H	IF3432 FIRST ENGROSSMENT	REVISOR	ACF		H3432-1
This Document can be made available in alternative formats upon request		State of Minnesota		Printed Page No.	256
	HOUSE (	OF REPRESENT	CATIV H. F	ES F. No.	3432
03/08/2018	Authored by Albright, Halverson, Zerwas The bill was read for the first time and ref	erred to the Committee on Health and Hum	an Services Refor	m	

03/19/2018Adoption of Report: Placed on the General Register as Amended<br/>Read for the Second Time05/02/2018Referred to the Chief Clerk for Comparison with S. F. No. 3066<br/>05/03/201805/03/2018Postponed Indefinitely

1.1	A bill for an act
1.2	relating to human services; modifying provisions governing mental health providers;
1.3	amending Minnesota Statutes 2016, sections 245.462, subdivision 4; 245.4871,
1.4 1.5	subdivision 4; 256B.0622, subdivision 7a; 256B.0623, subdivision 5; 256B.0625, by adding a subdivision; 256B.0944, subdivision 7; 256B.0946, subdivision 1a;
1.6	Minnesota Statutes 2017 Supplement, sections 245.462, subdivision 17; 245.4871,
1.7	subdivision 26; 256B.0943, subdivision 1.
1.8	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA:
1.9	Section 1. Minnesota Statutes 2016, section 245.462, subdivision 4, is amended to read:
1.10	Subd. 4. Case management service provider. (a) "Case management service provider"
1.11	means a case manager or case manager associate employed by the county or other entity
1.12	authorized by the county board to provide case management services specified in section
1.13	245.4711.
1.14	(b) A case manager must:
1.15	(1) be skilled in the process of identifying and assessing a wide range of client needs;
1.16	(2) be knowledgeable about local community resources and how to use those resources
1.17	for the benefit of the client;
1.18	(3) have a bachelor's degree in one of the behavioral sciences or related fields including,
1.19	but not limited to, social work, psychology, or nursing from an accredited college or
1.20	university or meet the requirements of paragraph (c); and
1.21	(4) meet the supervision and continuing education requirements described in paragraphs
1.22	(d), (e), and (f), as applicable.

2.1 (c) Case managers without a bachelor's degree must meet one of the requirements in
2.2 clauses (1) to (3):

2.3 (1) have three or four years of experience as a case manager associate as defined in this
2.4 section;

2.5 (2) be a registered nurse without a bachelor's degree and have a combination of
2.6 specialized training in psychiatry and work experience consisting of community interaction
2.7 and involvement or community discharge planning in a mental health setting totaling three
2.8 years; or

2.9 (3) be a person who qualified as a case manager under the 1998 Department of Human
2.10 Service waiver provision and meet the continuing education and mentoring requirements
2.11 in this section.

(d) A case manager with at least 2,000 hours of supervised experience in the delivery of services to adults with mental illness must receive regular ongoing supervision and clinical supervision totaling 38 hours per year of which at least one hour per month must be clinical supervision regarding individual service delivery with a case management supervisor. The remaining 26 hours of supervision may be provided by a case manager with two years of experience. Group supervision may not constitute more than one-half of the required supervision hours. Clinical supervision must be documented in the client record.

(e) A case manager without 2,000 hours of supervised experience in the delivery of
 services to adults with mental illness must:

2.21 (1) receive clinical supervision regarding individual service delivery from a mental
2.22 health professional at least one hour per week until the requirement of 2,000 hours of
2.23 experience is met; and

2.24 (2) complete 40 hours of training approved by the commissioner in case management
 2.25 skills and the characteristics and needs of adults with serious and persistent mental illness.

(f) A case manager who is not licensed, registered, or certified by a health-related
licensing board must receive 30 hours of continuing education and training in mental illness
and mental health services every two years.

2.29 (g) A case manager associate (CMA) must:

2.30 (1) work under the direction of a case manager or case management supervisor;

2.31 (2) be at least 21 years of age;

2.32 (3) have at least a high school diploma or its equivalent; and

REVISOR

3.1	(4) meet one of the following criteria:
3.2	(i) have an associate of arts degree in one of the behavioral sciences or human services;
3.3	(ii) be a certified peer specialist under section 256B.0615;
3.4	(iii) be a registered nurse without a bachelor's degree;
3.5	(iv) within the previous ten years, have three years of life experience with serious and
	persistent mental illness as defined in section 245.462, subdivision 20; or as a child had
3.6	
3.7	severe emotional disturbance as defined in section 245.4871, subdivision 6; or have three
3.8	years life experience as a primary caregiver to an adult with serious and persistent mental
3.9	illness within the previous ten years;
3.10	(v) have 6,000 hours work experience as a nondegreed state hospital technician; or
3.11	(vi) be a mental health practitioner as defined in section 245.462, subdivision 17, clause
3.12	(2) have at least 6,000 hours of supervised experience in the delivery of services to persons
3.13	with mental illness.
3.14	Individuals meeting one of the criteria in items (i) to (v) may qualify as a case manager
3.15	after four years of supervised work experience as a case manager associate. Individuals
3.16	meeting the criteria in item (vi) may qualify as a case manager after three years of supervised
3.17	experience as a case manager associate.
3.18	(h) A case management associate must meet the following supervision, mentoring, and
3.19	continuing education requirements:
3.20	(1) have 40 hours of preservice training described under paragraph (e), clause (2);
3.21	(2) receive at least 40 hours of continuing education in mental illness and mental health
3.22	services annually; and
3.23	(3) receive at least five hours of mentoring per week from a case management mentor.
3.24	A "case management mentor" means a qualified, practicing case manager or case management
3.25	supervisor who teaches or advises and provides intensive training and clinical supervision
3.26	to one or more case manager associates. Mentoring may occur while providing direct services
3.27	to consumers in the office or in the field and may be provided to individuals or groups of
3.28	case manager associates. At least two mentoring hours per week must be individual and
3.29	face-to-face.
3.30	(i) A case management supervisor must meet the criteria for mental health professionals,
3.31	as specified in section 245.462, subdivision 18.

