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State of Minnesota

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154

HOUSE OF REPRESENTATIVES

NINETY-SECOND SESSION

H. F. No. 153

02/25/2021 Authored by Frederick
The bill was read for the first time and referred to the Committee on Human Services Finance and Policy
04/06/2021 Adoption of Report: Placed on the General Register as Amended
Pursuant to Joint Rule 2.03, re-referred to the Committee on Rules and Legislative Administration
04/09/2021 Adoption of Report: Placed on the General Register
Joint Rule 2.03 has been waived for any subsequent committee action on this bill
Read for the Second Time
05/17/2021 Pursuant to Rule 4.20, returned to the Committee on Human Services Finance and Policy

1.1 A bill for an act

relating to human services; modifying community supports provisions; amending 1 2 Minnesota Statutes 2020, sections 245.4874, subdivision 1; 245.697, subdivision 1.3 1; 252.43; 252A.01, subdivision 1; 252A.02, subdivisions 2, 9, 11, 12, by adding 1.4 subdivisions; 252A.03, subdivisions 3, 4; 252A.04, subdivisions 1, 2, 4; 252A.05; 1.5 252A.06, subdivisions 1, 2; 252A.07, subdivisions 1, 2, 3; 252A.081, subdivisions 1.6 2, 3, 5; 252A.09, subdivisions 1, 2; 252A.101, subdivisions 2, 3, 5, 6, 7, 8; 1.7 252A.111, subdivisions 2, 4, 6; 252A.12; 252A.16; 252A.17; 252A.19, subdivisions 1.8 2, 4, 5, 7, 8; 252A.20; 252A.21, subdivisions 2, 4; 254B.03, subdivision 2; 256.042, 1.9 subdivisions 2, 4; 256B.051, subdivisions 1, 3, 5, 6, 7, by adding a subdivision; 1.10 256B.0947, subdivision 6; 256B.4912, subdivision 13; 256B.69, subdivision 5a; 1.11 256B.85, subdivisions 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 11b, 12, 12b, 13, 13a, 15, 1.12 17a, 18a, 20b, 23, 23a, by adding subdivisions; 256L.03, subdivision 1; repealing 1.13 Minnesota Statutes 2020, sections 252.28, subdivisions 1, 5; 252A.02, subdivisions 1.14 8, 10; 252A.21, subdivision 3. 1.15

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA:

- 1.17 Section 1. Minnesota Statutes 2020, section 245.4874, subdivision 1, is amended to read:
- Subdivision 1. **Duties of county board.** (a) The county board must:
- 1.19 (1) develop a system of affordable and locally available children's mental health services 1.20 according to sections 245.487 to 245.4889;
 - (2) consider the assessment of unmet needs in the county as reported by the local children's mental health advisory council under section 245.4875, subdivision 5, paragraph (b), clause (3). The county shall provide, upon request of the local children's mental health advisory council, readily available data to assist in the determination of unmet needs;
- 1.25 (3) assure that parents and providers in the county receive information about how to gain access to services provided according to sections 245.487 to 245.4889;

Section 1.

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(4) coordinate the delivery of children's mental health services with services provided
by social services, education, corrections, health, and vocational agencies to improve the
availability of mental health services to children and the cost-effectiveness of their delivery;
(5) assure that mental health services delivered according to sections 245.487 to 245.4889 are delivered expeditiously and are appropriate to the child's diagnostic assessment and individual treatment plan;
(6) provide for case management services to each child with severe emotional disturbance according to sections 245.486; 245.4871, subdivisions 3 and 4; and 245.4881, subdivisions 1, 3, and 5;
(7) provide for screening of each child under section 245.4885 upon admission to a residential treatment facility, acute care hospital inpatient treatment, or informal admission to a regional treatment center;
(8) prudently administer grants and purchase-of-service contracts that the county board determines are necessary to fulfill its responsibilities under sections 245.487 to 245.4889;
(9) assure that mental health professionals, mental health practitioners, and case managers employed by or under contract to the county to provide mental health services are qualified under section 245.4871;
(10) assure that children's mental health services are coordinated with adult mental health services specified in sections 245.461 to 245.486 so that a continuum of mental health services is available to serve persons with mental illness, regardless of the person's age;
(11) assure that culturally competent mental health consultants are used as necessary to assist the county board in assessing and providing appropriate treatment for children of cultural or racial minority heritage; and
(12) consistent with section 245.486, arrange for or provide a children's mental health screening for:
(i) a child receiving child protective services;
(ii) a child in out-of-home placement;
(iii) a child for whom parental rights have been terminated;
(iv) a child found to be delinquent; or
(v) a child found to have committed a juvenile petty offense for the third or subsequent time.

Section 1. 2

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A children's mental health screening is not required when a screening or diagnostic assessment has been performed within the previous 180 days, or the child is currently under the care of a mental health professional.

- (b) When a child is receiving protective services or is in out-of-home placement, the court or county agency must notify a parent or guardian whose parental rights have not been terminated of the potential mental health screening and the option to prevent the screening by notifying the court or county agency in writing.
- (c) When a child is found to be delinquent or a child is found to have committed a juvenile petty offense for the third or subsequent time, the court or county agency must obtain written informed consent from the parent or legal guardian before a screening is conducted unless the court, notwithstanding the parent's failure to consent, determines that the screening is in the child's best interest.
- (d) The screening shall be conducted with a screening instrument approved by the commissioner of human services according to criteria that are updated and issued annually to ensure that approved screening instruments are valid and useful for child welfare and juvenile justice populations. Screenings shall be conducted by a mental health practitioner as defined in section 245.4871, subdivision 26, or a probation officer or local social services agency staff person who is trained in the use of the screening instrument. Training in the use of the instrument shall include:
 - (1) training in the administration of the instrument;
- (2) the interpretation of its validity given the child's current circumstances;
- 3.22 (3) the state and federal data practices laws and confidentiality standards;
- 3.23 (4) the parental consent requirement; and
- 3.24 (5) providing respect for families and cultural values.

If the screen indicates a need for assessment, the child's family, or if the family lacks mental health insurance, the local social services agency, in consultation with the child's family, shall have conducted a diagnostic assessment, including a functional assessment. The administration of the screening shall safeguard the privacy of children receiving the screening and their families and shall comply with the Minnesota Government Data Practices Act, chapter 13, and the federal Health Insurance Portability and Accountability Act of 1996, Public Law 104-191. Screening results shall be considered private data and the commissioner shall not collect individual screening results. are classified as private data on individuals, as defined by section 13.02, subdivision 12. The county board or tribal nation

Section 1. 3

4.1	may provide the commissioner with access to the screening results for the purposes of
4.2	program evaluation and improvement.
4.3	(e) When the county board refers clients to providers of children's therapeutic services
4.4	and supports under section 256B.0943, the county board must clearly identify the desired
4.5	services components not covered under section 256B.0943 and identify the reimbursement
4.6	source for those requested services, the method of payment, and the payment rate to the
4.7	provider.
4.8	Sec. 2. Minnesota Statutes 2020, section 245.697, subdivision 1, is amended to read:
4.9	Subdivision 1. Creation. (a) A State Advisory Council on Mental Health is created. The
4.10	council must have members appointed by the governor in accordance with federal
4.11	requirements. In making the appointments, the governor shall consider appropriate
4.12	representation of communities of color. The council must be composed of:
4.13	(1) the assistant commissioner of mental health for the Department of Human Services
4.14	who oversees behavioral health policy;
4.15	(2) a representative of the Department of Human Services responsible for the medical
4.16	assistance program;
4.17	(3) a representative of the Department of Health;
4.18	(3) (4) one member of each of the following professions:
4.19	(i) psychiatry;
4.20	(ii) psychology;
4.21	(iii) social work;
4.22	(iv) nursing;
4.23	(v) marriage and family therapy; and
4.24	(vi) professional clinical counseling;
4.25	(4) (5) one representative from each of the following advocacy groups: Mental Health
4.26	Association of Minnesota, NAMI-MN, Mental Health Consumer/Survivor Network of
4.27	Minnesota, and Minnesota Disability Law Center, American Indian Mental Health Advisory
4.28	Council, and a consumer-run mental health advocacy group;
4.29	(5) (6) providers of mental health services;
4.30	(6) (7) consumers of mental health services;

4 Sec. 2.

5.1	(7) (8) family members of persons with mental illnesses;
5.2	(8) (9) legislators;
5.3	(9) (10) social service agency directors;
5.4	(10) (11) county commissioners; and
5.5	(11) (12) other members reflecting a broad range of community interests, including
5.6	family physicians, or members as the United States Secretary of Health and Human Services
5.7	may prescribe by regulation or as may be selected by the governor.
5.8	(b) The council shall select a chair. Terms, compensation, and removal of members and
5.9	filling of vacancies are governed by section 15.059. Notwithstanding provisions of section
5.10	15.059, the council and its subcommittee on children's mental health do not expire. The
5.11	commissioner of human services shall provide staff support and supplies to the council.
5.12	Sec. 3. Minnesota Statutes 2020, section 252.43, is amended to read:
5.13	252.43 COMMISSIONER'S DUTIES.
5.14	(a) The commissioner shall supervise lead agencies' provision of day services to adults
5.15	with disabilities. The commissioner shall:
5.16	(1) determine the need for day services programs under section sections 256B.4914 and
5.17	252.41 to 252.46;
5.18	(2) establish payment rates as provided under section 256B.4914;
5.19	(3) adopt rules for the administration and provision of day services under sections
5.20	245A.01 to 245A.16; 252.28, subdivision 2; or 252.41 to 252.46; or Minnesota Rules,
5.21	parts 9525.1200 to 9525.1330;
5.22	(4) enter into interagency agreements necessary to ensure effective coordination and
5.23	provision of day services;
5.24	(5) monitor and evaluate the costs and effectiveness of day services; and
5.25	(6) provide information and technical help to lead agencies and vendors in their
5.26	administration and provision of day services.
5.27	(b) A determination of need in paragraph (a), clause (1), shall not be required for a
5.28	change in day service provider name or ownership.
5.29	EFFECTIVE DATE. This section is effective the day following final enactment.

5 Sec. 3.

Sec. 4. Minnesota Statutes 2020, section 252A.01, subdivision 1, is amended to read:

5.2	Subdivision 1. Policy. (a) It is the policy of the state of Minnesota to provide a
5.3	coordinated approach to the supervision, protection, and habilitation of its adult citizens
5.4	with a developmental disability. In furtherance of this policy, sections 252A.01 to 252A.21
5.5	are enacted to authorize the commissioner of human services to:
5.6	(1) supervise those adult citizens with a developmental disability who are unable to fully
5.7	provide for their own needs and for whom no qualified person is willing and able to seek
5.8	guardianship or conservatorship under sections 524.5-101 to 524.5-502; and
5.9	(2) protect adults with a developmental disability from violation of their human and civil
5.10	rights by assuring ensuring that they receive the full range of needed social, financial,
5.11	residential, and habilitative services to which they are lawfully entitled.
5.12	(b) Public guardianship or conservatorship is the most restrictive form of guardianship
5.13	or conservatorship and should be imposed only when no other acceptable alternative is
5.14	available less restrictive alternatives have been attempted and determined to be insufficient
5.15	to meet the person's needs. Less restrictive alternatives include but are not limited to
5.16	supported decision making, community or residential services, or appointment of a health
5.17	care agent.
5.18	Sec. 5. Minnesota Statutes 2020, section 252A.02, subdivision 2, is amended to read:
5.19	Subd. 2. Person with a developmental disability. "Person with a developmental
5.20	disability" refers to any person age 18 or older who:
5.21	(1) has been diagnosed as having significantly subaverage intellectual functioning existing
5.22	concurrently with demonstrated deficits in adaptive behavior such as to require supervision
5.23	and protection for the person's welfare or the public welfare. a developmental disability;
5.24	(2) is impaired to the extent of lacking sufficient understanding or capacity to make
5.25	personal decisions; and
6.26	(3) is unable to meet personal needs for medical care, nutrition, clothing, shelter, or
5.27	safety, even with appropriate technological and supported decision-making assistance.
5.28	Sec. 6. Minnesota Statutes 2020, section 252A.02, subdivision 9, is amended to read:
5.29	Subd. 9. Ward Person subject to public guardianship. "Ward" "Person subject to
5.30	public guardianship" means a person with a developmental disability for whom the court
5.31	has appointed a public guardian.

Sec. 6. 6

7.1	Sec. 7. Minnesota Statutes 2020, section 252A.02, subdivision 11, is amended to read:
7.2	Subd. 11. Interested person. "Interested person" means an interested responsible adult,
7.3	including, but not limited to, a public official, guardian, spouse, parent, adult sibling, legal
7.4	counsel, adult child, or next of kin of a person alleged to have a developmental disability.
7.5	including but not limited to:
7.6	(1) the person subject to guardianship, the protected person, or the respondent;
7.7	(2) a nominated guardian or conservator;
7.8	(3) a legal representative;
7.9	(4) a spouse; a parent, including stepparent; adult children, including adult stepchildren
7.10	of a living spouse; and siblings. If no such persons are living or can be located, the next of
7.11	kin of the person subject to public guardianship or the respondent is an interested person;
7.12	(5) a representative of a state ombudsman's office or a federal protection and advocacy
7.13	program that has notified the commissioner or lead agency that it has a matter regarding
7.14	the protected person subject to guardianship, person subject to conservatorship, or respondent;
7.15	<u>and</u>
7.16	(6) a health care agent or proxy appointed pursuant to a health care directive as defined
7.17	in section 145C.01, subdivision 5a; a living will under chapter 145B; or other similar
7.18	documentation executed in another state and enforceable under the laws of this state.
7.19	Sec. 8. Minnesota Statutes 2020, section 252A.02, subdivision 12, is amended to read:
7.20	Subd. 12. Comprehensive evaluation. (a) "Comprehensive evaluation" shall consist
7.21	consists of:
7.22	(1) a medical report on the health status and physical condition of the proposed ward,
7.23	person subject to public guardianship prepared under the direction of a licensed physician
7.24	or advanced practice registered nurse;
7.25	(2) a report on the proposed ward's intellectual capacity and functional abilities, specifying
7.26	of the proposed person subject to public guardianship that specifies the tests and other data
7.27	used in reaching its conclusions, and is prepared by a psychologist who is qualified in the
7.28	diagnosis of developmental disability; and
7.29	(3) a report from the case manager that includes:
7.30	(i) the most current assessment of individual service needs as described in rules of the
7.31	commissioner;

7 Sec. 8.

8.1	(ii) the most current individual service coordinated service and support plan under section
8.2	256B.092, subdivision 1b; and
8.3	(iii) a description of contacts with and responses of near relatives of the proposed ward
8.4	person subject to public guardianship notifying them the near relatives that a nomination
8.5	for public guardianship has been made and advising them the near relatives that they may
8.6	seek private guardianship.
8.7	(b) Each report under paragraph (a), clause (3), shall contain recommendations as to the
8.8	amount of assistance and supervision required by the proposed ward person subject to public
8.9	guardianship to function as independently as possible in society. To be considered part of
8.10	the comprehensive evaluation, the reports must be completed no more than one year before
8.11	filing the petition under section 252A.05.
8.12	Sec. 9. Minnesota Statutes 2020, section 252A.02, is amended by adding a subdivision to
8.13	read:
0.13	read.
8.14	Subd. 16. Protected person. "Protected person" means a person for whom a guardian
8.15	or conservator has been appointed or other protective order has been sought. A protected
8.16	person may be a minor.
8.17	Sec. 10. Minnesota Statutes 2020, section 252A.02, is amended by adding a subdivision
8.18	to read:
8.19	Subd. 17. Respondent. "Respondent" means an individual for whom the appointment
8.20	of a guardian or conservator or other protective order is sought.
8.21	Sec. 11. Minnesota Statutes 2020, section 252A.02, is amended by adding a subdivision
8.22	to read:
8.23	Subd. 18. Supported decision making. "Supported decision making" means assistance
8.24	to an individual with understanding the nature and consequences of personal and financial
8.25	decisions from one or more persons of the individual's choosing to enable the individual to
8.26	make personal and financial decisions and, when consistent with the individual's wishes,
8.27	to communicate the individual's decisions.
8.28	Sec. 12. Minnesota Statutes 2020, section 252A.03, subdivision 3, is amended to read:
8.29	Subd. 3. Standard for acceptance. The commissioner shall accept the nomination if:

Sec. 12. 8

the comprehensive evaluation concludes that:

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9.1	(1) the person alleged to have developmental disability is, in fact, developmentally					
9.2	disabled; (1) the person's assessment confirms that they are a person with a developmental					
9.3	disability under section 252A.02, subdivision 2;					
9.4	(2) the person is in need of the supervision and protection of a conservator or guardian;					
9.5	and					
9.6	(3) no qualified person is willing to assume guardianship or conservatorship under					
9.7	sections 524.5-101 to 524.5-502-; and					
9.8	(4) the person subject to public guardianship was included in the process prior to the					
9.9	submission of the nomination.					
9.10	Sec. 13. Minnesota Statutes 2020, section 252A.03, subdivision 4, is amended to read:					
9.11	Subd. 4. Alternatives. (a) Public guardianship or conservatorship may be imposed only					
9.12	when:					
9.13	(1) the person subject to guardianship is impaired to the extent of lacking sufficient					
9.14	understanding or capacity to make personal decisions;					
9.15	(2) the person subject to guardianship is unable to meet personal needs for medical care,					
9.16	nutrition, clothing, shelter, or safety, even with appropriate technological and supported					
9.17	decision-making assistance; and					
9.18	(3) no acceptable, less restrictive form of guardianship or conservatorship is available.					
9.19	(b) The commissioner shall seek parents, near relatives, and other interested persons to					
9.20	assume guardianship for persons with developmental disabilities who are currently under					
9.21	public guardianship. If a person seeks to become a guardian or conservator, costs to the					
9.22	person may be reimbursed under section 524.5-502. The commissioner must provide technical					
9.23	assistance to parents, near relatives, and interested persons seeking to become guardians or					
9.24	conservators.					
9.25	Sec. 14. Minnesota Statutes 2020, section 252A.04, subdivision 1, is amended to read:					
9.26	Subdivision 1. Local agency. Upon receipt of a written nomination, the commissioner					
9.27	shall promptly order the local agency of the county in which the proposed ward person					
9.28	subject to public guardianship resides to coordinate or arrange for a comprehensive evaluation					
9.29	of the proposed ward person subject to public guardianship.					

