
			REVOCATION HEARING.
			WARRANTS.
			FAILURE TO APPEAR.
			INTERSTATE SUPERVISION
		2940.5700	REQUEST FOR INTERSTATE SUPERVISION OF MINNESOTA RELEASEE.

DEFINITIONS AND GENERAL PROVISIONS

2940.0100 DEFINITIONS.

Subp. 1. Scope. As used in this chapter, the following terms have the meanings given them in this part.

Subp. 2. Availability. "Availability" means the date on which the offender has made bail, bond, or has been released on personal recognizance or no bail required; or completed any local incarceration time which results from a misdemeanor, gross misdemeanor, or felony stayed sentence.

Subp. 3. Board of Pardons. "Board of Pardons" means the Board of Pardons established by Minnesota Statutes, chapter 638.

Subp. 4. Bookslip. "Bookslip" means the form issued by the central office records clerk which designates the agent of record.

Subp. 5. Case management. "Case management" means the total system employed in the management of an inmate's case, including but not limited to the development of needs assessments and program plans; conducting progress and reentry reviews; and developing recommendations for conditions of release.

Subp. 6. Commissioner. "Commissioner" means the commissioner of corrections.

Subp. 7. District supervisor. "District supervisor" means a Department of Corrections field supervisor.

MINNESOTA RULES 1999

2940.0100 HEARINGS AND RELEASE UNIT

144

Subp. 8. Executive officer of hearings and release. "Executive officer of hearings and release" means the person to whom the commissioner of corrections has delegated the authority for granting parole and work release; for revoking parole, work release, and supervised release; and for granting discharge from an indeterminate sentence.

Subp. 9. Expiration of the determinate sentence. "Expiration of the determinate sentence" means the date on which the maximum sentence pronounced by the court occurs.

Subp. 10. Expiration of the indeterminate sentence. "Expiration of the indeterminate sentence" means the date on which the maximum sentence pronounced by the court less good time reduction occurs.

Subp. 11. [Repealed, 23 SR 808]

Subp. 12. Guidelines. "Guidelines" mean a sentence under Minnesota sentencing guidelines.

Subp. 13. Inmate. "Inmate" means a person committed to the jurisdiction of the commissioner who is imprisoned in a correctional facility or other place designated by the commissioner.

Subp. 14. Institution caseworker. "Institution caseworker" means the institution's staff person assigned the responsibility of coordinating the development of needs assessment, program plans, progress review, and reentry reviews for those inmates assigned to their caseloads.

Subp. 15. [Repealed, 23 SR 808]

Subp. 16. Near the site. "Near the site" means a place not to exceed 50 miles from the site of the alleged violation.

Subp. 17. Parole. "Parole" means that portion of an indeterminate sentence served by an inmate in the community under supervision and subject to prescribed rules.

Subp. 18. Probable cause. "Probable cause" means a finding by a court in an omnibus hearing or by a hearing officer in a probable cause hearing.

Subp. 19. Probable cause hearing. "Probable cause hearing" means a hearing held to determine if there are reasonable grounds for belief that one or more conditions of release may have been violated.

Subp. 20. Program review team. "Program review team" means a group of Department of Corrections employees in a correctional facility whose assigned function is to develop needs assessments and program plans and to conduct progress reviews for those inmates assigned to them.

Subp. 21. Projected release date. "Projected release date" means a date in the future at which an inmate will be released providing the inmate's behavior is satisfactory, the release date was based on complete and accurate information, and the inmate is not considered to be a risk to the public at the time of release.

Subp. 22. Projected release plan. "Projected release plan" means a proposed plan for an inmate when released to the community, including a place of residence and employment as well as any special or standard conditions which may be imposed at the time of release.

Subp. 23. Public interest. "Public interest" means the interest the public has in maintaining the integrity of legal sanctions and the rights of citizens.

Subp. 24. Public safety. "Public safety" means the protection of the public from injury, danger, and violence.

Subp. 25. Reentry review. "Reentry review" means the review which is held in order to establish conditions of release.

Subp. 26. Releasee. "Releasee" means a person on parole, work release, or supervised release status from a correctional facility who is under sentence to the commissioner.

MINNESOTA RULES 1999

145

HEARINGS AND RELEASE UNIT 2940.0300

Subp. 27. Residential community program. "Residential community program" means a structured residential program in the community such as a halfway house, chemical dependency treatment center, or another treatment program designed to change the behavior of residents and to protect the public.

Subp. 28. Revocation hearing. "Revocation hearing" means a hearing held for the purpose of determining whether cause exists for the revocation of parole, work release, or supervised release and for determining whether parole, work release, or supervised release should be revoked and for setting the term of reimprisonment to be served by the violator.

Subp. 29. Risk to the public. "Risk to the public" means the degree to which an individual is likely to cause injury, damage, loss, pain, or peril to the public or to engage in unlawful sexual behavior involving a victim.

