This Document can be made available in alternative formats upon request

REVISOR

H. F. No. 3818

State of Minnesota

HOUSE OF REPRESENTATIVES NINETY-FIRST SESSION

02/26/2020

Authored by Lesch The bill was read for the first time and referred to the Judiciary Finance and Civil Law Division

| 1.1 | A bill for an act |
|-------------------|--|
| 1.2 1.3 1.4 | relating to crime; enacting the Uniform Criminal Records Accuracy Act proposed for adoption by the National Conference of Commissioners on Uniform State Laws; proposing coding for new law as Minnesota Statutes, chapter 609C. |
| 1.5 | BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA: |
| 1.6 | ARTICLE 1 |
| 1.7 | GENERAL PROVISIONS |
| 1.8 | Section 1. [609C.01] SHORT TITLE. |
| 1.9 | Sections 609C.01 to 609C.72 may be cited as the "Uniform Criminal Records Accuracy |
| 1.10 | Act." |
| 1.11 | Sec. 2. [609C.02] DEFINITIONS. |
| 1.12 | (a) For the purposes of sections 609C.01 to 609C.72, the terms defined in this section |
| 1.13 | have the meanings given. |
| 1.14 | (b) "Accurate criminal history record information" means criminal history record |
| 1.15 | information that correctly reflects all reportable events relating to a subject. |
| 1.16 | (c) "Administration of criminal justice" means detection, apprehension, detention, pretrial |
| 1.17 | release, posttrial release, prosecution, adjudication, correctional supervision, or rehabilitation |
| 1.18 | of a subject. The term includes criminal identification activities and collection, storage, |
| 1.19 | maintenance, submission, and dissemination of criminal history record information. |

| 2.1 | (d) "Biometric information" means fingerprints and other unique biological or physical |
|------|--|
| 2.2 | characteristics of an individual which a contributing justice agency is required or permitted |
| 2.3 | by law other than sections 609C.01 to 609C.72. |
| 2.4 | (e) "Central repository" means the Bureau of Criminal Apprehension. |
| 2.5 | (f) "Contributing justice agency" means a court, political subdivision or agent of a |
| 2.6 | political subdivision, governing entity of the state, or any governmental agency designated |
| 2.7 | by the [responsible agency or individual], which is authorized to engage in the administration |
| 2.8 | of criminal justice. The term does not include the central repository. |
| 2.9 | (g) "criminal history record information" means information, consisting of a description |
| 2.10 | of a subject and notation of a reportable event, collected, received, stored, maintained, |
| 2.11 | submitted, or disseminated by a contributing justice agency or the central repository. The |
| 2.12 | term includes biometric information. The term does not include noncriminal history record |
| 2.13 | information. |
| 2.14 | (h) "Dissemination" or "disseminate" means oral, written, or electronic transmission, or |
| 2.15 | other disclosure of criminal history record information to a person other than the central |
| 2.16 | repository. |
| 2.17 | (i) "Noncriminal history record information" means information collected: |
| 2.18 | (1) as a result of an inquiry about an activity, habit, practice, possession, association, or |
| 2.19 | financial status of an individual; and |
| 2.20 | (2) to anticipate, prevent, monitor, or investigate criminal activity. |
| 2.21 | (j) "Person" means an individual, estate, business, nonprofit entity, public corporation, |
| 2.22 | government or governmental subdivision, agency, instrumentality, or other legal entity. |
| 2.23 | (k) "Reportable event" means any of the following relating to a felony or misdemeanor, |
| 2.24 | other than a petty misdemeanor, traffic violation, or offense under chapters 260B and 260C: |
| 2.25 | (1) arrest resulting in a booking into a detention facility or collection of biometric |
| 2.26 | information; |
| 2.27 | (2) disposition after an arrest described in clause (1) without initiation of a criminal |
| 2.28 | proceeding; |
| 2.29 | (3) initiation of a criminal proceeding; |
| 2.30 | (4) disposition of a criminal proceeding, including diversion, dismissal, indefinite |
| 2.31 | postponement, acquittal, guilty plea, conviction, sentencing, and modification, reversal, and |
| 2.32 | revocation of the disposition; |

