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State of Minnesota

HOUSE OF REPRESENTATIVES

NINETY-FIRST SESSION

н. **F.** No. **2080**

03/04/2019 Authored by Considine

The bill was read for the first time and referred to the Judiciary Finance and Civil Law Division

1.1	A bill for an act
1.2	relating to corrections; authorizing a jail to share certain inmate mental illness
1.3	information with a local county social services agency; extending retention of
1.4	certain criminal gang investigative data; reestablishing the ombudsman for
1.5	corrections; establishing the powers and duties of the ombudsman; establishing
1.6	guidelines for the use of administrative and disciplinary segregation in state
1.7	correctional institutions; requiring a report; appropriating money; amending
1.8	Minnesota Statutes 2018, sections 13.851, by adding a subdivision; 15A.0815,
1.9	subdivision 3; 243.48, subdivision 1; 299C.091, subdivision 5; 641.15, subdivision
1.10	3a; proposing coding for new law in Minnesota Statutes, chapters 241; 243.
1.11	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA:
1.12	Section 1. Minnesota Statutes 2018, section 13.851, is amended by adding a subdivision
1.13	to read:
1.14	Subd. 12. Mental health screening. The treatment of data collected by a sheriff or local
1.15	corrections agency related to individuals who may have a mental illness is governed by
1.16	section 641.15, subdivision 3a.
1.17	Sec. 2. Minnesota Statutes 2018, section 15A.0815, subdivision 3, is amended to read:
1.18	Subd. 3. Group II salary limits. The salary for a position listed in this subdivision shall

not exceed 120 percent of the salary of the governor. This limit must be adjusted annually

on January 1. The new limit must equal the limit for the prior year increased by the percentage

increase, if any, in the Consumer Price Index for all urban consumers from October of the

second prior year to October of the immediately prior year. The commissioner of management

and budget must publish the limit on the department's website. This subdivision applies to

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the following positions:

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	03/04/19	REVISOR	KLL/RC	19-4202
2.1	Executive director of Gamble	ing Control Board;		
2.2	Commissioner of Iron Range	resources and rehabilita	ation;	
2.3	Commissioner, Bureau of Mo	ediation Services;		
2.4	Ombudsman for mental heal	th and developmental dis	sabilities;	
2.5	Ombudsman for corrections;			
2.6	Chair, Metropolitan Council;			
2.7	School trust lands director;			
2.8	Executive director of pari-mo	utuel racing; and		
2.9	Commissioner, Public Utiliti	es Commission.		
2.10	Sec. 3. [241.90] OFFICE OF	OMBUDSMAN; CRE	ATION; QUALIFIC	CATIONS;
2.11	FUNCTION.			
2.12	The Office of Ombudsman for	or the Department of Co	rrections is hereby cr	eated. The
2.13	ombudsman shall serve at the pl	easure of the governor in	the unclassified serv	vice, shall be
2.14	selected without regard to politic	cal affiliation, and shall b	be a person highly con	mpetent and
2.15	qualified to analyze questions of	law, administration, and	d public policy. No pe	erson may
2.16	serve as ombudsman while holdi	ng any other public offic	e. The ombudsman fo	r corrections
2.17	shall be accountable to the gover	rnor and shall have the a	uthority to investigate	e decisions,
2.18	acts, and other matters of the De	partment of Corrections	so as to promote the	highest
2.19	attainable standards of competer	nce, efficiency, and justic	e in the administration	on of
2.20	corrections.			
2.21	Sec. 4. [241.91] DEFINITIO	<u>v.</u>		
2.22	For the purposes of sections	241.90 to 241.95, "admi	nistrative agency" or	"agency"
2.23	means any division, official, or en			
2.24	of corrections, the Board of Pard	ons, and any regional or	local correctional fac	ility licensed
2.25	or inspected by the commissione			
2.26	operated for the detention and co		-	
2.27	to programs or facilities operating	ng under chapter 401, ad	ult halfway homes, gi	roup foster

homes, secure juvenile detention facilities, juvenile residential facilities, municipal holding

facilities, juvenile temporary holdover facilities, regional or local jails, lockups, work houses,

work farms, and detention and treatment facilities, but does not include:

2.31 (1) any court or judge;