Subp. 30. [Repealed, 23 SR 808]

Subp. 31. Supervised release. "Supervised release" means that portion of a determinate sentence served by an inmate in the community under supervision and subject to prescribed rules, adopted in accordance with Minnesota Statutes, section 244.05.

Subp. 32. Supervising agent. "Supervising agent" means the parole and probation agent who is assigned to the individual on parole, work release, or supervised release status.

Subp. 33. Working days. "Working days" means all working days exclusive of legal holidays and weekends.

Subp. 34. Work release. "Work release" means an extension of confinement in which inmates are conditionally released to work at gainful employment, seek employment, or participate in vocational or educational training in the community while serving their sentence in accordance with Minnesota Statutes, section 241.26.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *L 1983 c 274 s 18; 8 SR 2298; 23 SR 808*

2940.0200 PURPOSE.

The purpose of this chapter is to establish the policies, procedures, rules, regulations, and guidelines which will govern the operation of the hearings and release unit established within the Department of Corrections by the commissioner in order to discharge the responsibilities established by law.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.0300 ADMINISTRATION.

For the purpose of coordinating, monitoring, and assuring uniformity and objectivity in the decisions of parole, supervised release, and work release, the commissioner has established the hearings and release unit and appointed an executive officer of hearings and release. The commissioner has delegated to the executive officer of hearings and release the authority to grant parole and work release; to revoke parole, work release, and supervised release; to discharge persons under indeterminate sentences; and to approve the conditions of parole, work release, and supervised release.

The executive officer of hearings and release shall be independent from the administration of the institutions and community services division.

One or more Department of Corrections staff approved by the commissioner may assist the executive officer of hearings and release in carrying out the officer's responsibilities.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 17 SR 1279; 23 SR 808*

2940.0400 EXECUTIVE OFFICER OF HEARINGS AND RELEASE.

The executive officer of hearings and release shall have the authority, under the guidelines prescribed in this chapter, with the exception of those inmates under life sentences, to:

- A. grant parole and work release and discharge inmates with indeterminate sentences;
- B. approve or modify conditions of parole or supervised release as developed by the program review teams;
- C. restructure conditions of parole or supervised release;
- D. revoke parole, supervised release, and work release status;
- E. issue warrants for the apprehension of parolees, supervised releasees, and work releasees;
- F. authorize the extradition of absconders from parole, supervised release, and work release; and
- G. issue revocation orders to stop time on parolees, supervised releasees, and work releasees who have absconded and to start the time running on the inmates' sentences.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: 8 SR 2298; 23 SR 808

CASE MANAGEMENT

2940.0500 PROGRAM REVIEW TEAMS.

In each adult institution there shall be one or more program review teams appointed by the institution superintendent or warden. One member of each team shall be designated as the chair.

Statutory Authority: *MS s 241.26; 243.05*

History: 8 SR 2298; 17 SR 1279

2940.0600 TEAM FUNCTIONS.

A program review team shall perform the following functions regarding each inmate:

- A. develop a needs assessment;
- B. develop a program plan;
- C. develop projected release plans;
- D. develop institutional transfer recommendations;
- E. develop recommendations for work release for prerelease purposes;
- F. develop recommendations for work release;
- G. conduct program plan progress reviews at least once every 12 months;
- H. modify needs assessment or program plans as required;
- I. develop conditions of parole or supervised release jointly with the inmate's assigned field agent; and
- J. conduct reentry reviews.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: 8 SR 2298; 23 SR 808

2940.0700 PLANS.

All needs assessments, program, and projected release plans must be in writing and the central office file copy must be forwarded to the hearings and release unit for

MINNESOTA RULES 1999

147

HEARINGS AND RELEASE UNIT 2940.1100

informational purposes. All conditions of parole or supervised release shall be imposed by the executive officer of hearings and release.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.0800 NEEDS ASSESSMENTS, PROGRAM, AND PROJECTED RELEASE PLANS.

Subpart 1. **60-day limit.** Each inmate shall have a needs assessment, program plan, and projected release plan developed within 60 days of admission.

Subp. 2. **General content.** The needs assessment must specify the inmate's needs as identified by the program review committee regarding chemical dependency, sexual deviancy, psychological disorder, and other.

The projected release plan shall be based on the inmate's needs and shall contain the conditions required to meet the department's objective of public protection.

Subp. 3. **Developed by team.** The needs assessment, program plan, and projected release plan shall be developed by the program review team, and the central office copy shall be forwarded to the hearings and release unit for information purposes.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.0900 PROGRESS REVIEWS.

Subpart 1. **Times of review.** The progress of each inmate under the jurisdiction of the commissioner shall be reviewed at least annually by the program review team. Progress reviews may occur in conjunction with custody status changes and as individual program needs require.