| | 03/11/19 | REVISOR | KLL/SL | 19-1783 |
|------|---|--------------------------|--------------------------|------------|
| 3.1 | (5) commitment to or release from | a place of detention of | or custodial supervision | on; |
| 3.2 | (6) commencement or conclusion of | of noncustodial super- | vision; | |
| 3.3 | (7) completion of a sentence; | | | |
| 3.4 | (8) expungement, sealing, or settin | g aside of criminal hi | story record informat | ion; |
| 3.5 | (9) grant of clemency, including pa | rdon or commutation | , or restoration of rig | hts; and |
| 3.6 | (10) finding of [legal incapacity] b | y a court at any stage | of a criminal proceed | ling. |
| 3.7 | (1) "State" means a state of the Unit | ed States, the District | of Columbia, Puerto | Rico, the |
| 3.8 | United States Virgin Islands, or any ter | ritory or insular posse | ssion subject to the ju | risdiction |
| 3.9 | of the United States. The term include | s a federally recogniz | ed Indian tribe. | |
| 3.10 | (m) "Subject" means an individual | about whom criminal | l history record inform | nation is |
| 3.11 | collected, stored, maintained, submitted | l, or disseminated as re | equired or permitted by | y sections |
| 3.12 | 609C.01 to 609C.72, or law other than | sections 609C.01 to | 609C.72. | |
| 3.13 | Sec. 3. [609C.03] PUBLIC RECOI | אס | | |
| 5.15 | Sec. 5. [007C.05] I UBLIC RECOI | <u>(1)5.</u> | | |
| 3.14 | Except as otherwise provided by la | w other than sections | 609C.01 to 609C.72 | or court |
| 3.15 | rule or order the court docket, court fil | e, and information co | ntained in a docket o | r file are |
| 3.16 | public records. | | | |
| 3.17 | Sec. 4. [609C.04] DISSEMINATIO | N LOG. | | |
| 3.18 | (a) A dissemination log required by | y section 609C.14 or | 609C.23 must include | e each |
| 3.19 | criminal history record information rec | quest and disseminati | on to a person identif | iable by |
| 3.20 | the contributing justice agency or cent | ral repository. | | |
| 3.21 | (b) A dissemination log required by | v section 609C.14 or 6 | 509C.23 must be sepa | rate from |
| 3.22 | noncriminal history record information | n and criminal history | record information. | The log |
| 3.23 | must include at least: | | | |
| 3.24 | (1) the name of the subject about where (1) is the name of the subject about where (1) is the name of the subject about the name of the name of the subject about the name of the name of the subject about the name of the n | nom criminal history r | ecord information is r | equested; |
| 3.25 | (2) the name of the person making | the request and its as | sociated address; | |
| 3.26 | (3) the name of the individual mak | ing the dissemination | · 2 | |
| 3.27 | (4) the date of the request; | | | |
| 3.28 | (5) the date of the dissemination; a | nd | | |
| | | | | |

| 03/11/19 | REVISOR | KLL/SL | 19-1783 |
|-------------------------------|----------------------------------|-------------------------|------------------|
| (6) a statement whether | the information was dissemin | ated for a purpose of | other than the |
| dministration of criminal ju | ustice. | | |
| (c) A dissemination log r | equired by section 609C.14 or | 609C.23 is availabl | e to the public |
| only as provided by law oth | er than sections 609C.01 to 6 | 09C.72. | |
| (d) An entry in a dissem | ination log required by section | n 609C.14 or 609C. | 23 must be |
| naintained as long as the as | sociated criminal history reco | ord information is m | aintained. |
| Sec. 5. [609C.05] ESTAB | LISHMENT OF PROCED | URES. | |
| The rulemaking requiren | nents of chapter 14 do not app | ly to establishment | of procedures |
| under sections 609C.01 to 6 | 09C.72. | | |
| | ARTICLE 2 | | |
| CO | NTRIBUTING JUSTICE A | GENCY | |
| Section 1. [609C.10] COI | LLECTION AND SUBMISS | SION OF INFORM | ΙΑΤΙΟΝ ΤΟ |
| CENTRAL REPOSITOR | <u>Y.</u> | | |
| A contributing justice ag | gency that has custody of, or c | control, authority, or | jurisdiction |
| over, an individual for a rep | ortable event shall collect, sto | ore, and maintain cri | iminal history |
| record information on the ev | vent. Not later than five days | after the agency col | lects the |
| information, the agency shal | l submit the information to the | e central repository i | in compliance |
| with procedures established | by the central repository. | | |
| Sec. 2. [609C.11] COLLI | ECTION AND SUBMISSIO | N OF BIOMETR | IC |
| INFORMATION. | | | |
| (a) A contributing justice | e agency that has custody of, or | r control, authority, | or jurisdiction |
| over, an individual as a resu | lt of the individual's involven | nent in a reportable | event shall |
| determine whether biometri | c information about the indiv | idual has been colle | cted and |
| submitted to the central repo | sitory for the event. If the con | tributing justice age | ncy is a court, |
| the contributing justice ager | ncy representing this state bef | ore the court shall n | nake the |
| determination and report the | e results of its determination t | o the court. | |
| (b) If a contributing just | ice agency determines under J | paragraph (a) that be | iometric |
| information has not been col | lected and submitted to the ce | ntral repository, the | agency, using |
| any procedure available to it | under law other than sections | 609C.01 to 609C.72 | 2, shall collect |
| the missing biometric inform | nation. Not later than five days | s after collection, the | e agency shall |
| | | | |