Sec. 14. 9

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Sec. 15. Minnesota Statutes 2020, section 252A.04, subdivision 2, is amended to read:

Subd. 2. **Medication**; **treatment**. A proposed ward person subject to public guardianship who, at the time the comprehensive evaluation is to be performed, has been under medical care shall not be so under the influence or so suffer the effects of drugs, medication, or other treatment as to be hampered in the testing or evaluation process. When in the opinion of the licensed physician or advanced practice registered nurse attending the proposed ward person subject to public guardianship, the discontinuance of medication or other treatment is not in the proposed ward's best interest of the proposed person subject to public guardianship, the physician or advanced practice registered nurse shall record a list of all drugs, medication, or other treatment which that the proposed ward person subject to public guardianship received 48 hours immediately prior to any examination, test, or interview conducted in preparation for the comprehensive evaluation.

Sec. 16. Minnesota Statutes 2020, section 252A.04, subdivision 4, is amended to read:

Subd. 4. File. The comprehensive evaluation shall be kept on file at the Department of Human Services and shall be open to the inspection of the proposed ward person subject to public guardianship and such other persons as may be given permission permitted by the commissioner.

Sec. 17. Minnesota Statutes 2020, section 252A.05, is amended to read:

252A.05 COMMISSIONER'S PETITION FOR APPOINTMENT AS PUBLIC **GUARDIAN OR PUBLIC CONSERVATOR.**

In every case in which the commissioner agrees to accept a nomination, the local agency, within 20 working days of receipt of the commissioner's acceptance, shall petition on behalf of the commissioner in the county or court of the county of residence of the person with a developmental disability for appointment to act as public conservator or public guardian of the person with a developmental disability.

Sec. 18. Minnesota Statutes 2020, section 252A.06, subdivision 1, is amended to read:

Subdivision 1. Who may file. The commissioner, the local agency, a person with a developmental disability or any parent, spouse or relative of a person with a developmental disability may file A verified petition alleging that the appointment of a public conservator or public guardian is required may be filed by: the commissioner; the local agency; a person with a developmental disability; or a parent, stepparent, spouse, or relative of a person with a developmental disability.

Sec. 18. 10

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11.1	Sec. 19. Minnesota Statutes 2020	, section 252A.06, sub	odivision 2, is amen	ded to read:				
11.2	Subd. 2. Contents. The petition	shall set forth:						
11.3	(1) the name and address of the	petitioner, and, in the	case of a petition b	rought by a				
11.4	person other than the commissione	r, whether the petition	er is a parent, spous	se, or relative				
11.5	of the proposed ward of the proposed person subject to guardianship;							
11.6	(2) whether the commissioner h	as accepted a nomina	tion to act as public	conservator				
11.7	or public guardian;							
11.8	(3) the name, address, and date	of birth of the propose	ed ward person subj	ect to public				
11.9	guardianship;							
11.10	(4) the names and addresses of	the nearest relatives an	nd spouse, if any, of	f the proposed				
11.11	ward person subject to public guard	dianship;						
11.12	(5) the probable value and gene	ral character of the pr	oposed ward's real a	and personal				
11.13	property of the proposed person sul	oject to public guardia	nship and the proba	ble amount of				
11.14	the proposed ward's debts of the pr	oposed person subject	to public guardians	ship; and				
11.15	(6) the facts supporting the esta	blishment of public ea	onservatorship or gu	ıardianship,				
11.16	including that no family member of	r other qualified indiv	idual is willing to a	ssume				
11.17	guardianship or conservatorship res	sponsibilities under se	ections 524.5-101 to	524.5-502 ;				
11.18	and.							
11.19	(7) if conservatorship is request	ed, the powers the pet	itioner believes are	necessary to				
11.20	protect and supervise the proposed	conservatee.						
11.21	Sec. 20. Minnesota Statutes 2020	, section 252A.07, sub	odivision 1, is amen	ded to read:				
11.22	Subdivision 1. With petition. V	When a petition is brou	ight by the commiss	sioner or local				
11.23	agency, a copy of the comprehensive	e evaluation shall be f	filed with the petitio	n. If a petition				
11.24	is brought by a person other than the	ne commissioner or lo	cal agency and a co	mprehensive				
11.25	evaluation has been prepared withi	n a year of the filing o	of the petition, the lo	ocal agency				

shall forward send a copy of the comprehensive evaluation to the court upon notice of the

filing of the petition. If a comprehensive evaluation has not been prepared within a year of

arrange for a comprehensive evaluation to be prepared and forwarded provided to the court

the filing of the petition, the local agency, upon notice of the filing of the petition, shall

Sec. 20. 11

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within 90 days.

Sec. 21. Minnesota Statutes 2020, section 252A.07, subdivision 2, is amended to read: 12.1 Subd. 2. Copies. A copy of the comprehensive evaluation shall be made available by 12.2 the court to the proposed ward person subject to public guardianship, the proposed ward's 12.3 counsel of the proposed person subject to public guardianship, the county attorney, the 12.4 attorney general, and the petitioner. 12.5 Sec. 22. Minnesota Statutes 2020, section 252A.07, subdivision 3, is amended to read: 12.6 Subd. 3. Evaluation required; exception. (a) No action for the appointment of a public 12.7 guardian may proceed to hearing unless a comprehensive evaluation has been first filed 12.8 with the court; provided, however, that an action may proceed and a guardian appointed. 12.9 (b) Paragraph (a) does not apply if the director of the local agency responsible for 12.10 conducting the comprehensive evaluation has filed an affidavit that the proposed ward 12.11 person subject to public guardianship refused to participate in the comprehensive evaluation 12.12 and the court finds on the basis of clear and convincing evidence that the proposed ward 12.13 person subject to public guardianship is developmentally disabled and in need of the 12.14 12.15 supervision and protection of a guardian. Sec. 23. Minnesota Statutes 2020, section 252A.081, subdivision 2, is amended to read: 12.16 Subd. 2. **Service of notice.** Service of notice on the ward person subject to public 12.17 guardianship or proposed ward person subject to public guardianship must be made by a 12.18 nonuniformed person or nonuniformed visitor. To the extent possible, the process server or 12.19 visitor person or visitor serving the notice shall explain the document's meaning to the 12.20 proposed ward person subject to public guardianship. In addition to the persons required to 12.21 be served under sections 524.5-113, 524.5-205, and 524.5-304, the mailed notice of the 12.22 hearing must be served on the commissioner, the local agency, and the county attorney. 12.23 Sec. 24. Minnesota Statutes 2020, section 252A.081, subdivision 3, is amended to read: 12.24 Subd. 3. Attorney. In place of the notice of attorney provisions in sections 524.5-205 12.25 and 524.5-304, the notice must state that the court will appoint an attorney for the proposed 12.26 ward person subject to public guardianship unless an attorney is provided by other persons. 12.27 Sec. 25. Minnesota Statutes 2020, section 252A.081, subdivision 5, is amended to read: 12.28 Subd. 5. **Defective notice of service.** A defect in the service of notice or process, other 12.29 than personal service upon the proposed ward or conservatee person subject to public 12.30 guardianship or service upon the commissioner and local agency within the time allowed

Sec. 25. 12

12.31

- and the form prescribed in this section and sections 524.5-113, 524.5-205, and 524.5-304, 13.1 does not invalidate any public guardianship or conservatorship proceedings. 13.2
- Sec. 26. Minnesota Statutes 2020, section 252A.09, subdivision 1, is amended to read: 13.3
- Subdivision 1. Attorney appointment. Upon the filing of the petition, the court shall 13.4 appoint an attorney for the proposed ward person subject to public guardianship, unless 13.5 such counsel is provided by others. 13.6
- Sec. 27. Minnesota Statutes 2020, section 252A.09, subdivision 2, is amended to read: 13.7
- Subd. 2. Representation. Counsel shall visit with and, to the extent possible, consult 13.8 with the proposed ward person subject to public guardianship prior to the hearing and shall 13.9 be given adequate time to prepare therefor for the hearing. Counsel shall be given the full 13.10 right of subpoena and shall be supplied with a copy of all documents filed with or issued 13.11 by the court. 13.12
- Sec. 28. Minnesota Statutes 2020, section 252A.101, subdivision 2, is amended to read: 13.13
- Subd. 2. Waiver of presence. The proposed ward person subject to public guardianship 13.14 may waive the right to be present at the hearing only if the proposed ward person subject 13.15 to public guardianship has met with counsel and specifically waived the right to appear. 13.16
- Sec. 29. Minnesota Statutes 2020, section 252A.101, subdivision 3, is amended to read: 13.17
- Subd. 3. **Medical care.** If, at the time of the hearing, the proposed ward person subject 13.18 to public guardianship has been under medical care, the ward person subject to public 13.19 guardianship has the same rights regarding limitation on the use of drugs, medication, or 13.20 other treatment before the hearing that are available under section 252A.04, subdivision 2. 13.21
- Sec. 30. Minnesota Statutes 2020, section 252A.101, subdivision 5, is amended to read: 13.22
- Subd. 5. Findings. (a) In all cases the court shall make specific written findings of fact, 13.23 conclusions of law, and direct entry of an appropriate judgment or order. The court shall 13.24 order the appointment of the commissioner as guardian or conservator if it finds that: 13.25
 - (1) the proposed ward or conservatee person subject to public guardianship is a person with a developmental disability as defined in section 252A.02, subdivision 2;
- (2) the proposed ward or conservatee person subject to public guardianship is incapable 13.28 of exercising specific legal rights, which must be enumerated in its the court's findings; 13.29

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14.1	(3) the proposed ward or conservatee person subject to public guardianship is in need
14.2	of the supervision and protection of a <u>public</u> guardian or conservator ; and
14.3	(4) no appropriate alternatives to public guardianship or public conservatorship exist
14.4	that are less restrictive of the person's civil rights and liberties, such as appointing a private
14.5	guardian, or conservator supported decision maker, or health care agent; or arranging
14.6	residential or community services under sections 524.5-101 to 524.5-502.
14.7	(b) The court shall grant the specific powers that are necessary for the commissioner to
14.8	act as public guardian or conservator on behalf of the ward or conservatee person subject
14.9	to public guardianship.
14.10	Sec. 31. Minnesota Statutes 2020, section 252A.101, subdivision 6, is amended to read:
14.11	Subd. 6. Notice of order; appeal. A copy of the order shall be served by mail upon the
14.12	ward or conservatee person subject to public guardianship and the ward's counsel of the
14.13	person subject to public guardianship. The order must be accompanied by a notice that
14.14	advises the ward or conservatee person subject to public guardianship of the right to appeal
14.15	the guardianship or conservatorship appointment within 30 days.
14.16	Sec. 32. Minnesota Statutes 2020, section 252A.101, subdivision 7, is amended to read:
14.17	Subd. 7. Letters of guardianship. (a) Letters of guardianship or conservatorship must
14.18	be issued by the court and contain:
14.19	(1) the name, address, and telephone number of the ward or conservatee person subject
14.20	to public guardianship; and
14.21	(2) the powers to be exercised on behalf of the ward or conservatee person subject to
14.22	public guardianship.
14.23	(b) The letters under paragraph (a) must be served by mail upon the ward or conservatee
14.24	person subject to public guardianship, the ward's counsel of the person subject to public
14.25	guardianship, the commissioner, and the local agency.
14.26	Sec. 33. Minnesota Statutes 2020, section 252A.101, subdivision 8, is amended to read:
14.27	Subd. 8. Dismissal. If upon the completion of the hearing and consideration of the record,
14.28	the court finds that the proposed ward person subject to public guardianship is not
14.29	developmentally disabled or is developmentally disabled but not in need of the supervision
14.30	and protection of a conservator or public guardian, it the court shall dismiss the application

Sec. 33. 14

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15.1	and shall notify the proposed ward person subject to public guardianship, the ward's counsel
15.2	of the person subject to public guardianship, and the petitioner of the court's findings.
15.3	Sec. 34. Minnesota Statutes 2020, section 252A.111, subdivision 2, is amended to read:
15.4	Subd. 2. Additional powers. In addition to the powers contained in sections 524.5-207
15.5	and 524.5-313, the powers of a public guardian that the court may grant include:
15.6	(1) the power to permit or withhold permission for the ward person subject to public
15.7	guardianship to marry;
15.8	(2) the power to begin legal action or defend against legal action in the name of the ward
15.9	person subject to public guardianship; and
15.10	(3) the power to consent to the adoption of the ward person subject to public guardianship
15.11	as provided in section 259.24.
15.12	Sec. 35. Minnesota Statutes 2020, section 252A.111, subdivision 4, is amended to read:
15.13	Subd. 4. Appointment of conservator. If the ward person subject to public guardianship
15.14	has a personal estate beyond that which is necessary for the ward's personal and immediate
15.15	needs of the person subject to public guardianship, the commissioner shall determine whether
15.16	a conservator should be appointed. The commissioner shall consult with the parents, spouse,
15.17	or nearest relative of the ward person subject to public guardianship. The commissioner
15.18	may petition the court for the appointment of a private conservator of the ward person
15.19	subject to public guardianship. The commissioner cannot act as conservator for public wards
15.20	persons subject to public guardianship or public protected persons.
15.21	Sec. 36. Minnesota Statutes 2020, section 252A.111, subdivision 6, is amended to read:
15.22	Subd. 6. Special duties. In exercising powers and duties under this chapter, the
15.23	commissioner shall:
15.24	(1) maintain close contact with the ward person subject to public guardianship, visiting
15.25	at least twice a year;
15.26	(2) protect and exercise the legal rights of the ward person subject to public guardianship;
15.27	(3) take actions and make decisions on behalf of the ward person subject to public
15.28	guardianship that encourage and allow the maximum level of independent functioning in a
15.29	manner least restrictive of the ward's personal freedom of the person subject to public
15.30	guardianship consistent with the need for supervision and protection; and

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(4) permit and encourage maximum self-reliance on the part of the ward person subject
to public guardianship and permit and encourage input by the nearest relative of the ward
person subject to public guardianship in planning and decision making on behalf of the
ward person subject to public guardianship.

Sec. 37. Minnesota Statutes 2020, section 252A.12, is amended to read:

252A.12 APPOINTMENT OF CONSERVATOR PUBLIC GUARDIAN NOT A FINDING OF INCOMPETENCY.

An appointment of the commissioner as eonservator public guardian shall not constitute a judicial finding that the person with a developmental disability is legally incompetent except for the restrictions which that the eonservatorship public guardianship places on the eonservatee person subject to public guardianship. The appointment of a eonservator public guardian shall not deprive the eonservatee person subject to public guardianship of the right to vote.

Sec. 38. Minnesota Statutes 2020, section 252A.16, is amended to read:

252A.16 ANNUAL REVIEW.

Subdivision 1. **Review required.** The commissioner shall require an annual review of the physical, mental, and social adjustment and progress of every ward and conservatee person subject to public guardianship. A copy of this review shall be kept on file at the Department of Human Services and may be inspected by the ward or conservatee person subject to public guardianship, the ward's or conservatee's parents, spouse, or relatives of the person subject to public guardianship, and other persons who receive the permission of the commissioner. The review shall contain information required under Minnesota Rules, part 9525.3065, subpart 1.