HF3432 FIRST ENGROSSMENT

ACF

(j) An immigrant who does not have the qualifications specified in this subdivision may 4.1 provide case management services to adult immigrants with serious and persistent mental 4.2 4.3 illness who are members of the same ethnic group as the case manager if the person: (1) is currently enrolled in and is actively pursuing credits toward the completion of a 4.4 bachelor's degree in one of the behavioral sciences or a related field including, but not 4.5 limited to, social work, psychology, or nursing from an accredited college or university; 4.6 (2) completes 40 hours of training as specified in this subdivision; and 4.7 (3) receives clinical supervision at least once a week until the requirements of this 4.8 subdivision are met. 4.9 Sec. 2. Minnesota Statutes 2017 Supplement, section 245.462, subdivision 17, is amended 4.10 to read: 4.11 Subd. 17. Mental health practitioner. (a) "Mental health practitioner" means a person 4.12 4.13 providing services to persons adults with mental illness or children with emotional disturbance who is qualified in at least one of the following ways: (1) holds a bachelor's 4.14 degree in one of the behavioral sciences or related fields from an accredited college or 4.15 university and: ways described in paragraphs (b) to (g). A mental health practitioner for a 4.16 child client must have training working with children. A mental health practitioner for an 4.17 adult client must have training working with adults. 4.18 (b) For purposes of this subdivision, a practitioner is qualified through relevant 4.19 coursework if the practitioner completes at least 30 semester hours or 45 quarter hours in 4.20 behavioral sciences or related fields and: 4.21 (i) (1) has at least 2,000 hours of supervised experience in the delivery of services to 4.22 persons adults or children with: 4.23 (i) mental illness, substance use disorder, or emotional disturbance; or 4.24 (ii) traumatic brain injury or developmental disabilities and completes training on mental 4.25 illness, recovery from mental illness, mental health de-escalation techniques, co-occurring 4.26 mental illness and substance abuse, and psychotropic medications and side effects; 4.27 (ii) (2) is fluent in the non-English language of the ethnic group to which at least 50 4.28 percent of the practitioner's clients belong, completes 40 hours of training in the delivery 4.29 of services to persons adults with mental illness or children with emotional disturbance, 4.30 4.31 and receives clinical supervision from a mental health professional at least once a week until the requirement of 2,000 hours of supervised experience is met; or 4.32

5.1	(iii) (3) is working in a day treatment program under section 245.4712, subdivision 2;
5.2	or
5.3	(4) has completed a practicum or internship that (1) requires direct interaction with adults
5.4	or children served, and (2) is focused on behavioral sciences or related fields.
5.5	(c) For purposes of this subdivision, a practitioner is qualified through work experience
5.6	if the person:
5.7	(2) (1) has at least $6,000$ 4,000 hours of supervised experience in the delivery of services
5.8	to persons adults or children with:
5.9	(i) mental illness, substance use disorder, or emotional disturbance; or
5.10	(ii) traumatic brain injury or developmental disabilities and completes training on mental
5.11	illness, recovery from mental illness, mental health de-escalation techniques, co-occurring
5.12	mental illness and substance abuse, and psychotropic medications and side effects; or
5.13	(2) has at least 2,000 hours of supervised experience in the delivery of services to adults
5.14	or children with:
5.15	(i) mental illness, emotional disturbance, or substance use disorder, and receives clinical
5.16	supervision as required by applicable statutes and rules from a mental health professional
5.17	at least once a week until the requirement of 4,000 hours of supervised experience is met;
5.18	<u>or</u>
5.19	(ii) traumatic brain injury or developmental disabilities; completes training on mental
5.20	illness, recovery from mental illness, mental health de-escalation techniques, co-occurring
5.21	mental illness and substance abuse, and psychotropic medications and side effects; and
5.22	receives clinical supervision as required by applicable statutes and rules at least once a week
5.23	from a mental health professional until the requirement of 4,000 hours of supervised
5.24	experience is met.
5.25	(3) (d) For purposes of this subdivision, a practitioner is qualified through a graduate
5.26	student internship if the practitioner is a graduate student in one of the behavioral sciences
5.27	or related fields and is formally assigned by an accredited college or university to an agency
5.28	or facility for clinical training <del>; or</del> .
5.29	(e) For purposes of this subdivision, a practitioner is qualified by a bachelor's or master's
5.30	degree if the practitioner:

H3432-1

- (4) (1) holds a master's or other graduate degree in one of the behavioral sciences or 6.1 related fields from an accredited college or university and has less than 4,000 hours 6.2 6.3 post-master's experience in the treatment of mental illness.; or (2) holds a bachelor's degree in behavioral sciences or related fields and completes a 6.4 practicum or internship that (1) requires direct interaction with adults or children served, 6.5 and (2) is focused on behavioral sciences or related fields. 6.6 (f) For purposes of this subdivision, a practitioner is qualified as a vendor of medical 6.7 care if the practitioner meets the definition of vendor of medical care in section 256B.02, 6.8 subdivision 7, paragraphs (b) and (c), and is serving a federally recognized tribe. 6.9 (g) For purposes of medical assistance coverage of diagnostic assessments, explanations 6.10 of findings, and psychotherapy under section 256B.0625, subdivision 65, a mental health 6.11 6.12 practitioner working as a clinical trainee means that the practitioner's clinical supervision experience is helping the practitioner gain knowledge and skills necessary to practice 6.13 effectively and independently. This may include supervision of direct practice, treatment 6.14 team collaboration, continued professional learning, and job management. The practitioner 6.15 must also: 6.16 (1) comply with requirements for licensure or board certification as a mental health 6.17 professional, according to the qualifications under Minnesota Rules, part 9505.0371, subpart 6.18 5, item A, including supervised practice in the delivery of mental health services for the 6.19 treatment of mental illness; or 6.20 (2) be a student in a bona fide field placement or internship under a program leading to 6.21 completion of the requirements for licensure as a mental health professional according to 6.22 the qualifications under Minnesota Rules, part 9505.0371, subpart 5, item A. 6.23 (h) For purposes of this subdivision, "behavioral sciences or related fields" has the 6.24 meaning given in section 256B.0623, subdivision 5, paragraph (d). 6.25 (i) This subdivision supersedes any other statute or rule. 6.26 Sec. 3. Minnesota Statutes 2016, section 245.4871, subdivision 4, is amended to read: 6.27 Subd. 4. Case management service provider. (a) "Case management service provider" 6.28 6.29 means a case manager or case manager associate employed by the county or other entity authorized by the county board to provide case management services specified in subdivision 6.30 3 for the child with severe emotional disturbance and the child's family. 6.31
- 6.32 (b) A case manager must:

REVISOR

ACF

(1) have experience and training in working with children; 7.1 (2) have at least a bachelor's degree in one of the behavioral sciences or a related field 7.2 including, but not limited to, social work, psychology, or nursing from an accredited college 7.3 or university or meet the requirements of paragraph (d); 7.4 7.5 (3) have experience and training in identifying and assessing a wide range of children's needs; 7.6 7.7 (4) be knowledgeable about local community resources and how to use those resources for the benefit of children and their families; and 7.8 (5) meet the supervision and continuing education requirements of paragraphs (e), (f), 7.9 and (g), as applicable. 7.10 (c) A case manager may be a member of any professional discipline that is part of the 7.11 local system of care for children established by the county board. 7.12 (d) A case manager without a bachelor's degree must meet one of the requirements in 7.13 clauses (1) to (3): 7.14 (1) have three or four years of experience as a case manager associate; 7.15 (2) be a registered nurse without a bachelor's degree who has a combination of specialized 7.16 training in psychiatry and work experience consisting of community interaction and 7.17 involvement or community discharge planning in a mental health setting totaling three years; 7.18 or 7.19 (3) be a person who qualified as a case manager under the 1998 Department of Human 7.20 Services waiver provision and meets the continuing education, supervision, and mentoring 7.21 requirements in this section. 7.22 (e) A case manager with at least 2,000 hours of supervised experience in the delivery 7.23 7.24 of mental health services to children must receive regular ongoing supervision and clinical supervision totaling 38 hours per year, of which at least one hour per month must be clinical 7.25 supervision regarding individual service delivery with a case management supervisor. The 7.26 other 26 hours of supervision may be provided by a case manager with two years of 7.27 experience. Group supervision may not constitute more than one-half of the required 7.28 supervision hours. 7.29 (f) A case manager without 2,000 hours of supervised experience in the delivery of 7.30 mental health services to children with emotional disturbance must: 7.31

HF3432 FIRST ENGROSSMENT

REVISOR

ACF

8.1	(1) begin 40 hours of training approved by the commissioner of human services in case
8.2	management skills and in the characteristics and needs of children with severe emotional
8.3	disturbance before beginning to provide case management services; and
8.4	(2) receive clinical supervision regarding individual service delivery from a mental
8.5	health professional at least one hour each week until the requirement of 2,000 hours of
8.6	experience is met.
8.7	(g) A case manager who is not licensed, registered, or certified by a health-related
8.8	licensing board must receive 30 hours of continuing education and training in severe
8.9	emotional disturbance and mental health services every two years.
8.10	(h) Clinical supervision must be documented in the child's record. When the case manager
8.11	is not a mental health professional, the county board must provide or contract for needed
8.12	clinical supervision.
8.13	(i) The county board must ensure that the case manager has the freedom to access and
8.14	coordinate the services within the local system of care that are needed by the child.
8.15	(j) A case manager associate (CMA) must:
8.16	(1) work under the direction of a case manager or case management supervisor;
8.17	(2) be at least 21 years of age;
8.18	(3) have at least a high school diploma or its equivalent; and
8.19	(4) meet one of the following criteria:
8.20	(i) have an associate of arts degree in one of the behavioral sciences or human services;
8.21	(ii) be a registered nurse without a bachelor's degree;
8.22	(iii) have three years of life experience as a primary caregiver to a child with serious
8.23	emotional disturbance as defined in subdivision 6 within the previous ten years;
8.24	(iv) have 6,000 hours work experience as a nondegreed state hospital technician; or
8.25	(v) be a mental health practitioner as defined in subdivision 26, clause (2). has 6,000
8.26	hours of supervised work experience in the delivery of mental health services to children
8.27	with emotional disturbances; hours worked as a mental health behavioral aide I or II under
8.28	section 256B.0943, subdivision 7, may count toward the 6,000 hours of supervised work
8.29	experience.
8.30	Individuals meeting one of the criteria in items (i) to (iv) may qualify as a case manager

8.31 after four years of supervised work experience as a case manager associate. Individuals

9.1 meeting the criteria in item (v) may qualify as a case manager after three years of supervised
9.2 experience as a case manager associate.

9.3 (k) Case manager associates must meet the following supervision, mentoring, and
9.4 continuing education requirements;

9.5 (1) have 40 hours of preservice training described under paragraph (f), clause (1);

9.6 (2) receive at least 40 hours of continuing education in severe emotional disturbance9.7 and mental health service annually; and

(3) receive at least five hours of mentoring per week from a case management mentor.
A "case management mentor" means a qualified, practicing case manager or case management
supervisor who teaches or advises and provides intensive training and clinical supervision
to one or more case manager associates. Mentoring may occur while providing direct services
to consumers in the office or in the field and may be provided to individuals or groups of
case manager associates. At least two mentoring hours per week must be individual and
face-to-face.