Subp. 2. **Application.** The inmate's progress reviews may result in the modification of the inmate's needs assessment, modification of program plan, recommendations for institutional transfer, work release recommendations, and the development of conditions of parole or supervised release.

Subp. 3. **Copies forwarded.** The central office copy of the inmate's progress reviews shall be forwarded to the hearings and release unit for information purposes.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.1000 WORK RELEASE FOR PRERELEASE PURPOSES.

Subpart 1. **Housing facility.** Inmates on prerelease status shall be housed at the Minnesota Correctional Facility-Lino Lakes. Requests for this status shall be coordinated through the work release director at least 90 days prior to the inmate's release date. These inmates will be housed in facilities appropriate to provide the necessary services.

Subp. 2. **Recommendations reviewed.** The recommendations of the program review teams shall be reviewed by the executive officer for granting prerelease status. The executive officer of hearings and release shall make the decision to grant or deny work release status for placement in the prerelease program.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.1100 WORK RELEASE.

Applications for work release must be forwarded to the director of work release for approval. If approved by the director of work release, the application must be considered by the program review team. If approved by the program review team, the

MINNESOTA RULES 1999

2940.1100 HEARINGS AND RELEASE UNIT

148

recommendation of the program review team must be reviewed by the executive officer of hearings and release for the granting or denial of work release.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.1200 REENTRY REVIEWS.

Subpart 1. Projected release plan. The institution caseworker and the inmate must complete the projected release plan 105 to 120 days prior to the inmate's established release date. The projected release plan, current case summary, annual progress reviews, and psychological evaluation must be forwarded to the district supervisor or Community Corrections Act contact person of the county in which the offender intends to reside. A copy of that request shall also be forwarded to the district supervisor or Community Corrections Act contact person of the county of commitment.

Subp. 2. Supervising agent. The district supervisor or Community Corrections Act contact person shall assign a supervising agent within 90 days of the offender's release. The name and telephone number of the supervising agent assigned must be sent to the institution caseworker as notification of the supervising agent assignment.

Subp. 3. Plan concurrence. The supervising agent shall verify the appropriateness of the release plan. If the supervising agent concurs with the plan, the supervising agent must submit a prerelease report within 65 days of the inmate's release date. If the supervising agent does not concur, he or she must call the institution caseworker. The institution caseworker and the supervising agent shall confer to resolve the difference.

Subp. 4. Review scheduled. Within 45 to 60 days of the inmate's release date the institution caseworker or parole unit shall schedule the inmate for a review before the program review team. The program review team shall conduct a reentry review and finalize the projected release plan.

Subp. 5. Bookslip. The district supervisor shall request the central office records clerk to issue a bookslip. Upon receipt of a copy of the signed conditions of parole or supervised release by the central office records clerk, a bookslip must be issued.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298; 17 SR 1279*

2940.1300 FINAL PLAN RECOMMENDATIONS.

Subpart 1. Final review. The final recommendations regarding release plans which have been developed jointly with the supervising agent, the institution caseworker, and forwarded by the program review team shall be reviewed by the executive officer of the hearings and release unit at least 30 days prior to the inmate's established release date. These reviews shall take place at each Minnesota correctional facility as follows:

A. at least every ten working days at Minnesota Correctional Facility-Stillwater and Minnesota Correctional Facility-St. Cloud; and

B. at least every 15 working days at Minnesota Correctional Facility-Shakopee, Minnesota Correctional Facility-Oak Park Heights, and Minnesota Correctional Facility-Lino Lakes.

Subp. 2. Final decision. The executive officer of hearings and release shall make the final decision regarding the conditions of parole, supervised release, or work release, with the exception of those inmates under life sentences.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808; L 1999 c 86 art 1 s 82*

2940.1400 NOTICE TO INMATES.

At least 30 days prior to the reentry review, the inmate shall receive written notice of the date and time of the review. The notice shall state the purpose of the review, the material to be covered, and the right to review the files, records, and other documents which will be considered at that time. The notice shall also inform the inmate of the

MINNESOTA RULES 1999

149

HEARINGS AND RELEASE UNIT 2940.1500

right to submit written documentation and to appear and speak on his or her own behalf during the hearing. All inmates shall be notified, in writing, of any decisions which affect their program plans, conditions of release, or release date which occur as a result of program team reviews or through the disciplinary process.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298; 17 SR 1279*

SENTENCE CONSIDERATIONS

2940.1500 INMATES WITH INDETERMINATE SENTENCES.

Subpart 1. Concurrent sentences. When an inmate is under sentence for a pre-May 1, 1980 offense and a guideline sentence concurrently, and the sentence for the pre-May 1, 1980 offense exceeds the guidelines sentence, release shall be considered under Minnesota Statutes 1983 Supplement, section 243.05.