Subd. 2. **Assessment of need for continued guardianship.** The commissioner shall annually review the legal status of each ward person subject to public guardianship in light of the progress indicated in the annual review. If the commissioner determines the ward person subject to public guardianship is no longer in need of public guardianship or conservatorship or is capable of functioning under a less restrictive conservatorship guardianship, the commissioner or local agency shall petition the court pursuant to section 252A.19 to restore the ward person subject to public guardianship to capacity or for a modification of the court's previous order.

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Sec. 39. Minnesota Stat	utes 2020, sect	ion 252A.17	'. is	amended to r	ead
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- The appointment by the court of the commissioner of human services as public conservator or guardian shall be by the title of the commissioner's office. The authority of the commissioner as public conservator or guardian shall cease upon the termination of the commissioner's term of office and shall vest in a successor or successors in office without further court proceedings.
- Sec. 40. Minnesota Statutes 2020, section 252A.19, subdivision 2, is amended to read:
- Subd. 2. **Petition.** The commissioner, ward person subject to public guardianship, or any interested person may petition the appointing court or the court to which venue has been transferred for an order to:
- 17.12 (1) for an order to remove the guardianship or to;
- 17.13 (2) for an order to limit or expand the powers of the guardianship or to;
- 17.14 (3) for an order to appoint a guardian or conservator under sections 524.5-101 to 524.5-502 or to;
- 17.16 (4) for an order to restore the ward person subject to public guardianship or protected person to full legal capacity or to;
- 17.18 (5) to review de novo any decision made by the public guardian or public conservator
 17.19 for or on behalf of a ward person subject to public guardianship or protected person; or
- (6) for any other order as the court may deem just and equitable.
- Sec. 41. Minnesota Statutes 2020, section 252A.19, subdivision 4, is amended to read:
- Subd. 4. **Comprehensive evaluation.** The commissioner shall, at the court's request,
- arrange for the preparation of a comprehensive evaluation of the ward person subject to
- 17.24 public guardianship or protected person.
- Sec. 42. Minnesota Statutes 2020, section 252A.19, subdivision 5, is amended to read:
- Subd. 5. **Court order.** Upon proof of the allegations of the petition the court shall enter an order removing the guardianship or limiting or expanding the powers of the guardianship or restoring the ward person subject to public guardianship or protected person to full legal capacity or may enter such other order as the court may deem just and equitable.

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Sec. 43. Minnesota Statutes 2020, section 252A.19, subdivision 7, is amended to read:

Subd. 7. **Attorney general's role; commissioner's role.** The attorney general may appear and represent the commissioner in such proceedings. The commissioner shall support or oppose the petition if the commissioner deems such action necessary for the protection and supervision of the ward person subject to public guardianship or protected person.

Sec. 44. Minnesota Statutes 2020, section 252A.19, subdivision 8, is amended to read:

Subd. 8. Court appointed Court-appointed counsel. In all such proceedings, the protected person or ward person subject to public guardianship shall be afforded an opportunity to be represented by counsel, and if neither the protected person or ward person subject to public guardianship nor others provide counsel the court shall appoint counsel to represent the protected person or ward person subject to public guardianship.

Sec. 45. Minnesota Statutes 2020, section 252A.20, is amended to read:

252A.20 COSTS OF HEARINGS.

Subdivision 1. Witness and attorney fees. In each proceeding under sections 252A.01 to 252A.21, the court shall allow and order paid to each witness subpoenaed the fees and mileage prescribed by law; to each physician, advanced practice registered nurse, psychologist, or social worker who assists in the preparation of the comprehensive evaluation and who is not in the employ of employed by the local agency or the state Department of Human Services, a reasonable sum for services and for travel; and to the ward's counsel of the person subject to public guardianship, when appointed by the court, a reasonable sum for travel and for each day or portion of a day actually employed in court or actually consumed in preparing for the hearing. Upon order the county auditor shall issue a warrant on the county treasurer for payment of the amount allowed.

Subd. 2. **Expenses.** When the settlement of the ward person subject to public guardianship is found to be in another county, the court shall transmit to the county auditor a statement of the expenses incurred pursuant to subdivision 1. The auditor shall transmit the statement to the auditor of the county of the ward's settlement of the person subject to public guardianship and this claim shall be paid as other claims against that county. If the auditor to whom this claim is transmitted denies the claim, the auditor shall transmit it, together with the objections thereto, to the commissioner, who shall determine the question of settlement and certify findings to each auditor. If the claim is not paid within 30 days after such certification, an action may be maintained thereon in the district court of the claimant county.

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Subd. 3. **Change of venue**; **cost of proceedings.** Whenever venue of a proceeding has been transferred under sections 252A.01 to 252A.21, the costs of such proceedings shall be reimbursed to the county of the ward's settlement of the person subject to public guardianship by the state.

Sec. 46. Minnesota Statutes 2020, section 252A.21, subdivision 2, is amended to read:

- Subd. 2. **Rules.** The commissioner shall adopt rules to implement this chapter. The rules must include standards for performance of guardianship or conservatorship duties including, but not limited to: twice a year visits with the ward person subject to public guardianship; a requirement that the duties of guardianship or conservatorship and case management not be performed by the same person; specific standards for action on "do not resuscitate" orders as recommended by a physician, an advanced practice registered nurse, or a physician assistant; sterilization requests; and the use of psychotropic medication and aversive procedures.
- 19.14 Sec. 47. Minnesota Statutes 2020, section 252A.21, subdivision 4, is amended to read:
- Subd. 4. **Private guardianships and conservatorships.** Nothing in sections 252A.01 to 252A.21 shall impair the right of individuals to establish private guardianships or conservatorships in accordance with applicable law.
 - Sec. 48. Minnesota Statutes 2020, section 254B.03, subdivision 2, is amended to read:
 - Subd. 2. Chemical dependency fund payment. (a) Payment from the chemical dependency fund is limited to payments for services other than detoxification licensed under Minnesota Rules, parts 9530.6510 to 9530.6590, that, if located outside of federally recognized tribal lands, would be required to be licensed by the commissioner as a chemical dependency treatment or rehabilitation program under sections 245A.01 to 245A.16, services identified in section 254B.05, and services other than detoxification provided in another state that would be required to be licensed as a chemical dependency program if the program were in the state. Out of state vendors must also provide the commissioner with assurances that the program complies substantially with state licensing requirements and possesses all licenses and certifications required by the host state to provide chemical dependency treatment. Vendors receiving payments from the chemical dependency fund must not require co-payment from a recipient of benefits for services provided under this subdivision. The vendor is prohibited from using the client's public benefits to offset the cost of services paid under this section. The vendor shall not require the client to use public benefits for room or board costs. This includes but is not limited to cash assistance benefits under chapters

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119B, 256D, and 256J, or SNAP benefits. Retention of SNAP benefits is a right of a client receiving services through the consolidated chemical dependency treatment fund or through state contracted managed care entities. Payment from the chemical dependency fund shall be made for necessary room and board costs provided by vendors meeting the criteria under section 254B.05, subdivision 1a, or in a community hospital licensed by the commissioner of health according to sections 144.50 to 144.56 to a client who is:

- (1) determined to meet the criteria for placement in a residential chemical dependency treatment program according to rules adopted under section 254A.03, subdivision 3; and
- (2) concurrently receiving a chemical dependency treatment service in a program licensed by the commissioner and reimbursed by the chemical dependency fund.
- (b) A county may, from its own resources, provide chemical dependency services for which state payments are not made. A county may elect to use the same invoice procedures and obtain the same state payment services as are used for chemical dependency services for which state payments are made under this section if county payments are made to the state in advance of state payments to vendors. When a county uses the state system for payment, the commissioner shall make monthly billings to the county using the most recent available information to determine the anticipated services for which payments will be made in the coming month. Adjustment of any overestimate or underestimate based on actual expenditures shall be made by the state agency by adjusting the estimate for any succeeding month.
- (c) The commissioner shall coordinate chemical dependency services and determine whether there is a need for any proposed expansion of chemical dependency treatment services. The commissioner shall deny vendor certification to any provider that has not received prior approval from the commissioner for the creation of new programs or the expansion of existing program capacity. The commissioner shall consider the provider's capacity to obtain clients from outside the state based on plans, agreements, and previous utilization history, when determining the need for new treatment services.
- Sec. 49. Minnesota Statutes 2020, section 256.042, subdivision 2, is amended to read:
- Subd. 2. **Membership.** (a) The council shall consist of the following 19 voting members, appointed by the commissioner of human services except as otherwise specified, and three nonvoting members:
- (1) two members of the house of representatives, appointed in the following sequence: the first from the majority party appointed by the speaker of the house and the second from

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the minority party appointed by the minority leader. Of these two members, one member
must represent a district outside of the seven-county metropolitan area, and one member
must represent a district that includes the seven-county metropolitan area. The appointment
by the minority leader must ensure that this requirement for geographic diversity in
appointments is met;

- (2) two members of the senate, appointed in the following sequence: the first from the majority party appointed by the senate majority leader and the second from the minority party appointed by the senate minority leader. Of these two members, one member must represent a district outside of the seven-county metropolitan area and one member must represent a district that includes the seven-county metropolitan area. The appointment by the minority leader must ensure that this requirement for geographic diversity in appointments is met;
- 21.13 (3) one member appointed by the Board of Pharmacy;
- 21.14 (4) one member who is a physician appointed by the Minnesota Medical Association;
- 21.15 (5) one member representing opioid treatment programs, sober living programs, or 21.16 substance use disorder programs licensed under chapter 245G;
- 21.17 (6) one member appointed by the Minnesota Society of Addiction Medicine who is an addiction psychiatrist;
 - (7) one member representing professionals providing alternative pain management therapies, including, but not limited to, acupuncture, chiropractic, or massage therapy;
 - (8) one member representing nonprofit organizations conducting initiatives to address the opioid epidemic, with the commissioner's initial appointment being a member representing the Steve Rummler Hope Network, and subsequent appointments representing this or other organizations;
 - (9) one member appointed by the Minnesota Ambulance Association who is serving with an ambulance service as an emergency medical technician, advanced emergency medical technician, or paramedic;
 - (10) one member representing the Minnesota courts who is a judge or law enforcement officer;
- 21.30 (11) one public member who is a Minnesota resident and who is in opioid addiction recovery;

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22.1	(12) two members representing Indian tribes, one representing the Ojibwe tribes and
22.2	one representing the Dakota tribes;
22.3	(13) one public member who is a Minnesota resident and who is suffering from chronic
22.4	pain, intractable pain, or a rare disease or condition;
22.5	(14) one mental health advocate representing persons with mental illness;
22.6	(15) one member appointed by the Minnesota Hospital Association;
22.7	(16) one member representing a local health department; and
22.8	(17) the commissioners of human services, health, and corrections, or their designees,
22.9	who shall be ex officio nonvoting members of the council.
22.10	(b) The commissioner of human services shall coordinate the commissioner's
22.11	appointments to provide geographic, racial, and gender diversity, and shall ensure that at
22.12	least one-half of council members appointed by the commissioner reside outside of the
22.13	seven-county metropolitan area. Of the members appointed by the commissioner, to the
22.14	extent practicable, at least one member must represent a community of color
22.15	disproportionately affected by the opioid epidemic.
22.16	(c) The council is governed by section 15.059, except that members of the council shall
22.17	serve three-year terms and shall receive no compensation other than reimbursement for
22.18	expenses. Notwithstanding section 15.059, subdivision 6, the council shall not expire. The
22.19	three-year term for members in paragraph (a), clauses (1), (3), (5), (7), (9), (11), (13), (15),
22.20	and (17), ends on September 30, 2022. The three-year term for members in paragraph (a),
22.21	clauses (2), (4), (6), (8), (10), (12), (14), and (16), ends on September 30, 2023.
22.22	(d) The chair shall convene the council at least quarterly, and may convene other meetings
22.23	as necessary. The chair shall convene meetings at different locations in the state to provide
22.24	geographic access, and shall ensure that at least one-half of the meetings are held at locations
22.25	outside of the seven-county metropolitan area.
22.26	(e) The commissioner of human services shall provide staff and administrative services
22.27	for the advisory council.
22.28	(f) The council is subject to chapter 13D.
22.29	Sec. 50. Minnesota Statutes 2020, section 256.042, subdivision 4, is amended to read:
22.30	Subd. 4. Grants. (a) The commissioner of human services shall submit a report of the

grants proposed by the advisory council to be awarded for the upcoming fiscal year to the

chairs and ranking minority members of the legislative committees with jurisdiction over

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23.1	health and human services policy and finance, by March December 1 of each year, beginning
23.2	March 1, 2020 December 1, 2021, or as soon as the information becomes available thereafter.
23.3	(b) The commissioner of human services shall award grants from the opiate epidemic
23.4	response fund under section 256.043. The grants shall be awarded to proposals selected by
23.5	the advisory council that address the priorities in subdivision 1, paragraph (a), clauses (1)
23.6	to (4), unless otherwise appropriated by the legislature. The council shall determine grant
23.7	awards and funding amounts. The commissioner of human services shall administer grants
23.8	from the opiate epidemic response fund in compliance with section 16B.97. No more than
23.9	three ten percent of the grant amount may be used by a grantee for administration.
23.10	Sec. 51. Minnesota Statutes 2020, section 256B.051, subdivision 1, is amended to read:
23.11	Subdivision 1. Purpose. Housing support stabilization services are established to provide
23.12	housing support stabilization services to an individual with a disability that limits the
23.13	individual's ability to obtain or maintain stable housing. The services support an individual's
23.14	transition to housing in the community and increase long-term stability in housing, to avoid
23.15	future periods of being at risk of homelessness or institutionalization.
23.16	Sec. 52. Minnesota Statutes 2020, section 256B.051, subdivision 3, is amended to read:
23.17	Subd. 3. Eligibility. An individual with a disability is eligible for housing support
23.18	stabilization services if the individual:
23.19	(1) is 18 years of age or older;
23.20	(2) is enrolled in medical assistance;
23.21	(3) has an assessment of functional need that determines a need for services due to
23.22	limitations caused by the individual's disability;
23.23	(4) resides in or plans to transition to a community-based setting as defined in Code of
23.24	Federal Regulations, title 42, section 441.301 (c); and
23.25	(5) has housing instability evidenced by:
23.26	(i) being homeless or at-risk of homelessness;
23.27	(ii) being in the process of transitioning from, or having transitioned in the past six
23.28	months from, an institution or licensed or registered setting;
23.29	(iii) being eligible for waiver services under chapter 256S or section 256B.092 or

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256B.49; or

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24.1	(iv) having been identified by a long-term care consultation under section 256B.0911
24.2	as at risk of institutionalization.
24.3	Sec. 53. Minnesota Statutes 2020, section 256B.051, subdivision 5, is amended to read:
24.4	Subd. 5. Housing support stabilization services. (a) Housing support stabilization
24.5	services include housing transition services and housing and tenancy sustaining services.
24.6	(b) Housing transition services are defined as:
24.7	(1) tenant screening and housing assessment;
24.8	(2) assistance with the housing search and application process;
24.9	(3) identifying resources to cover onetime moving expenses;
24.10	(4) ensuring a new living arrangement is safe and ready for move-in;
24.11	(5) assisting in arranging for and supporting details of a move; and
24.12	(6) developing a housing support crisis plan.
24.13	(c) Housing and tenancy sustaining services include:
24.14	(1) prevention and early identification of behaviors that may jeopardize continued stable
24.15	housing;
24.16	(2) education and training on roles, rights, and responsibilities of the tenant and the
24.17	property manager;
24.18	(3) coaching to develop and maintain key relationships with property managers and
24.19	neighbors;
24.20	(4) advocacy and referral to community resources to prevent eviction when housing is
24.21	at risk;
24.22	(5) assistance with housing recertification process;
24.23	(6) coordination with the tenant to regularly review, update, and modify the housing
24.24	support and crisis plan; and
24.25	(7) continuing training on being a good tenant, lease compliance, and household
24.26	management.
24.27	(d) A housing support stabilization service may include person-centered planning for
24.28	people who are not eligible to receive person-centered planning through any other service,
24.29	if the person-centered planning is provided by a consultation service provider that is under
24.30	contract with the department and enrolled as a Minnesota health care program.