| | 03/11/19 | REVISOR | KLL/SL | 19-1783 |
|------|--|------------------------|--------------------------|----------|
| 5.1 | submit the information to the central rep | pository in complian | ce with procedures est | ablished |
| 5.2 | by the central repository. | | | |
| 5.3 | Sec. 3. [609C.12] ACCURACY ANI | D CORRECTION | OF INFORMATION | <u>.</u> |
| 5.4 | (a) A contributing justice agency sha | all collect, store, ma | intain, submit, and diss | seminate |
| 5.5 | accurate criminal history record information | ation in compliance | with procedures establ | ished by |
| 5.6 | the central repository. | | | |
| 5.7 | (b) Not later than 14 days after a con | ntributing justice age | ency discovers that it p | ossesses |
| 5.8 | inaccurate criminal history record infor | mation, the agency s | shall: | |
| 5.9 | (1) correct its records; | | | |
| 5.10 | (2) notify the central repository of the | ne inaccuracy and co | prrection; and | |
| 5.11 | (3) if another contributing justice age | ncy received the info | rmation under section (| 509C.13, |
| 5.12 | paragraph (b), within one year before the | ne discovery, notify t | the agency of the inacc | curacy |
| 5.13 | and correction. | | | |
| 5.14 | Sec. 4. [609C.13] DISSEMINATION | N OF criminal histo | ory record INFORM | ATION. |
| 5.15 | (a) A contributing justice agency ma | ay disseminate crimi | nal history record info | ormation |
| 5.16 | only as required or permitted by section | ns 609C.01 to 609C. | 72 or by other law. | |
| 5.17 | (b) A contributing justice agency ma | ay disseminate crimi | inal history record info | ormation |
| 5.18 | to another contributing justice agency of | n request of the other | r agency in connection | with the |
| 5.19 | duties of the requesting agency. | | | |
| 5.20 | Sec. 5. [609C.14] DISSEMINATION | N LOG OF CONTH | RIBUTING JUSTICE | <u> </u> |
| 5.21 | AGENCY. | | | |
| 5.22 | A contributing justice agency shall of | create, store, and ma | intain a dissemination | log |
| 5.23 | complying with section 609C.04. Not la | ater than 14 days aft | er the agency dissemin | nates |
| 5.24 | criminal history record information, the | agency shall enter t | he information require | ed by |

5.25 section 609C.04 in the dissemination log.

REVISOR

| 6.1 | ARTICLE 3 |
|--------------|---|
| 6.2 | CENTRAL REPOSITORY |
| 6.3 | Section 1. [609C.20] DUTY OF CENTRAL REPOSITORY. |
| 6.4 | (a) The central repository shall receive, store, maintain, and disseminate criminal history |
| 6.5 | record information reported to the central repository under sections 609C.01 to 609C.72. |
| 6.6 | (b) The central repository may disseminate criminal history record information only as |
| 6.7 | required or permitted by sections 609C.01 to 609C.72 or law other than sections 609C.01 |
| 6.8 | to 609C.72. |
| 6.9 | (c) The central repository shall receive, store, maintain, and disseminate accurate criminal |
| 6.10 | history record information in compliance with procedures established by the Bureau of |
| 6.11 | Criminal Apprehension under section 609C.61. |
| 6.12 | (d) The central repository shall establish procedures to resolve data conflicts and discover |
| 6.13 | missing data for accurate criminal history record information. |
| 6.14 6.15 | Sec. 2. [609C.21] DISSEMINATION OF INFORMATION TO SUBJECT. (a) Not later than 14 days after the central repository receives a request from a subject |
| 6.16 | for the subject's criminal history record information, the central repository shall search its |
| 6.17 | records and: |
| 6.18 | (1) if the search discloses criminal history record information about the subject, |
| 6.19 | disseminate the information to the subject; or |
| 6.20 | (2) if the search does not disclose criminal history record information about the subject, |
| 6.21 | notify the subject of the fact. |
| 6.22 | (b) Criminal history record information disseminated under this section must include a |
| 6.23 | conspicuous notice that it is provided for review by the subject and may not be relied on or |
| 6.24 | considered current for use by another person. |
| 6.25 | Sec. 3. [609C.22] DISSEMINATION OF INFORMATION TO PERSON |
| 6.26 | AUTHORIZED BY SUBJECT. |
| 6.27 | (a) A subject may authorize another person to receive the subject's criminal history |
| 6.28 | record information from the central repository. |
| 6.29 | (b) Before the central repository disseminates criminal history record information under |
| 6.30 | paragraph (a), the central repository shall determine whether the information contains: |