Subp. 2. Review of release dates. Incarcerated inmates with indeterminate sentences governing their release dates which were established prior to July 1, 1982, shall be handled as follows in items A to C.

A. All release dates established by the Minnesota corrections board will be left in full force and effect by the commissioner.

B. Special reviews for the purpose of modifying release dates established by the Minnesota corrections board shall be considered by the executive officer of hearings and release for the following reasons:

(1) correcting mathematical, data entry, or computational errors; and

(2) policy changes established by the commissioner which affect the term of imprisonment.

C. The program review team shall determine if the criteria for a special review have been met. If the criteria have been met, the program review team shall forward the information and a recommendation to the executive officer of hearings and release. The executive officer of hearings and release shall make the final decision regarding the requested adjustment in the release date.

Subp. 3. Application of guidelines. Minnesota sentencing guidelines policies and procedures must be utilized in determining the term of imprisonment and projected release date of each inmate with an indeterminate sentence whose new admission review occurs after July 1, 1982.

The criminal history score shall be based on the inmate's status on the date the indeterminate offense occurred.

A. To be assigned a juvenile point, the offender must have been under 21 years of age at the time of the offense. If so, standard guidelines policies apply.

B. To be assigned a custody status point, the offender must have been under applicable Minnesota state guidelines policy custody status at the time the indeterminate offense occurred. If so, standard guidelines policies apply.

C. The only misdemeanor, gross misdemeanor, or felony convictions which shall be utilized to compute the criminal history score shall be those for which the offender had received a stayed or imposed sentence prior to the date on which the indeterminate offense occurred.

The program review team shall complete a Minnesota sentencing guidelines worksheet on such inmates and forward the worksheet to the executive officer of hearings and release for approval. If the program review team recommends departure from the guidelines grid, the written reasons for the departure must accompany the worksheet.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *L 1983 c 274 s 18; 8 SR 2298; 23 SR 808*

MINNESOTA RULES 1999

2940.1600 HEARINGS AND RELEASE UNIT

150

2940.1600 GOOD TIME LOST; EXTENSION OF TERM OF IMPRISONMENT.

All inmates shall be subject to the loss of good time or extension of term of imprisonment for institutional disciplinary infractions as follows in items A to E.

A. All inmates with indeterminate sentences and established release dates shall have their release date and expiration date extended by one day for each day of good time lost, not to exceed the maximum sentence imposed by the court.

B. All inmates with indeterminate sentences who have their expiration date as their assigned release date shall have their expiration date extended by one day for each day of good time lost, not to exceed the maximum sentence imposed by the court.

C. All inmates with guidelines sentences shall have their supervised release date extended by one day for each day of good time lost or disciplinary confinement time added.

D. All parole violators shall have their release date extended by one day for each day of good time lost.

E. All violators of supervised release shall have their projected release date extended by one day for each three days of segregation time served.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: 8 SR 2298; 23 SR 808

2940.1700 OFFENDERS ON PAROLE OR SUPERVISED RELEASE STATUS.

Progress reviews shall be completed annually on all offenders on parole or supervised release status by the supervising agent. Unless the expiration date occurs earlier, offenders on parole status shall be considered for discharge when consistent with public safety.

Statutory Authority: *MS s 241.26; 243.05*

History: 8 SR 2298

2940.1800 INMATES WITH LIFE SENTENCES.

Subpart 1. **Advisory panel.** The commissioner shall convene an advisory panel of Department of Corrections employees, which shall consist of, but not be limited to, the following:

- A. the deputy commissioner for institutions;
- B. the deputy commissioner for community services;
- C. the superintendent or warden of the institution of the inmate's residence;
- D. the executive officer of the hearings and release unit.

The commissioner shall serve as the chair of the advisory panel.

Subp. 2. **Duties of panel.** The advisory panel shall review each inmate who is serving a life sentence three years prior to the inmate's parole or supervised release eligibility date in order to establish a projected release date or a future review date. The advisory panel shall assist the commissioner in thoroughly considering the inmate's entire case history, including the facts and circumstances of the offense for which the life sentence is being served; past criminal history, institutional adjustment, program team reports, psychological and psychiatric reports where pertinent; and the results of community investigations.

The program review team of the inmate's residence shall prepare appropriate reports and recommendations as requested by the institution superintendent or warden.

Subp. 3. **Inmate's rights.** The inmate shall be given 60 days notice prior to the date of review; shall be entitled to submit written documentation in support of his or her position; and shall have the choice to be present at the review hearing.

An attorney representing the inmate or an advocate of the inmate's choice shall be allowed at the review hearing.

A representative of the ombudsman's office may be present at the review hearing.

MINNESOTA RULES 1999

151

HEARINGS AND RELEASE UNIT 2940.2000

Subp. 4. Duties of officials. The commissioner may initiate inquiries and take testimony as authorized by Minnesota Statutes, section 243.05.