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25.1	Sec. 54. Minnesota Statutes 2020, section 256B.051, subdivision 6, is amended to read:
25.2	Subd. 6. Provider qualifications and duties. A provider eligible for reimbursement
25.3	under this section shall:
25.4	(1) enroll as a medical assistance Minnesota health care program provider and meet all
25.5	applicable provider standards and requirements;
25.6	(2) demonstrate compliance with federal and state laws and policies for housing support
25.7	stabilization services as determined by the commissioner;
25.8	(3) comply with background study requirements under chapter 245C and maintain
25.9	documentation of background study requests and results; and
25.10	(4) directly provide housing support stabilization services and not use a subcontractor
25.11	or reporting agent-; and
25.12	(5) complete annual vulnerable adult training.
25.13	Sec. 55. Minnesota Statutes 2020, section 256B.051, subdivision 7, is amended to read:
25.14	Subd. 7. Housing support supplemental service rates. Supplemental service rates for
25.15	individuals in settings according to sections 144D.025, 256I.04, subdivision 3, paragraph
25.16	(a), clause (3), and 256I.05, subdivision 1g, shall be reduced by one-half over a two-year
25.17	period. This reduction only applies to supplemental service rates for individuals eligible for
25.18	housing support stabilization services under this section.
25.19	Sec. 56. Minnesota Statutes 2020, section 256B.051, is amended by adding a subdivision
25.20	to read:
25.21	Subd. 8. Home and community-based service documentation requirements. (a)
25.22	Documentation may be collected and maintained electronically or in paper form by providers
25.23	and must be produced upon request by the commissioner.
25.24	(b) Documentation of a delivered service must be in English and must be legible according
25.25	to the standard of a reasonable person.
25.26	(c) If the service is reimbursed at an hourly or specified minute-based rate, each
25.27	documentation of the provision of a service, unless otherwise specified, must include:
25.28	(1) the date the documentation occurred;
25.29	(2) the day, month, and year the service was provided;

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26.1	(3) the start and stop times with a.m. and p.m. designations, except for person-centered
26.2	planning services described under subdivision 5, paragraph (d);
26.3	(4) the service name or description of the service provided; and
26.4	(5) the name, signature, and title, if any, of the provider of service. If the service is
26.5	provided by multiple staff members, the provider may designate a staff member responsible
26.6	for verifying services and completing the documentation required by this paragraph.
26.7	Sec. 57. Minnesota Statutes 2020, section 256B.0947, subdivision 6, is amended to read:
26.8	Subd. 6. Service standards. The standards in this subdivision apply to intensive
26.9	nonresidential rehabilitative mental health services.
26.10	(a) The treatment team must use team treatment, not an individual treatment model.
26.11	(b) Services must be available at times that meet client needs.
26.12	(c) Services must be age-appropriate and meet the specific needs of the client.
26.13	(d) The initial functional assessment must be completed within ten days of intake and
26.14	updated at least every six months or prior to discharge from the service, whichever comes
26.15	first.
26.16	(e) The treatment team must complete an individual treatment plan for each client and
26.17	the individual treatment plan must:
26.18	(1) be based on the information in the client's diagnostic assessment and baselines;
26.19	(2) identify goals and objectives of treatment, a treatment strategy, a schedule for
26.20	accomplishing treatment goals and objectives, and the individuals responsible for providing
26.21	treatment services and supports;
26.22	(3) be developed after completion of the client's diagnostic assessment by a mental health
26.23	professional or clinical trainee and before the provision of children's therapeutic services
26.24	and supports;
26.25	(4) be developed through a child-centered, family-driven, culturally appropriate planning
26.26	process, including allowing parents and guardians to observe or participate in individual
26.27	and family treatment services, assessments, and treatment planning;
26.28	(5) be reviewed at least once every six months and revised to document treatment progress
26.29	on each treatment objective and next goals or, if progress is not documented, to document
26.30	changes in treatment;

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- (6) be signed by the clinical supervisor and by the client or by the client's parent or other person authorized by statute to consent to mental health services for the client. A client's parent may approve the client's individual treatment plan by secure electronic signature or by documented oral approval that is later verified by written signature;
- (7) be completed in consultation with the client's current therapist and key providers and provide for ongoing consultation with the client's current therapist to ensure therapeutic continuity and to facilitate the client's return to the community. For clients under the age of 18, the treatment team must consult with parents and guardians in developing the treatment plan;
 - (8) if a need for substance use disorder treatment is indicated by validated assessment:
- 27.11 (i) identify goals, objectives, and strategies of substance use disorder treatment; develop 27.12 a schedule for accomplishing treatment goals and objectives; and identify the individuals 27.13 responsible for providing treatment services and supports;
 - (ii) be reviewed at least once every 90 days and revised, if necessary;
 - (9) be signed by the clinical supervisor and by the client and, if the client is a minor, by the client's parent or other person authorized by statute to consent to mental health treatment and substance use disorder treatment for the client; and
 - (10) provide for the client's transition out of intensive nonresidential rehabilitative mental health services by defining the team's actions to assist the client and subsequent providers in the transition to less intensive or "stepped down" services.
 - (f) The treatment team shall actively and assertively engage the client's family members and significant others by establishing communication and collaboration with the family and significant others and educating the family and significant others about the client's mental illness, symptom management, and the family's role in treatment, unless the team knows or has reason to suspect that the client has suffered or faces a threat of suffering any physical or mental injury, abuse, or neglect from a family member or significant other.
 - (g) For a client age 18 or older, the treatment team may disclose to a family member, other relative, or a close personal friend of the client, or other person identified by the client, the protected health information directly relevant to such person's involvement with the client's care, as provided in Code of Federal Regulations, title 45, part 164.502(b). If the client is present, the treatment team shall obtain the client's agreement, provide the client with an opportunity to object, or reasonably infer from the circumstances, based on the exercise of professional judgment, that the client does not object. If the client is not present

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or is unable, by incapacity or emergency circumstances, to agree or object, the treatment team may, in the exercise of professional judgment, determine whether the disclosure is in the best interests of the client and, if so, disclose only the protected health information that is directly relevant to the family member's, relative's, friend's, or client-identified person's involvement with the client's health care. The client may orally agree or object to the disclosure and may prohibit or restrict disclosure to specific individuals.

- (h) The treatment team shall provide interventions to promote positive interpersonal relationships.
- Sec. 58. Minnesota Statutes 2020, section 256B.4912, subdivision 13, is amended to read:
- Subd. 13. Waiver transportation documentation and billing requirements. (a) A
 waiver transportation service must be a waiver transportation service that: (1) is not covered
 by medical transportation under the Medicaid state plan; and (2) is not included as a
 component of another waiver service.
 - (b) In addition to the documentation requirements in subdivision 12, a waiver transportation service provider must maintain:
 - (1) odometer and other records pursuant to section 256B.0625, subdivision 17b, paragraph (b), clause (3), sufficient to distinguish an individual trip with a specific vehicle and driver for a waiver transportation service that is billed directly by the mile. A common carrier as defined by Minnesota Rules, part 9505.0315, subpart 1, item B, or a publicly operated transit system provider are exempt from this clause; and
 - (2) documentation demonstrating that a vehicle and a driver meet the standards determined by the Department of Human Services on vehicle and driver qualifications in section 256B.0625, subdivision 17, paragraph (c) transportation waiver service provider standards and qualifications according to the federally approved waiver plan.
- Sec. 59. Minnesota Statutes 2020, section 256B.69, subdivision 5a, is amended to read:
 - Subd. 5a. **Managed care contracts.** (a) Managed care contracts under this section and section 256L.12 shall be entered into or renewed on a calendar year basis. The commissioner may issue separate contracts with requirements specific to services to medical assistance recipients age 65 and older.
 - (b) A prepaid health plan providing covered health services for eligible persons pursuant to chapters 256B and 256L is responsible for complying with the terms of its contract with the commissioner. Requirements applicable to managed care programs under chapters 256B

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and 256L established after the effective date of a contract with the commissioner take effect when the contract is next issued or renewed.

- (c) The commissioner shall withhold five percent of managed care plan payments under this section and county-based purchasing plan payments under section 256B.692 for the prepaid medical assistance program pending completion of performance targets. Each performance target must be quantifiable, objective, measurable, and reasonably attainable, except in the case of a performance target based on a federal or state law or rule. Criteria for assessment of each performance target must be outlined in writing prior to the contract effective date. Clinical or utilization performance targets and their related criteria must consider evidence-based research and reasonable interventions when available or applicable to the populations served, and must be developed with input from external clinical experts and stakeholders, including managed care plans, county-based purchasing plans, and providers. The managed care or county-based purchasing plan must demonstrate, to the commissioner's satisfaction, that the data submitted regarding attainment of the performance target is accurate. The commissioner shall periodically change the administrative measures used as performance targets in order to improve plan performance across a broader range of administrative services. The performance targets must include measurement of plan efforts to contain spending on health care services and administrative activities. The commissioner may adopt plan-specific performance targets that take into account factors affecting only one plan, including characteristics of the plan's enrollee population. The withheld funds must be returned no sooner than July of the following year if performance targets in the contract are achieved. The commissioner may exclude special demonstration projects under subdivision 23.
- (d) The commissioner shall require that managed care plans use the assessment and authorization processes, forms, timelines, standards, documentation, and data reporting requirements, protocols, billing processes, and policies consistent with medical assistance fee-for-service or the Department of Human Services contract requirements for all personal care assistance services under section 256B.0659 and community first services and supports under section 256B.85.
- (e) Effective for services rendered on or after January 1, 2012, the commissioner shall include as part of the performance targets described in paragraph (c) a reduction in the health plan's emergency department utilization rate for medical assistance and MinnesotaCare enrollees, as determined by the commissioner. For 2012, the reduction shall be based on the health plan's utilization in 2009. To earn the return of the withhold each subsequent year, the managed care plan or county-based purchasing plan must achieve a qualifying

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reduction of no less than ten percent of the plan's emergency department utilization rate for medical assistance and MinnesotaCare enrollees, excluding enrollees in programs described in subdivisions 23 and 28, compared to the previous measurement year until the final performance target is reached. When measuring performance, the commissioner must consider the difference in health risk in a managed care or county-based purchasing plan's membership in the baseline year compared to the measurement year, and work with the managed care or county-based purchasing plan to account for differences that they agree are significant.

The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following calendar year if the managed care plan or county-based purchasing plan demonstrates to the satisfaction of the commissioner that a reduction in the utilization rate was achieved. The commissioner shall structure the withhold so that the commissioner returns a portion of the withheld funds in amounts commensurate with achieved reductions in utilization less than the targeted amount.

The withhold described in this paragraph shall continue for each consecutive contract period until the plan's emergency room utilization rate for state health care program enrollees is reduced by 25 percent of the plan's emergency room utilization rate for medical assistance and MinnesotaCare enrollees for calendar year 2009. Hospitals shall cooperate with the health plans in meeting this performance target and shall accept payment withholds that may be returned to the hospitals if the performance target is achieved.

(f) Effective for services rendered on or after January 1, 2012, the commissioner shall include as part of the performance targets described in paragraph (c) a reduction in the plan's hospitalization admission rate for medical assistance and MinnesotaCare enrollees, as determined by the commissioner. To earn the return of the withhold each year, the managed care plan or county-based purchasing plan must achieve a qualifying reduction of no less than five percent of the plan's hospital admission rate for medical assistance and MinnesotaCare enrollees, excluding enrollees in programs described in subdivisions 23 and 28, compared to the previous calendar year until the final performance target is reached. When measuring performance, the commissioner must consider the difference in health risk in a managed care or county-based purchasing plan's membership in the baseline year compared to the measurement year, and work with the managed care or county-based purchasing plan to account for differences that they agree are significant.

The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following calendar year if the managed care plan or county-based purchasing plan demonstrates to the satisfaction of the commissioner that this reduction in the hospitalization

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rate was achieved. The commissioner shall structure the withhold so that the commissioner returns a portion of the withheld funds in amounts commensurate with achieved reductions in utilization less than the targeted amount.

The withhold described in this paragraph shall continue until there is a 25 percent reduction in the hospital admission rate compared to the hospital admission rates in calendar year 2011, as determined by the commissioner. The hospital admissions in this performance target do not include the admissions applicable to the subsequent hospital admission performance target under paragraph (g). Hospitals shall cooperate with the plans in meeting this performance target and shall accept payment withholds that may be returned to the hospitals if the performance target is achieved.

(g) Effective for services rendered on or after January 1, 2012, the commissioner shall include as part of the performance targets described in paragraph (c) a reduction in the plan's hospitalization admission rates for subsequent hospitalizations within 30 days of a previous hospitalization of a patient regardless of the reason, for medical assistance and MinnesotaCare enrollees, as determined by the commissioner. To earn the return of the withhold each year, the managed care plan or county-based purchasing plan must achieve a qualifying reduction of the subsequent hospitalization rate for medical assistance and MinnesotaCare enrollees, excluding enrollees in programs described in subdivisions 23 and 28, of no less than five percent compared to the previous calendar year until the final performance target is reached.

The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following calendar year if the managed care plan or county-based purchasing plan demonstrates to the satisfaction of the commissioner that a qualifying reduction in the subsequent hospitalization rate was achieved. The commissioner shall structure the withhold so that the commissioner returns a portion of the withheld funds in amounts commensurate with achieved reductions in utilization less than the targeted amount.

The withhold described in this paragraph must continue for each consecutive contract period until the plan's subsequent hospitalization rate for medical assistance and MinnesotaCare enrollees, excluding enrollees in programs described in subdivisions 23 and 28, is reduced by 25 percent of the plan's subsequent hospitalization rate for calendar year 2011. Hospitals shall cooperate with the plans in meeting this performance target and shall accept payment withholds that must be returned to the hospitals if the performance target is achieved.

(h) Effective for services rendered on or after January 1, 2013, through December 31, 2013, the commissioner shall withhold 4.5 percent of managed care plan payments under

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this section and county-based purchasing plan payments under section 256B.692 for the prepaid medical assistance program. The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following year. The commissioner may exclude special demonstration projects under subdivision 23.

- (i) Effective for services rendered on or after January 1, 2014, the commissioner shall withhold three percent of managed care plan payments under this section and county-based purchasing plan payments under section 256B.692 for the prepaid medical assistance program. The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following year. The commissioner may exclude special demonstration projects under subdivision 23.
- (j) A managed care plan or a county-based purchasing plan under section 256B.692 may include as admitted assets under section 62D.044 any amount withheld under this section that is reasonably expected to be returned.
- (k) Contracts between the commissioner and a prepaid health plan are exempt from the set-aside and preference provisions of section 16C.16, subdivisions 6, paragraph (a), and 7.
- (l) The return of the withhold under paragraphs (h) and (i) is not subject to the requirements of paragraph (c).
- (m) Managed care plans and county-based purchasing plans shall maintain current and fully executed agreements for all subcontractors, including bargaining groups, for administrative services that are expensed to the state's public health care programs. Subcontractor agreements determined to be material, as defined by the commissioner after taking into account state contracting and relevant statutory requirements, must be in the form of a written instrument or electronic document containing the elements of offer, acceptance, consideration, payment terms, scope, duration of the contract, and how the subcontractor services relate to state public health care programs. Upon request, the commissioner shall have access to all subcontractor documentation under this paragraph. Nothing in this paragraph shall allow release of information that is nonpublic data pursuant to section 13.02.
- Sec. 60. Minnesota Statutes 2020, section 256B.85, subdivision 1, is amended to read:
- Subdivision 1. **Basis and scope.** (a) Upon federal approval, the commissioner shall establish a state plan option for the provision of home and community-based personal assistance service and supports called "community first services and supports (CFSS)."