6

| 7.1 | (1) a disposition after an arrest without initiation of a criminal proceeding; or |
|--|--|
| 7.2 | (2) a disposition of a criminal proceeding, including diversion, dismissal, indefinite |
| 7.3 | postponement, acquittal, guilty plea, conviction, and sentencing, and modification, reversal, |
| 7.4 | and revocation of the disposition, for every arrest or initiation of a criminal proceeding. |
| 7.5 | (c) If the central repository determines under paragraph (b) that the information does |
| 7.6 | not contain a disposition, the central repository shall attempt to determine the disposition |
| 7.7 | and, if the central repository determines the disposition, include that disposition in: |
| 7.8 | (1) the relevant records maintained by the central repository; and |
| 7.9 | (2) the information to be disseminated. |
| 7.10 | (d) After complying with paragraph (c), and before the central repository disseminates |
| 7.11 | information under this section, the central repository shall remove from the information to |
| 7.12 | be disseminated any notation of an arrest or initiation of criminal proceedings if: |
| 7.13 | (1) 18 months have elapsed since the later of the date of the arrest or initiation of criminal |
| 7.14 | proceedings; |
| | |
| 7.15 | (2) a disposition has not been identified with respect to the arrest; |
| 7.15 7.16 | (2) a disposition has not been identified with respect to the arrest;(3) a warrant is not outstanding with respect to the arrest; and |
| | |
| 7.16 | (3) a warrant is not outstanding with respect to the arrest; and |
| 7.16 7.17 | (3) a warrant is not outstanding with respect to the arrest; and (4) a proceeding is not pending with respect to the arrest which may result in a conviction. |
| 7.167.177.18 | (3) a warrant is not outstanding with respect to the arrest; and (4) a proceeding is not pending with respect to the arrest which may result in a conviction. (e) Paragraph (d) does not apply if law other than sections 609C.01 to 609C.72 requires |
| 7.167.177.187.19 | (3) a warrant is not outstanding with respect to the arrest; and (4) a proceeding is not pending with respect to the arrest which may result in a conviction. (e) Paragraph (d) does not apply if law other than sections 609C.01 to 609C.72 requires that the person receive all criminal history record information about the subject. |
| 7.167.177.187.197.20 | (3) a warrant is not outstanding with respect to the arrest; and (4) a proceeding is not pending with respect to the arrest which may result in a conviction. (e) Paragraph (d) does not apply if law other than sections 609C.01 to 609C.72 requires that the person receive all criminal history record information about the subject. (f) Not later than five days after the central repository disseminates information under |
| 7.16 7.17 7.18 7.19 7.20 7.21 | (3) a warrant is not outstanding with respect to the arrest; and (4) a proceeding is not pending with respect to the arrest which may result in a conviction. (e) Paragraph (d) does not apply if law other than sections 609C.01 to 609C.72 requires that the person receive all criminal history record information about the subject. (f) Not later than five days after the central repository disseminates information under this section, the central repository shall send the same information to the subject, based on |
| 7.16 7.17 7.18 7.19 7.20 7.21 7.22 | (3) a warrant is not outstanding with respect to the arrest; and (4) a proceeding is not pending with respect to the arrest which may result in a conviction. (e) Paragraph (d) does not apply if law other than sections 609C.01 to 609C.72 requires that the person receive all criminal history record information about the subject. (f) Not later than five days after the central repository disseminates information under this section, the central repository shall send the same information to the subject, based on the contact information provided by the person requesting the information. |
| 7.16 7.17 7.18 7.19 7.20 7.21 7.22 7.23 | (3) a warrant is not outstanding with respect to the arrest; and (4) a proceeding is not pending with respect to the arrest which may result in a conviction. (e) Paragraph (d) does not apply if law other than sections 609C.01 to 609C.72 requires that the person receive all criminal history record information about the subject. (f) Not later than five days after the central repository disseminates information under this section, the central repository shall send the same information to the subject, based on the contact information provided by the person requesting the information. Sec. 4. [609C.23] DISSEMINATION LOG OF CENTRAL REPOSITORY. |
| 7.16 7.17 7.18 7.19 7.20 7.21 7.22 7.23 7.24 | (3) a warrant is not outstanding with respect to the arrest; and (4) a proceeding is not pending with respect to the arrest which may result in a conviction. (e) Paragraph (d) does not apply if law other than sections 609C.01 to 609C.72 requires that the person receive all criminal history record information about the subject. (f) Not later than five days after the central repository disseminates information under this section, the central repository shall send the same information to the subject, based on the contact information provided by the person requesting the information. Sec. 4. [609C.23] DISSEMINATION LOG OF CENTRAL REPOSITORY. The central repository shall create, store, and maintain a dissemination log complying |