9.15 (1) A case management supervisor must meet the criteria for a mental health professional
9.16 as specified in subdivision 27.

9.17 (m) An immigrant who does not have the qualifications specified in this subdivision
9.18 may provide case management services to child immigrants with severe emotional
9.19 disturbance of the same ethnic group as the immigrant if the person:

9.20 (1) is currently enrolled in and is actively pursuing credits toward the completion of a
9.21 bachelor's degree in one of the behavioral sciences or related fields at an accredited college
9.22 or university;

9.23 (2) completes 40 hours of training as specified in this subdivision; and

9.24 (3) receives clinical supervision at least once a week until the requirements of obtaining
9.25 a bachelor's degree and 2,000 hours of supervised experience are met.

9.26 Sec. 4. Minnesota Statutes 2017 Supplement, section 245.4871, subdivision 26, is amended
9.27 to read:

9.28 Subd. 26. Mental health practitioner. "Mental health practitioner" means a person
9.29 providing services to children with emotional disturbances. A mental health practitioner
9.30 must have training and experience in working with children. A mental health practitioner
9.31 must be qualified in at least one of the following ways: has the meaning given in section
9.32 245.462, subdivision 17.

HF3432 FIRST ENGROSSMENT

10.1

10.2

10.3

10.4

10.5

10.6

10.7

10.8

10.9

10.10

10.11

10.12

10.13

10.14

10.15

10.16

10.17

10.18

10.19

10.20

10.21

10.22

10.23

10.24

10.25

10.26

10.27

10.28

10.29

10.30

10.31

10.32

H3432-1

ACF

(1) holds a bachelor's degree in one of the behavioral sciences or related fields, including, but not limited to, social work, psychology, sociology, community counseling, family social science, child development/child psychology, community mental health, addiction counseling, counseling/guidance, and special education from an accredited college or university and: (i) has at least 2,000 hours of supervised experience in the delivery of mental health services to children with emotional disturbances; or (ii) is fluent in the non-English language of the ethnic group to which at least 50 percent of the practitioner's clients belong, completes 40 hours of training in the delivery of services to children with emotional disturbances, and receives clinical supervision from a mental health professional at least once a week until the requirement of 2,000 hours of supervised experience is met; (2) has at least 6,000 hours of supervised experience in the delivery of mental health services to children with emotional disturbances; hours worked as a mental health behavioral aide I or II under section 256B.0943, subdivision 7, may be included in the 6,000 hours of experience; (3) is a graduate student in one of the behavioral sciences or related fields and is formally assigned by an accredited college or university to an agency or facility for clinical training; <del>or</del> (4) holds a master's or other graduate degree in one of the behavioral sciences or related fields from an accredited college or university. Sec. 5. Minnesota Statutes 2016, section 256B.0622, subdivision 7a, is amended to read: Subd. 7a. Assertive community treatment team staff requirements and roles. (a) The required treatment staff qualifications and roles for an ACT team are: (1) the team leader: (i) shall be a licensed mental health professional who is qualified under Minnesota Rules, part 9505.0371, subpart 5, item A. Individuals who are not licensed but who are eligible

Sec. 5.

clients;

10

for licensure and are otherwise qualified may also fulfill this role but must obtain full

(ii) must be an active member of the ACT team and provide some direct services to

(iii) must be a single full-time staff member, dedicated to the ACT team, who is

responsible for overseeing the administrative operations of the team, providing clinical

licensure within 24 months of assuming the role of team leader;

oversight of services in conjunction with the psychiatrist or psychiatric care provider, and
supervising team members to ensure delivery of best and ethical practices; and

(iv) must be available to provide overall clinical oversight to the ACT team after regular
business hours and on weekends and holidays. The team leader may delegate this duty to
another qualified member of the ACT team;

11.6 (2) the psychiatric care provider:

(i) must be a licensed psychiatrist certified by the American Board of Psychiatry and
Neurology or eligible for board certification or certified by the American Osteopathic Board
of Neurology and Psychiatry or eligible for board certification, or a psychiatric nurse who
is qualified under Minnesota Rules, part 9505.0371, subpart 5, item A. The psychiatric care
provider must have demonstrated clinical experience working with individuals with serious
and persistent mental illness;

(ii) shall collaborate with the team leader in sharing overall clinical responsibility for
screening and admitting clients; monitoring clients' treatment and team member service
delivery; educating staff on psychiatric and nonpsychiatric medications, their side effects,
and health-related conditions; actively collaborating with nurses; and helping provide clinical
supervision to the team;

(iii) shall fulfill the following functions for assertive community treatment clients:
provide assessment and treatment of clients' symptoms and response to medications, including
side effects; provide brief therapy to clients; provide diagnostic and medication education
to clients, with medication decisions based on shared decision making; monitor clients'
nonpsychiatric medical conditions and nonpsychiatric medications; and conduct home and
community visits;

(iv) shall serve as the point of contact for psychiatric treatment if a client is hospitalized
for mental health treatment and shall communicate directly with the client's inpatient
psychiatric care providers to ensure continuity of care;

(v) shall have a minimum full-time equivalency that is prorated at a rate of 16 hours per
50 clients. Part-time psychiatric care providers shall have designated hours to work on the
team, with sufficient blocks of time on consistent days to carry out the provider's clinical,
supervisory, and administrative responsibilities. No more than two psychiatric care providers
may share this role;

(vi) may not provide specific roles and responsibilities by telemedicine unless approvedby the commissioner; and

(vii) shall provide psychiatric backup to the program after regular business hours and
on weekends and holidays. The psychiatric care provider may delegate this duty to another
qualified psychiatric provider;

12.4 (3) the nursing staff:

(i) shall consist of one to three registered nurses or advanced practice registered nurses,
of whom at least one has a minimum of one-year experience working with adults with
serious mental illness and a working knowledge of psychiatric medications. No more than
two individuals can share a full-time equivalent position;