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33.1	(b) CFSS is a participant-controlled method of selecting and providing services and
33.2	supports that allows the participant maximum control of the services and supports.
33.3	Participants may choose the degree to which they direct and manage their supports by
33.4	choosing to have a significant and meaningful role in the management of services and
33.5	supports including by directly employing support workers with the necessary supports to
33.6	perform that function.
33.7	(c) CFSS is available statewide to eligible people to assist with accomplishing activities
33.8	of daily living (ADLs), instrumental activities of daily living (IADLs), and health-related
33.9	procedures and tasks through hands-on assistance to accomplish the task or constant
33.10	supervision and cueing to accomplish the task; and to assist with acquiring, maintaining,
33.11	and enhancing the skills necessary to accomplish ADLs, IADLs, and health-related
33.12	procedures and tasks. CFSS allows payment for the participant for certain supports and
33.13	goods such as environmental modifications and technology that are intended to replace or
33.14	decrease the need for human assistance.
33.15	(d) Upon federal approval, CFSS will replace the personal care assistance program under
33.16	sections 256.476, 256B.0625, subdivisions 19a and 19c, and 256B.0659.
33.17	(e) For the purposes of this section, notwithstanding the provisions of section 144A.43.
33.18	subdivision 3, supports purchased under CFSS are not considered home care services.
33.19	Sec. 61. Minnesota Statutes 2020, section 256B.85, subdivision 2, is amended to read:
33.20	Subd. 2. Definitions. (a) For the purposes of this section, the terms defined in this
33.21	subdivision have the meanings given.
33.22	(b) "Activities of daily living" or "ADLs" means eating, toileting, grooming, dressing,
33.23	bathing, mobility, positioning, and transferring.:
33.24	(1) dressing, including assistance with choosing, applying, and changing clothing and
33.25	applying special appliances, wraps, or clothing;
33.26	(2) grooming, including assistance with basic hair care, oral care, shaving, applying
33.27	cosmetics and deodorant, and care of eyeglasses and hearing aids. Grooming includes nail
33.28	care, except for recipients who are diabetic or have poor circulation;
33.29	(3) bathing, including assistance with basic personal hygiene and skin care;
33.30	(4) eating, including assistance with hand washing and applying orthotics required for
33.31	eating, transfers, or feeding;

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34.1	(5) transfers, including assistance with transferring the participant from one seating or
34.2	reclining area to another;
34.3	(6) mobility, including assistance with ambulation and use of a wheelchair. Mobility
34.4	does not include providing transportation for a participant;
34.5	(7) positioning, including assistance with positioning or turning a participant for necessary
34.6	care and comfort; and
34.7	(8) toileting, including assistance with bowel or bladder elimination and care, transfers,
34.8	mobility, positioning, feminine hygiene, use of toileting equipment or supplies, cleansing
34.9	the perineal area, inspection of the skin, and adjusting clothing.
34.10	(c) "Agency-provider model" means a method of CFSS under which a qualified agency
34.11	provides services and supports through the agency's own employees and policies. The agency
34.12	must allow the participant to have a significant role in the selection and dismissal of support
34.13	workers of their choice for the delivery of their specific services and supports.
34.14	(d) "Behavior" means a description of a need for services and supports used to determine
34.15	the home care rating and additional service units. The presence of Level I behavior is used
34.16	to determine the home care rating.
34.17	(e) "Budget model" means a service delivery method of CFSS that allows the use of a
34.18	service budget and assistance from a financial management services (FMS) provider for a
34.19	participant to directly employ support workers and purchase supports and goods.
34.20	(f) "Complex health-related needs" means an intervention listed in clauses (1) to (8) that
34.21	has been ordered by a physician, advanced practice registered nurse, or physician's assistant
34.22	and is specified in a community support plan, including:
34.23	(1) tube feedings requiring:
34.24	(i) a gastrojejunostomy tube; or
34.25	(ii) continuous tube feeding lasting longer than 12 hours per day;
34.26	(2) wounds described as:
34.27	(i) stage III or stage IV;
34.28	(ii) multiple wounds;
34.29	(iii) requiring sterile or clean dressing changes or a wound vac; or
34.30	(iv) open lesions such as burns, fistulas, tube sites, or ostomy sites that require specialized
34.31	care;

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(3) parenteral therapy described as:

35.2	(i) IV therapy more than two times per week lasting longer than four hours for each
35.3	treatment; or
35.4	(ii) total parenteral nutrition (TPN) daily;
35.5	(4) respiratory interventions, including:
35.6	(i) oxygen required more than eight hours per day;
35.7	(ii) respiratory vest more than one time per day;
35.8	(iii) bronchial drainage treatments more than two times per day;
35.9	(iv) sterile or clean suctioning more than six times per day;
35.10	(v) dependence on another to apply respiratory ventilation augmentation devices such
35.11	as BiPAP and CPAP; and
35.12	(vi) ventilator dependence under section 256B.0651;
35.13	(5) insertion and maintenance of catheter, including:
35.14	(i) sterile catheter changes more than one time per month;
35.15	(ii) clean intermittent catheterization, and including self-catheterization more than six
35.16	times per day; or
35.17	(iii) bladder irrigations;
35.18	(6) bowel program more than two times per week requiring more than 30 minutes to
35.19	perform each time;
35.20	(7) neurological intervention, including:
35.21	(i) seizures more than two times per week and requiring significant physical assistance
35.22	to maintain safety; or
35.23	(ii) swallowing disorders diagnosed by a physician, advanced practice registered nurse,
35.24	or physician's assistant and requiring specialized assistance from another on a daily basis;
35.25	and
35.26	(8) other congenital or acquired diseases creating a need for significantly increased direct
35.27	hands-on assistance and interventions in six to eight activities of daily living.
35.28	(g) "Community first services and supports" or "CFSS" means the assistance and supports
35.29	program under this section needed for accomplishing activities of daily living, instrumental
35.30	activities of daily living, and health-related tasks through hands-on assistance to accomplish

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the task or constant supervision and cueing to accomplish the task, or the purchase of goods as defined in subdivision 7, clause (3), that replace the need for human assistance.

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- (h) "Community first services and supports service delivery plan" or "CFSS service delivery plan" means a written document detailing the services and supports chosen by the participant to meet assessed needs that are within the approved CFSS service authorization, as determined in subdivision 8. Services and supports are based on the coordinated service and support plan identified in section sections 256B.092, subdivision 1b, and 256S.10.
- (i) "Consultation services" means a Minnesota health care program enrolled provider organization that provides assistance to the participant in making informed choices about CFSS services in general and self-directed tasks in particular, and in developing a person-centered CFSS service delivery plan to achieve quality service outcomes.
 - (j) "Critical activities of daily living" means transferring, mobility, eating, and toileting.
- (k) "Dependency" in activities of daily living means a person requires hands-on assistance or constant supervision and cueing to accomplish one or more of the activities of daily living every day or on the days during the week that the activity is performed; however, a child may must not be found to be dependent in an activity of daily living if, because of the child's age, an adult would either perform the activity for the child or assist the child with the activity and the assistance needed is the assistance appropriate for a typical child of the same age.
- (l) "Extended CFSS" means CFSS services and supports provided under CFSS that are included in the CFSS service delivery plan through one of the home and community-based services waivers and as approved and authorized under chapter 256S and sections 256B.092, subdivision 5, and 256B.49, which exceed the amount, duration, and frequency of the state plan CFSS services for participants. Extended CFSS excludes the purchase of goods.
- (m) "Financial management services provider" or "FMS provider" means a qualified organization required for participants using the budget model under subdivision 13 that is an enrolled provider with the department to provide vendor fiscal/employer agent financial management services (FMS).
- (n) "Health-related procedures and tasks" means procedures and tasks related to the specific assessed health needs of a participant that can be taught or assigned by a state-licensed health care or mental health professional and performed by a support worker.
- (o) "Instrumental activities of daily living" means activities related to living independently in the community, including but not limited to: meal planning, preparation, and cooking;

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shopping for food, clothing, or other essential items; laundry; housecleaning; assistance with medications; managing finances; communicating needs and preferences during activities; arranging supports; and assistance with traveling around and participating in the community.

- (p) "Lead agency" has the meaning given in section 256B.0911, subdivision 1a, paragraph (e).
- (q) "Legal representative" means parent of a minor, a court-appointed guardian, or another representative with legal authority to make decisions about services and supports for the participant. Other representatives with legal authority to make decisions include but are not limited to a health care agent or an attorney-in-fact authorized through a health care directive or power of attorney.
- (r) "Level I behavior" means physical aggression towards toward self or others or destruction of property that requires the immediate response of another person.
- (s) "Medication assistance" means providing verbal or visual reminders to take regularly scheduled medication, and includes any of the following supports listed in clauses (1) to (3) and other types of assistance, except that a support worker <u>may must</u> not determine medication dose or time for medication or inject medications into veins, muscles, or skin:
- (1) under the direction of the participant or the participant's representative, bringing medications to the participant including medications given through a nebulizer, opening a container of previously set-up medications, emptying the container into the participant's hand, opening and giving the medication in the original container to the participant, or bringing to the participant liquids or food to accompany the medication;
- (2) organizing medications as directed by the participant or the participant's representative; and
 - (3) providing verbal or visual reminders to perform regularly scheduled medications.
- (t) "Participant" means a person who is eligible for CFSS.
 - (u) "Participant's representative" means a parent, family member, advocate, or other adult authorized by the participant or participant's legal representative, if any, to serve as a representative in connection with the provision of CFSS. This authorization must be in writing or by another method that clearly indicates the participant's free choice and may be withdrawn at any time. The participant's representative must have no financial interest in the provision of any services included in the participant's CFSS service delivery plan and must be capable of providing the support necessary to assist the participant in the use of CFSS. If through the assessment process described in subdivision 5 a participant is

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determined to be in need of a participant's representative, one must be selected. If the
participant is unable to assist in the selection of a participant's representative, the legal
representative shall appoint one. Two persons may be designated as a participant's
representative for reasons such as divided households and court-ordered custodies. Duties
of a participant's representatives may include:
(1) being available while services are provided in a method agreed upon by the participant
or the participant's legal representative and documented in the participant's CFSS service
delivery plan;
(2) monitoring CFSS services to ensure the participant's CFSS service delivery plan is
being followed; and
(3) reviewing and signing CFSS time sheets after services are provided to provide
verification of the CFSS services.
(v) "Person-centered planning process" means a process that is directed by the participant
to plan for CFSS services and supports.
(w) "Service budget" means the authorized dollar amount used for the budget model or
for the purchase of goods.
(x) "Shared services" means the provision of CFSS services by the same CFSS support
worker to two or three participants who voluntarily enter into an a written agreement to
receive services at the same time and, in the same setting by, and through the same employer
agency-provider or FMS provider.
(y) "Support worker" means a qualified and trained employee of the agency-provider
as required by subdivision 11b or of the participant employer under the budget model as
required by subdivision 14 who has direct contact with the participant and provides services
as specified within the participant's CFSS service delivery plan.
(z) "Unit" means the increment of service based on hours or minutes identified in the
service agreement.
(aa) "Vendor fiscal employer agent" means an agency that provides financial management
services.
(bb) "Wages and benefits" means the hourly wages and salaries, the employer's share
of FICA taxes, Medicare taxes, state and federal unemployment taxes, workers' compensation,
mileage reimbursement, health and dental insurance, life insurance, disability insurance,
long-term care insurance, uniform allowance, contributions to employee retirement accounts,
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or other forms of employee compensation and benefits.

39.1	(cc) "Worker training and development" means services provided according to subdivision
39.2	18a for developing workers' skills as required by the participant's individual CFSS service
39.3	delivery plan that are arranged for or provided by the agency-provider or purchased by the
39.4	participant employer. These services include training, education, direct observation and
39.5	supervision, and evaluation and coaching of job skills and tasks, including supervision of
39.6	health-related tasks or behavioral supports.
39.7	Sec. 62. Minnesota Statutes 2020, section 256B.85, subdivision 3, is amended to read:
39.8	Subd. 3. Eligibility. (a) CFSS is available to a person who meets one of the following:
39.9	(1) is an enrollee of medical assistance as determined under section 256B.055, 256B.056,
39.10	or 256B.057, subdivisions 5 and 9;
39.11	(1) is determined eligible for medical assistance under this chapter, excluding those
39.12	under section 256B.057, subdivisions 3, 3a, 3b, and 4;
39.13	(2) is a participant in the alternative care program under section 256B.0913;
39.14	(3) is a waiver participant as defined under chapter 256S or section 256B.092, 256B.093,
39.15	or 256B.49; or
39.16	(4) has medical services identified in a person's individualized education program and
39.17	is eligible for services as determined in section 256B.0625, subdivision 26.
39.18	(b) In addition to meeting the eligibility criteria in paragraph (a), a person must also
39.19	meet all of the following:
39.20	(1) require assistance and be determined dependent in one activity of daily living or
39.21	Level I behavior based on assessment under section 256B.0911; and
39.22	(2) is not a participant under a family support grant under section 252.32.
39.23	(c) A pregnant woman eligible for medical assistance under section 256B.055, subdivision
39.24	6, is eligible for CFSS without federal financial participation if the woman: (1) is eligible
39.25	for CFSS under paragraphs (a) and (b); and (2) does not meet institutional level of care, as
39.26	determined under section 256B.0911.
39.27	Sec. 63. Minnesota Statutes 2020, section 256B.85, subdivision 4, is amended to read:
39.28	Subd. 4. Eligibility for other services. Selection of CFSS by a participant must not
39.29	restrict access to other medically necessary care and services furnished under the state plan

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benefit or other services available through the alternative care program.

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Sec. 64. Minnesota Statutes 2020, section 256B.85, subdivision 5, is amended to read:

- Subd. 5. Assessment requirements. (a) The assessment of functional need must:
- (1) be conducted by a certified assessor according to the criteria established in section 256B.0911, subdivision 3a;
- (2) be conducted face-to-face, initially and at least annually thereafter, or when there is a significant change in the participant's condition or a change in the need for services and supports, or at the request of the participant when the participant experiences a change in condition or needs a change in the services or supports; and
 - (3) be completed using the format established by the commissioner.
- (b) The results of the assessment and any recommendations and authorizations for CFSS must be determined and communicated in writing by the lead agency's certified assessor as defined in section 256B.0911 to the participant and the agency-provider or FMS provider chosen by the participant or the participant's representative and chosen CFSS providers within 40 calendar ten business days and must include the participant's right to appeal the assessment under section 256.045, subdivision 3.
- (c) The lead agency assessor may authorize a temporary authorization for CFSS services to be provided under the agency-provider model. The lead agency assessor may authorize a temporary authorization for CFSS services to be provided under the agency-provider model without using the assessment process described in this subdivision. Authorization for a temporary level of CFSS services under the agency-provider model is limited to the time specified by the commissioner, but shall not exceed 45 days. The level of services authorized under this paragraph shall have no bearing on a future authorization. Participants approved for a temporary authorization shall access the consultation service For CFSS services needed beyond the 45-day temporary authorization, the lead agency must conduct an assessment as described in this subdivision and participants must use consultation services to complete their orientation and selection of a service model.
 - Sec. 65. Minnesota Statutes 2020, section 256B.85, subdivision 6, is amended to read:
- Subd. 6. Community first services and supports service delivery plan. (a) The CFSS service delivery plan must be developed and evaluated through a person-centered planning process by the participant, or the participant's representative or legal representative who may be assisted by a consultation services provider. The CFSS service delivery plan must reflect the services and supports that are important to the participant and for the participant to meet the needs assessed by the certified assessor and identified in the coordinated service

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11.1	and support plan identified in section sections 256B.092, subdivision 1b, and 256S.10. The
11.2	CFSS service delivery plan must be reviewed by the participant, the consultation services
41.3	provider, and the agency-provider or FMS provider prior to starting services and at least
11.4	annually upon reassessment, or when there is a significant change in the participant's
41.5	condition, or a change in the need for services and supports.
41.6	(b) The commissioner shall establish the format and criteria for the CFSS service delivery
11.7	plan.
11.8	(c) The CFSS service delivery plan must be person-centered and:
11.9	(1) specify the consultation services provider, agency-provider, or FMS provider selected
11.10	by the participant;
11.11	(2) reflect the setting in which the participant resides that is chosen by the participant;
11.12	(3) reflect the participant's strengths and preferences;
11.13	(4) include the methods and supports used to address the needs as identified through an
11.14	assessment of functional needs;
41.15	(5) include the participant's identified goals and desired outcomes;
11.16	(6) reflect the services and supports, paid and unpaid, that will assist the participant to
11.17	achieve identified goals, including the costs of the services and supports, and the providers
41.18	of those services and supports, including natural supports;
11.19	(7) identify the amount and frequency of face-to-face supports and amount and frequency
11.20	of remote supports and technology that will be used;
11.21	(8) identify risk factors and measures in place to minimize them, including individualized
11.22	backup plans;
11.23	(9) be understandable to the participant and the individuals providing support;
11.24	(10) identify the individual or entity responsible for monitoring the plan;
11.25	(11) be finalized and agreed to in writing by the participant and signed by all individuals
11.26	and providers responsible for its implementation;
11.27	(12) be distributed to the participant and other people involved in the plan;
11.28	(13) prevent the provision of unnecessary or inappropriate care;
11 29	(14) include a detailed budget for expenditures for budget model participants or

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participants under the agency-provider model if purchasing goods; and

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(15) include a plan for worker training and development provided according to
subdivision 18a detailing what service components will be used, when the service components
will be used, how they will be provided, and how these service components relate to the
participant's individual needs and CFSS support worker services.
(d) The CFSS service delivery plan must describe the units or dollar amount available
to the participant. The total units of agency-provider services or the service budget amount

- to the participant. The total units of agency-provider services or the service budget amount for the budget model include both annual totals and a monthly average amount that cover the number of months of the service agreement. The amount used each month may vary, but additional funds must not be provided above the annual service authorization amount, determined according to subdivision 8, unless a change in condition is assessed and authorized by the certified assessor and documented in the coordinated service and support plan and CFSS service delivery plan.
- (e) In assisting with the development or modification of the CFSS service delivery plan during the authorization time period, the consultation services provider shall:
 - (1) consult with the FMS provider on the spending budget when applicable; and
- 42.16 (2) consult with the participant or participant's representative, agency-provider, and case
 42.17 manager/ or care coordinator.
- (f) The CFSS service delivery plan must be approved by the consultation services provider for participants without a case manager or care coordinator who is responsible for authorizing services. A case manager or care coordinator must approve the plan for a waiver or alternative care program participant.
- Sec. 66. Minnesota Statutes 2020, section 256B.85, subdivision 7, is amended to read:
- Subd. 7. Community first services and supports; covered services. Services and supports covered under CFSS include:
 - (1) assistance to accomplish activities of daily living (ADLs), instrumental activities of daily living (IADLs), and health-related procedures and tasks through hands-on assistance to accomplish the task or constant supervision and cueing to accomplish the task;
- 42.28 (2) assistance to acquire, maintain, or enhance the skills necessary for the participant to 42.29 accomplish activities of daily living, instrumental activities of daily living, or health-related 42.30 tasks;
- 42.31 (3) expenditures for items, services, supports, environmental modifications, or goods, 42.32 including assistive technology. These expenditures must:

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43.1	(i) relate to a need identified in a participant's CFSS service delivery plan; and
43.2	(ii) increase independence or substitute for human assistance, to the extent that
43.3	expenditures would otherwise be made for human assistance for the participant's assessed
43.4	needs;
43.5	(4) observation and redirection for behavior or symptoms where there is a need for
43.6	assistance;
43.7	(5) back-up systems or mechanisms, such as the use of pagers or other electronic devices,
43.8	to ensure continuity of the participant's services and supports;
43.9	(6) services provided by a consultation services provider as defined under subdivision
43.10	17, that is under contract with the department and enrolled as a Minnesota health care
43.11	program provider;
43.12	(7) services provided by an FMS provider as defined under subdivision 13a, that is an
43.13	enrolled provider with the department;
43.14	(8) CFSS services provided by a support worker who is a parent, stepparent, or legal
43.15	guardian of a participant under age 18, or who is the participant's spouse. These support
43.16	workers shall not:
43.17	(i) provide any medical assistance home and community-based services in excess of 40
43.18	hours per seven-day period regardless of the number of parents providing services,
43.19	combination of parents and spouses providing services, or number of children who receive
43.20	medical assistance services; and
43.21	(ii) have a wage that exceeds the current rate for a CFSS support worker including the
43.22	wage, benefits, and payroll taxes; and
43.23	(9) worker training and development services as described in subdivision 18a.
43.24	Sec. 67. Minnesota Statutes 2020, section 256B.85, subdivision 8, is amended to read:
43.25	Subd. 8. Determination of CFSS service authorization amount. (a) All community
43.26	first services and supports must be authorized by the commissioner or the commissioner's
43.27	designee before services begin. The authorization for CFSS must be completed as soon as
43.28	possible following an assessment but no later than 40 calendar days from the date of the
43.29	assessment.
43.30	(b) The amount of CFSS authorized must be based on the participant's home care rating
43.31	described in paragraphs (d) and (e) and any additional service units for which the participant
43.32	qualifies as described in paragraph (f).

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44.1	(c) The home care rating shall be determined by the commissioner or the commissioner's
44.2	designee based on information submitted to the commissioner identifying the following for
44.3	a participant:
44.4	(1) the total number of dependencies of activities of daily living;
44.5	(2) the presence of complex health-related needs; and
44.6	(3) the presence of Level I behavior.
44.7	(d) The methodology to determine the total service units for CFSS for each home care
44.8	rating is based on the median paid units per day for each home care rating from fiscal year
44.9	2007 data for the PCA program.
44.10	(e) Each home care rating is designated by the letters P through Z and EN and has the
44.11	following base number of service units assigned:
44.12	(1) P home care rating requires Level I behavior or one to three dependencies in ADLs
44.13	and qualifies the person for five service units;
44.14	(2) Q home care rating requires Level I behavior and one to three dependencies in ADLs
44.15	and qualifies the person for six service units;
44.16	(3) R home care rating requires a complex health-related need and one to three
44.17	dependencies in ADLs and qualifies the person for seven service units;
44.18	(4) S home care rating requires four to six dependencies in ADLs and qualifies the person
44.19	for ten service units;
44.20	(5) T home care rating requires four to six dependencies in ADLs and Level I behavior
44.21	and qualifies the person for 11 service units;
44.22	(6) U home care rating requires four to six dependencies in ADLs and a complex
44.23	health-related need and qualifies the person for 14 service units;
44.24	(7) V home care rating requires seven to eight dependencies in ADLs and qualifies the
44.25	person for 17 service units;
44.26	(8) W home care rating requires seven to eight dependencies in ADLs and Level I
44.27	behavior and qualifies the person for 20 service units;
44.28	(9) Z home care rating requires seven to eight dependencies in ADLs and a complex

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health-related need and qualifies the person for 30 service units; and

(10) EN home care rating includes ventilator dependency as defined in section 256B.0651,

subdivision 1, paragraph (g). A person who meets the definition of ventilator-dependent

45.1	and the EN home care rating and utilize a combination of CFSS and home care nursing
45.2	services is limited to a total of 96 service units per day for those services in combination.
45.3	Additional units may be authorized when a person's assessment indicates a need for two
45.4	staff to perform activities. Additional time is limited to 16 service units per day.
45.5	(f) Additional service units are provided through the assessment and identification of
45.6	the following:
45.7	(1) 30 additional minutes per day for a dependency in each critical activity of daily
45.8	living;
45.9	(2) 30 additional minutes per day for each complex health-related need; and
45.10	(3) 30 additional minutes per day when the for each behavior under this clause that
45.11	requires assistance at least four times per week for one or more of the following behaviors:
45.12	(i) level I behavior that requires the immediate response of another person;
45.13	(ii) increased vulnerability due to cognitive deficits or socially inappropriate behavior;
45.14	or
45.15	(iii) increased need for assistance for participants who are verbally aggressive or resistive
45.16	to care so that the time needed to perform activities of daily living is increased.
45.17	(g) The service budget for budget model participants shall be based on:
45.18	(1) assessed units as determined by the home care rating; and
45.19	(2) an adjustment needed for administrative expenses.
45.20	Sec. 68. Minnesota Statutes 2020, section 256B.85, is amended by adding a subdivision
45.21	to read:
45.22	Subd. 8a. Authorization; exceptions. All CFSS services must be authorized by the
45.23	commissioner or the commissioner's designee as described in subdivision 8 except when:
45.24	(1) the lead agency temporarily authorizes services in the agency-provider model as
45.25	described in subdivision 5, paragraph (c);
45.26	(2) CFSS services in the agency-provider model were required to treat an emergency
45.27	medical condition that if not immediately treated could cause a participant serious physical
45.28	or mental disability, continuation of severe pain, or death. The CFSS agency provider must
45.29	request retroactive authorization from the lead agency no later than five working days after
45.30	providing the initial emergency service. The CFSS agency provider must be able to
45.31	substantiate the emergency through documentation such as reports, notes, and admission

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or discharge histories. A lead agency must follow the authorization process in subdivision
5 after the lead agency receives the request for authorization from the agency provider;
(3) the lead agency authorizes a temporary increase to the amount of services authorized
in the agency or budget model to accommodate the participant's temporary higher need for
services. Authorization for a temporary level of CFSS services is limited to the time specified
by the commissioner, but shall not exceed 45 days. The level of services authorized under
this clause shall have no bearing on a future authorization;
(4) a participant's medical assistance eligibility has lapsed, is then retroactively reinstated
and an authorization for CFSS services is completed based on the date of a current
assessment, eligibility, and request for authorization;
(5) a third-party payer for CFSS services has denied or adjusted a payment. Authorization
requests must be submitted by the provider within 20 working days of the notice of denial
or adjustment. A copy of the notice must be included with the request;
(6) the commissioner has determined that a lead agency or state human services agency
has made an error; or
(7) a participant enrolled in managed care experiences a temporary disenrollment from
a health plan, in which case the commissioner shall accept the current health plan
authorization for CFSS services for up to 60 days. The request must be received within the
first 30 days of the disenrollment. If the recipient's reenrollment in managed care is after
the 60 days and before 90 days, the provider shall request an additional 30-day extension
of the current health plan authorization, for a total limit of 90 days from the time of
disenrollment.
Sec. 69. Minnesota Statutes 2020, section 256B.85, subdivision 9, is amended to read:
Subd. 9. Noncovered services. (a) Services or supports that are not eligible for paymen
under this section include those that:
(1) are not authorized by the certified assessor or included in the CFSS service delivery
plan;
(2) are provided prior to the authorization of services and the approval of the CFSS
service delivery plan;
(3) are duplicative of other paid services in the CFSS service delivery plan;

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7.1	(4) supplant natural unpaid supports that appropriately meet a need in the CFSS service
7.2	delivery plan, are provided voluntarily to the participant, and are selected by the participant
7.3	in lieu of other services and supports;
7.4	(5) are not effective means to meet the participant's needs; and
7.5	(6) are available through other funding sources, including, but not limited to, funding
7.6	through title IV-E of the Social Security Act.
7.7	(b) Additional services, goods, or supports that are not covered include:
7.8	(1) those that are not for the direct benefit of the participant, except that services for
7.9	caregivers such as training to improve the ability to provide CFSS are considered to directly
7.10	benefit the participant if chosen by the participant and approved in the support plan;
7.11	(2) any fees incurred by the participant, such as Minnesota health care programs fees
7.12	and co-pays, legal fees, or costs related to advocate agencies;
7.13	(3) insurance, except for insurance costs related to employee coverage;
7.14	(4) room and board costs for the participant;
7.15	(5) services, supports, or goods that are not related to the assessed needs;
7.16	(6) special education and related services provided under the Individuals with Disabilities
7.17	Education Act and vocational rehabilitation services provided under the Rehabilitation Act
7.18	of 1973;
7.19	(7) assistive technology devices and assistive technology services other than those for
7.20	back-up systems or mechanisms to ensure continuity of service and supports listed in
7.21	subdivision 7;
7.22	(8) medical supplies and equipment covered under medical assistance;
7.23	(9) environmental modifications, except as specified in subdivision 7;
7.24	(10) expenses for travel, lodging, or meals related to training the participant or the
7.25	participant's representative or legal representative;
7.26	(11) experimental treatments;
7.27	(12) any service or good covered by other state plan services, including prescription and
7.28	over-the-counter medications, compounds, and solutions and related fees, including premiums
7.29	and co-payments;
7.30	(13) membership dues or costs, except when the service is necessary and appropriate to

treat a health condition or to improve or maintain the <u>adult</u> participant's health condition.

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48.1	The condition must be identified in the participant's CFSS service delivery plan and
48.2	monitored by a Minnesota health care program enrolled physician, advanced practice
48.3	registered nurse, or physician's assistant;
48.4	(14) vacation expenses other than the cost of direct services;
48.5	(15) vehicle maintenance or modifications not related to the disability, health condition,
48.6	or physical need;
48.7	(16) tickets and related costs to attend sporting or other recreational or entertainment
48.8	events;
48.9	(17) services provided and billed by a provider who is not an enrolled CFSS provider;
48.10	(18) CFSS provided by a participant's representative or paid legal guardian;
48.11	(19) services that are used solely as a child care or babysitting service;
48.12	(20) services that are the responsibility or in the daily rate of a residential or program
48.13	license holder under the terms of a service agreement and administrative rules;
48.14	(21) sterile procedures;
48.15	(22) giving of injections into veins, muscles, or skin;
48.16	(23) homemaker services that are not an integral part of the assessed CFSS service;
48.17	(24) home maintenance or chore services;
48.18	(25) home care services, including hospice services if elected by the participant, covered
48.19	by Medicare or any other insurance held by the participant;
48.20	(26) services to other members of the participant's household;
48.21	(27) services not specified as covered under medical assistance as CFSS;
48.22	(28) application of restraints or implementation of deprivation procedures;
48.23	(29) assessments by CFSS provider organizations or by independently enrolled registered
48.24	nurses;
48.25	(30) services provided in lieu of legally required staffing in a residential or child care
48.26	setting; and
48.27	(31) services provided by the residential or program a foster care license holder in a
48.28	residence for more than four participants. except when the home of the person receiving
48.29	services is the licensed foster care provider's primary residence;

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49.1	(32) services that are the responsibility of the foster care provider under the terms of the
49.2	foster care placement agreement, assessment under sections 256N.24 and 260C.4411, and
49.3	administrative rules under sections 256N.24 and 260C.4411;
49.4	(33) services in a setting that has a licensed capacity greater than six, unless all conditions
49.5	for a variance under section 245A.04, subdivision 9a, are satisfied for a sibling, as defined
49.6	in section 260C.007, subdivision 32;
49.7	(34) services from a provider who owns or otherwise controls the living arrangement,
49.8	except when the provider of services is related by blood, marriage, or adoption or when the
49.9	provider is a licensed foster care provider who is not prohibited from providing services
49.10	under clauses (31) to (33);
49.11	(35) instrumental activities of daily living for children younger than 18 years of age,
49.12	except when immediate attention is needed for health or hygiene reasons integral to an
49.13	assessed need for assistance with activities of daily living, health-related procedures, and
49.14	tasks or behaviors; or
49.15	(36) services provided to a resident of a nursing facility, hospital, intermediate care
49.16	facility, or health care facility licensed by the commissioner of health.
49.17	Sec. 70. Minnesota Statutes 2020, section 256B.85, subdivision 10, is amended to read:
49.18	Subd. 10. Agency-provider and FMS provider qualifications and duties. (a)
49.19	Agency-providers identified in subdivision 11 and FMS providers identified in subdivision
49.20	13a shall:
49.21	(1) enroll as a medical assistance Minnesota health care programs provider and meet all
49.22	applicable provider standards and requirements including completion of required provider
49.23	training as determined by the commissioner;
49.24	(2) demonstrate compliance with federal and state laws and policies for CFSS as
49.25	determined by the commissioner;
49.26	(3) comply with background study requirements under chapter 245C and maintain
49.27	documentation of background study requests and results;
49.28	(4) verify and maintain records of all services and expenditures by the participant,
49.29	including hours worked by support workers;
49.30	(5) not engage in any agency-initiated direct contact or marketing in person, by telephone,
49.31	or other electronic means to potential participants, guardians, family members, or participants'
49.32	representatives;

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50.1	(6) directly provide services and not use a subcontractor or reporting agent;
50.2	(7) meet the financial requirements established by the commissioner for financial
50.3	solvency;
50.4	(8) have never had a lead agency contract or provider agreement discontinued due to
50.5	fraud, or have never had an owner, board member, or manager fail a state or FBI-based
50.6	criminal background check while enrolled or seeking enrollment as a Minnesota health care
50.7	programs provider; and
50.8	(9) have an office located in Minnesota.
50.9	(b) In conducting general duties, agency-providers and FMS providers shall:
50.10	(1) pay support workers based upon actual hours of services provided;
50.11	(2) pay for worker training and development services based upon actual hours of services
50.12	provided or the unit cost of the training session purchased;
50.13	(3) withhold and pay all applicable federal and state payroll taxes;
50.14	(4) make arrangements and pay unemployment insurance, taxes, workers' compensation,
50.15	liability insurance, and other benefits, if any;
50.16	(5) enter into a written agreement with the participant, participant's representative, or
50.17	legal representative that assigns roles and responsibilities to be performed before services,
50.18	supports, or goods are provided and that meets the requirements of subdivisions 20a, 20b,
50.19	and 20c for agency-providers;
50.20	(6) report maltreatment as required under section 626.557 and chapter 260E;
50.21	(7) comply with the labor market reporting requirements described in section 256B.4912,
50.22	subdivision 1a;
50.23	(8) comply with any data requests from the department consistent with the Minnesota
50.24	Government Data Practices Act under chapter 13; and
50.25	(9) maintain documentation for the requirements under subdivision 16, paragraph (e),
50.26	clause (2), to qualify for an enhanced rate under this section-; and
50.27	(10) request reassessments 60 days before the end of the current authorization for CFSS
50.28	on forms provided by the commissioner.

Sec. 70. 50

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- Sec. 71. Minnesota Statutes 2020, section 256B.85, subdivision 11, is amended to read:
- Subd. 11. **Agency-provider model.** (a) The agency-provider model includes services provided by support workers and staff providing worker training and development services who are employed by an agency-provider that meets the criteria established by the commissioner, including required training.
- (b) The agency-provider shall allow the participant to have a significant role in the selection and dismissal of the support workers for the delivery of the services and supports specified in the participant's CFSS service delivery plan. The agency must make a reasonable effort to fulfill the participant's request for the participant's preferred worker.
- (c) A participant may use authorized units of CFSS services as needed within a service agreement that is not greater than 12 months. Using authorized units in a flexible manner in either the agency-provider model or the budget model does not increase the total amount of services and supports authorized for a participant or included in the participant's CFSS service delivery plan.
- 51.15 (d) A participant may share CFSS services. Two or three CFSS participants may share services at the same time provided by the same support worker.
 - (e) The agency-provider must use a minimum of 72.5 percent of the revenue generated by the medical assistance payment for CFSS for support worker wages and benefits, except all of the revenue generated by a medical assistance rate increase due to a collective bargaining agreement under section 179A.54 must be used for support worker wages and benefits. The agency-provider must document how this requirement is being met. The revenue generated by the worker training and development services and the reasonable costs associated with the worker training and development services must not be used in making this calculation.
- 51.25 (f) The agency-provider model must be used by <u>individuals participants</u> who are restricted by the Minnesota restricted recipient program under Minnesota Rules, parts 9505.2160 to 9505.2245.
 - (g) Participants purchasing goods under this model, along with support worker services, must:
 - (1) specify the goods in the CFSS service delivery plan and detailed budget for expenditures that must be approved by the consultation services provider, case manager, or care coordinator; and
- 51.33 (2) use the FMS provider for the billing and payment of such goods.