The executive officer of hearings and release shall assist the commissioner in interviewing interested parties and prepare a summary of community input for presentation to the advisory panel.

During the deliberative process only members of the advisory panel shall be present unless determined otherwise by the commissioner.

Subp. 5. Projected release date. The commissioner shall establish a projected release date for each inmate or continue the case to a future review date. The decision of the commissioner shall be communicated to the inmate in writing within 30 days of the review and shall contain the following:

A. the factors utilized in arriving at the projected release date or a future review date;

B. the future review or projected release date; and

C. actions by the inmate which could cause the projected release or review date to be changed.

The projected release date, once established shall not be modified without a review incorporating the elements of the initial review referred to in subpart 3 with the exception of changes caused by a loss of good time.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 17 SR 1279; 23 SR 808; L 1999 c 86 art 1 s 82*

CONDITIONS OF RELEASE

2940.1900 OBJECTIVE OF PUBLIC SAFETY.

Conditions of parole or supervised release shall be based on the need for public safety. Surveillance with optional treatment programming shall be utilized when consistent with case planning in order to achieve maximum public safety.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298*

2940.2000 REQUIREMENTS AND PROHIBITIONS OF RELEASE.

Subpart 1. Compliance. All offenders on parole or supervised release shall be required to comply with the standard conditions of parole or supervised release in subparts 2 to 10.

Subp. 2. Report. Releasees upon leaving the institution shall report immediately at the destination specified by the supervising agent.

Subp. 3. Inform. Releasees shall at all times follow the instructions of their supervising agent and keep the supervising agent informed of their residence and activities. Releasees shall advise their supervising agent within 24 hours if they are arrested.

Subp. 4. Contact. Releasees shall maintain contact with the supervising agent in the manner prescribed by that supervising agent.

Subp. 5. Submit reports and respond. Releasees shall submit reports as required by the supervising agent and shall respond promptly to any communication from their supervising agent.

Subp. 6. Intoxicants and drugs. Releasees shall follow the supervising agent's instructions with respect to the use of intoxicants and shall not possess or use narcotics or other drugs, preparations, or substances as defined by Minnesota Statutes, chapter 152, except those prescribed for the releasee by a licensed physician.

Subp. 7. Firearms. Releasees shall not purchase or otherwise obtain or have in their possession any type of firearm or dangerous weapon as defined by Minnesota Statutes, section 609.02, subdivision 6.

MINNESOTA RULES 1999

2940.2000 HEARINGS AND RELEASE UNIT

152

Subp. 8. Leaving the state. Releasees shall not leave the state without the written permission of the supervising agent specifying the terms and conditions under which permission is granted.

Subp. 9. Subsequent convictions. Releasees shall not be convicted of any felony, gross misdemeanor, or any misdemeanor punishable by imprisonment as defined in Minnesota Statutes, section 609.02, or of repeated traffic offenses other than parking violations. Acknowledgment in the form of a confession under oath in open court before a judge may be considered a conviction for the purpose of this condition.

Subp. 10. Probable cause to hold. A finding of probable cause by a court of competent jurisdiction or grand jury indictment shall be considered grounds to hold a releasee in custody unless and until the releasee is found not guilty.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298*

2940.2100 SPECIAL CONDITIONS OF RELEASE.

Special conditions of release mean any conditions on the release form other than the standard conditions, setting forth individual specified requirements to be followed by a releasee. These special conditions include:

A. special conditions which set forth limits regarding contact with specified persons, travel from or to specified locations or areas, or increased contact with the supervising agent beyond that which is considered standard;

B. participation conditions which require the releasee to be involved in nonresidential therapy or counseling programs; and

C. residential conditions which require the releasee to be involved in a residential program.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298*

2940.2200 DEVELOPMENT OF SPECIAL CONDITIONS OF RELEASE.

Special conditions of parole or supervised release shall be developed jointly by the program review team and the supervising agent.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.2300 APPROVAL OF CONDITIONS OF RELEASE.

All conditions of parole or supervised release shall be subject to the approval of the executive officer of hearings and release.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.2400 PLACEMENT IN RESIDENTIAL COMMUNITY PROGRAM.