| | 03/11/19 | REVISOR | KLL/SL 19-1 | 783 |
|------|--|---------------------|------------------------------------|------------|
| 8.1 | Sec. 5. [609C.24] CORRECTION O | F INACCURA | TE INFORMATION. | |
| 8.2 | Not later than 14 days after the centra | l repository det | ermines that it possesses inaccur | rate |
| 8.3 | criminal history record information, the | central reposito | ory shall follow the procedures i | n |
| 8.4 | section 609C.32, clauses (1) to (4). | | | |
| 8.5 | Sec. 6. [609C.25] ESTABLISHMEN | T OF PROCE | DURES. | |
| 8.6 | The central repository shall establish | procedures: | | |
| 8.7 | (1) necessary to carry out its powers | and duties und | er sections 609C.01 to 609C.72; | <u>.</u> |
| 8.8 | (2) for the manner and form in which | n a contributing | justice agency collects, stores, | |
| 8.9 | maintains, submits, and disseminates crim | ninal history reco | ord information, including biome | tric |
| 8.10 | information; | | | |
| 8.11 | (3) to ensure that all criminal history | record information | tion for the same subject is link | ted; |
| 8.12 | and | | | |
| 8.13 | (4) for reporting, exchanging, and se | eking correctio | n of criminal history record | |
| 8.14 | information under sections 609C.01 to 6 | 509C.72, includ | ing forms. | |
| 8.15 | Sec. 7. [609C.26] DISSEMINATION | OF INFORM | ATION FOR STATISTICAL (| OR |
| 8.16 | RESEARCH PURPOSES. | | | |
| 8.17 | Consistent with the laws of this state | other than sect | ions 609C.01 to 609C.72 and th | ne |
| 8.18 | United States, the central repository may | | | |
| 8.19 | (1) subject to clause (2), disseminate | criminal histor | y record information, including | |
| 8.20 | personally identifiable information, for a | a statistical or re | esearch purpose; and | |
| 8.21 | (2) limit the use and subsequent diss | emination of in | formation disseminated under th | nis |
| 8.22 | section and the procedures established b | y the central re | pository. | |
| 8.23 | Sec. 8. [609C.27] PUBLIC INFORM | IATION. | | |
| 8.24 | (a) The central repository shall inform | m the public of | the existence and accessibility of | o <u>f</u> |
| 8.25 | criminal history record information colle | ected, stored, m | aintained, and disseminated by | |
| 8.26 | contributing justice agencies and the cer | ntral repository. | | |
| 8.27 | (b) The central repository shall infor | m the public, at | least annually, concerning the: | |
| 8.28 | (1) extent and general nature of crim | inal history rec | ord information collected, stored | <u>d,</u> |
| 8.29 | maintained, and disseminated in this star | <u>te;</u> | | |

| | 03/11/19 | REVISOR | KLL/SL | 19-1783 |
|------|---------------------------------------|---------------------------------------|--------------------------|---------------|
| 9.1 | (2) number of corrections to crit | minal history record in | nformation made by th | ne central |
| 9.2 | repository; | , , , , , , , , , , , , , , , , , , , | | |
| 9.3 | (3) results of audits under sectior | n 609C.51 and the statu | us of any correction of | deficiencies |
| 9.4 | identified; and | | | |
| 9.5 | (4) requirements and forms for a | subject to access, revie | ew. and seek correction | ofcriminal |
| 9.6 | history record information received, | • | | |
| 9.7 | the right to appeal an adverse deter | mination. | | |
| 9.8 | Sec. 9. [609C.28] TRAINING. | | | |
| 9.9 | (a) The central repository regulation | rly shall provide traini | ng to contributing justi | ce agencies |
| 9.10 | concerning submitting information | on a reportable event | and the importance of | the |
| 9.11 | information to subjects, the public, | and the criminal justi- | ce system. | |
| 9.12 | (b) The central repository period | dically shall identify a | und provide remedial t | raining to |
| 9.13 | any contributing justice agency that | t does not meet the rec | uirements of sections | 609C.01 to |
| 9.14 | <u>609C.72.</u> | | | |
| 9.15 | | ARTICLE 4 | | |
| 9.16 | CORRECTION OF CRIM | INAL HISTORY RE | CORD INFORMAT | ION |
| 9.17 | Section 1. [609C.30] REQUEST | TO CORRECT. | | |
| 9.18 | A subject may seek correction of | of criminal history rec | ord information by ser | nding the |
| 9.19 | contributing justice agency storing | the information or the | e central repository a r | equest for |
| 9.20 | correction, specifying the informati | on alleged to be inacc | urate and providing th | e allegedly |
| 9.21 | correct information. A contributing | justice agency that rec | ceives the request shall | l inform the |
| 9.22 | subject that only the central reposit | ory can act on the sub | ject's request and that | the |
| 9.23 | contributing justice agency will for | ward the request to the | e central repository. No | ot later than |
| 9.24 | five days after receiving the reques | t, the contributing just | tice agency shall forwa | ard to the |
| 9.25 | central repository the request and a | ny criminal history re- | cord information relat | ing to the |
| 9.26 | subject. | | | |
| 9.27 | Sec. 2. [609C.31] REVIEW OF | REQUEST. | | |
| 9.28 | (a) Not later than 40 days after 1 | receipt of a request un | der section 609C.30, t | the central |
| 9.29 | repository shall review and approve | <u> </u> | | |
| 9.30 | may extend the time to review and a | | | |
| | | | | |