Sec. 71. 51

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52.1	Sec. 72. Minnesota Statutes 2020, section 256B.85, subdivision 11b, is amended to read:
52.2	Subd. 11b. Agency-provider model; support worker competency. (a) The
52.3	agency-provider must ensure that support workers are competent to meet the participant's
52.4	assessed needs, goals, and additional requirements as written in the CFSS service delivery
52.5	plan. Within 30 days of any support worker beginning to provide services for a participant,
52.6	The agency-provider must evaluate the competency of the worker through direct observation
52.7	of the support worker's performance of the job functions in a setting where the participant
52.8	is using CFSS- within 30 days of:
52.9	(1) any support worker beginning to provide services for a participant; or
52.10	(2) any support worker beginning to provide shared services.
52.11	(b) The agency-provider must verify and maintain evidence of support worker
52.12	competency, including documentation of the support worker's:
52.13	(1) education and experience relevant to the job responsibilities assigned to the support
52.14	worker and the needs of the participant;
52.15	(2) relevant training received from sources other than the agency-provider;
52.16	(3) orientation and instruction to implement services and supports to participant needs
52.17	and preferences as identified in the CFSS service delivery plan; and
52.18	(4) orientation and instruction delivered by an individual competent to perform, teach,
52.19	or assign the health-related tasks for tracheostomy suctioning and services to participants
52.20	on ventilator support, including equipment operation and maintenance; and
52.21	(4) (5) periodic performance reviews completed by the agency-provider at least annually,
52.22	including any evaluations required under subdivision 11a, paragraph (a). If a support worker
52.23	is a minor, all evaluations of worker competency must be completed in person and in a
52.24	setting where the participant is using CFSS.
52.25	(c) The agency-provider must develop a worker training and development plan with the
52.26	participant to ensure support worker competency. The worker training and development
52.27	plan must be updated when:
52.28	(1) the support worker begins providing services;
52.29	(2) the support worker begins providing shared services;
52.30	(2) (3) there is any change in condition or a modification to the CFSS service delivery
52.31	plan; or

Sec. 72. 52

53.1	(3) (4) a performance review indicates that additional training is needed.
53.2	Sec. 73. Minnesota Statutes 2020, section 256B.85, subdivision 12, is amended to read:
53.3	Subd. 12. Requirements for enrollment of CFSS agency-providers. (a) All CFSS
53.4	agency-providers must provide, at the time of enrollment, reenrollment, and revalidation
53.5	as a CFSS agency-provider in a format determined by the commissioner, information and
53.6	documentation that includes, but is not limited to, the following:
53.7	(1) the CFSS agency-provider's current contact information including address, telephone
53.8	number, and e-mail address;
53.9	(2) proof of surety bond coverage. Upon new enrollment, or if the agency-provider's
53.10	Medicaid revenue in the previous calendar year is less than or equal to \$300,000, the
53.11	agency-provider must purchase a surety bond of \$50,000. If the agency-provider's Medicaid
53.12	revenue in the previous calendar year is greater than \$300,000, the agency-provider must
53.13	purchase a surety bond of \$100,000. The surety bond must be in a form approved by the
53.14	commissioner, must be renewed annually, and must allow for recovery of costs and fees in
53.15	pursuing a claim on the bond;
53.16	(3) proof of fidelity bond coverage in the amount of \$20,000 per provider location;
53.17	(4) proof of workers' compensation insurance coverage;
53.18	(5) proof of liability insurance;
53.19	(6) a description copy of the CFSS agency-provider's organization organizational chart
53.20	identifying the names and roles of all owners, managing employees, staff, board of directors,
53.21	and the additional documentation reporting any affiliations of the directors and owners to
53.22	other service providers;
53.23	(7) a copy of proof that the CFSS agency-provider's agency-provider has written policies
53.24	and procedures including: hiring of employees; training requirements; service delivery; and
53.25	employee and consumer safety, including the process for notification and resolution of
53.26	participant grievances, incident response, identification and prevention of communicable
53.27	diseases, and employee misconduct;
53.28	(8) copies of all other forms proof that the CFSS agency-provider uses in the course of
53.29	daily business including, but not limited to has all of the following forms and documents:
53.30	(i) a copy of the CFSS agency-provider's time sheet; and
53.31	(ii) a copy of the participant's individual CFSS service delivery plan;

Sec. 73. 53

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- (9) a list of all training and classes that the CFSS agency-provider requires of its staff providing CFSS services;
- (10) documentation that the CFSS agency-provider and staff have successfully completed all the training required by this section;
 - (11) documentation of the agency-provider's marketing practices;
- (12) disclosure of ownership, leasing, or management of all residential properties that are used or could be used for providing home care services;
- (13) documentation that the agency-provider will use at least the following percentages of revenue generated from the medical assistance rate paid for CFSS services for CFSS support worker wages and benefits: 72.5 percent of revenue from CFSS providers, except 100 percent of the revenue generated by a medical assistance rate increase due to a collective bargaining agreement under section 179A.54 must be used for support worker wages and benefits. The revenue generated by the worker training and development services and the reasonable costs associated with the worker training and development services shall not be used in making this calculation; and
- (14) documentation that the agency-provider does not burden participants' free exercise of their right to choose service providers by requiring CFSS support workers to sign an agreement not to work with any particular CFSS participant or for another CFSS agency-provider after leaving the agency and that the agency is not taking action on any such agreements or requirements regardless of the date signed.
- (b) CFSS agency-providers shall provide to the commissioner the information specified in paragraph (a).
- (c) All CFSS agency-providers shall require all employees in management and supervisory positions and owners of the agency who are active in the day-to-day management and operations of the agency to complete mandatory training as determined by the commissioner. Employees in management and supervisory positions and owners who are active in the day-to-day operations of an agency who have completed the required training as an employee with a CFSS agency-provider do not need to repeat the required training if they are hired by another agency, if and they have completed the training within the past three years. CFSS agency-provider billing staff shall complete training about CFSS program financial management. Any new owners or employees in management and supervisory positions involved in the day-to-day operations are required to complete mandatory training as a requisite of working for the agency.

Sec. 73. 54

55.1	(d) The commissioner shall send annual review notifications to agency-providers 30
55.2	days prior to renewal. The notification must:
55.3	(1) list the materials and information the agency-provider is required to submit;
55.4	(2) provide instructions on submitting information to the commissioner; and
55.5	(3) provide a due date by which the commissioner must receive the requested information.
55.6	Agency-providers shall submit all required documentation for annual review within 30 days
55.7	of notification from the commissioner. If an agency-provider fails to submit all the required
55.8	documentation, the commissioner may take action under subdivision 23a.
55.9	(d) Agency-providers shall submit all required documentation in this section within 30
55.10	days of notification from the commissioner. If an agency-provider fails to submit all the
55.11	required documentation, the commissioner may take action under subdivision 23a.
55.12	Sec. 74. Minnesota Statutes 2020, section 256B.85, subdivision 12b, is amended to read:
55.13	Subd. 12b. CFSS agency-provider requirements; notice regarding termination of
55.14	services. (a) An agency-provider must provide written notice when it intends to terminate
55.15	services with a participant at least ten 30 calendar days before the proposed service
55.16	termination is to become effective, except in cases where:
55.17	(1) the participant engages in conduct that significantly alters the terms of the CFSS
55.18	service delivery plan with the agency-provider;
55.19	(2) the participant or other persons at the setting where services are being provided
55.20	engage in conduct that creates an imminent risk of harm to the support worker or other
55.21	agency-provider staff; or
55.22	(3) an emergency or a significant change in the participant's condition occurs within a
55.23	24-hour period that results in the participant's service needs exceeding the participant's
55.24	identified needs in the current CFSS service delivery plan so that the agency-provider cannot
55.25	safely meet the participant's needs.
55.26	(b) When a participant initiates a request to terminate CFSS services with the
55.27	agency-provider, the agency-provider must give the participant a written acknowledgement
55.28	acknowledgment of the participant's service termination request that includes the date the
55.29	request was received by the agency-provider and the requested date of termination.
55.30	(c) The agency-provider must participate in a coordinated transfer of the participant to
55.31	a new agency-provider to ensure continuity of care.

Sec. 74. 55

56.1	Sec. 75. Minnesota Statutes 2020, section 256B.85, subdivision 13, is amended to read:
56.2	Subd. 13. Budget model. (a) Under the budget model participants exercise responsibility
56.3	and control over the services and supports described and budgeted within the CFSS service
56.4	delivery plan. Participants must use services specified in subdivision 13a provided by an
56.5	FMS provider. Under this model, participants may use their approved service budget
56.6	allocation to:
56.7	(1) directly employ support workers, and pay wages, federal and state payroll taxes, and
56.8	premiums for workers' compensation, liability, and health insurance coverage; and
56.9	(2) obtain supports and goods as defined in subdivision 7.
56.10	(b) Participants who are unable to fulfill any of the functions listed in paragraph (a) may
56.11	authorize a legal representative or participant's representative to do so on their behalf.
56.12	(c) If two or more participants using the budget model live in the same household and
56.13	have the same worker, the participants must use the same FMS provider.
56.14	(d) If the FMS provider advises that there is a joint employer in the budget model, all
56.15	participants associated with that joint employer must use the same FMS provider.
56.16	(e) (e) The commissioner shall disenroll or exclude participants from the budget model
56.17	and transfer them to the agency-provider model under, but not limited to, the following
56.18	circumstances:
56.19	(1) when a participant has been restricted by the Minnesota restricted recipient program,
56.20	in which case the participant may be excluded for a specified time period under Minnesota
56.21	Rules, parts 9505.2160 to 9505.2245;
56.22	(2) when a participant exits the budget model during the participant's service plan year.
56.23	Upon transfer, the participant shall not access the budget model for the remainder of that
56.24	service plan year; or
56.25	(3) when the department determines that the participant or participant's representative
56.26	or legal representative is unable to fulfill the responsibilities under the budget model, as
56.27	specified in subdivision 14.
56.28	(d) (f) A participant may appeal in writing to the department under section 256.045,
56.29	subdivision 3, to contest the department's decision under paragraph (e) (e), clause (3), to

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disenroll or exclude the participant from the budget model.

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- Subd. 13a. **Financial management services.** (a) Services provided by an FMS provider include but are not limited to: filing and payment of federal and state payroll taxes on behalf of the participant; initiating and complying with background study requirements under chapter 245C and maintaining documentation of background study requests and results; billing for approved CFSS services with authorized funds; monitoring expenditures; accounting for and disbursing CFSS funds; providing assistance in obtaining and filing for liability, workers' compensation, and unemployment coverage; and providing participant instruction and technical assistance to the participant in fulfilling employer-related requirements in accordance with section 3504 of the Internal Revenue Code and related regulations and interpretations, including Code of Federal Regulations, title 26, section 31.3504-1.
- (b) Agency-provider services shall not be provided by the FMS provider.
- 57.14 (c) The FMS provider shall provide service functions as determined by the commissioner 57.15 for budget model participants that include but are not limited to:
- (1) assistance with the development of the detailed budget for expenditures portion of the CFSS service delivery plan as requested by the consultation services provider or participant;
 - (2) data recording and reporting of participant spending;
- 57.20 (3) other duties established by the department, including with respect to providing
 ssistance to the participant, participant's representative, or legal representative in performing
 employer responsibilities regarding support workers. The support worker shall not be
 considered the employee of the FMS provider; and
 - (4) billing, payment, and accounting of approved expenditures for goods.
- 57.25 (d) The FMS provider shall obtain an assurance statement from the participant employer 57.26 agreeing to follow state and federal regulations and CFSS policies regarding employment 57.27 of support workers.
 - (e) The FMS provider shall:
- 57.29 (1) not limit or restrict the participant's choice of service or support providers or service 57.30 delivery models consistent with any applicable state and federal requirements;

Sec. 76. 57

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(2) provide the participant, consultation services provider, and case manager or care
coordinator, if applicable, with a monthly written summary of the spending for services and
supports that were billed against the spending budget;

- (3) be knowledgeable of state and federal employment regulations, including those under the Fair Labor Standards Act of 1938, and comply with the requirements under section 3504 of the Internal Revenue Code and related regulations and interpretations, including Code of Federal Regulations, title 26, section 31.3504-1, regarding agency employer tax liability for vendor fiscal/employer agent, and any requirements necessary to process employer and employee deductions, provide appropriate and timely submission of employer tax liabilities, and maintain documentation to support medical assistance claims;
- (4) have current and adequate liability insurance and bonding and sufficient cash flow as determined by the commissioner and have on staff or under contract a certified public accountant or an individual with a baccalaureate degree in accounting;
- (5) assume fiscal accountability for state funds designated for the program and be held liable for any overpayments or violations of applicable statutes or rules, including but not limited to the Minnesota False Claims Act, chapter 15C; and
- (6) maintain documentation of receipts, invoices, and bills to track all services and supports expenditures for any goods purchased and maintain time records of support workers. The documentation and time records must be maintained for a minimum of five years from the claim date and be available for audit or review upon request by the commissioner. Claims submitted by the FMS provider to the commissioner for payment must correspond with services, amounts, and time periods as authorized in the participant's service budget and service plan and must contain specific identifying information as determined by the commissioner-; and
- (7) provide written notice to the participant or the participant's representative at least 30 calendar days before a proposed service termination becomes effective.
- 58.27 (f) The commissioner of human services shall:
 - (1) establish rates and payment methodology for the FMS provider;
 - (2) identify a process to ensure quality and performance standards for the FMS provider and ensure statewide access to FMS providers; and
- 58.31 (3) establish a uniform protocol for delivering and administering CFSS services to be 58.32 used by eligible FMS providers.

Sec. 76. 58

REVISOR

59.1	Sec. 77. Minnesota Statutes 2020, section 256B.85, is amended by adding a subdivision
59.2	to read:
59.3	Subd. 14a. Participant's representative responsibilities. (a) If a participant is unable
59.4	to direct the participant's own care, the participant must use a participant's representative
59.5	to receive CFSS services. A participant's representative is required if:
59.6	(1) the person is under 18 years of age;
59.7	(2) the person has a court-appointed guardian; or
59.8	(3) an assessment according to section 256B.0659, subdivision 3a, determines that the
59.9	participant is in need of a participant's representative.
59.10	(b) A participant's representative must:
59.11	(1) be at least 18 years of age;
59.12	(2) actively participate in planning and directing CFSS services;
59.13	(3) have sufficient knowledge of the participant's circumstances to use CFSS services
59.14	consistent with the participant's health and safety needs identified in the participant's service
59.15	delivery plan;
59.16	(4) not have a financial interest in the provision of any services included in the
59.17	participant's CFSS service delivery plan; and
59.18	(5) be capable of providing the support necessary to assist the participant in the use of
59.19	CFSS services.
59.20	(c) A participant's representative must not be the:
59.21	(1) support worker;
59.22	(2) worker training and development service provider;
59.23	(3) agency-provider staff, unless related to the participant by blood, marriage, or adoption;
59.24	(4) consultation service provider, unless related to the participant by blood, marriage,
59.25	or adoption;
59.26	(5) FMS staff, unless related to the participant by blood, marriage, or adoption;
59.27	(6) FMS owner or manager; or
59.28	(7) lead agency staff acting as part of employment.

59 Sec. 77.

60.1	(d) A licensed family foster parent who lives with the participant may be the participant's
60.2	representative if the family foster parent meets the other participant's representative
60.3	requirements.
60.4	(e) There may be two persons designated as the participant's representative, including
60.5	instances of divided households and court-ordered custodies. Each person named as the
60.6	participant's representative must meet the program criteria and responsibilities.
60.7	(f) The participant or the participant's legal representative shall appoint a participant's
60.8	representative. The participant's representative must be identified at the time of assessment
60.9	and listed on the participant's service agreement and CFSS service delivery plan.
60.10	(g) A participant's representative must enter into a written agreement with an
60.11	agency-provider or FMS on a form determined by the commissioner and maintained in the
60.12	participant's file, to:
60.13	(1) be available while care is provided using a method agreed upon by the participant
60.14	or the participant's legal representative and documented in the participant's service delivery
60.15	plan;
60.16	(2) monitor CFSS services to ensure the participant's service delivery plan is followed;
60.17	(3) review and sign support worker time sheets after services are provided to verify the
60.18	provision of services;
60.19	(4) review and sign vendor paperwork to verify receipt of goods; and
60.20	(5) in the budget model, review and sign documentation to verify worker training and
60.21	development expenditures.
60.22	(h) A participant's representative may delegate responsibility to another adult who is not
60.23	the support worker during a temporary absence of at least 24 hours but not more than six
60.24	months. To delegate responsibility, the participant's representative must:
60.25	(1) ensure that the delegate serving as the participant's representative satisfies the
60.26	requirements of the participant's representative;
60.27	(2) ensure that the delegate performs the functions of the participant's representative;
60.28	(3) communicate to the CFSS agency-provider or FMS provider about the need for a
60.29	delegate by updating the written agreement to include the name of the delegate and the
60.30	delegate's contact information; and
60.31	(4) ensure that the delegate protects the participant's privacy according to federal and
60.32	state data privacy laws.