(ii) are responsible for managing medication, administering and documenting medicationtreatment, and managing a secure medication room; and

(iii) shall develop strategies, in collaboration with clients, to maximize taking medications
as prescribed; screen and monitor clients' mental and physical health conditions and
medication side effects; engage in health promotion, prevention, and education activities;
communicate and coordinate services with other medical providers; facilitate the development
of the individual treatment plan for clients assigned; and educate the ACT team in monitoring
psychiatric and physical health symptoms and medication side effects;

12.17 (4) the co-occurring disorder specialist:

(i) shall be a full-time equivalent co-occurring disorder specialist who has received 12.18 specific training on co-occurring disorders that is consistent with national evidence-based 12.19 practices. The training must include practical knowledge of common substances and how 12.20 they affect mental illnesses, the ability to assess substance use disorders and the client's 12.21 stage of treatment, motivational interviewing, and skills necessary to provide counseling to 12.22 clients at all different stages of change and treatment. The co-occurring disorder specialist 12.23 may also be an individual who is a licensed alcohol and drug counselor as described in 12.24 section 148F.01, subdivision 5, or a counselor who otherwise meets the training, experience, 12.25 and other requirements in Minnesota Rules, part 9530.6450, subpart 5. No more than two 12.26 co-occurring disorder specialists may occupy this role; and 12.27

(ii) shall provide or facilitate the provision of co-occurring disorder treatment to clients.
The co-occurring disorder specialist shall serve as a consultant and educator to fellow ACT
team members on co-occurring disorders;

12.31 (5) the vocational specialist:

(i) shall be a full-time vocational specialist who has at least one-year experience providing
employment services or advanced education that involved field training in vocational services

H3432-1

ACF

13.1 to individuals with mental illness. An individual who does not meet these qualifications

may also serve as the vocational specialist upon completing a training plan approved by thecommissioner;

(ii) shall provide or facilitate the provision of vocational services to clients. The vocational
specialist serves as a consultant and educator to fellow ACT team members on these services;
and

(iii) should not refer individuals to receive any type of vocational services or linkage by
providers outside of the ACT team;

13.9 (6) the mental health certified peer specialist:

(i) shall be a full-time equivalent mental health certified peer specialist as defined in
section 256B.0615. No more than two individuals can share this position. The mental health
certified peer specialist is a fully integrated team member who provides highly individualized
services in the community and promotes the self-determination and shared decision-making
abilities of clients. This requirement may be waived due to workforce shortages upon
approval of the commissioner;

(ii) must provide coaching, mentoring, and consultation to the clients to promote recovery,
self-advocacy, and self-direction, promote wellness management strategies, and assist clients
in developing advance directives; and

(iii) must model recovery values, attitudes, beliefs, and personal action to encourage
wellness and resilience, provide consultation to team members, promote a culture where
the clients' points of view and preferences are recognized, understood, respected, and
integrated into treatment, and serve in a manner equivalent to other team members;

(7) the program administrative assistant shall be a full-time office-based program
administrative assistant position assigned to solely work with the ACT team, providing a
range of supports to the team, clients, and families; and

13.26 (8) additional staff:

(i) shall be based on team size. Additional treatment team staff may include licensed
mental health professionals as defined in Minnesota Rules, part 9505.0371, subpart 5, item
A; mental health practitioners as defined in Minnesota Rules, part 9505.0370, subpart 17;
section 245.462, subdivision 17; a mental health practitioner working as a clinical trainee
according to Minnesota Rules, part 9505.0371, subpart 5, item C; or mental health
rehabilitation workers as defined in section 256B.0623, subdivision 5, clause (4). These

individuals shall have the knowledge, skills, and abilities required by the population served
to carry out rehabilitation and support functions; and

14.3 (ii) shall be selected based on specific program needs or the population served.

14.4 (b) Each ACT team must clearly document schedules for all ACT team members.

(c) Each ACT team member must serve as a primary team member for clients assigned
by the team leader and are responsible for facilitating the individual treatment plan process
for those clients. The primary team member for a client is the responsible team member
knowledgeable about the client's life and circumstances and writes the individual treatment
plan. The primary team member provides individual supportive therapy or counseling, and
provides primary support and education to the client's family and support system.

(d) Members of the ACT team must have strong clinical skills, professional qualifications,
experience, and competency to provide a full breadth of rehabilitation services. Each staff
member shall be proficient in their respective discipline and be able to work collaboratively
as a member of a multidisciplinary team to deliver the majority of the treatment,

rehabilitation, and support services clients require to fully benefit from receiving assertivecommunity treatment.

14.17 (e) Each ACT team member must fulfill training requirements established by the14.18 commissioner.

14.19 Sec. 6. Minnesota Statutes 2016, section 256B.0623, subdivision 5, is amended to read:

Subd. 5. Qualifications of provider staff. (a) Adult rehabilitative mental health services
must be provided by qualified individual provider staff of a certified provider entity.
Individual provider staff must be qualified under one of the following criteria:

(1) a mental health professional as defined in section 245.462, subdivision 18, clauses
(1) to (6). If the recipient has a current diagnostic assessment by a licensed mental health
professional as defined in section 245.462, subdivision 18, clauses (1) to (6), recommending
receipt of adult mental health rehabilitative services, the definition of mental health
professional for purposes of this section includes a person who is qualified under section
245.462, subdivision 18, clause (7), and who holds a current and valid national certification
as a certified rehabilitation counselor or certified psychosocial rehabilitation practitioner;

(2) a mental health practitioner as defined in section 245.462, subdivision 17. The mental
health practitioner must work under the clinical supervision of a mental health professional;