| | 03/11/19 | REVISOR | KLL/SL | 19-1783 |
|-------|--|--------------------|--|----------------|
| 10.1 | that there is good cause for an extension | n and notifies the | subject. The extension | n may not be |
| 10.2 | renewed unless the subject agrees. | | | |
| 10.3 | (b) If the central repository does not | act within the pe | riod provided in parag | raph (a), the |
| 10.4 | request is deemed denied. | | | |
| 10.5 | (c) Chapter 14 governs review of acti | ion or nonaction b | by the central repository | y concerning |
| 10.6 | a request under section 609C.30. Notw | ithstanding chapt | er 14, if the request is | deemed |
| 10.7 | denied under paragraph (b), the central | repository has th | e burden of proof in a | subsequent |
| 10.8 | review. | | | |
| 10.9 | Sec. 3. [609C.32] CORRECTION C |)F RECORD. | | |
| 10.10 | If the central repository approves a r | equest under sect | ion 609C.30, not later 1 | than 14 days |
| 10.11 | after the decision under section 609C.31 | becomes final a | nd not subject to appea | l, the central |
| 10.12 | repository shall: | | | |
| 10.13 | (1) correct its records; | | | |
| 10.14 | (2) disseminate notice of the inaccu | racy and correcti | on to the subject and e | each person |
| 10.15 | to whom the central repository dissemination | nated inaccurate | information for a purp | ose of |
| 10.16 | administration of criminal justice within | n one year before | the date of approval of | of the |
| 10.17 | correction; | | | |
| 10.18 | (3) notify the contributing justice ag | gency that provid | ed the inaccurate infor | mation of |
| 10.19 | the inaccuracy and correction; and | | | |
| 10.20 | (4) on request of the subject: | | | |
| 10.21 | (i) disseminate notice of the inaccurate | cy and correction | to each person the subj | ect identifies |
| 10.22 | as having received the inaccurate inform | mation under sec | tion 609C.22; and | |
| 10.23 | (ii) provide the subject at no cost on | e certified copy | of the accurate information of | ation. |
| 10.24 | P | ARTICLE 5 | | |
| 10.25 | MISTAKEN IDENTI | TY PREVENTI | ON REGISTRY | |
| 10.26 | Section 1. [609C.40] CREATION A | ND MAINTENA | ANCE OF REGISTR | <u>XY.</u> |
| 10.27 | The central repository shall create an | nd maintain a mis | staken identity prevent | ion registry: |
| 10.28 | (1) consisting of information volunt | arily provided by | <u>/:</u> | |
| 10.29 | (i) a victim of mistaken identity; or | | | |

KLL/SL

| 11.1 | (ii) an individual | whose name or | other identifying | characteristic is | s similar to that of |
|------|--------------------|---------------|-------------------|-------------------|----------------------|
|------|--------------------|---------------|-------------------|-------------------|----------------------|

- another individual who is the subject of criminal history record information; and 11.2
- 11.3 (2) designed to prevent:
- (i) creation of inaccurate criminal history record information; 11.4
- 11.5 (ii) inaccurate modification of criminal history record information;
- (iii) mistaken arrest; and 11.6
- (iv) confusion of an individual with another individual when criminal history record 11.7 information is searched. 11.8

Sec. 2. [609C.41] REQUIREMENTS FOR REGISTRY. 11.9

- (a) The central repository shall establish procedures for entry of information concerning 11.10
- an individual in the mistaken identity prevention registry. The procedures must require: 11.11
- 11.12 (1) submission by the individual of a request to be entered in the registry; and
- (2) collection of biometric information from the individual. 11.13
- 11.14 (b) Using the procedures under paragraph (a), the central repository shall determine
- whether the individual has a name or other identifying characteristic similar to that of another 11.15
- individual who is the subject of criminal history record information. If the central repository 11.16
- determines the individual does have such a name or characteristic, the central repository 11.17
- shall enter the information concerning the individual in the mistaken identity protection 11.18
- 11.19 registry. If the central repository determines the individual does not have such a name or
- characteristic, the individual may seek relief under sections 14.57 to 14.68. 11.20
- 11.21

Sec. 3. [609C.42] CERTIFICATION.

Not later than 14 days after entering information concerning an individual in the mistaken 11.22 11.23 identity prevention registry under section 609C.41, the central repository shall provide the individual a certification that the individual is not a specified individual with a similar name 11.24 11.25 or identifying characteristic who is the subject of criminal history record information. The certification is prima facie evidence of the facts certified. A person may rely on the accuracy 11.26 11.27 of the information in the certification.