60 Sec. 77.

51.1	(i) The designation of a participant's representative remains in place until:
51.2	(1) the participant revokes the designation;
51.3	(2) the participant's representative withdraws the designation or becomes unable to fulfill
51.4	the duties;
51.5	(3) the legal authority to act as a participant's representative changes; or
61.6	(4) the participant's representative is disqualified.
51.7	(j) A lead agency may disqualify a participant's representative who engages in conduct
51.8	that creates an imminent risk of harm to the participant, the support workers, or other staff.
51.9	A participant's representative who fails to provide support required by the participant must
51.10	be referred to the common entry point.
51.11	Sec. 78. Minnesota Statutes 2020, section 256B.85, subdivision 15, is amended to read:
51.12	Subd. 15. Documentation of support services provided; time sheets. (a) CFSS services
51.13	provided to a participant by a support worker employed by either an agency-provider or the
51.14	participant employer must be documented daily by each support worker, on a time sheet.
61.15	Time sheets may be created, submitted, and maintained electronically. Time sheets must
51.16	be submitted by the support worker at least once per month to the:
51.17	(1) agency-provider when the participant is using the agency-provider model. The
51.18	agency-provider must maintain a record of the time sheet and provide a copy of the time
51.19	sheet to the participant; or
51.20	(2) participant and the participant's FMS provider when the participant is using the
51.21	budget model. The participant and the FMS provider must maintain a record of the time
51.22	sheet.
51.23	(b) The documentation on the time sheet must correspond to the participant's assessed
51.24	needs within the scope of CFSS covered services. The accuracy of the time sheets must be
51.25	verified by the:
51.26	(1) agency-provider when the participant is using the agency-provider model; or
51.27	(2) participant employer and the participant's FMS provider when the participant is using
51.28	the budget model.
51.29	(c) The time sheet must document the time the support worker provides services to the
51.30	participant. The following elements must be included in the time sheet:
51.31	(1) the support worker's full name and individual provider number;
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62.2	service delivery plan;
62.3	(3) the participant's full name;
62.4	(4) the dates within the pay period established by the agency-provider or FMS provider,
62.5	including month, day, and year, and arrival and departure times with a.m. or p.m. notations
62.6	for days worked within the established pay period;
62.7	(5) the covered services provided to the participant on each date of service;
62.8	(6) a the signature line for of the participant or the participant's representative and a
62.9	statement that the participant's or participant's representative's signature is verification of
62.10	the time sheet's accuracy;
62.11	(7) the personal signature of the support worker;
62.12	(8) any shared care provided, if applicable;
62.13	(9) a statement that it is a federal crime to provide false information on CFSS billings
62.14	for medical assistance payments; and
62.15	(10) dates and location of participant stays in a hospital, care facility, or incarceration
62.16	occurring within the established pay period.
62.17	Sec. 79. Minnesota Statutes 2020, section 256B.85, subdivision 17a, is amended to read:
62.18	Subd. 17a. Consultation services provider qualifications and
62.19	requirements. Consultation services providers must meet the following qualifications and
62.20	requirements:
62.21	(1) meet the requirements under subdivision 10, paragraph (a), excluding clauses (4)
62.22	and (5);
62.23	(2) are under contract with the department;
62.24	(3) are not the FMS provider, the lead agency, or the CFSS or home and community-based
62.25	services waiver vendor or agency-provider to the participant;
62.26	(4) meet the service standards as established by the commissioner;
62.27	(5) have proof of surety bond coverage. Upon new enrollment, or if the consultation
62.28	service provider's Medicaid revenue in the previous calendar year is less than or equal to
62.29	\$300,000, the consultation service provider must purchase a surety bond of \$50,000. If the
62.30	agency-provider's Medicaid revenue in the previous calendar year is greater than \$300,000,
62.31	the consultation service provider must purchase a surety bond of \$100,000. The surety bond

Sec. 79. 62

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63.1	must be in a form approved by the commissioner, must be renewed annually, and must
63.2	allow for recovery of costs and fees in pursuing a claim on the bond;
63.3	(5) (6) employ lead professional staff with a minimum of three two years of experience
63.4	in providing services such as support planning, support broker, case management or care
63.5	coordination, or consultation services and consumer education to participants using a
63.6	self-directed program using FMS under medical assistance;
63.7	(7) report maltreatment as required under chapter 260E and section 626.557;
63.8	(6) (8) comply with medical assistance provider requirements;
63.9	(7) (9) understand the CFSS program and its policies;
63.10	(8) (10) are knowledgeable about self-directed principles and the application of the
63.11	person-centered planning process;
63.12	(9) (11) have general knowledge of the FMS provider duties and the vendor
63.13	fiscal/employer agent model, including all applicable federal, state, and local laws and
63.14	regulations regarding tax, labor, employment, and liability and workers' compensation
63.15	coverage for household workers; and
63.16	(10) (12) have all employees, including lead professional staff, staff in management and
63.17	supervisory positions, and owners of the agency who are active in the day-to-day management
63.18	and operations of the agency, complete training as specified in the contract with the
63.19	department.
63.20	Sec. 80. Minnesota Statutes 2020, section 256B.85, subdivision 18a, is amended to read:
63.21	Subd. 18a. Worker training and development services. (a) The commissioner shall
63.22	develop the scope of tasks and functions, service standards, and service limits for worker
63.23	training and development services.
63.24	(b) Worker training and development costs are in addition to the participant's assessed
63.25	service units or service budget. Services provided according to this subdivision must:
63.26	(1) help support workers obtain and expand the skills and knowledge necessary to ensure
63.27	competency in providing quality services as needed and defined in the participant's CFSS
63.28	service delivery plan and as required under subdivisions 11b and 14;
63.29	(2) be provided or arranged for by the agency-provider under subdivision 11, or purchased
63.30	by the participant employer under the budget model as identified in subdivision 13; and

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(3) be delivered by an individual competent to perform, teach, or assign the tasks,
including health-related tasks, identified in the plan through education, training, and work
experience relevant to the person's assessed needs; and
(3) (4) be described in the participant's CFSS service delivery plan and documented in
the participant's file.
(c) Services covered under worker training and development shall include:
(1) support worker training on the participant's individual assessed needs and condition,
provided individually or in a group setting by a skilled and knowledgeable trainer beyond
any training the participant or participant's representative provides;
(2) tuition for professional classes and workshops for the participant's support workers
that relate to the participant's assessed needs and condition;
(3) direct observation, monitoring, coaching, and documentation of support worker job
skills and tasks, beyond any training the participant or participant's representative provides,
including supervision of health-related tasks or behavioral supports that is conducted by an
appropriate professional based on the participant's assessed needs. These services must be
provided at the start of services or the start of a new support worker except as provided in
paragraph (d) and must be specified in the participant's CFSS service delivery plan; and
(4) the activities to evaluate CFSS services and ensure support worker competency
described in subdivisions 11a and 11b.
(d) The services in paragraph (c), clause (3), are not required to be provided for a new
support worker providing services for a participant due to staffing failures, unless the support
worker is expected to provide ongoing backup staffing coverage.
(e) Worker training and development services shall not include:
(1) general agency training, worker orientation, or training on CFSS self-directed models;
(2) payment for preparation or development time for the trainer or presenter;
(3) payment of the support worker's salary or compensation during the training;
(4) training or supervision provided by the participant, the participant's support worker,
or the participant's informal supports, including the participant's representative; or
(5) services in excess of 96 units the rate set by the commissioner per annual service

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agreement, unless approved by the department.

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55.1	Sec. 81. Minnesota Statutes 2020, section 256B.85, subdivision 20b, is amended to read:
55.2	Subd. 20b. Service-related rights under an agency-provider. A participant receiving
55.3	CFSS from an agency-provider has service-related rights to:
65.4	(1) participate in and approve the initial development and ongoing modification and
55.5	evaluation of CFSS services provided to the participant;
65.6	(2) refuse or terminate services and be informed of the consequences of refusing or
55.7	terminating services;
65.8	(3) before services are initiated, be told the limits to the services available from the
55.9	agency-provider, including the agency-provider's knowledge, skill, and ability to meet the
55.10	participant's needs identified in the CFSS service delivery plan;
55.11	(4) a coordinated transfer of services when there will be a change in the agency-provider;
55.12	(5) before services are initiated, be told what the agency-provider charges for the services;
55.13	(6) before services are initiated, be told to what extent payment may be expected from
55.14	health insurance, public programs, or other sources, if known; and what charges the
55.15	participant may be responsible for paying;
65.16	(7) receive services from an individual who is competent and trained, who has
65.17	professional certification or licensure, as required, and who meets additional qualifications
55.18	identified in the participant's CFSS service delivery plan;
55.19	(8) have the participant's preferences for support workers identified and documented,
55.20	and have those preferences met when possible; and
55.21	(9) before services are initiated, be told the choices that are available from the
55.22	agency-provider for meeting the participant's assessed needs identified in the CFSS service
55.23	delivery plan, including but not limited to which support worker staff will be providing
55.24	services and, the proposed frequency and schedule of visits, and any agreements for shared
55.25	services.
65.26	Sec. 82. Minnesota Statutes 2020, section 256B.85, subdivision 23, is amended to read:
55.27	Subd. 23. Commissioner's access. (a) When the commissioner is investigating a possible
55.28	overpayment of Medicaid funds, the commissioner must be given immediate access without
55.29	prior notice to the agency-provider, consultation services provider, or FMS provider's office
65.30	during regular business hours and to documentation and records related to services provided
55.31	and submission of claims for services provided. Denying the commissioner access to records
55.32	is cause for immediate suspension of payment and terminating If the agency-provider's

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enrollment or agency-provider, FMS provider's enrollment provider, or consultation services provider denies the commissioner access to records, the provider's payment may be immediately suspended or the provider's enrollment may be terminated according to section 256B.064 or terminating the consultation services provider contract.

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- (b) The commissioner has the authority to request proof of compliance with laws, rules, and policies from agency-providers, consultation services providers, FMS providers, and participants.
- (c) When relevant to an investigation conducted by the commissioner, the commissioner must be given access to the business office, documents, and records of the agency-provider, consultation services provider, or FMS provider, including records maintained in electronic format; participants served by the program; and staff during regular business hours. The commissioner must be given access without prior notice and as often as the commissioner considers necessary if the commissioner is investigating an alleged violation of applicable laws or rules. The commissioner may request and shall receive assistance from lead agencies and other state, county, and municipal agencies and departments. The commissioner's access includes being allowed to photocopy, photograph, and make audio and video recordings at the commissioner's expense.
- Sec. 83. Minnesota Statutes 2020, section 256B.85, subdivision 23a, is amended to read:
- Subd. 23a. Sanctions; information for participants upon termination of services. (a)
 The commissioner may withhold payment from the provider or suspend or terminate the
 provider enrollment number if the provider fails to comply fully with applicable laws or
 rules. The provider has the right to appeal the decision of the commissioner under section
 256B.064.
 - (b) Notwithstanding subdivision 13, paragraph (c), if a participant employer fails to comply fully with applicable laws or rules, the commissioner may disenroll the participant from the budget model. A participant may appeal in writing to the department under section 256.045, subdivision 3, to contest the department's decision to disenroll the participant from the budget model.
 - (c) Agency-providers of CFSS services or FMS providers must provide each participant with a copy of participant protections in subdivision 20c at least 30 days prior to terminating services to a participant, if the termination results from sanctions under this subdivision or section 256B.064, such as a payment withhold or a suspension or termination of the provider enrollment number. If a CFSS agency-provider or, FMS provider, or consultation services provider determines it is unable to continue providing services to a participant because of

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an action under this subdivision or section 256B.064, the agency-provider or, FMS provider, or consultation services provider must notify the participant, the participant's representative, and the commissioner 30 days prior to terminating services to the participant, and must assist the commissioner and lead agency in supporting the participant in transitioning to another CFSS agency-provider or, FMS provider, or consultation services provider of the participant's choice.

- (d) In the event the commissioner withholds payment from a CFSS agency-provider or, FMS provider, or consultation services provider, or suspends or terminates a provider enrollment number of a CFSS agency-provider or, FMS provider, or consultation services provider under this subdivision or section 256B.064, the commissioner may inform the Office of Ombudsman for Long-Term Care and the lead agencies for all participants with active service agreements with the agency-provider or, FMS provider, or consultation services provider. At the commissioner's request, the lead agencies must contact participants to ensure that the participants are continuing to receive needed care, and that the participants have been given free choice of agency-provider or, FMS provider, or consultation services provider if they transfer to another CFSS agency-provider or, FMS provider, or consultation services provider. In addition, the commissioner or the commissioner's delegate may directly notify participants who receive care from the agency-provider or, FMS provider, or consultation services provider that payments have been or will be withheld or that the provider's participation in medical assistance has been or will be suspended or terminated, if the commissioner determines that the notification is necessary to protect the welfare of the participants.
 - Sec. 84. Minnesota Statutes 2020, section 256L.03, subdivision 1, is amended to read:
- Subdivision 1. **Covered health services.** (a) "Covered health services" means the health services reimbursed under chapter 256B, with the exception of special education services, home care nursing services, adult dental care services other than services covered under section 256B.0625, subdivision 9, orthodontic services, nonemergency medical transportation services, personal care assistance and case management services, behavioral health home services under section 256B.0757, housing stabilization services under section 256B.051, and nursing home or intermediate care facilities services.
- (b) No public funds shall be used for coverage of abortion under MinnesotaCare except where the life of the female would be endangered or substantial and irreversible impairment of a major bodily function would result if the fetus were carried to term; or where the pregnancy is the result of rape or incest.

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(c) Covered health services shall be expanded as provided in this sect
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(d) For the purposes of covered health services under this section, "	'child"	means an
individual younger than 19 years of age.		

Sec. 85. REVISOR INSTRUCTION.

68.5	(a) In Minnesota Statutes, sections 245A.191, paragraph (a); 245G.02, subdivision 3;
68.6	246.18, subdivision 2; 246.23, subdivision 2; 246.64, subdivision 3; 254A.03, subdivision

- 68.7 3; 254A.19, subdivision 4; 254B.03, subdivision 2; 254B.04, subdivision 1; 254B.05,
- subdivisions 1a and 4; 254B.051; 254B.06, subdivision 1; 254B.12, subdivisions 1 and 2;
- 68.9 254B.13, subdivisions 2a and 5; 254B.14, subdivision 5; 256L.03, subdivision 2; and 295.53,
- subdivision 1, the revisor of statutes must change the term "consolidated chemical
- dependency treatment fund" or similar terms to "behavioral health fund." The revisor may
- 68.12 make grammatical changes related to the term change.
- (b) In Minnesota Statutes, sections 245C.03, subdivision 13, and 256B.051, the revisor
- of statutes must change the term "housing support services" or similar terms to "housing
- stabilization services." The revisor may make grammatical changes related to the term
- 68.16 change.

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- (c) In Minnesota Statutes, section 245C.03, subdivision 10, the revisor of statutes must
- change the term "group residential housing" to "housing support." The revisor may make
- 68.19 grammatical changes related to the term change.
- 68.20 Sec. 86. **REPEALER.**
- (a) Minnesota Statutes 2020, section 252.28, subdivisions 1 and 5, are repealed.
- (b) Minnesota Statutes 2020, sections 252A.02, subdivisions 8 and 10; and 252A.21,
- 68.23 subdivision 3, are repealed.
- 68.24 **EFFECTIVE DATE.** Paragraph (a) is effective the day following final enactment.
- Paragraph (b) is effective August 1, 2021.

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APPENDIX

Repealed Minnesota Statutes: H1532-1

252.28 COMMISSIONER OF HUMAN SERVICES; DUTIES.

No active language found for: 252.28.1

No active language found for: 252.28.5

252A.02 DEFINITIONS.

No active language found for: 252A.02.8

No active language found for: 252A.02.10

252A.21 GENERAL PROVISIONS.

No active language found for: 252A.21.3