- (3) a certified peer specialist under section 256B.0615. The certified peer specialist must
  work under the clinical supervision of a mental health professional; or
- (4) a mental health rehabilitation worker. A mental health rehabilitation worker means
  a staff person working under the direction of a mental health practitioner or mental health
  professional and under the clinical supervision of a mental health professional in the
  implementation of rehabilitative mental health services as identified in the recipient's
  individual treatment plan who:
- 15.8 (i) is at least 21 years of age;
- 15.9 (ii) has a high school diploma or equivalent;
- 15.10 (iii) has successfully completed 30 hours of training during the two years immediately
- 15.11 prior to the date of hire, or before provision of direct services, in all of the following areas:
- 15.12 recovery from mental illness, mental health de-escalation techniques, recipient rights,
- 15.13 recipient-centered individual treatment planning, behavioral terminology, mental illness,
- 15.14 co-occurring mental illness and substance abuse, psychotropic medications and side effects,
- 15.15 functional assessment, local community resources, adult vulnerability, recipient
- 15.16 confidentiality; and
- 15.17 (iv) meets the qualifications in subitem (A) or (B): paragraph (b).
- 15.18 (b) In addition to the requirements in paragraph (a), a mental health rehabilitation worker
- 15.19 must also meet the qualifications in clause (1), (2), or (3):
- 15.20 (A) has an associate of arts degree or two years full-time postsecondary education in
- 15.21 one of the behavioral sciences or human services; is a registered nurse without a bachelor's
- 15.22 degree; (1) has an associates of arts degree, two years of full-time postsecondary education,
- 15.23 or a total of 15 semester hours or 23 quarter hours in behavioral sciences or related fields,
- 15.24 or <del>who</del> within the previous ten years has:
- 15.25 (1) (i) three years of personal life experience with serious and persistent mental illness;
- (2) (ii) three years of life experience as a primary caregiver to an adult with a serious
- mental illness or, traumatic brain injury, substance use disorder, or developmental disability;
  or
- (3) 4,000 (iii) 2,000 hours of supervised paid work experience in the delivery of mental
   health services to adults with a serious mental illness or, traumatic brain injury, substance
   use disorder, or developmental disability; or

- $\begin{array}{ll} 16.1 & (B)(1)(2)(i) \text{ is fluent in the non-English language or competent in the culture of the} \\ 16.2 & \text{ethnic group to which at least 20 percent of the mental health rehabilitation worker's clients} \\ 16.3 & \text{belong;} \end{array}$
- 16.4 (2) (ii) receives during the first 2,000 hours of work, monthly documented individual
   16.5 clinical supervision by a mental health professional;
- (3) (iii) has 18 hours of documented field supervision by a mental health professional
   or mental health practitioner during the first 160 hours of contact work with recipients, and
   at least six hours of field supervision quarterly during the following year;
- 16.9 (4) (iv) has review and cosignature of charting of recipient contacts during field
   16.10 supervision by a mental health professional or mental health practitioner; and
- 16.11 (5)(v) has 15 hours of additional continuing education on mental health topics during
- 16.12 the first year of employment and 15 hours during every additional year of employment<del>.;</del> or
- 16.13 (3) for providers of crisis residential services, intensive residential treatment services,
   16.14 partial hospitalization, and day treatment services:
- 16.15 (i) satisfies paragraph (b), clause 2, items (ii) to (iv); and
- 16.16 (ii) has 40 hours of additional continuing education on mental health topics during the
- 16.17 <u>first year of employment.</u>
- 16.18 (c) A mental health rehabilitation worker who solely acts and is scheduled as overnight
   16.19 staff is not required to comply with paragraph (a), clause (4), item (iv).
- 16.20 (d) For purposes of this subdivision, "behavioral sciences or related fields" means an
- 16.21 education from an accredited college or university and includes but is not limited to social
- 16.22 work, psychology, sociology, community counseling, family social science, child
- 16.23 development, child psychology, community mental health, addiction counseling, counseling
- 16.24 and guidance, special education, and other fields as approved by the commissioner.
- 16.25 Sec. 7. Minnesota Statutes 2016, section 256B.0625, is amended by adding a subdivision16.26 to read:
- Subd. 65. Outpatient mental health services. Medical assistance covers diagnostic
   assessment, explanation of findings, and psychotherapy according to Minnesota Rules, part
   9505.0372, when the mental health services are performed by a mental health practitioner
   working as a clinical trainee according to section 245.462, subdivision 17, paragraph (g).

Sec. 8. Minnesota Statutes 2017 Supplement, section 256B.0943, subdivision 1, is amended
to read:

Subdivision 1. Definitions. For purposes of this section, the following terms have the
meanings given them.

(a) "Children's therapeutic services and supports" means the flexible package of mental
health services for children who require varying therapeutic and rehabilitative levels of
intervention to treat a diagnosed emotional disturbance, as defined in section 245.4871,
subdivision 15, or a diagnosed mental illness, as defined in section 245.462, subdivision
20. The services are time-limited interventions that are delivered using various treatment
modalities and combinations of services designed to reach treatment outcomes identified
in the individual treatment plan.

(b) "Clinical supervision" means the overall responsibility of the mental health
professional for the control and direction of individualized treatment planning, service
delivery, and treatment review for each client. A mental health professional who is an
enrolled Minnesota health care program provider accepts full professional responsibility
for a supervisee's actions and decisions, instructs the supervisee in the supervisee's work,
and oversees or directs the supervisee's work.

(c) "Clinical trainee" means a mental health practitioner who meets the qualifications
specified in Minnesota Rules, part 9505.0371, subpart 5, item C.

(d) "Crisis assistance" has the meaning given in section 245.4871, subdivision 9a. Crisis
assistance entails the development of a written plan to assist a child's family to contend with
a potential crisis and is distinct from the immediate provision of crisis intervention services.

(e) "Culturally competent provider" means a provider who understands and can utilize
to a client's benefit the client's culture when providing services to the client. A provider
may be culturally competent because the provider is of the same cultural or ethnic group
as the client or the provider has developed the knowledge and skills through training and
experience to provide services to culturally diverse clients.