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(3) the governor or the governor's personal staff;
(4) any instrumentality of the federal government; or
(5) any interstate compact.
Sec. 5. [241.92] ORGANIZATION OF OFFICE OF OMBUDSMAN.
Subdivision 1. Employee selection. The ombudsman may select, appoint, and compensate
out of available funds assistants and employees as deemed necessary to discharge
responsibilities. The ombudsman and full-time staff shall be members of the Minnesota
State Retirement Association.
Subd. 2. Assistant ombudsman. The ombudsman may appoint an assistant ombudsman
in the unclassified service.
Subd. 3. Delegation of duties. The ombudsman may delegate to staff members any of
the ombudsman's authority or duties except the duty of formally making recommendations
to an administrative agency or reports to the Office of the Governor or to the legislature.
COMPLAINTS; RECOMMENDATIONS.
Subdivision 1. Powers. The ombudsman may:
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(5) request	and be given access to information in the possession of an administrative
agency deemed	d necessary for the discharge of responsibilities;
(6) examine	e the records and documents of an administrative agency;
(7) enter and	d inspect, at any time, premises within the control of an administrative agency
(8) subpoer	na any person to appear, give testimony, or produce documentary or other
evidence that t	he ombudsman deems relevant to a matter under inquiry, and may petition
the appropriate	e state court to seek enforcement with the subpoena; provided, however, that
any witness at a	a hearing or before an investigation shall possess the same privileges reserved
to a witness in	the courts or under the laws of this state;
(9) bring ar	n action in an appropriate state court to provide the operation of the powers
provided in thi	s subdivision. The ombudsman may use the services of legal assistance to
Minnesota pris	soners for legal counsel. The provisions of sections 241.90 to 241.95 are in
addition to oth	er provisions of law under which any remedy or right of appeal or objection
is provided for	any person, or any procedure provided for inquiry or investigation concerning
any matter. No	othing in sections 241.90 to 241.95 shall be construed to limit or affect any
other remedy o	or right of appeal or objection nor shall it be deemed part of an exclusionary
process; and	
(10) be pres	sent at commissioner of corrections parole, supervised release, and parole
revocation hea	rings and deliberations.
Subd. 2. A	ctions against ombudsman. No proceeding or civil action except removal
from office or	a proceeding brought pursuant to chapter 13 shall be commenced against the
ombudsman fo	or actions taken under the provisions of sections 241.90 to 241.95, unless the
act or omission	n is actuated by malice or is grossly negligent.
Subd. 3. M	atters appropriate for investigation. (a) In selecting matters for attention
the ombudsma	n should particularly address actions of an administrative agency that may
be:	
(1) contrary	y to law or rule;
(2) unreaso	onable, unfair, oppressive, or inconsistent with any policy or judgment of an
administrative	agency;
(3) mistake	en in law or arbitrary in the ascertainment of facts;
(4) unclear	or inadequately explained when reasons should have been revealed; or
(5) inefficie	ently performed.
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Sec. 6. 4

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5.1	(b) The ombudsman may also be concerned with strengthening procedures and practices
5.2	that lessen the risk that objectionable actions of the administrative agency will occur.
5.3	Subd. 4. Complaints. (a) The ombudsman may receive a complaint from any source
5.4	concerning an action of an administrative agency. The ombudsman may, on personal motion
5.5	or at the request of another, investigate any action of an administrative agency.
5.6	(b) The ombudsman may exercise powers without regard to the finality of any action of
5.7	an administrative agency; however, the ombudsman may require a complainant to pursue
5.8	other remedies or channels of complaint open to the complainant before accepting or
5.9	investigating the complaint.
5.10	(c) After completing investigation of a complaint, the ombudsman shall inform the
5.11	complainant, the administrative agency, and the official or employee of the action taken.
5.12	(d) A letter to the ombudsman from a person in an institution under the control of an
5.13	administrative agency shall be forwarded immediately and unopened to the ombudsman's
5.14	office. A reply from the ombudsman to the person shall be promptly delivered unopened
5.15	to the person after its receipt by the institution.
5.16	(e) No complainant shall be punished nor shall the general condition of the complainant's
5.17	confinement or treatment be unfavorably altered as a result of the complainant having made
5.18	a complaint to the ombudsman.
5.19	Subd. 5. Investigation of adult local jails and detention facilities. Either the
5.20	ombudsman or the jail inspection unit of the Department of Corrections may investigate
5.21	complaints involving local adult jails and detention facilities. The ombudsman and
5.22	Department of Corrections must enter into an arrangement with one another that ensures
5.23	they are not duplicating services.
5.24	Subd. 6. Recommendations. (a) If, after duly considering a complaint and whatever
5.25	material the ombudsman deems pertinent, the ombudsman is of the opinion that the complaint
5.26	is valid, the ombudsman may recommend that an administrative agency should:
5.27	(1) consider the matter further;
5.28	(2) modify or cancel its actions;
5.29	(3) alter a ruling;
5.30	(4) explain more fully the action in question; or
5.31	(5) take any other step that the ombudsman recommends to the administrative agency
5.32	involved.

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If the ombudsman so requests, the agency shall, within the time the ombudsman specifies, inform the ombudsman about the action taken on the ombudsman's recommendations or the reasons for not complying with it.