Sec. 4. [609C.43] DISSEMINATION OF REGISTRY INFORMATION. 11.28

- (a) The central repository may not use or disseminate information from the mistaken 11.29
- identity prevention registry except as provided in sections 609C.41 to 609C.46. 11.30

KLL/SL

| 12.1 | (b) The central repository shall disseminate information from the mistaken identity |
|-------|--|
| 12.2 | prevention registry to a contributing justice agency if the central repository has reason to |
| 12.3 | believe that identifying information on a reportable event may be inaccurate or incorrectly |
| 12.4 | associated with an individual. |
| 12.5 | (c) The central repository may disseminate information from the mistaken identity |
| 12.6 | prevention registry to a national mistaken identity prevention registry if the national registry |
| 12.7 | is created and maintained by a federal law enforcement agency with a purpose and protections |
| 12.8 | similar to the registry created in sections 609C.40 to 609C.46. |
| 12.9 | Sec. 5. [609C.44] VERIFICATION OF IDENTITY. |
| 12.10 | If a contributing justice agency seeks to establish the identity of an individual and the |
| 12.11 | individual presents a certification issued under section 609C.42, the agency shall accept the |
| 12.12 | certification of the individual's identity unless the agency has a reasonable basis to doubt |
| 12.13 | the individual's identity or the authenticity of the certification, in which case the agency |
| 12.14 | shall contact the central repository to verify the authenticity of the certification, using |
| 12.15 | procedures established by the central repository. |
| 12.16 | Sec. 6. [609C.45] LIMITATION ON USE OF REGISTRY INFORMATION. |
| 12.17 | (a) A contributing justice agency and the central repository may access or use information |
| 12.18 | from the mistaken identity prevention registry only to: |
| 12.19 | (1) identify accurately an individual about whom the agency has requested or received |
| 12.20 | registry information; or |
| 12.21 | (2) investigate, prosecute, or adjudicate an individual for an offense relating to |
| 12.22 | participating in, using, or operating the registry. |
| 12.23 | (b) If information in the mistaken identity prevention registry is accessed or used for a |
| 12.24 | purpose other than permitted under paragraph (a): |
| 12.25 | (1) the information and any information acquired as a result of the improper access or |
| 12.26 | use is not admissible in any criminal or civil action; and |
| 12.27 | (2) the central repository shall notify the individual whose information was accessed or |
| 12.28 | used improperly, not later than five days after it discovers the access or use. |
| 12.29 | Sec. 7. [609C.46] REMOVAL OF INFORMATION FROM REGISTRY. |
| 12.30 | (a) The central repository shall establish procedures regarding a request to remove |

12.31 information from the mistaken identity prevention registry.

| 13.1 | (b) Not later than 14 days after receiving a request complying with procedures established |
|-------|--|
| 13.2 | under paragraph (a) from an individual for removal of information the individual voluntarily |
| 13.3 | submitted under section 609C.41, paragraph (a), the central repository shall remove the |
| 13.4 | information from the mistaken identity prevention registry. |
| | |
| 13.5 | ARTICLE 6 SYSTEMS SECURITY AND AUDIT |
| 13.6 | SISTENS SECONTI I AND AUDII |
| 13.7 | Section 1. [609C.50] SECURITY REQUIREMENTS. |
| 13.8 | To promote the confidentiality and security of criminal history record information |
| 13.9 | collected, received, stored, maintained, submitted, and disseminated under sections 609C.01 |
| 13.10 | to 609C.72, the central repository shall establish procedures to: |
| 13.11 | (1) protect information from loss or damage; |
| 13.12 | (2) allow only an authorized person access to the information; |
| 13.13 | (3) select, supervise, and train individuals authorized to access the information; |
| 13.14 | (4) if computerized data processing is used, meet the technical guidance for the security |
| 13.15 | of systems established by the [responsible agency or individual]; and |
| 13.16 | (5) maintain an index of each data breach. |
| 13.17 | Sec. 2. [609C.51] AUDIT. |
| 13.18 | (a) The state auditor shall cause an audit to be conducted annually of a sample of |
| 13.19 | contributing justice agencies and at least once every three years of the central repository. |
| 13.20 | (b) If the state auditor certifies that an audit required by an entity of the United States |
| 13.21 | satisfies the requirements of this section, an additional audit is not required of the central |
| 13.22 | repository or contributing justice agency subject to the audit. |
| 13.23 | (c) An audit under this section must: |
| 13.24 | (1) assess operational practices of the central repository for consistency, efficiency, and |
| 13.25 | security; |
| 13.26 | (2) assess the integrity of each computerized system and database and each physical |
| 13.27 | location where criminal history record information is stored; |
| 13.28 | (3) assess any data breach in the central repository and response to the breach; and |
| 13.29 | (4) review a representative sample of criminal history record information stored by a |
| 13.30 | contributing justice agency or the central repository and determine the number of missing |