(f) "Day treatment program" for children means a site-based structured mental health
program consisting of psychotherapy for three or more individuals and individual or group
skills training provided by a multidisciplinary team, under the clinical supervision of a
mental health professional.

(g) "Diagnostic assessment" has the meaning given in Minnesota Rules, part 9505.0372,
subpart 1.

(h) "Direct service time" means the time that a mental health professional, clinical trainee, 18.1 mental health practitioner, or mental health behavioral aide spends face-to-face with a client 18.2 18.3 and the client's family or providing covered telemedicine services. Direct service time includes time in which the provider obtains a client's history, develops a client's treatment 18.4 plan, records individual treatment outcomes, or provides service components of children's 18.5 therapeutic services and supports. Direct service time does not include time doing work 18.6 before and after providing direct services, including scheduling or maintaining clinical 18.7 18.8 records.

(i) "Direction of mental health behavioral aide" means the activities of a mental health
professional or mental health practitioner in guiding the mental health behavioral aide in
providing services to a client. The direction of a mental health behavioral aide must be based
on the client's individualized treatment plan and meet the requirements in subdivision 6,
paragraph (b), clause (5).

(j) "Emotional disturbance" has the meaning given in section 245.4871, subdivision 15.

(k) "Individual behavioral plan" means a plan of intervention, treatment, and services
for a child written by a mental health professional or mental health practitioner, under the
clinical supervision of a mental health professional, to guide the work of the mental health
behavioral aide. The individual behavioral plan may be incorporated into the child's individual
treatment plan so long as the behavioral plan is separately communicable to the mental
health behavioral aide.

(1) "Individual treatment plan" has the meaning given in Minnesota Rules, part 9505.0371,
subpart 7.

(m) "Mental health behavioral aide services" means medically necessary one-on-one
activities performed by a trained paraprofessional qualified as provided in subdivision 7,
paragraph (b), clause (3), to assist a child retain or generalize psychosocial skills as previously
trained by a mental health professional or mental health practitioner and as described in the
child's individual treatment plan and individual behavior plan. Activities involve working
directly with the child or child's family as provided in subdivision 9, paragraph (b), clause
(4).

(n) "Mental health practitioner" means an individual as defined in Minnesota Rules, part
9505.0371, subpart 5, item B, has the meaning given in section 245.462, subdivision 17,
except that a practitioner working in a day treatment setting may be exempt from the qualify
as a mental health practitioner if the practitioner holds a bachelor's degree in one of the
behavioral sciences or related fields from an accredited college or university, and: (1) has

H3432-1

at least 2,000 hours of clinically supervised experience in the delivery of mental health

40 hours of training on the delivery of services to clients with mental illness, and receives

19.2 services to clients with mental illness; (2) is fluent in the language, other than English, of
19.3 the cultural group that makes up at least 50 percent of the practitioner's clients, completes

19.3 <u>the cultural group that makes up at least 50 percent of the practitioner's clients, completes</u>

19.5 clinical supervision from a mental health professional at least once per week until meeting

19.6 the required 2,000 hours of supervised experience; or (3) receives 40 hours of training on

19.7 the delivery of services to clients with mental illness within six months of employment, and

19.8 clinical supervision from a mental health professional at least once per week until meeting

19.9 the required 2,000 hours of supervised experience. <del>2,000-hour supervised experience</del>

19.10 requirement if the day treatment provider delivers 40 hours of training to the practitioner

19.11 within six months of employment and the practitioner receives weekly clinical supervision

19.12 from a mental health professional until the practitioner meets the 2,000 hours of supervised

19.13 experience.

19.1

19.4

(o) "Mental health professional" means an individual as defined in Minnesota Rules,
part 9505.0370, subpart 18.

19.16 (p) "Mental health service plan development" includes:

(1) the development, review, and revision of a child's individual treatment plan, as
provided in Minnesota Rules, part 9505.0371, subpart 7, including involvement of the client
or client's parents, primary caregiver, or other person authorized to consent to mental health
services for the client, and including arrangement of treatment and support activities specified
in the individual treatment plan; and

(2) administering standardized outcome measurement instruments, determined and
updated by the commissioner, as periodically needed to evaluate the effectiveness of
treatment for children receiving clinical services and reporting outcome measures, as required
by the commissioner.

(q) "Mental illness," for persons at least age 18 but under age 21, has the meaning given
in section 245.462, subdivision 20, paragraph (a).

(r) "Psychotherapy" means the treatment of mental or emotional disorders or
maladjustment by psychological means. Psychotherapy may be provided in many modalities
in accordance with Minnesota Rules, part 9505.0372, subpart 6, including patient and/or
family psychotherapy; family psychotherapy; psychotherapy for crisis; group psychotherapy;
or multiple-family psychotherapy. Beginning with the American Medical Association's
Current Procedural Terminology, standard edition, 2014, the procedure "individual
psychotherapy" is replaced with "patient and/or family psychotherapy," a substantive change

REVISOR

H3432-1

that permits the therapist to work with the client's family without the client present to obtain
information about the client or to explain the client's treatment plan to the family.
Psychotherapy is appropriate for crisis response when a child has become dysregulated or
experienced new trauma since the diagnostic assessment was completed and needs
psychotherapy to address issues not currently included in the child's individual treatment
plan.