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- (b) If the ombudsman has reason to believe that any public official or employee has acted in a manner warranting criminal or disciplinary proceedings, the ombudsman may refer the matter to the appropriate authorities.
- (c) If the ombudsman believes that an action upon which a valid complaint is founded has been dictated by a statute, and that the statute produces results or effects that are unfair or otherwise objectionable, the ombudsman shall bring to the attention of the governor and the legislature the ombudsman's view concerning desirable statutory change.
- 6.11 Subd. 7. Grants. The ombudsman may apply for and receive grants from public and private entities for purposes of carrying out the ombudsman's powers and duties under sections 241.90 to 241.95.

Sec. 7. [241.94] ACCESS BY OMBUDSMAN TO DATA.

Notwithstanding section 13.384 or 13.85, the ombudsman has access to corrections and detention data and medical data maintained by an agency and classified as private data on individuals or confidential data on individuals when access to the data is necessary for the ombudsman to perform the powers under section 241.93.

Sec. 8. [241.95] PUBLICATION OF RECOMMENDATIONS; REPORTS.

Subdivision 1. **Publication.** The ombudsman may publish conclusions and suggestions by transmitting them to the Office of the Governor. Before announcing a conclusion or recommendation that expressly or impliedly criticizes an administrative agency or any person, the ombudsman shall consult with that agency or person. When publishing an opinion adverse to an administrative agency or any person, the ombudsman shall include in the publication any statement of reasonable length made to the ombudsman by that agency or person in defense or mitigation of the action.

Subd. 2. Annual report. In addition to whatever reports the ombudsman may make on an ad hoc basis, the ombudsman shall report to the governor and the chairs and ranking minority members of the legislative committees with fiscal and policy jurisdiction over public safety and corrections at the end of each year on the ombudsman's functions during the preceding year.

Sec. 8. 6

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Sec. 9. Minnesota Statutes 2018, section 243.48, subdivision 1, is amended to read:

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Subdivision 1. **General searches.** The commissioner of corrections, the governor, lieutenant governor, members of the legislature, and state officers, and the ombudsman for corrections may visit the inmates at pleasure, but no other persons without permission of the chief executive officer of the facility, under rules prescribed by the commissioner. A moderate fee may be required of visitors, other than those allowed to visit at pleasure. All fees so collected shall be reported and remitted to the commissioner of management and budget under rules as the commissioner may deem proper, and when so remitted shall be placed to the credit of the general fund.

Sec. 10. [243.521] ADMINISTRATIVE AND DISCIPLINARY SEGREGATION.

Subdivision 1. Authorization. In any adult correctional facility under the control of the commissioner of corrections, the commissioner may require an inmate to be placed on disciplinary segregation status for rule violations or on administrative segregation status when the continued presence of the inmate in general population would pose a serious threat to life, property, self, staff, or other inmates or to the security or orderly running of the institution. Inmates pending investigation for trial on a criminal act or pending transfer may be included, provided the warden's written approval is sought and granted within seven business days of placing the inmate in restrictive housing under this provision. The warden of each facility must document any time such approval is granted and the reason for it, and submit a quarterly report to the commissioner of corrections.

- Subd. 2. Conditions in segregated housing. The restrictive housing unit shall provide living conditions that are approximate of those offenders in general population, including reduced lighting during nighttime hours.
- Subd. 3. Review of disciplinary segregation status. The commissioner of corrections shall receive notification of all offenders with consecutive placement in a restrictive housing setting for more than 30 days. This notification shall occur on a monthly basis. In the event an offender is placed into restrictive housing for more than 120 days, the reason for the placement and the behavior management plan for the offender shall be submitted to the commissioner of corrections.
- Subd. 4. **Graduated interventions.** The commissioner shall design and implement a continuum of interventions, including informal sanctions, administrative segregation, formal discipline, disciplinary segregation, and step-down management. The commissioner shall implement a method of due process for all offenders with formal discipline proceedings.

Sec. 10. 7

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Subd. 5. Mental health screening. (a) If it is apparent that the inmate is exhibiting serious symptoms of a mental illness that prevents them from understanding or fully participating in the disciplinary process, a mental health professional shall be consulted regarding appropriate treatment and placement. For other inmates placed in a restrictive setting, an inmate shall be screened by a health services staff within 24 hours of placement in a restrictive housing setting. If the screening indicates symptoms of a mental illness, a qualified mental health professional shall be consulted regarding appropriate treatment and placement. The health services staff shall document any time an offender screens in for symptoms of a mental health illness and whether or not the health services staff member connected with a mental health professional.