If placement in a residential community program is prescribed as a special condition of supervised release, failure to enter and complete such a program is grounds for revocation of release. If no community program is available at the time of release, the matter shall be referred to the executive officer of the hearings and release unit for approval of an alternative program or plan.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298; L 1999 c 86 art 1 s 82*

2940.2500 NOTICE OF CONDITIONS OF RELEASE.

At the time of release from a correctional facility each inmate shall have read to him or her the conditions of parole or supervised release, and the inmate shall sign the conditions of parole or supervised release. The inmate's signature shall be witnessed by

MINNESOTA RULES 1999

153

HEARINGS AND RELEASE UNIT 2940.2900

the staff member who read the conditions of parole or supervised release to the inmate.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298; 17 SR 1279*

2940.2600 COOPERATION OF INMATE REQUIRED.

Refusal to cooperate in determining place of residence, employment plans, or conditions of release shall result in an extension of the inmate's term of imprisonment through the institutional disciplinary process. Inmates serving indeterminate sentences will not be allowed to leave the institution without an approved release plan.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298*

2940.2700 RESTRUCTURE OF CONDITIONS OF PAROLE OR SUPERVISED RELEASE.

Subpart 1. Request by offender. Offenders on parole or supervised release may at any time during their term of release request that the standard or special conditions of release be modified. Their request must be made in writing through their supervising agent who shall submit the request and the supervising agent's recommendation to the hearings and release unit within ten days of its receipt. The executive officer of hearings and release shall review the request and respond in writing within 30 days of the receipt of the request for the modification of the standard or special conditions of release.

Subp. 2. Request by supervising agent. Supervising agents, after consultation with their supervisor, may request a restructure of a client's standard or special conditions of release.

Subp. 3. Granting of modification. The executive officer of hearings and release may authorize the supervising agent to modify the standard or special conditions of release or cause the releasee to be brought before the executive officer of hearings and release for a review of the matter of modification. Any modification of the standard or special conditions of release shall be in writing and executed with the same formality as the original conditions.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.2800 WORK RELEASE STATUS.

Subpart 1. Participation. Participation in the work release program is voluntary on the part of the inmate. Consideration for work release status shall be given to those offenders who have met the Department of Corrections eligibility requirements, have been accepted by the director of work release and recommended by the program review team. The executive officer of hearings and release shall make the decision for the granting or denial of work release.

Subp. 2: Violations; revocations. All violations of work release rules shall be handled according to the approved discipline plan. Revocation of work release status shall be the decision of the executive officer of hearings and release after an appropriate due process hearing.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.2900 WORK RELEASE FOR PRERELEASE PURPOSES.

Subpart 1. Participation. Participation in the prerelease program is voluntary on the part of the inmate. The procedure for granting work release for placement in the prerelease program shall be for the program review team to make the referral to the executive officer of the hearings and release unit. The executive officer of the hearings

MINNESOTA RULES 1999

2940.2900 HEARINGS AND RELEASE UNIT

154

and release unit shall make the final decision regarding the granting of work release status for placement in the prerelease program.

Subp. 2. Violations. Violations of work release or prerelease shall be handled through the institutional disciplinary process.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298; L 1999 c 86 art 1 s 82*

WARRANTS AND ORDERS

2940.3000 GROUNDS FOR WARRANTS.

Warrants may be issued for the apprehension and detention of parolees, supervised releasees, and work releasees who are:

- A. alleged to have violated the conditions of their release;
- B. convicted of a new felony and who represent a risk either by absconding or who have a probability of committing acts which are dangerous to the community; or
- C. the subject of detainers in appropriate cases.

When warrants have been issued as detainers for releasees who are being prosecuted for new charges, including violations which are misdemeanors, gross misdemeanors, or felonies, the revocation process shall not begin until the court process has concluded. The court process will be considered concluded by dismissal of charges, a finding of not guilty, or the completion of any local incarceration time imposed by the court.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298*

2940.3100 WARRANTS; FORMAL RECOMMENDATION REQUIREMENT.

At any time the releasee is prepared to make bail or has been sentenced by the court, the supervising agent shall submit a written formal recommendation to the executive officer of hearings and release regarding whether to:

- A. maintain the warrant or allow the releasee to make bail; or
- B. cancel the warrant and allow the local sentence imposed to satisfy the violation time. If this is done, a notice of release will be filed by the fugitive unit which provides that the supervising agent be notified 30 or 60 days prior to release.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.3200 ISSUANCE OF WARRANTS.

The executive officer of hearings and release shall have the authority to issue nationwide or statewide warrants on a case-by-case basis in accordance with the procedures in items A to D.

A. After consultation with his or her supervisor, the supervising agent shall submit a violation report to the executive officer of hearings and release who shall make the final decision regarding the issuance of a warrant.

B. In emergency situations, the supervising agent shall request authorization for the warrant by telephone. The supervising agent shall call the hearings and release unit and provide the necessary information for warrant authorization.

C. Upon approval of the emergency warrant, the hearings and release unit shall provide the fugitive unit with the necessary information, and instruct the fugitive unit to issue the warrant.

D. If an emergency warrant is issued, a written violation report must be received by the hearings and release unit within five working days.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808; L 1999 c 86 art 1 s 82*

MINNESOTA RULES 1999

155

HEARINGS AND RELEASE UNIT 2940.3600

2940.3300 OTHER ORDERS.

The executive officer of hearings and release shall have the authority to issue orders revoking parole, supervised release, or work release; to stop the time from running on the sentences of releasees who have absconded, and to start the running of the time on the inmate's sentence.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.3400 HOLD ORDERS.