(s) "Rehabilitative services" or "psychiatric rehabilitation services" means a series or 20.7 multidisciplinary combination of psychiatric and psychosocial interventions to: (1) restore 20.8 a child or adolescent to an age-appropriate developmental trajectory that had been disrupted 20.9 by a psychiatric illness; or (2) enable the child to self-monitor, compensate for, cope with, 20.10 counteract, or replace psychosocial skills deficits or maladaptive skills acquired over the 20.11 course of a psychiatric illness. Psychiatric rehabilitation services for children combine 20.12 psychotherapy to address internal psychological, emotional, and intellectual processing 20.13 deficits, and skills training to restore personal and social functioning. Psychiatric 20.14 rehabilitation services establish a progressive series of goals with each achievement building 20.15 upon a prior achievement. Continuing progress toward goals is expected, and rehabilitative 20.16 potential ceases when successive improvement is not observable over a period of time. 20.17

(t) "Skills training" means individual, family, or group training, delivered by or under
the supervision of a mental health professional, designed to facilitate the acquisition of
psychosocial skills that are medically necessary to rehabilitate the child to an age-appropriate
developmental trajectory heretofore disrupted by a psychiatric illness or to enable the child
to self-monitor, compensate for, cope with, counteract, or replace skills deficits or
maladaptive skills acquired over the course of a psychiatric illness. Skills training is subject
to the service delivery requirements under subdivision 9, paragraph (b), clause (2).

20.25 Sec. 9. Minnesota Statutes 2016, section 256B.0944, subdivision 7, is amended to read:

Subd. 7. Crisis stabilization services. Crisis stabilization services must be provided by
a mental health professional or a mental health practitioner, as defined in section 245.462,
<u>subdivision 17</u>, who works under the clinical supervision of a mental health professional
and for a crisis stabilization services provider entity and must meet the following standards:

20.30 (1) a crisis stabilization treatment plan must be developed which meets the criteria in20.31 subdivision 8;

20.32 (2) services must be delivered according to the treatment plan and include face-to-face 20.33 contact with the recipient by qualified staff for further assessment, help with referrals,

updating the crisis stabilization treatment plan, supportive counseling, skills training, and
collaboration with other service providers in the community; and

(3) mental health practitioners must have completed at least 30 hours of training in crisis
intervention and stabilization during the past two years.

21.5 Sec. 10. Minnesota Statutes 2016, section 256B.0946, subdivision 1a, is amended to read:

Subd. 1a. Definitions. For the purposes of this section, the following terms have the
meanings given them.

(a) "Clinical care consultation" means communication from a treating clinician to other
providers working with the same client to inform, inquire, and instruct regarding the client's
symptoms, strategies for effective engagement, care and intervention needs, and treatment
expectations across service settings, including but not limited to the client's school, social
services, day care, probation, home, primary care, medication prescribers, disabilities
services, and other mental health providers and to direct and coordinate clinical service
components provided to the client and family.

(b) "Clinical supervision" means the documented time a clinical supervisor and supervisee
spend together to discuss the supervisee's work, to review individual client cases, and for
the supervisee's professional development. It includes the documented oversight and
supervision responsibility for planning, implementation, and evaluation of services for a
client's mental health treatment.

21.20 (c) "Clinical supervisor" means the mental health professional who is responsible for21.21 clinical supervision.

21.22 (d) "Clinical trainee" has the meaning given in Minnesota Rules, part 9505.0371, subpart
21.23 5, item C;

(e) "Crisis assistance" has the meaning given in section 245.4871, subdivision 9a,
including the development of a plan that addresses prevention and intervention strategies
to be used in a potential crisis, but does not include actual crisis intervention.

(f) "Culturally appropriate" means providing mental health services in a manner that
incorporates the child's cultural influences, as defined in Minnesota Rules, part 9505.0370,
subpart 9, into interventions as a way to maximize resiliency factors and utilize cultural
strengths and resources to promote overall wellness.

(g) "Culture" means the distinct ways of living and understanding the world that are
used by a group of people and are transmitted from one generation to another or adopted
by an individual.

(h) "Diagnostic assessment" has the meaning given in Minnesota Rules, part 9505.0370,
subpart 11.

(i) "Family" means a person who is identified by the client or the client's parent or
guardian as being important to the client's mental health treatment. Family may include,
but is not limited to, parents, foster parents, children, spouse, committed partners, former
spouses, persons related by blood or adoption, persons who are a part of the client's
permanency plan, or persons who are presently residing together as a family unit.

(j) "Foster care" has the meaning given in section 260C.007, subdivision 18.

22.12 (k) "Foster family setting" means the foster home in which the license holder resides.

(1) "Individual treatment plan" has the meaning given in Minnesota Rules, part 9505.0370,
subpart 15.

(m) "Mental health practitioner" has the meaning given in Minnesota Rules, part
9505.0370, subpart 17 section 245.462, subdivision 17, and a mental health practitioner
working as a clinical trainee according to Minnesota Rules, part 9505.0371, subpart 5, item
C.

(n) "Mental health professional" has the meaning given in Minnesota Rules, part
9505.0370, subpart 18.

22.21 (o) "Mental illness" has the meaning given in Minnesota Rules, part 9505.0370, subpart
22.22 20.

(p) "Parent" has the meaning given in section 260C.007, subdivision 25.

(q) "Psychoeducation services" means information or demonstration provided to an
individual, family, or group to explain, educate, and support the individual, family, or group
in understanding a child's symptoms of mental illness, the impact on the child's development,
and needed components of treatment and skill development so that the individual, family,
or group can help the child to prevent relapse, prevent the acquisition of comorbid disorders,
and achieve optimal mental health and long-term resilience.

(r) "Psychotherapy" has the meaning given in Minnesota Rules, part 9505.0370, subpart
22.31 27.

H3432-1

(s) "Team consultation and treatment planning" means the coordination of treatment 23.1 plans and consultation among providers in a group concerning the treatment needs of the 23.2 child, including disseminating the child's treatment service schedule to all members of the 23.3 23.4 service team. Team members must include all mental health professionals working with the child, a parent, the child unless the team lead or parent deem it clinically inappropriate, and 23.5 at least two of the following: an individualized education program case manager; probation 23.6 agent; children's mental health case manager; child welfare worker, including adoption or 23.7 guardianship worker; primary care provider; foster parent; and any other member of the 23.8 child's service team. 23.9

## 23.10 Sec. 11. EFFECTIVE DATE.

## 23.11 Sections 1 to 10 are effective the day following final enactment.