- (b) If mental health staff believe the offender's behavior may be more appropriately treated through alternative interventions or programming, or determine that the offender's actions were the result of mental illness, this information must be considered during the disciplinary process.
- Subd. 6. Mental health care within segregated housing. A health services staff shall perform a daily wellness round in the restrictive housing setting. If a health services staff indicates symptoms of a mental illness, a qualified mental health professional shall be consulted regarding appropriate treatment and placement.
- Subd. 7. Incentives for return to the general population. The commissioner shall design and implement a system of incentives so that an inmate who demonstrates appropriate behavior can earn additional privileges and an accelerated return to the general population.
- Subd. 8. **Discharge from segregated housing.** An inmate shall not be released to the community directly from a stay in restrictive housing for 60 or more days absent a compelling reason. In cases where there is a compelling reason, the commissioner of corrections or assistant commissioner shall directly authorize the inmate released into community from restrictive housing.
- Subd. 9. **Reporting.** (a) By January 15, 2020, and by January 15 each year thereafter, the commissioner of corrections shall report to the chairs and ranking minority members of the house of representatives and senate committees with jurisdiction over public safety and judiciary on the status of the implementation of the provisions in this section. This report shall include but not be limited to data regarding:
- (1) the number of inmates in each institution placed in restrictive housing during the past year;
 - (2) the ages of inmates placed in restrictive housing during the past year;

Sec. 10. 8

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(3) the number of inmates transferred from restrictive housing to the mental health unit; 9.1 (4) disciplinary sanctions by infraction; 9.2 (5) the lengths of terms served in restrictive housing, including terms served 9.3 consecutively; and 9.4 (6) the number of inmates by race in restrictive housing. 9.5 (b) The Department of Corrections shall submit a qualitative report detailing outcomes, 9.6 9.7 measures, and challenges to implementation of step-down management program by April 9.8 1, 2020. Sec. 11. Minnesota Statutes 2018, section 299C.091, subdivision 5, is amended to read: 9.9 Subd. 5. **Removal of data from system.** Notwithstanding section 138.17, the bureau 9.10 shall destroy data entered into the system when three years have elapsed since the data were 9.11 entered into the system, except as otherwise provided in this subdivision. If the bureau has 9.12 information that the individual has been convicted as an adult, or has been adjudicated or 9.13 has a stayed adjudication as a juvenile for an offense that would be a crime if committed 9.14 by an adult, since entry of the data into the system, the data must be maintained until three 9.15 years have elapsed since the last record of a conviction or adjudication or stayed adjudication 9.16 of the individual, except that if the individual is committed to the custody of the commissioner 9.17 of corrections and the commissioner documents activities meeting the criminal gang 9.18 identification criteria that take place while the individual is confined in a state correctional 9.19 facility, the three-year period begins after release from incarceration. Upon request of the 9.20 law enforcement agency that submitted data to the system, the bureau shall destroy the data 9.21 regardless of whether three years have elapsed since the data were entered into the system. 9.22 Sec. 12. Minnesota Statutes 2018, section 641.15, subdivision 3a, is amended to read: 9.23 Subd. 3a. Intake procedure; approved mental health screening. (a) As part of its 9.24 intake procedure for new prisoners inmates, the sheriff or local corrections shall use a mental 9.25 9.26 health screening tool approved by the commissioner of corrections in consultation with the commissioner of human services and local corrections staff to identify persons who may 9.27 have mental illness. 9.28 (b) Names of persons who have screened positive or may have a mental illness may be 9.29 shared with the local county social services agency. The jail may refer an offender to county 9.30 personnel of the welfare system, as defined in section 13.46, subdivision 1, paragraph (c), 9.31

Sec. 12. 9

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10.1	in order to arrange for services upon di	scharge and may shar	re private data on the	offender
10.2	as necessary to:			

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- (1) provide assistance in filling out an application for medical assistance or
- 10.4 <u>MinnesotaCare</u>;

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- 10.5 (2) make a referral for case management as provided under section 245.467, subdivision
- 10.6 <u>4;</u>

10.3

- 10.7 (3) provide assistance in obtaining a state photo identification;
- 10.8 (4) secure a timely appointment with a psychiatrist or other appropriate community
 10.9 mental health provider;
- 10.10 (5) provide prescriptions for a 30-day supply of all necessary medications; or
- 10.11 (6) coordinate behavioral health services.
- (c) Notwithstanding section 138.17, if an offender is referred to a government entity
 within the welfare system pursuant to paragraph (b), and the offender refuses all services
 from the entity, the entity must, within 15 days of the refusal, destroy all private data on
 the offender that it created or received because of the referral.
- 10.16 Sec. 13. APPROPRIATION.
- 10.17 \$...... in fiscal year 2020 and \$...... in fiscal year 2021 are appropriated from the general
 10.18 fund to the commissioner of corrections to administer sections 3 to 8.

Sec. 13.