Apprehension and detention orders may be issued by the executive officer of the hearings and release unit or a district supervisor upon written reasons submitted by a supervising agent under authority of Minnesota Statutes, section 243.05. No releasee shall be detained under an apprehension and detention order for more than 72 hours unless revocation proceedings have been initiated by the supervising agent.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298; L 1999 c 86 art 1 s 82*

REVOCATION

2940.3500 REVOCATION HEARING.

Subpart 1. Location. Revocation hearings whenever possible must be held in the community where the conviction of the violation of a standard or condition occurred. Separate probable cause hearings may be held if circumstances warrant.

Subp. 2. When held. All revocation or separate probable cause hearings must be held within 12 working days of the releasee's availability to Department of Corrections.

Subp. 3. Outside metropolitan area. Outside the metropolitan area, as defined by Minnesota Statutes, section 473.121 revocation or separate probable cause hearings shall be conducted by a Department of Corrections district supervisor other than the supervising agent's supervisor. In cases of special need, the executive officer of hearings and release may conduct the revocation or separate probable cause hearings outside the metropolitan area.

Subp. 4. Within metropolitan area. Within the metropolitan area the hearings shall be conducted by the executive officer of hearings and release.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.3600 REVOCATION GROUNDS.

Grounds for the revocation of parole, work release, or supervised release are as follows in items A to C.

A. Conviction of a felony, gross misdemeanor, any misdemeanor punishable by imprisonment as defined in Minnesota Statutes, section 609.02, or repeated traffic offenses other than parking violations. Acknowledgment in the form of a confession under oath in open court before a judge may be considered a conviction for the purpose of revocation.

B. A finding of probable cause by a court of competent jurisdiction or a grand jury indictment shall be considered grounds to hold the offender in custody unless and until the releasee is found not guilty.

C. Violation of any standard or special condition of parole or supervised release.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298*

2940.3700 ACTIONS.

If the executive officer of hearings and release or a district supervisor finds that releasees are in violation of their parole, work release, or supervised release, the following actions may be taken:

A. counsel the releasee and continue parole, work release, supervised release without modification;

B. modify and enlarge the conditions of release; or

C. revoke parole, work release, or supervised release and return the releasee to imprisonment for an appropriate period of time not to exceed the time remaining on the releasee's sentence.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: 8 SR 2298; 23 SR 808

2940.3800 REIMPRISONMENT.

Offenders who have violated the conditions of parole or supervised release and who have been returned to institutional status shall be assigned a release date and a term of reimprisonment, as follows:

A. up to six months inclusive of any time spent in jail in connection with the violation, for violations of conditions of parole or supervised release other than convictions of or involvement in criminal activity;

B. up to six months for convictions of misdemeanors or gross misdemeanors;

C. six months to expiration of sentence for conviction of a felony; and

D. depending on the time remaining to be served on the sentence, the type of violation, and the needs of the offender, up to expiration of the sentence may be assigned as the term of reimprisonment if there is a finding of risk to the public or if repeated violations of the conditions of release occur and the releasee is determined to be unamenable to supervision by the executive officer of hearings and release.

The term of reimprisonment under items A to C may be either concurrent or consecutive to incarceration time imposed by a court of law and served locally.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: 8 SR 2298; 23 SR 808

2940.3900 REVOCATION PROCEDURES; INVESTIGATION AND REPORT.

Supervising agents shall investigate all alleged violations of release and after consultation with their supervisor determine whether grounds exist to begin revocation procedures. If grounds are found to exist justifying the initiation of revocation procedures, a violation report must be submitted to the executive officer of hearings and release together with a recommendation as to the issuance of a warrant directing the apprehension and detention of the releasee pending a hearing.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: 8 SR 2298; 23 SR 808

2940.4000 EMERGENCY SITUATIONS.

In emergency situations supervising agents after consultation with their supervisor, may call the hearings and release unit to request an emergency warrant. The procedure indicated in parts 2940.3000 to 2940.3400 governs the issuance of emergency warrants.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: 8 SR 2298; 23 SR 808

2940.4100 INITIATION OF REVOCATION PROCEEDINGS.

If the executive officer of the hearings and release unit determines that revocation proceedings shall be initiated, the supervising agent shall be notified in writing. The executive officer of the hearings and release unit shall send a copy of the violation

MINNESOTA RULES 1999

157

HEARINGS AND RELEASE UNIT 2940.4400

report to the State Public Defender's office at the same time that agent is notified to begin revocation proceedings.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298; L 1999 c 86 art 1 s 82*

2940.4200 DUTIES OF SUPERVISING AGENT.

Upon receipt of the notice to begin revocation proceedings, the supervising agent shall have the duties in items A to F.