| | 03/11/19 | REVISOR | KLL/SL | 19-1783 |
|-------|---|---------------------------|---------------------------|-----------|
| 14.1 | reportable events and amount and natu | re of missing biometric | e information in the s | ample, |
| 14.2 | in part by examining public records of | | | |
| 14.3 | (d) A contributing justice agency a | nd the central repositor | v shall give the state | auditor |
| 14.4 | access to the records, reports, listings, | | | |
| 14.5 | this section. An officer, employee, or c | ^ | | |
| 14.6 | this state with relevant information sha | | • | |
| 14.7 | information requested for an audit. | • | • | |
| 14.8 | (e) The state auditor shall prepare a | nd make available a pu | blic report containin | g the |
| 14.9 | results of audits under this section and | | | |
| 14.10 | correction of deficiencies. | • | | |
| | | | | |
| 14.11 | | ARTICLE 7 | | |
| 14.12 | ENFORCEMEN | Г AND IMPLEMENT | TATION | |
| 14.13 | Section 1. [609C.60] REMEDIES. | | | |
| 14.14 | (a) The Bureau of Criminal Apprehe | ension, the central repos | itory, or a subject, in a | addition |
| 14.15 | to other remedies provided by sections | 609C.01 to 609C.72 a | nd law other than sec | tions |
| 14.16 | 609C.01 to 609C.72, may commence a | in action to compel con | npliance with or enjo | in a |
| 14.17 | violation of sections 609C.01 to 609C. | 72. The court may awa | rd to a subject who p | orevails |
| 14.18 | in the action reasonable fees and exper | uses of attorneys and co | ourt costs. | |
| 14.19 | (b) A subject has a cause of action | for an intentional or rec | ckless violation of sec | ctions |
| 14.20 | 609C.01 to 609C.72 or procedures esta | blished under sections | 609C.01 to 609C.72 | . This |
| 14.21 | paragraph does not affect other remedi | es as provided by section | ons 609C.01 to 609C | 2.72 or |
| 14.22 | law other than sections 609C.01 to 609 | C.72. If the court finds | s by a preponderance | of the |
| 14.23 | evidence that the subject was injured by | y an intentional or reck | less violation, the con | urt shall |
| 14.24 | award: | | | |
| 14.25 | (1) the greater of: | | | |
| 14.26 | (i) actual damages; or | | | |
| 14.27 | (ii) \$500 for each violation up to \$2 | 2,000 in the action; and | | |
| 14.28 | (2) reasonable fees and expenses of | fattorneys and court co | osts. | |

| Sec. 2. [609C.61] DUTIES AND AUTHORITY OF [RESPONSIBLE AGENCY OR |
|--|
| INDIVIDUAL]. |
| (a) The Bureau of Criminal Apprehension shall establish procedures to implement |
| sections 609C.01 to 609C.72. The procedures must include provisions that: |
| (1) govern the accuracy, dissemination, and review of, and individual access to, criminal |
| history record information; |
| (2) electronic data, including biometric information, must be stored in a manner that |
| complies with the procedures established under section 609C.50; |
| (3) establish technical guidance for the security of systems described in clauses (1) and |
| (2); and |
| (4) set a reasonable maximum fee for the cost of disseminating criminal history record |
| information and provide a subject free access to the subject's information at least once each |
| calendar year. |
| (b) The bureau may designate any governmental agency, other than the central repository |
| or a court, as a contributing justice agency. |
| (c) The bureau may investigate any matter relating to the administration and enforcement |
| of sections 609C.01 to 609C.72. |
| |
| ARTICLE 8 MISCELL ANEQUS PROVISIONS |
| MISCELLANEOUS PROVISIONS |
| Section 1. [609C.70] UNIFORMITY OF APPLICATION AND CONSTRUCTION. |
| In applying and construing this uniform act, consideration must be given to the need to |
| promote uniformity of the law with respect to its subject matter among states that enact it. |
| |
| Sec. 2. [609C.71] TRANSITIONAL PROVISION. |
| Sections 609C.12, 609C.24, 609C.30, 609C.31, and 609C.32 apply to criminal history |
| record information that is in existence before, on, or after August 1, 2019, regardless of the |
| date the information was created or when the reportable event occurred. |
| Sec. 2. KOOC 721 SEVED A DIL ITV |
| Sec. 3. [609C.72] SEVERABILITY. |
| If any provision of sections 609C.01 to 609C.72 or its application to any person or |
| circumstance is held invalid, the invalidity does not affect other provisions or applications |

- 16.1 of sections 609C.01 to 609C.72 that can be given effect without the invalid provision or
- application, and to this end the provisions of sections 609C.01 to 609C.72 are severable.
- 16.3 Sec. 4. <u>REPEALS; CONFORMING AMENDMENTS.</u>
- 16.4 <u>(a)</u>
- 16.5 <u>(b)</u>
- 16.6 <u>(c)</u>
- 16.7 Sec. 5. EFFECTIVE DATE.
- 16.8 This act is effective August 1, 2019.