- A. The agent shall give the releasee a copy of the violation report.
- B. The supervising agent shall advise the releasee of the purpose of the hearing; the right to a hearing; the right to the assistance of counsel of choice or the services of the State Public Defender; the right to present evidence and to confront and cross-examine witnesses; and the right to admit the violations of release.
- C. If the releasee signs the admission of violations form, the supervising agent shall notify the fugitive unit to transport the violator to a correctional facility designated by the commissioner.
- D. Upon return to the correctional facility, the releasee shall be provided with a dispositional hearing within 15 working days at which the supervising agent is not required to be present.
- E. If the releasee requests a revocation hearing, the supervising agent shall call the hearings and release unit to coordinate a date and time for the hearing.
- F. Upon receiving the date and time for the hearing, the supervising agent shall prepare a notice of hearing form, make six copies of the rules of release, six copies of any written evidence, and distribute one set of each according to the distribution indicated on the notice of revocation hearing form.

If the releasee is in custody pursuant to a warrant issued by the hearings and release unit, the hearing shall be held within 15 working days immediately after detention, unless good cause is shown for a continuance. At the time notice is given to the releasee, notice shall be sent to the State Public Defender or private counsel.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 17 SR 1279; 23 SR 808*

2940.4300 REVOCATION HEARING.

The revocation hearing shall be held near the site of the alleged violation, and conducted by the executive officer of hearings and release or a district supervisor who does not directly supervise the supervising agent alleging the violation. If parole, supervised release, or work release is revoked, the releasee shall be imprisoned in a place determined by the commissioner. Releasees may admit the alleged violations any time prior to the hearing. The admission must be in writing, and releasees must have been notified of the consequences of their admission, including that they may be returned to a correctional facility for a term of imprisonment specified by the executive officer of hearings and release or a district supervisor.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.4400 WARRANTS.

Subpart 1. General requirement. Unless taken into custody by a supervising agent under the authority of Minnesota Statutes 1983 Supplement, section 243.05, a releasee shall not be taken into custody unless a warrant is issued by the executive officer of hearings and release.

Subp. 2. Content. Requests for hold orders or warrants must allege the specific facts upon which the alleged violation is based, indicate the sources of information, and cite reasons why detention pending the hearing is necessary.

Subp. 3. Absconding from supervision. Warrants may be issued in all cases where a releasee has absconded from supervision. Issuance of warrant under these circumstances and the revocation of parole, supervised release, or work release shall stop the time from running on the sentence until the releasee is returned to custody. In all cases where a releasee is returned from out of state, whether by extradition proceedings or waiver of extradition, the hearing shall be held at a location determined by the executive officer of hearings and release.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.4500 FAILURE TO APPEAR.

Failure of a releasee to appear at a revocation or probable cause hearing after having been duly notified will result in the issuance of a warrant for their apprehension and detention and return to custody pending a hearing at a place to be determined by the executive officer of hearings and release.

Statutory Authority: *MS s 14.388; 241.26; 243.05*

History: *8 SR 2298; 23 SR 808*

2940.4600 [Repealed, 23 SR 808]

2940.4700 [Repealed, 23 SR 808]

2940.4800 [Repealed, 23 SR 808]

2940.4900 [Repealed, 23 SR 808]

2940.5000 [Repealed, 23 SR 808]

2940.5100 [Repealed, 23 SR 808]

2940.5200 [Repealed, 23 SR 808]

2940.5300 [Repealed, 23 SR 808]

2940.5400 [Repealed, 23 SR 808]

2940.5500 [Repealed, 23 SR 808]

2940.5600 [Repealed, 23 SR 808]

INTERSTATE SUPERVISION

2940.5700 REQUEST FOR INTERSTATE SUPERVISION OF MINNESOTA RELEASEE.

Subpart 1. Request for transfer. Transfer requests for interstate supervision of a Minnesota releasee must be submitted to the deputy compact administrator, in the central office, at least 60 days but not more than 90 days prior to the established release date. The request shall be submitted on correction form 245, and must include in specific terms the placement offer and employment, as well as the institution caseworker's evaluation and recommendation.

Subp. 2. Material to be submitted. The following material shall be submitted to the compact administrator:

A. three copies of the transfer request correction form 245 (interstate transfer request);

B. three copies of correction form 312 (application for compact services);

C. three copies of correction form 248 (agreement to return form) signed, dated, and witnessed; and

MINNESOTA RULES 1999

159

HEARINGS AND RELEASE UNIT 2940.5700

D. three copies of case management progress reports, fingerprints, and photos.

On cases already under field services supervision the deputy compact administrator will request the photos, fingerprints, and progress reports from the releasing institution.

Statutory Authority: *MS s 241.26; 243.05*

History: *8 SR 2298*